

# On Unbounded Derivations Commuting with a Compact Group of \*-Automorphisms

By

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## Abstract

Let  $\mathfrak{A}$  be a  $C^*$ -algebra with identity,  $\alpha$  a continuous action of a compact abelian group  $G$  as \*-automorphisms of  $\mathfrak{A}$ ,  $\mathfrak{A}^\alpha(\gamma)$  the spectral subspace of  $\alpha$  corresponding to  $\gamma$  in the dual  $\hat{G}$  of  $G$  and  $\mathfrak{A}^\alpha(= \mathfrak{A}^\alpha(0))$  the fixed point algebra of  $\alpha$ . Let  $\delta$  be a closed symmetric derivation of  $\mathfrak{A}$  which commutes with  $\alpha$  and generates a one-parameter group of \*-automorphisms of  $\mathfrak{A}^\alpha$ . We assume that the linear span of  $\mathfrak{A}^\alpha(\gamma)^*\mathfrak{A}^\alpha(\gamma)$  is dense in  $\mathfrak{A}^\alpha$  for each  $\gamma \in \hat{G}$  and then deduce that  $\delta$  is a generator on  $\mathfrak{A}$ . Some relevant material on covariant representations is also given.

## § 1. Introduction

Let  $\delta$  be a closed (symmetric) derivation of  $C^*$ -algebra  $\mathfrak{A}$  which commutes with a continuous action  $\alpha$  of a topological group  $G$  as \*-automorphisms of  $\mathfrak{A}$ . Several authors [1] [2] [3] [4] [5] recently derived conditions on  $\mathfrak{A}$ ,  $G$ , and  $\delta$ , which ensure that  $\delta$  is a generator, i.e., the generator of a strongly continuous one-parameter group of \*-automorphisms of  $\mathfrak{A}$ . For example, if  $G$  is compact abelian, and  $\delta$  vanishes on the fixed point algebra  $\mathfrak{A}^\alpha$  of  $\alpha$ , then this result is established in [4]. If, alternatively,  $\delta$  is an inner derivation of  $\mathfrak{A}^\alpha$  it follows from this result, and perturbation theory, that  $\delta$  is a generator. But bounded derivations are generators of uniformly continuous groups and hence this can be viewed as an extension result; if  $G$  is compact abelian,  $\delta$  commutes with  $\alpha$ , and  $\delta$  generates a uniformly continuous one-parameter group  $\tau^0$  of inner automorphisms of the fixed point algebra  $\mathfrak{A}^\alpha$  then  $\tau^0$  extends to a strongly continuous group  $\tau$ , with generator  $\delta$ , on  $\mathfrak{A}$ . Example 6.1 of [4] also establishes that this result does not necessarily extend to the case that  $\delta$  generates a strongly continuous

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group of  $*$ -automorphisms of  $\mathfrak{A}^\alpha$ . Nevertheless in this note we demonstrate that strong continuity of  $\tau^0$  suffices if, in addition,  $\mathfrak{A}^\alpha = \overline{\mathfrak{A}^\alpha(\gamma)*\mathfrak{A}^\alpha(\gamma)}$  for each  $\gamma \in \widehat{G}$ , where the bar denotes the closed linear span. (Here, and throughout the sequel, we adopt the notation of [4]. In particular  $\mathfrak{A}^\alpha(\gamma)$  denotes the spectral subspace of  $\alpha$  corresponding to  $\gamma$  in the dual group  $\widehat{G}$ ). Thus we aim to establish the following;

**Theorem 1.** *Let  $\mathfrak{A}$  be a  $C^*$ -algebra with identity,  $G$  a compact abelian group, and  $\alpha$  a continuous action of  $G$  as  $*$ -automorphisms of  $\mathfrak{A}$ . Furthermore let  $\delta$  be a closed symmetric derivation satisfying;*

1.  $\alpha_g \circ \delta = \delta \circ \alpha_g, g \in G,$
2.  $\delta_0 = \delta|_{\mathfrak{A}^\alpha}$  is a generator on  $\mathfrak{A}^\alpha$ .

