

# On $H^{p,q}(X, \mathbf{B})$ of Weakly 1-Complete Manifolds

By

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## § 1. Introduction

This note is a continuation of the author's previous work [4]. Let  $X$  be a complex manifold of dimension  $n$  and  $\pi: \mathbf{B} \rightarrow X$  a holomorphic line bundle. We want to know the  $q$ -th cohomology group of  $X$  with coefficients in the sheaf of germs of  $B$ -valued holomorphic  $p$ -forms on  $X$ . We denote it by  $H^{p,q}(X, \mathbf{B})$ .

$X$  is called weakly 1-complete if there exists an exhausting  $C^\infty$  plurisubharmonic function  $\varphi$  on  $X$ .  $\varphi$  is called an exhaustion function. In [4], we considered the case that  $\mathbf{B}$  has a metric along the fibers whose curvature form is positive outside a compact subset  $K$  of  $X$ , and obtained the following theorems.

**Theorem 1'.** *Let  $X$  be a weakly 1-complete manifold of dimension  $n$ . Then under the above situation,*

$$\dim H^{n,q}(X, \mathbf{B}) < \infty \quad \text{for} \quad q \geq 1.$$

**Theorem 2'.** *Let  $X$  be a weakly 1-complete manifold of dimension  $n$  with an exhaustion function  $\varphi$ . Under the above situation, if  $X_c := \{x \in X; \varphi(x) < c\}$  contains  $K$ , then the restriction map*

$$\rho_c: H^{n,q}(X, \mathbf{B}) \rightarrow H^{n,q}(X_c, \mathbf{B})$$

*is bijective for  $q \geq 1$ .*

The purpose of this note is to prove the following theorems under the same conditions for  $X$  and  $\mathbf{B}$  as above.

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**Theorem 1.** *Under the above situation, we have*

$$\dim H^{p,q}(X, \mathbf{B}) < \infty \quad \text{for } p+q > n.$$

**Theorem 2.** *Under the above situation, if  $X_c$  contains  $K$ , then the restriction map*

$$\rho_c: H^{p,q}(X, \mathbf{B}) \rightarrow H^{p,q}(X_c, \mathbf{B})$$

*is bijective for  $p+q > n$ .*

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## § 2. Preliminaries

Let  $X$  be a weakly 1-complete manifold of dimension  $n$  with an exhaustion function  $\varphi$  and  $\mathbf{B}$  a holomorphic line bundle over  $X$  such that  $\mathbf{B}$  has a metric  $\mathbf{a}$  along the fibers whose curvature form  $\Theta(\mathbf{a})$  is positive outside a compact subset  $K$  of  $X$ . Let  $ds^2$  be a hermitian metric on  $X$  whose fundamental form  $\omega$  is  $\sqrt{-1}\Theta(\mathbf{a})$  outside a neighbourhood  $U$  of  $K$ . Let  $\lambda(t)$  be a  $C^\infty$  convex nondecreasing function on  $(-\infty, c)$ , where  $c \in \mathbf{R} \cup \{\infty\}$ , and  $ds_x^2$  the hermitian metric on  $X_c$  associated to  $\omega + \sqrt{-1}\partial\bar{\partial}\lambda(\varphi)$ .

**Proposition 1.** *If  $\int_d^c \sqrt{\lambda''(t)} dt = \infty$  for some  $d < c$ , then  $ds_x^2$  is a complete hermitian metric on  $X_c$ .*

*Proof.* Similar as Proposition 1 in [3].

We denote by  $L_x^{p,q}(X_c, \mathbf{B})$  the space of the square integrable  $\mathbf{B}$ -valued  $(p, q)$ -forms with respect to  $\mathbf{a}e^{-\lambda(\varphi)}$  and  $ds_x^2$ . We denote by  $(f, g)_x(\|f\|_x)$  the inner product (the norm) in  $L_x^{p,q}(X_c, \mathbf{B})$ .  $\|f\|_x^2$  is expressed in the form

$$\int_{X_c} |f|_x^2 dv_x.$$

Here  $dv_x$  denotes the volume form for  $ds_x^2$ , and  $|f|_x$  denotes the length of  $f$  with respect to  $\mathbf{a}e^{-\lambda(\varphi)}$  and  $ds_x^2$ .

We denote the adjoint of  $\bar{\partial}: L_\lambda^{p,q-1}(X_c, \mathbf{B}) \rightarrow L_\lambda^{p,q}(X_c, \mathbf{B})$  by  $\bar{\partial}_\lambda^*$ . The domain, the range, and the kernel of  $\bar{\partial}(\bar{\partial}_\lambda^*)$  are denoted by  $D_\lambda^{p,q-1}$ ,  $R_\lambda^{p,q}$ , and  $N_\lambda^{p,q-1}(D_{\lambda*}^{p,q}$ ,  $R_{\lambda*}^{p,q-1}$ , and  $N_{\lambda*}^{p,q}$ ), respectively.

We denote the quotient space  $N_\lambda^{p,q}/R_\lambda^{p,q}$  by  $H_\lambda^{p,q}(X_c, \mathbf{B})$ .

**Proposition 2** ([4], Theorem (2.6)). *If  $X_c \supset \bar{U}$  and  $ds_\lambda^2$  is a complete hermitian metric on  $X_c$ , then*

$$\dim H_\lambda^{p,q}(X_c, \mathbf{B}) < \infty \quad \text{for } p+q > n.$$

We denote by  $L_{loc}^{p,q}(X_c, \mathbf{B})$  the space of locally square integrable  $\mathbf{B}$ -valued  $(p, q)$ -forms on  $X_c$ .