*Finally assume that the closed linear span of  $\mathfrak{A}^\alpha(\gamma)*\mathfrak{A}^\alpha(\gamma)$  equals  $\mathfrak{A}^\alpha$  for each  $\gamma \in \widehat{G}$ .*

*It follows that  $\delta$  is a generator.*

In this theorem we do not know whether the assumption on  $\mathfrak{A}^\alpha(\gamma)*\mathfrak{A}^\alpha(\gamma)$  can be weakened, e.g., to the assumption that  $\overline{\mathfrak{A}^\alpha(\gamma)*\mathfrak{A}^\alpha(\gamma)}$ , an ideal of  $\mathfrak{A}^\alpha$ , is invariant under the automorphism group generated by  $\delta_0$ , for each  $\gamma \in \widehat{G}$ , which is apparently necessary for  $\delta$  to be a generator. (In the example in [4] we referred to above, this weaker assumption is violated.) We want to point out two typical cases where the assumption on  $\mathfrak{A}^\alpha(\gamma)*\mathfrak{A}^\alpha(\gamma)$  is satisfied. One is the case where each  $\mathfrak{A}^\alpha(\gamma)$  contains a unitary. For example, for a  $C^*$ -algebra  $B$  with identity with action  $\beta$  of a discrete abelian group  $\Gamma$ , let  $\mathfrak{A}$  be the crossed product  $B \times_\beta \Gamma$  and  $\alpha$  the dual action  $\hat{\beta}$  of  $G = \widehat{\Gamma}$ . Then for the system  $(\mathfrak{A}, G, \alpha)$ ,  $\mathfrak{A}^\alpha(\gamma)$  contains a unitary. The other is the case where  $\mathfrak{A}^\alpha$  is simple, e.g., the Cuntz algebras  $O_n$  with the gauge action of  $\mathbb{T}$ .

The general lines of proof of this theorem are very similar to those of [4]. There are two basic arguments. First one proves that  $\delta$  is a generator of a group of bounded operators on each  $\mathfrak{A}^\alpha(\gamma)$  and second one argues that this is sufficient for  $\delta$  to be a generator on  $\mathfrak{A}$ . This second step is independent of the assumption on  $\mathfrak{A}^\alpha(\gamma)*\mathfrak{A}^\alpha(\gamma)$  and is based upon the construction and exploitation of appropriate covariant representations. Hence we begin with the discussion of this latter lifting procedure in Section 2 and then return to the proof of Theorem 1, and discussion of the action of  $\delta$  on the spectral subspaces  $\mathfrak{A}^\alpha(\gamma)$ , in Section 3. Relevant information about covariant representations is collected in an appendix.

§ 2. Generators and Spectral Subspaces

In this section we examine the generator problem under the assumption that  $\delta_\gamma$ , the restriction of  $\delta$  to the spectral subspace  $\mathfrak{A}^\alpha(\gamma)$ , is a generator for each  $\gamma \in \hat{G}$ . In fact we need information on  $\delta$  under slightly weaker assumptions on the  $\delta_\gamma$  but we will state this as a corollary of the proof of the following general result.

**Proposition 2.** *Let  $\mathfrak{A}$  be a  $C^*$ -algebra,  $G$  a compact group, and  $\alpha$  a continuous action of  $G$  as  $*$ -automorphisms of  $\mathfrak{A}$ . Furthermore let  $\delta$  be a closed symmetric derivation of  $\mathfrak{A}$  satisfying,*

1.  $\alpha_g \circ \delta = \delta \circ \alpha_g, g \in G,$
2.  $\delta_\gamma = \delta|_{\mathfrak{A}^\alpha(\gamma)}$  is the generator of a strongly continuous one-parameter group of bounded operators on the Banach space  $\mathfrak{A}^\alpha(\gamma)$  for each  $\gamma \in \hat{G}$ .

*It follows that  $\delta$  is the generator of a strongly continuous one-parameter group of  $*$ -automorphisms of  $\mathfrak{A}$ .*

*Remarks.* 1. This result is valid for non-abelian  $G$  too.

2. A weaker version of this proposition is given in [4] Lemma 4.2, where it is further assumed that  $\mathfrak{A}^\alpha \subset D(\delta)$ , but this domain requirement is in fact irrelevant. The following proof via covariant representations is an ‘integrated’ version of the ‘infinitesimal’ proof of Lemma 4.2 in [4]. It is the elimination of infinitesimal methods which avoids the domain requirements.