**Lemma 1.** *For any countably many elements  $f_\mu \in L_{loc}^{p,q}(X_c, \mathbf{B})$ ,  $\mu=1, 2, \dots$ , there exists a  $C^\infty$  strictly convex increasing function  $\lambda_0$  on  $(-\infty, c)$  and a constant  $A > 0$  such that  $ds_{\lambda_0}^2$  is complete,  $\|f_\mu\|_{\lambda_0} < \infty$  for every  $\mu$ , and  $A\|f\|_0 \geq \|f\|_{\lambda_0}$ , for every  $f \in L_0^{p,q}(X_c, \mathbf{B})$ .*

*Proof.* Similar as Lemma 2.4 in [4].

We denote by  $C_0^{p,q}(X_c, \mathbf{B})$  the space of  $C^\infty$   $\mathbf{B}$ -valued  $(p, q)$ -forms on  $X_c$  with compact support. We denote by  $\vartheta_\lambda$  the formal adjoint of  $\bar{\partial}: C_0^{p,q}(X_c, \mathbf{B}) \rightarrow C_0^{p,q+1}(X_c, \mathbf{B})$  with respect to the metrics  $ds_\lambda^2$  and  $\alpha e^{-\lambda(\varphi)}$ .

**Proposition 3** (cf. [5], Theorem 1.1). *If  $ds_\lambda^2$  is complete, then*

i)  $C_0^{p,q}(X_c, \mathbf{B})$  is dense in the space

$$\{\psi; \psi \in L_\lambda^{p,q}(X_c, \mathbf{B}), \bar{\partial}\psi \in L_\lambda^{p,q+1}(X_c, \mathbf{B})\}$$

with respect to the graph norm of  $\bar{\partial}$ .

ii)  $C_0^{p,q}(X_c, \mathbf{B})$  is dense in the space

$$\{\psi; \psi \in L_\lambda^{p,q}(X_c, \mathbf{B}), \vartheta_\lambda\psi \in L_\lambda^{p,q-1}(X_c, \mathbf{B})\}$$

with respect to the graph norm of  $\vartheta_\lambda$ .

In what follows let  $\lambda$  be a  $C^\infty$  strictly convex increasing function on  $(-\infty, c)$  satisfying  $\int_d^c \sqrt{\lambda''(t)} dt = \infty$  for some  $d < c$ . Let  $\{\lambda_\mu\} (\mu=1, 2, \dots)$  be a sequence of  $C^\infty$  convex increasing functions on  $\mathbf{R}$  such that

$\int_0^\infty \sqrt{\lambda_\mu''(t)} dt = \infty$  and for every  $c' < c$  and every nonnegative integer  $\nu$

$$\lim_{\mu \rightarrow \infty} \sup_{t \in (-\infty, c')} |\lambda_\mu^{(\nu)}(t) - \lambda^{(\nu)}(t)| = 0.$$

Here  $\lambda_\mu^{(\nu)}(t)$  ( $\lambda^{(\nu)}(t)$ ) denotes the  $\nu$ -th derivative of  $\lambda_\mu(t)$  ( $\lambda(t)$ ).

**Lemma 2.** *Let  $\lambda_\mu$  be as above. If  $p+q > n$  and  $X_c \supset X_d \supset \bar{U}$ , then there exists a constant  $C_0$  such that for every  $\mu$ ,*

$$\int_{X-X_d} |f|_{\lambda_\mu}^2 d\nu_{\lambda_\mu} \leq C_0 \left\{ \|\bar{\partial}f\|_{\lambda_\mu}^2 + \|\bar{\partial}_{\lambda_\mu}^* f\|_{\lambda_\mu}^2 + \int_{X_d} |f|_{\lambda_\mu}^2 d\nu_{\lambda_\mu} \right\}$$

for  $f \in D_{\lambda_\mu}^{p,q} \cap D_{\lambda_\mu^*}^{p,q}$ .

*Proof.* Similar as Lemma 3.3 in [4].

**Proposition 4.** *Let  $\lambda$  and  $\lambda_\mu$  be as above,  $p+q > n$ , and  $X_c \supset \bar{U}$ . Assume that there exists a constant  $C_1 > 0$  such that for every  $\mu$  and  $f \in L_{\lambda_\mu}^{p,q}(X, \mathbf{B})$  we have  $\|f|_{X_c}\|_{\lambda_\mu} \leq C_1 \|f\|_{\lambda_\mu}$ , then there exists a constant  $C > 0$  and an integer  $\mu_0$  such that for every  $\mu > \mu_0$  we have*

$$C^2 \{ \|\bar{\partial}f\|_{\lambda_\mu}^2 + \|\bar{\partial}_{\lambda_\mu}^* f\|_{\lambda_\mu}^2 \} \geq \|f\|_{\lambda_\mu}^2$$

for any  $f \in D_{\lambda_\mu}^{p,q} \cap D_{\lambda_\mu^*}^{p,q}$  satisfying  $(f|_{X_c}, g)_\lambda = 0$  for  $g \in N_{\lambda}^{p,q} \cap N_{\lambda^*}^{p,q}$ .

*Proof.* If the proposition is false, then choosing a subsequence of  $\{\lambda_\mu\}$  if necessary, we may assume that there exists a sequence  $f_\mu \in L_{\lambda_\mu}^{p,q}(X, \mathbf{B})$  ( $\mu = 1, 2, \dots$ ) such that

- (1)  $f_\mu \in D_{\lambda_\mu}^{p,q} \cap D_{\lambda_\mu^*}^{p,q}$
- (2)  $\|f_\mu\|_{\lambda_\mu} = 1$
- (3)  $\|\bar{\partial}f_\mu\|_{\lambda_\mu} < 1/\mu$
- (4)  $\|\bar{\partial}_{\lambda_\mu}^* f_\mu\|_{\lambda_\mu} < 1/\mu$
- (5)  $(f_\mu|_{X_c}, g)_\lambda = 0$  for any  $g \in N_{\lambda}^{p,q} \cap N_{\lambda^*}^{p,q}$ .