*Proof.* Let  $0$  denote the trivial representation of  $G$ . Since  $\mathfrak{A}^\alpha (= \mathfrak{A}^\alpha(0))$  is a  $C^*$ -subalgebra it follows that  $\delta_0$  generates a  $*$ -automorphism group  $\tau^0$ . Next define a projection  $P$  from  $\mathfrak{A}$  onto the fixed point algebra  $\mathfrak{A}^\alpha$  by

$$P(x) = \int_G dg \alpha_g(x),$$

where  $dg$  is the normalized Haar measure on  $G$ . Now for any state  $\omega_0$  of  $\mathfrak{A}^\alpha$  define a state  $\omega$  of  $\mathfrak{A}$  by

$$\omega(x) = \frac{1}{2} \int_{-\infty}^{\infty} dt e^{-|t|} \omega_0(\tau_t^0(P(x))).$$

Thus for  $x \in \mathfrak{A}^\alpha$

$$\omega(\tau_s^0(x^*x)) = \frac{1}{2} \int_{-\infty}^{\infty} dt e^{-|t-s|} \omega_0(\tau_t^0(x^*x))$$

and hence

$$\omega(\tau_s^0(x^*x)) \leq e^{|s|} \omega(x^*x).$$

Consequently if  $(\mathcal{H}, \pi, \Omega)$  denotes the cyclic representation associated with  $\omega$  one can define a strongly continuous one-parameter group of bounded linear operators acting on the subspace  $\mathcal{H}_0 = [\pi(\mathfrak{A}^\alpha)\Omega]$  by

$$T_s \pi(x)\Omega = \pi(\tau_s^0(x))\Omega,$$

and in fact one has  $\|T_s\| \leq \exp\{|s|/2\}$ . Moreover

$$T_s \pi(x) T_s^{-1} = \pi(\tau_s^0(x))$$

for all  $x \in \mathfrak{A}^\alpha$ . Next we argue that by multiplication with an element of  $(\pi(\mathfrak{A}^\alpha)|_{\mathcal{H}_0})'$  the group  $T$  may be arranged to be unitary without affecting this covariant implementation law.

Since  $\tau^0$  is a group of \*-automorphisms

$$T_s^* \pi(x) T_s = \pi(\tau_s^0(x))$$

for all  $x \in \mathfrak{A}^\alpha$  and hence  $T_s^* T_s \in (\pi(\mathfrak{A}^\alpha)|_{\mathcal{H}_0})'$ . Next let  $L_0$  be the generator of  $T$  and remark that

$$|s|^{-1} (\|T_s \psi\|^2 - \|\psi\|^2) \leq |s|^{-1} (e^{|s|} - 1) \|\psi\|^2$$

for all  $\psi \in \mathcal{H}_0$  by the above estimate on  $\omega \circ \tau^0 \circ P$ . Hence

$$|(L_0 \psi, \psi) + (\psi, L_0 \psi)| \leq \|\psi\|^2$$

for all  $\psi \in D(L_0)$ . It follows that

$$|(L_0 \psi, \phi) + (\psi, L_0 \phi)| \leq \|\phi\| \|\psi\|$$

for all  $\phi, \psi \in D(L_0)$ . Hence  $D(L_0) \subseteq D(L_0^*)$  and

$$|(\psi, (L_0^* + L_0)\phi)| \leq \|\phi\| \|\psi\|.$$

Therefore  $(L_0^* + L_0)/2$  has a bounded self-adjoint extension  $h_0$  with  $\|h_0\| \leq \frac{1}{2}$ . But  $L_0$  generates the strongly continuous one-parameter group  $T$  on  $\mathcal{H}_0$  and hence by perturbation theory  $iH_0 = L_0 - h_0$  generates a similar group. Since  $H_0$  is symmetric on  $D(L_0)$ , it is automatically self-adjoint. Now if  $U_s = \exp\{iH_0 s\}$  the Trotter product formula implies that

$$U_s = \lim_{n \rightarrow \infty} (T_{s/n} e^{-h_0 s/n})^n.$$

Finally since  $T_s^* T_s \in (\pi(\mathfrak{A}^\alpha)|_{\mathcal{H}_0})'$  it follows that  $h_0 \in ((\pi(\mathfrak{A}^\alpha)|_{\mathcal{H}_0})')$  and hence

$$U_s \pi(x) U_s^* = \pi(\tau_s^0(x))$$

for all  $x \in \mathfrak{A}^\alpha$ .