By (2) and the assumption  $\|f_\mu|_{X_c}\|_{\lambda_\mu} \leq C_1 \|f_\mu\|_{\lambda_\mu}$ , we have  $\|f_\mu|_{X_c}\|_{\lambda_\mu} \leq C_1$ . Thus there exists a subsequence of  $\{f_\mu|_{X_c}\}$  which has a weak limit  $f$  in  $L_{\lambda}^{p,q}(X_c, \mathbf{B})$ . Since the coefficients of  $\partial_{\lambda_\mu}$  converge uniformly on every

$X_d$  ( $d < c$ ) to the coefficients of  $\vartheta_\lambda$ , by (4) we have  $\vartheta_\lambda f = 0$ . Since  $dS_\lambda^2$  is complete, we have  $\bar{\partial}_\lambda^* = \vartheta_\lambda$  by Proposition 3. Hence we obtain  $\bar{\partial}_\lambda^* f = 0$ . By (3) we have  $\bar{\partial}f = 0$ . On the other hand, by (2), (3), (4), and Lemma 2, for every  $\mu$ ,

$$\int_{X_d} |f|_{\lambda_\mu}^2 d\nu_{\lambda_\mu} > 1/C_0 - 2/\mu^2,$$

hence

$$\int_{X_d} |f|_{\lambda_\mu}^2 d\nu_{\lambda_\mu} \geq 1/C'_0$$

for some constant  $C'_0$  and  $d < c$ . Thus  $f \neq 0$ . But by (5)  $(f, g)_\lambda = 0$  for any  $g \in N_\lambda^{p,q} \cap N_{\lambda_\mu}^{p,q}$ . This is a contradiction. Q.E.D.

Let  $H_i$  ( $i=1, 2$ ) be Hilbert spaces. For a densely defined closed linear operator  $T: H_1 \rightarrow H_2$ , we denote by  $T^*$  the adjoint of  $T$  and by  $D_T, R_T$  and  $N_T$  ( $D_{T^*}, R_{T^*}$  and  $N_{T^*}$ ) the domain, the range and the kernel of  $T$  ( $T^*$ ), respectively.

**Proposition 5** (cf. [1], Theorem 1.1.4). *Let  $H_i$  ( $i=1, 2, 3$ ) be Hilbert spaces,  $S(T)$  a densely defined closed linear operator from  $H_2(H_1)$  to  $H_3(H_2)$ , satisfying  $S \circ T = 0$ , and  $F$  a closed linear subspace of  $H_2$  containing  $R_T$ . Assume that the following estimate holds for some constant  $C > 0$ .*

$$C^2 \{ \|T^*f\|_1^2 + \|Sf\|_3^2 \} \geq \|f\|_2^2, \text{ for every } f \in D_{T^*} \cap D_S \cap F.$$

Here  $\| \cdot \|_i$  ( $i=1, 2, 3$ ) denote the norms of  $H_i$ . Then

(I) *for every  $u \in F$  satisfying  $Su = 0$ , there exists  $v \in D_T$  such that  $Tv = u$ ,*

(II)  *$R_{T^*}$  is closed in  $H_1$  and for every  $u \in R_{T^*}$  there exists  $v \in D_{T^*}$  such that  $T^*v = u$  and  $\|v\|_2 \leq C\|u\|_1$ .*

**Proposition 6.** *Let  $\lambda$  and  $\lambda_\mu$  be as above,  $p+q \geq n$ , and  $X_c \supset \bar{U}$ . Assume that there exists a constant  $C_1 > 0$  such that for every  $\mu$ ,  $i=0, 1$ , and  $u \in L_{\lambda_\mu}^{p,q+i}(X, \mathbf{B})$ , we have  $\|u|_{X_c}\|_\lambda \leq C_1\|u\|_{\lambda_\mu}$ . Then, for every  $f \in L_\lambda^{p,q}(X_c, \mathbf{B})$  satisfying  $\bar{\partial}f = 0$ , and for every  $\varepsilon > 0$ , there exist an integer  $\mu_0$  and  $\tilde{f} \in L_{\lambda_{\mu_0}}^{p,q}(X, \mathbf{B})$  satisfying  $\bar{\partial}\tilde{f} = 0$  and  $\|\tilde{f}|_{X_c} - f\|_\lambda < \varepsilon$ .*

*Proof.* Let  $g$  be an element of  $L_{\lambda}^{p,q}(X_c, \mathbf{B})$  such that  $(g, \tilde{f}|_{X_c})_{\lambda} = 0$  for any  $\tilde{f} \in L_{\lambda_{\mu}}^{p,q}(X, \mathbf{B})$  ( $\mu = 1, 2, \dots$ ) satisfying  $\bar{\partial} \tilde{f} = 0$ . If we prove  $(g, f)_{\lambda} = 0$  for any  $f \in L_{\lambda}^{p,q}(X_c, \mathbf{B})$  satisfying  $\bar{\partial} f = 0$ , then the proposition follows from the Hahn-Banach's theorem.