Thus  $\tau^0$  is covariantly implemented on  $\mathfrak{A}^\alpha$  by either  $T$  or  $U$  and consequently  $\delta$  is spatially implemented on  $\mathfrak{A}^\alpha$  either by  $L_0$  or  $iH_0$ . Specifically

$$i[H_0, \pi(x)] = \pi(\delta(x))$$

for all  $x \in \mathfrak{A}^\alpha \cap D(\delta)$ . Our next aim is to derive a similar spatial implementation law for  $\delta$  on  $\mathfrak{A}$  and for this we begin by extending  $h_0$  and  $H_0$  to  $\mathcal{H}$ .

Define  $h$  on  $\pi(\mathfrak{A})\Omega$  by

$$h\pi(x)\Omega = \pi(x)h_0\Omega.$$

Since  $\omega$  is  $\alpha$ -invariant one has

$$\begin{aligned} \|\pi(x)h_0\Omega\|^2 &= (h_0\Omega, \pi(x^*x)h_0\Omega) \\ &= (h_0\Omega, \pi(P(x^*x))h_0\Omega) \\ &= (\pi(P(x^*x))^{\frac{1}{2}}\Omega, h_0^2\pi(P(x^*x))^{\frac{1}{2}}\Omega) \\ &\leq \|h_0\|^2(\Omega, P(x^*x)\Omega) \\ &= \|h_0\|^2\|\pi(x)\Omega\|^2. \end{aligned}$$

Hence  $h$  is well-defined and extends by continuity to a bounded operator with  $\|h\| \leq \|h_0\| \leq \frac{1}{2}$ . A number of properties of  $h$  follow straightforwardly, e.g.,

$$\begin{aligned} h &= h^* \in \pi(\mathfrak{A})', \quad \|h\| = \|h_0\|, \\ E_\gamma h &= hE_\gamma, \quad hE_0 = h_0, \\ E_\gamma &= [\pi(\mathfrak{A}^\alpha(\gamma))\Omega]. \end{aligned}$$

where

Next define  $H$  by

$$\begin{aligned} iH\pi(x)\Omega &= \pi(\delta(x))\Omega - h\pi(x)\Omega \\ &= \pi(\delta(x))\Omega - \pi(x)h_0\Omega \end{aligned}$$

for  $x \in D(\delta)$ . If  $\pi(x)\Omega = 0$  and  $y \in D(\delta)$  one calculates that

$$\begin{aligned} (\pi(y)\Omega, \pi(\delta(x))\Omega) &= \omega(y^*\delta(x)) \\ &= \omega(\delta(y^*x)) - \omega(\delta(y^*)x) \\ &= \omega(\delta(y^*x)). \end{aligned}$$

But for  $z \in D(\delta)$  one has

$$\begin{aligned} \omega(\delta(z)) &= \omega(P(\delta(z))) \\ &= \omega(\delta(P(z))) \\ &= (\Omega, L_0\pi(P(z))\Omega) \\ &= (L_0^*\Omega, \pi(P(z))\Omega) \\ &= 2(h_0\Omega, \pi(P(z))\Omega) \end{aligned}$$

where we have used  $L_0^* = -L_0 + 2h_0$  and  $L_0\Omega = 0$ . Combining these two observations one concludes that

$$(\pi(y)\Omega, \pi(\delta(x))\Omega) = 2(h_0\Omega, \pi(y^*x)\Omega) = 0$$

and hence  $H$  is well-defined. But for  $x, y \in D(\delta)$

$$\begin{aligned} (\pi(y)\Omega, iH\pi(x)\Omega) &= \omega(y^*\delta(x)) - (\pi(y)\Omega, h\pi(x)\Omega) \\ &= \omega(\delta(y^*x)) - \omega(\delta(y^*)x) - (h_0\Omega, \pi(y^*x)\Omega) \\ &= (h_0\Omega, \pi(y^*x)\Omega) - \omega(\delta(y^*)x) \\ &= -(iH\pi(y)\Omega, \pi(x)\Omega), \end{aligned}$$

i.e.,  $H$  is symmetric. Moreover

$$\begin{aligned} i[H, \pi(x)]\pi(y)\Omega &= iH\pi(xy)\Omega - \pi(x)iH\pi(y)\Omega \\ &= \pi(\delta(xy))\Omega - \pi(x\delta(y))\Omega \\ &= \pi(\delta(x))\pi(y)\Omega, \end{aligned}$$

i.e.,  $\delta$  is implemented by  $iH$ . Next we prove that  $H$  is essentially self-adjoint. It is at this point we use the assumption that  $\delta_\gamma$  is the generator of a group of bounded operators.