Let  $u \in L_{\lambda_{\mu}}^{p,q}(X, \mathbf{B})$ . From the assumption we have

$$(g, u|_{X_c})_{\lambda} \leq C_1 \|g\|_{\lambda} \|u\|_{\lambda_{\mu}}.$$

Hence  $(g, \cdot|_{X_c})_{\lambda}$  is a continuous linear functional on  $L_{\lambda_{\mu}}^{p,q}(X, \mathbf{B})$  and its norm is not greater than  $C_1 \|g\|_{\lambda}$ . From the Riesz representation theorem there exists  $g_{\mu} \in L_{\lambda_{\mu}}^{p,q}(X, \mathbf{B})$  such that  $\|g_{\mu}\|_{\lambda_{\mu}} \leq C_1 \|g\|_{\lambda}$  and  $(g_{\mu}, u)_{\lambda_{\mu}} = (g, u|_{X_c})_{\lambda}$  for every  $u \in L_{\lambda_{\mu}}^{p,q}(X, \mathbf{B})$ . Clearly  $g_{\mu} = 0$  on  $X - X_c$  and  $\|g_{\mu}|_{X_c}\|_{\lambda} \leq C_1^2 \|g\|_{\lambda}$ . On the other hand by the assumption we have  $(g_{\mu}|_{X_c}, v)_{\lambda} \rightarrow (g, v)_{\lambda}$  ( $\mu \rightarrow \infty$ ) for every  $v \in C_0^{p,q}(X_c, \mathbf{B})$ . Therefore  $g_{\mu}|_{X_c}$  converges weakly to  $g$  in  $L_{\lambda}^{p,q}(X_c, \mathbf{B})$ . Since  $g_{\mu}$  is orthogonal to  $N_{\lambda_{\mu}}^{p,q}$ ,  $g_{\mu}$  is contained in the closure of  $R_{\lambda_{\mu}}^{p,q}$ . Hence by Proposition 4, and Proposition 5, (II) there exist  $C > 0$  and  $w_{\mu} \in L_{\lambda_{\mu}}^{p,q+1}(X, \mathbf{B})$  for any  $\mu$  such that  $\|w_{\mu}\|_{\lambda_{\mu}} \leq C \|g_{\mu}\|_{\lambda_{\mu}}$  and  $\bar{\partial}_{\lambda_{\mu}}^* w_{\mu} = g_{\mu}$ . We have  $\|w_{\mu}|_{X_c}\|_{\lambda} \leq C_1 \|w_{\mu}\|_{\lambda_{\mu}} \leq C \cdot C_1^2 \|g\|_{\lambda}$ . Hence a subsequence of  $\{w_{\mu}|_{X_c}\}$  converges weakly in  $L_{\lambda}^{p,q+1}(X_c, \mathbf{B})$ . Let the weak limit be  $w$ . By Proposition 3, we obtain  $\bar{\partial}_{\lambda}^* w = g$ .

Therefore for every  $h \in N_{\lambda}^{p,q}$  we have  $(g, h)_{\lambda} = (\bar{\partial}_{\lambda}^* w, h)_{\lambda} = (w, \bar{\partial} h)_{\lambda} = 0$ . Q.E.D.

**Lemma 3.** Let  $c \in \mathbf{R}$  and  $\lambda_{\mu}$  ( $\mu = 1, 2, \dots$ ),  $\lambda$  be as above. Assume that  $d\varphi$  is nowhere zero on the boundary of  $X_c$  and there exists a constant  $C_2 \geq 1$  such that for every  $\varepsilon > 0$ , there exists an integer  $\mu_0$  so that the following inequalities are satisfied for  $c - \varepsilon < t < c$  and  $\mu > \mu_0$ .

$$(6) \quad \frac{1}{C_2 \varepsilon} \lambda'_{\mu}(t) \leq \lambda''_{\mu}(t),$$

$$(7) \quad \frac{1}{C_2 \varepsilon} \lambda'(t) \leq \lambda''(t),$$

$$(8) \quad \lambda_{\mu}(t) \leq \lambda(t),$$

$$(9) \quad \lambda'_{\mu}(t) \leq C_2 \lambda'(t),$$

$$(10) \quad \lambda''_{\mu}(t) \leq C_2 \lambda''(t),$$

$$(11) \quad e^{-\lambda(t)/n} \lambda'(t) \leq C_2 e^{-\lambda_\mu(t)/n} \lambda'_\mu(t),$$

$$(12) \quad e^{-\lambda(t)/n} \lambda''(t) \leq C_2 e^{-\lambda_\mu(t)/n} \lambda''_\mu(t).$$

Then there exists a constant  $C_3$  such that, for every  $\mu$  and  $f \in L^{p,q}_{\lambda_\mu}(X, \mathbf{B})$ ,

$$(13) \quad \|f|_{x_c}\|_i \leq C_3 \|f\|_{\lambda_\mu}.$$

*Proof.* We choose  $c'$  satisfying  $c' < c$  so that  $d\varphi$  is nowhere zero on  $X_c - X_{c'}$ . Let  $x \in X_c - X_{c'}$  be any point. We choose a basis  $(\sigma_1, \dots, \sigma_n)$  of the holomorphic cotangent space of  $X$  at  $x$  so that  $(\sigma_1, \dots, \sigma_n)$  is orthonormal with respect to the original metric  $ds^2$  and satisfies the following conditions (14) and (15).

$$(14) \quad \partial\varphi|_x = \eta\sigma_1$$

$$(15) \quad \partial\bar{\partial}\varphi|_x = \sum_{i=1}^l \eta_i \sigma_i \wedge \bar{\sigma}_i + \sum_{i=1}^l \eta_i^! \sigma_1 \wedge \bar{\sigma}_i + \sum_{i=1}^l \bar{\eta}_i^! \sigma_i \wedge \bar{\sigma}_1.$$

Here  $\eta > 0$ ,  $\eta_i \geq 0$ ,  $\eta_i > 0$  for  $2 \leq i \leq l$ , and  $\eta_i^! \in \mathbb{C}$ . We set  $\eta_i = 0$  for  $i \geq l+1$ . Note that, by hypothesis, there exists  $\eta_0 > 0$ , which is independent of  $x$ , satisfying  $\eta > \eta_0$ .

We have

$$(16) \quad \begin{aligned} ds_{\lambda_\mu}^2|_x &= (1 + \lambda''_\mu(\varphi(x))\eta^2 + \lambda'_\mu(\varphi(x))\eta_1)\sigma_1 \cdot \bar{\sigma}_1 \\ &\quad + \sum_{i=2}^n (1 + \lambda'_\mu(\varphi(x))\eta_i)\sigma_i \cdot \bar{\sigma}_i \\ &\quad + \lambda'_\mu(\varphi(x)) \left( \sum_{i=2}^n \eta_i^! \sigma_1 \cdot \bar{\sigma}_i + \sum_{i=2}^n \bar{\eta}_i^! \sigma_i \cdot \bar{\sigma}_1 \right). \end{aligned}$$

Since  $\varphi$  is plurisubharmonic, we have

$$|2\operatorname{Re}(\eta_i^! u_1 \bar{u}_i)| \leq 2\eta_i |u_1|^2 + \frac{1}{2}\eta_i |u_i|^2, \text{ for any } u_i \in \mathbb{C} \ (1 \leq i \leq n).$$

Hence we have

$$(17) \quad |2\operatorname{Re} \sum_{i=1}^n \eta_i^! u_1 \bar{u}_i| \leq 2(n-1)\eta_1 |u_1|^2 + \frac{1}{2} \sum_{i=2}^n \eta_i |u_i|^2.$$

Therefore we obtain

$$(18) \quad ((1 + \lambda''_\mu(\varphi(x))\eta^2 - (2n-3)\lambda'_\mu(\varphi(x))\eta_1)\sigma_1 \cdot \bar{\sigma}_1$$

$$\begin{aligned}
& + \sum_{i=2}^n \left( 1 + \frac{1}{2} \lambda''_{\mu}(\varphi(x)) \eta_i \right) \sigma_i \cdot \bar{\sigma}_i \\
& \leq ds_{\lambda_{\mu}}^2|_x \\
& \leq ((1 + \lambda''_{\mu}(\varphi(x))) \eta^2 + (2n-3) \lambda'_{\mu}(\varphi(x)) \eta_1) \sigma_1 \cdot \bar{\sigma}_1 \\
& \quad + \sum_{i=2}^n \left( 1 + \frac{3}{2} \lambda'_{\mu}(\varphi(x)) \eta_i \right) \sigma_i \cdot \bar{\sigma}_i.
\end{aligned}$$

We set

$$(19) \quad S_{\mu} = \sum_{i=1}^n \hat{\xi}_{\mu i} \sigma_i \cdot \bar{\sigma}_i$$

and

$$(20) \quad S = \sum_{i=1}^n \hat{\xi}_i \sigma_i \cdot \bar{\sigma}_i,$$

where

$$(21) \quad \begin{cases} \hat{\xi}_{\mu 1} = 1 + \lambda''_{\mu}(\varphi(x)) \eta^2 + \lambda'_{\mu}(\varphi(x)) \eta_1 \\ \hat{\xi}_{\mu i} = 1 + \lambda'_{\mu}(\varphi(x)) \eta_i, \text{ for } 2 \leq i \leq n, \\ \hat{\xi}_1 = 1 + \lambda''(\varphi(x)) \eta^2 + \lambda'(\varphi(x)) \eta_1 \\ \hat{\xi}_i = 1 + \lambda'(\varphi(x)) \eta_i \text{ for } 2 \leq i \leq n. \end{cases}$$

First we compare  $ds_{\lambda_{\mu}}^2|_x$  ( $ds_{\lambda}^2|_x$ ) with  $S_{\mu}$  (with  $S$ ). We choose  $c'$  in advance sufficiently close to  $c$  so that

$$(22) \quad 3(2n-3) C_2 (c-c') \eta_1 < \eta^2.$$

By the assumption, there exists an integer  $\mu_0$  such that the inequalities (6) to (12) are satisfied with  $\varepsilon = c - c'$ ,  $c' < t < c$ , and  $\mu > \mu_0$ . Hence, by (6), (18), and (22), we have

$$(23) \quad \frac{1}{3} S_{\mu} < ds_{\lambda_{\mu}}^2|_x < 3S_{\mu}, \text{ for } \mu > \mu_0.$$

Similarly as above, we have

$$(24) \quad \frac{1}{3} S < ds_{\lambda}^2|_x < 3S.$$

Let  $(\tau_{\mu 1}, \dots, \tau_{\mu n})$  be a basis of the holomorphic cotangent space of  $X$  at  $x$  such that



$$(25) \quad S_\mu = \sum_{i=1}^n \tau_{\mu i} \cdot \bar{\tau}_{\mu i}$$

and

$$(26) \quad ds_{\lambda_\mu}^2|_x = \sum_{i=1}^n \gamma_{\mu i} \tau_{\mu i} \cdot \bar{\tau}_{\mu i}, \quad \gamma_{\mu i} > 0.$$

By (24), we have

$$(27) \quad \frac{1}{3} < \gamma_{\mu i} < 3, \quad \text{for } \mu > \mu_0.$$

We put

$$(28) \quad V_\mu = \left( \frac{\sqrt{-1}}{2} \right)^n \tau_{\mu 1} \wedge \bar{\tau}_{\mu 1} \wedge \cdots \wedge \tau_{\mu n} \wedge \bar{\tau}_{\mu n}.$$

Then we have

$$(29) \quad d\mathcal{V}_{\lambda_\mu}|_x = \left( \prod_{i=1}^n \gamma_{\mu i} \right) V_\mu = \left( \prod_{i=1}^n \gamma_{\mu i} \right) \left( \prod_{i=1}^n \xi_{\mu i} \right) d\mathcal{V}|_x.$$

Let  $f \in C_0^{p,q}(X, \mathbf{B})$ . We put

$$(30) \quad f|_x = \sum_{\substack{i_1 < \cdots < i_p \\ j_1 < \cdots < j_q}} f_{i_1 \cdots i_p j_1 \cdots j_q} \sigma_{i_1} \wedge \cdots \wedge \sigma_{i_p} \wedge \bar{\sigma}_{j_1} \wedge \cdots \wedge \bar{\sigma}_{j_q}.$$