Set  $L = iH + h$  and note that if  $x \in D(\delta) \cap \mathfrak{A}^\alpha(\gamma)$  then

$$(I + \beta L)\pi(x)\Omega = \pi((I + \beta\delta_\gamma)(x))\Omega$$

for all real  $\beta$ . This demonstrates that  $I + \beta L$  leaves  $E_\gamma\mathcal{H}$  invariant and since  $\delta_\gamma$  generates a strongly continuous group of bounded operators on  $\mathfrak{A}^\alpha(\gamma)$  it also establishes that there is a  $\beta_\gamma$  such that  $R((I + \beta L)E_\gamma)$  is dense in  $E_\gamma\mathcal{H}$  for all  $|\beta| < \beta_\gamma$ . Thus in this range of  $\beta$ ,  $(I + \beta L)^{-1}E_\gamma$  is well defined. But

$$\begin{aligned} \|(I + \beta L)\pi(x)\Omega\| &\geq \operatorname{Re}(\pi(x)\Omega, (I + \beta L)\pi(x)\Omega) / \|\pi(x)\Omega\| \\ &= (\pi(x)\Omega, (1 + \beta h)\pi(x)\Omega) / \|\pi(x)\Omega\| \\ &\geq (1 - |\beta| \|h\|) \|\pi(x)\Omega\| \end{aligned}$$

and hence

$$\|(I + \beta L)^{-1}E_\gamma\| \leq (1 - |\beta| \|h\|)^{-1}.$$

Now define  $H_\gamma$  as the restriction of  $H$  to  $E_\gamma\mathcal{H}$ . It follows from perturbation theory that  $(I + i\beta H_\gamma)^{-1}$  is defined as a bounded operator for all sufficiently small  $\beta$ . But this establishes that  $H_\gamma$  is essentially self-adjoint and hence  $R(I + i\beta H_\gamma)$  is dense for all real  $\beta$ . Since this is true for all  $\gamma \in \hat{G}$  it follows that  $H$  is essentially self-adjoint on  $\mathcal{H}$ .

Now if  $\bar{H}$  denotes the self-adjoint closure of  $H$  then

$$x \in \mathcal{L}(\mathcal{H}) \longmapsto \tau_t(x) = e^{itH} x e^{-itH} \in \mathcal{L}(\mathcal{H})$$

defines a  $\sigma$ -weakly continuous group of isometries of  $\mathcal{L}(\mathcal{H})$  such that

$$\frac{d}{dt} \tau_t(\pi(x)) = \tau_t(\pi(\delta(x))), \quad x \in D(\delta).$$

It follows from semigroup theory that

$$\|\pi((I + \beta\delta)(x))\| \geq \|\pi(x)\|$$

for all real  $\beta$  and all  $x \in D(\delta)$ . Since by varying  $\omega_0$  one can construct a faithful family of covariant states  $\omega$  one then concludes that

$$(*) \quad \|(I + \beta\delta)(x)\| \geq \|x\|$$

for all real  $\beta$  and  $x \in D(\delta)$ . Finally since  $\delta$ , and hence  $\delta_\gamma$ , is implemented by the self-adjoint operator  $\bar{H}$  the  $\delta_\gamma$  must generate groups of isometries. Therefore

$$R(I + \beta\delta_\gamma) = \mathfrak{A}^\alpha(\gamma)$$

and since this is true for all  $\gamma \in \hat{G}$

$$(**) \quad R(I + \beta\delta) = \mathfrak{A}.$$

The two properties (\*) and (\*\*) imply, however, that  $\delta$  is a generator.

In the above proof we have not used all the assumptions on  $\delta$ . The first part of the proof relies upon the assumption that  $\delta_0$  is a generator but the second part uses less information about the  $\delta_\gamma$ .