Here  $f_{i_1 \cdots i_p j_1 \cdots j_q} \in \mathbf{B}|_x$ . Let  $|f|_x|_{\lambda_\mu}$  denote the length of  $f|_x$  with respect to  $\mathbf{a}e^{-\lambda_\mu(\varphi)}$  and  $S_\mu$ . By (19), we have

$$(31) \quad |f|_x|_{\lambda_\mu}'^2 = \sum_{\substack{i_1 < \cdots < i_p \\ j_1 < \cdots < j_q}} \frac{|f_{i_1 \cdots i_p j_1 \cdots j_q}|_{\lambda_\mu}^2}{\left( \prod_{\alpha=1}^p \xi_{\mu i_\alpha} \right) \left( \prod_{\beta=1}^q \xi_{\mu j_\beta} \right)}.$$

On the other hand, if we set

$$(32) \quad f|_x = \sum_{\substack{i_1 < \cdots < i_p \\ j_1 < \cdots < j_q}} f_{i_1 \cdots i_p j_1 \cdots j_q, \mu} \tau_{\mu i_1} \wedge \cdots \wedge \tau_{\mu i_p} \wedge \bar{\tau}_{\mu j_1} \wedge \cdots \wedge \bar{\tau}_{\mu j_q},$$

we have

$$(33) \quad |f|_x|_{\lambda_\mu}^2 = \sum_{\substack{i_1 < \cdots < i_p \\ j_1 < \cdots < j_q}} \frac{|f_{i_1 \cdots i_p j_1 \cdots j_q, \mu}|_{\lambda_\mu}^2}{\left( \prod_{\alpha=1}^p \gamma_{\mu i_\alpha} \right) \left( \prod_{\beta=1}^q \gamma_{\mu j_\beta} \right)}.$$

Therefore, in virtue of (27), we have

$$(34) \quad |f|_x|_{\lambda_\mu}^2 \geq 3^{-p-q} \sum_{\substack{i_1 < \cdots < i_p \\ j_1 < \cdots < j_q}} |f_{i_1 \cdots i_p j_1 \cdots j_q, \mu}|_{\lambda_\mu}^2$$

$$\begin{aligned}
&= 3^{-p-q} |f|_x |_{\lambda_\mu}^{\prime 2} \\
&= 3^{-p-q} \sum_{\substack{i_1 < \dots < i_p \\ j_1 < \dots < j_q}} \frac{|f_{i_1 \dots i_p j_1 \dots j_q}|_{\lambda_\mu}^2}{\left(\prod_{\alpha=1}^p \xi_{\mu i_\alpha}\right) \left(\prod_{\beta=1}^q \xi_{\mu j_\beta}\right)}, \quad \text{for } \mu > \mu_0.
\end{aligned}$$

Combining (27), (29) and (34), we have

$$\begin{aligned}
(35) \quad &|f|_{\lambda_\mu}^2 d\nu_{\lambda_\mu}|_x \\
&\geq 3^{-p-q-n} \sum_{\substack{i_1 < \dots < i_p \\ j_1 < \dots < j_q}} \frac{|f_{i_1 \dots i_p j_1 \dots j_q}|_{\lambda_\mu}^2 \prod_{i=1}^n \xi_{\mu i}}{\left(\prod_{\alpha=1}^p \xi_{\mu i_\alpha}\right) \left(\prod_{\beta=1}^q \xi_{\mu j_\beta}\right)} d\nu|_x, \quad \text{for } \mu > \mu_0.
\end{aligned}$$

Similarly as above, we have

$$\begin{aligned}
(36) \quad &|f|_{\lambda}^2 d\nu_{\lambda}|_x \\
&\leq 3^{p+q+n} \sum_{\substack{i_1 < \dots < i_p \\ j_1 < \dots < j_q}} |f_{i_1 \dots i_p j_1 \dots j_q}|_{\lambda}^2 \frac{\prod_{i=1}^n \xi_i}{\left(\prod_{\alpha=1}^p \xi_{i_\alpha}\right) \left(\prod_{\beta=1}^q \xi_{j_\beta}\right)} d\nu|_x.
\end{aligned}$$

By (9) and (10), we have

$$(37) \quad \xi_{\mu i} \leq C_2 \xi_i, \quad \text{for } 1 \leq i \leq n \text{ and } \mu > \mu_0.$$

Therefore we obtain

$$\begin{aligned}
(38) \quad &\frac{C_2^{p+q}}{\left(\prod_{\alpha=1}^p \xi_{\mu i_\alpha}\right) \left(\prod_{\beta=1}^q \xi_{\mu j_\beta}\right)} \\
&\geq \frac{1}{\left(\prod_{\alpha=1}^p \xi_{i_\alpha}\right) \left(\prod_{\beta=1}^q \xi_{j_\beta}\right)}, \quad \text{for } \mu > \mu_0.
\end{aligned}$$

On the other hand, by (8), (11) and (12),

$$(39) \quad C_2 e^{-\lambda(\varphi(x))/n} \xi_{\mu i} \geq e^{-\lambda(\varphi(x))/n} \xi_i, \quad \text{for } 1 \leq i \leq n,$$

whence

$$\begin{aligned}
(40) \quad &C_2^n \sum_{\substack{i_1 < \dots < i_p \\ j_1 < \dots < j_q}} |f_{i_1 \dots i_p j_1 \dots j_q}|_{\lambda_\mu}^2 \left(\prod_{i=1}^n \xi_{\mu i}\right) \\
&\geq \sum_{\substack{i_1 < \dots < i_p \\ j_1 < \dots < j_q}} |f_{i_1 \dots i_p j_1 \dots j_q}|_{\lambda_\mu}^2 e^{\lambda(\varphi(x)) - \lambda_\mu(\varphi(x))} \prod_{i=1}^n \xi_i \\
&= \sum_{\substack{i_1 < \dots < i_p \\ j_1 < \dots < j_q}} |f_{i_1 \dots i_p j_1 \dots j_q}|_{\lambda}^2 \prod_{i=1}^n \xi_i, \quad \text{for } \mu > \mu_0.
\end{aligned}$$