**Corollary 3.** *Let  $(\mathfrak{A}, G, \alpha)$  be as in Proposition 2 and let  $\delta$  be a closed symmetric derivation of  $\mathfrak{A}$  satisfying*

1.  $\alpha_g \circ \delta = \delta \circ \alpha_g, g \in G,$
- 2a.  $\delta_0$  is a generator on  $\mathfrak{A}^\alpha,$
- b. For each non-zero  $\gamma \in \hat{G}$  there is a  $\beta_\gamma > 0$  such that  $R(I + \beta\delta_\gamma)$  is dense in  $\mathfrak{A}^\alpha(\gamma)$  for all  $|\beta| < \beta_\gamma.$

*It follows that  $\delta$  is the generator of a strongly continuous one-parameter group of \*-automorphisms of  $\mathfrak{A}.$*

### § 3. Proof of Theorem 1

The proof of Theorem 1 is based upon verification of the assumptions of Corollary 3. This relies upon algebraic arguments, similar to those employed to prove Theorem 5.1 of [4], combined with perturbation theoretic techniques.

An essential part of the perturbation argument is summarized in the next lemma.

**Lemma 4.** *Let  $X$  be a Banach space and  $X_1, X_2, \dots, X_n$  closed subspaces such that  $X = X_1 + X_2 + \dots + X_n$ . Furthermore let  $\delta$  be a closed operator and  $\delta_1, \delta_2, \dots, \delta_n$  bounded operators on  $X$ . Assume that for  $i = 1, 2, \dots, n$*

$$(\delta + \delta_i)(X_i \cap D(\delta)) \subseteq X_i$$

*and that  $\delta + \delta_i$  is the generator of a semigroup of bounded operators on  $X_i$ .*

*It follows that  $R(I + \beta\delta)$  is dense in  $X$  for all sufficiently small  $\beta$ .*

*Proof.* Let  $\hat{X} = X_1 \oplus X_2 \oplus \dots \oplus X_n$  with the norm of  $\hat{x} = (x_1, x_2, \dots, x_n)$  defined by

$$\|\hat{x}\| = \sum_{i=1}^n \|x_i\|.$$

Thus  $\hat{X}$  is a Banach space. Next consider the linear map  $\phi$  from  $\hat{X}$  to  $X$  with the action

$$\phi(\hat{x}) = \sum_{i=1}^n x_i.$$

This map is continuous and since  $X = X_1 + \dots + X_n$  its range is equal to  $X$ . Thus the quotient space  $\hat{X}/\ker\phi$ , with the quotient norm  $\|\cdot\|_\phi$ , is canonically isomorphic to  $X$ . Hence there is a  $c > 0$  such that

$$\|\hat{x}\|_\phi \leq c \|\phi(\hat{x})\|$$

for all  $\hat{x} \in \hat{X}$ . Thus for any  $x \in X$  one may choose  $x_i \in X_i$  such that

$$x = \sum_{i=1}^n x_i$$

and

$$\sum_{i=1}^n \|x_i\| < M \|x\|$$

where  $M$  is a constant slightly larger than  $c$ .

Next for  $x \in X$  choose  $x_i \in X_i$  with the foregoing properties. Then by the assumption that  $\delta + \delta_i$  is a generator on  $X_i$  one may choose  $y_i \in X_i \cap D(\delta)$  such that

$$y_i + \beta(\delta + \delta_i)(y_i) = x_i$$

for  $\beta$  sufficiently small. Therefore by semigroup theory there are constants  $c_i, d_i > 0$  such that

$$\|x_i\| \geq c_i \|y_i\| (1 - |\beta| d_i)$$



for  $|\beta|d_i < 1$ . Thus setting

$$y = \sum_{i=1}^n y_i$$

one has

$$\begin{aligned} \|y + \beta\delta(y) - x\| &= \left\| \sum_{i=1}^n (y_i + \beta(\delta + \delta_i)(y_i) - x_i) - \sum_{i=1}^n \beta\delta_i(y_i) \right\| \\ &\leq |\beta| \sum_{i=1}^n \|\delta_i\| \|y_i\| \\ &\leq \sum_{i=1}^n \frac{|\beta| \|\delta\|}{c_i(I - |\beta|d_i)} \|x_i\| \\ &\leq \|x\| \max_{1 \leq i \leq n} \frac{|\beta| \|\delta_i\| M}{c_i(I - |\beta|d_i)} \\ &< \|x\|/2 \end{aligned}$$

for all sufficiently small  $\beta$ . But if  $R(I + \beta\delta)$  is not dense in  $X$  then for any  $\varepsilon > 0$  there is an  $x' \in X$  such that

$$\|y + \beta\delta(y) - x'\| > \|x'\| (1 - \varepsilon)$$

for all  $y \in D(\delta)$ . Since this contradicts the previous estimate one concludes that  $R(I + \beta\delta)$  is dense in  $X$  for all sufficiently small  $\beta$ .