Combining (35), (36), (38) and (40), we obtain

$$(41) \quad \begin{aligned} & 3^{2(p+q+n)} C_2^{n+p+q} |f|_{\lambda_\mu}^2 d\mathbf{v}_{\lambda_\mu}|_x \\ & \geq |f|_{\lambda}^2 d\mathbf{v}_{\lambda}|_x, \quad \text{for } \mu > \mu_0. \end{aligned}$$

Since  $x \in X_c - X_{c'}$  was arbitrary, we have

$$(42) \quad \begin{aligned} & 3^{2(p+q+n)} C_2^{p+q+n} \int_{X_c - X_{c'}} |f|_{\lambda_\mu}^2 d\mathbf{v}_{\lambda_\mu} \\ & \geq \int_{X_c - X_{c'}} |f|_{\lambda}^2 d\mathbf{v}_{\lambda}, \end{aligned}$$

for  $f \in L_{\lambda_\mu}^{p,q}(X, \mathbf{B})$ , if  $\mu > \mu_0$ .

On the other hand, since  $X_{c'}$  is relatively compact in  $X_c$ ,  $ds_{\lambda_\mu}^2$  and  $ae^{-\lambda_\mu(\varphi)}$  converge to  $ds_{\lambda}^2$  and  $ae^{-\lambda(\varphi)}$ , respectively, uniformly on  $X_{c'}$ . Therefore, there is a constant  $C'$  such that for any  $\mu \geq 1$  and  $f \in L_{\lambda_\mu}^{p,q}(X, \mathbf{B})$ ,

$$(43) \quad C' \int_{X_{c'}} |f|_{\lambda_\mu}^2 d\mathbf{v}_{\lambda_\mu} \geq \int_{X_{c'}} |f|_{\lambda}^2 d\mathbf{v}_{\lambda}.$$

Combining (42) with (43), we have

$$(44) \quad \int_{X_c} |f|_{\lambda}^2 d\mathbf{v}_{\lambda} \leq C'_3 \int_{X_c} |f|_{\lambda_\mu}^2 d\mathbf{v}_{\lambda_\mu},$$

for  $\mu \geq \mu_0$ , where  $C'_3 = \max\{3^{2(p+q+n)} C_2^{p+q+n}, C'\}$ . Since  $X_c$  is relatively compact in  $X$ , there is a constant  $C_3$  such that  $C_3 > C'_3$  and

$$(45) \quad \begin{aligned} & C'_3 \int_{X_c} |f|_{\lambda_{\mu_0+1}}^2 d\mathbf{v}_{\lambda_{\mu_0+1}} \\ & \leq C_3 \int_{X_c} |f|_{\lambda_\mu}^2 d\mathbf{v}_{\lambda_\mu}, \end{aligned}$$

for  $1 \leq \mu \leq \mu_0$  and  $f \in L_{\lambda_\mu}^{p,q}(X, \mathbf{B})$ . Thus we obtain

$$(46) \quad \|f|_{X_c}\|_{\lambda}^2 \leq C_3 \|f\|_{\lambda_\mu}^2,$$

for any  $\mu$  and  $f \in L_{\lambda_\mu}^{p,q}(X, \mathbf{B})$ .

Q.E.D.

### § 3. Proof of Theorem 1

Let  $N_{\text{loc}}^{p,q}(X_c, \mathbf{B})$  ( $R_{\text{loc}}^{p,q}(X_c, \mathbf{B})$ ) be the subspace of  $L_{\text{loc}}^{p,q}(X_c, \mathbf{B})$  consisting of the  $\bar{\partial}$ -closed ( $\bar{\partial}$ -exact) forms. When  $U_c$  is a domain of holo-

morphy in  $\mathbf{C}^n$ , we have  $N_{\text{loc}}^{p,q}(U_c, \mathbf{B}) = R_{\text{loc}}^{p,q}(U_c, \mathbf{B})$  (cf. Theorem 4.2.5 in [2]). Therefore by a standard argument we have  $H^{p,q}(X_c, \mathbf{B}) \cong N_{\text{loc}}^{p,q}(X_c, \mathbf{B})/R_{\text{loc}}^{p,q}(X_c, \mathbf{B})$  (cf. Theorem 7.4.1 in [2]).

Theorem 1 immediately follows from Proposition 2 and Lemma 1, combined with the above expression of  $H^{p,q}(X_c, \mathbf{B})$ . In fact, let  $p+q > n$  and  $f_\mu \in N_{\text{loc}}^{p,q}(X, \mathbf{B})$  ( $\mu=1, 2, \dots$ ). By Lemma 1, there exists a  $C^\infty$  strictly convex increasing function  $\lambda_0$  on  $\mathbf{R}$  such that  $f_\mu \in L_{\lambda_0}^{p,q}(X, \mathbf{B})$  for any  $\mu$ . Therefore by Proposition 2, there exists  $g \in L_{\lambda_0}^{p,q-1}(X, \mathbf{B})$  such that  $\bar{\partial}g$  is a nontrivial linear combination of  $f_\mu$ . Hence  $H^{p,q}(X, \mathbf{B})$  cannot be infinite dimensional. Q.E.D.

#### § 4. Proof of Theorem 2

Let  $p+q > n$  and  $X_d \supset \bar{U}$  ( $d \in \mathbf{R}$ ). We assume that  $d\varphi$  is nowhere zero on the boundary of  $X_d$ .

We set

$$\lambda(t) = -2n \log(d-t)$$

and

$$\begin{aligned} \hat{\lambda}_\mu(t) &= -2n \log(d+1/\mu-t), \quad \text{for } t \leq d+1/2\mu \\ &= 4n\mu(t-d-1/2\mu) - 4n \log 2\mu, \quad \text{for } t > d+1/2\mu \end{aligned}$$

for  $\mu=1, 2, \dots$ .

Let  $\tau(t)$  be a  $C^\infty$  convex increasing function such that  $\int_0^\infty \sqrt{\tau''(t)} dt = \infty$  and the inclusion map

$$\iota_\tau: H_\tau^{p,q}(X, \mathbf{B}) \rightarrow H^{p,q}(X, \mathbf{B})$$

is surjective (cf. § 3). We may assume that  $\tau(t) = 0$  on  $(-\infty, d)$ .

We set

$$\lambda_{\mu,k}(t) = k \int_{-\infty}^\infty \hat{\lambda}_\mu(s) \chi(k(s-t)) ds + \tau(t).$$

Here  $\chi$  is a nonnegative  $C^\infty$  function with compact support satisfying  $\int_{-\infty}^\infty \chi(t) dt = 1$ .

For every  $\mu$  and  $k$  there exists  $r_{\mu,k} \in \mathbf{R}$  such that

$$\lambda_{\mu,k}''(t) = \tau''(t) \quad \text{for } t \geq r_{\mu,k}.$$

Therefore  $\int_0^\infty \sqrt{\lambda''_{\mu,k}(t)} dt = \infty$  and the inclusion maps

$$\iota_{\mu,k}: H_{\lambda_{\mu,k}}^{p,q}(X, \mathbf{B}) \rightarrow H^{p,q}(X, \mathbf{B})$$

are surjective.

We have

$$\int_{d-1}^d \sqrt{\lambda''(t)} dt = 2n \int_{d-1}^d \frac{dt}{d-t} = \infty.$$

Since  $\lambda_{\mu,k}^{(\nu)}(t) \rightarrow \lambda_\nu^{(\nu)}(t)$  ( $k \rightarrow \infty$ ) for every  $\mu$  and  $\nu$ , uniformly on  $(-\infty, d)$ , there exists a sequence  $\{k_\mu\}$  of positive integers such that  $\lambda_{\mu,k_\mu}$  ( $\mu=1, 2, \dots$ ) and  $\lambda$  satisfy the requirements (6) to (10) in Lemma 3. Let  $t < d$ . Then

$$\begin{aligned} e^{-\widehat{\lambda}_\mu(t)/n} \widehat{\lambda}'_\mu(t) &= d + 1/\mu - t \\ &> d - t = e^{-\lambda(t)/n} \lambda'(t) \end{aligned}$$

and

$$\begin{aligned} e^{-\widehat{\lambda}_\mu(t)/n} \widehat{\lambda}''_\mu(t) &= 1 \\ &= e^{-\lambda(t)/n} \lambda''(t). \end{aligned}$$

Therefore we may assume that  $\lambda_{\mu,k_\mu}$  and  $\lambda$  satisfy also (11) and (12) in Lemma 3. We set  $\lambda_\mu = \lambda_{\mu,k_\mu}$ .

By Lemma 3,  $\lambda$  and  $\lambda_\mu$  satisfy the requirement in Proposition 4 and Proposition 6. Since  $H_{\lambda}^{p,q}(X_d, \mathbf{B})$  is finite dimensional (cf. Proposition 2), from Proposition 6 we obtain the surjectivity of the restriction map

$$\rho_{d,\lambda}: H^{p,q}(X, \mathbf{B}) \rightarrow H_{\lambda}^{p,q}(X_d, \mathbf{B}).$$

On the other hand from Proposition 4, it follows that there exists  $\mu_0$  such that the restriction map

$$\rho_d^\mu: H_{\lambda_\mu}^{p,q}(X, \mathbf{B}) \rightarrow H_{\lambda}^{p,q}(X_d, \mathbf{B})$$

is injective if  $\mu > \mu_0$ .

Observing the following diagram

$$\begin{array}{ccc}
 H^{p,q}(X, \mathbf{B}) & \xrightarrow{\rho_{d,\lambda}} & H_{\lambda}^{p,q}(X_d, \mathbf{B}) \\
 \swarrow \iota_{\mu} & & \nearrow \rho_d^{\mu} \\
 & H_{\lambda_{\mu}}^{p,q}(X, \mathbf{B}) &
 \end{array}$$

we have the surjectivity of  $\rho_d^{\mu}$ , since  $\iota_{\mu}$  and  $\rho_{d,\lambda}$  are surjective. Since  $\rho_d^{\mu}$  is injective for  $\mu > \mu_0$ , it follows that  $\rho_d^{\mu}$  is bijective for  $\mu > \mu_0$ , whence  $\iota_{\mu}$  is bijective for  $\mu > \mu_0$ .

Hence  $\rho_{d,\lambda}$  is bijective. Therefore, for every  $c > d$ , the restriction map  $\rho_{d,\lambda}^c: H^{p,q}(X_c, \mathbf{B}) \rightarrow H_{\lambda}^{p,q}(X_d, \mathbf{B})$  is bijective. Thus, the restriction map

$$\rho_c: H^{p,q}(X, \mathbf{B}) \rightarrow H^{p,q}(X_c, \mathbf{B})$$

is bijective, since  $\rho_{d,\lambda}^c \circ \rho_c = \rho_{d,\lambda}$ .

By Sard's theorem, for any  $X_c$  such that  $X_c \supset \bar{U}$ , there exists  $X_d$  such that  $d\varphi$  is nowhere zero on the boundary of  $X_d$  and  $X_c \supset X_d \supset \bar{U}$ . Since  $U$  can be chosen arbitrarily close to  $K$ ,  $\rho_c$  is bijective for every  $c \in \mathbf{R}$  satisfying  $X_c \supset K$ . Q.E.D.

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