At this stage we are prepared to prove Theorem 1.

Corollary 3 establishes that it is sufficient to show that for each  $\gamma \in \hat{G}$  there is a  $\beta_\gamma > 0$  such that  $R(I + \beta\delta_\gamma)$  is dense in  $\mathfrak{A}^\alpha(\gamma)$  for all  $|\beta| < \beta_\gamma$ .

Fix  $\gamma \in \hat{G}$ . Since  $D_\gamma = D(\delta) \cap \mathfrak{A}^\alpha(\gamma)$  is dense in  $\mathfrak{A}^\alpha(\gamma)$  the closed linear span of  $D_\gamma^* D_\gamma$  is dense in  $\mathfrak{A}^\alpha$ . This follows from the final assumption of Theorem 1. Moreover  $\mathfrak{A}^\alpha$  contains the identity  $\mathbb{1}$ . Hence there exists a finite number  $m$  of  $x_i, y_i \in D_\gamma$  such that

$$\left\| \sum_{i=1}^m x_i^* y_i - \mathbb{1} \right\| < \frac{1}{2}$$

and consequently

$$\sum_{i=1}^m (x_i^* x_i + y_i^* y_i) \geq \sum_{i=1}^m (x_i^* y_i + y_i^* x_i) \geq \mathbb{1}.$$

Thus we may suppose that there are  $n (= 2m)$  elements  $y_i \in D_\gamma$  with the property

$$\sum_{i=1}^n y_i^* y_i \geq \mathbb{1}.$$

Similarly there are a finite number  $n'$  of  $z_j \in D_\gamma$  such that

$$\sum_{j=1}^{n'} z_j z_j^* \geq \mathbb{1}$$

because  $D_\gamma D_\gamma^*$  is dense in  $\mathfrak{A}^\alpha$ . Now define

$$a = \sum_{i=1}^n y_i y_i^* + \sum_{i=1}^{n'} z_i z_i^*$$

and set  $x_i = a^{-\frac{1}{2}} y_i$  and  $x_{n+i} = a^{-\frac{1}{2}} z_i$ . It follows that  $a^{-\frac{1}{2}} \in \mathfrak{A}^\alpha \cap D(\delta)$ ,  $x_i \in D_\gamma$ , and

$$\sum_{i=1}^N x_i x_i^* = \mathbf{1}$$

where  $N = n + n'$ . Furthermore

$$\sum_{i=1}^N x_i^* x_i \geq \sum_{i=1}^n y_i^* a^{-1} y_i \geq \|a\|^{-1} \sum_{i=1}^n y_i^* y_i \geq \|a\|^{-1} \mathbf{1}.$$

Next consider the system  $(\mathfrak{A}_N = \mathfrak{A} \otimes M_N, G, \bar{\alpha})$  where  $M_N$  is the  $N \times N$  matrix algebra and  $\bar{\alpha}_g = \alpha_g \otimes \iota$ . Here  $\iota$  denotes the trivial action. Further let  $\bar{\delta} = \delta \otimes \iota$  with  $D(\bar{\delta}) = D(\delta) \otimes M_N$ . Thus  $\bar{\alpha}$  and  $\bar{\delta}$  satisfy the same properties as  $\alpha$  and  $\delta$ . Now define

$$v = \begin{pmatrix} x_1, x_2, \dots, x_N \\ 0 \end{pmatrix} \in \mathfrak{A}_N^{\bar{\alpha}}(\gamma) \cap D(\bar{\delta}).$$

It follows from the above construction that  $vv^* = e_{11}$ , where  $e_{11}$  is the matrix unit with  $(e_{11})_{ij} = \delta_{i1} \delta_{j1} \mathbf{1}$ , and  $\bar{\delta}(vv^*) = 0$ . Now for  $b \in \mathfrak{A}_N^{\bar{\alpha}}(\gamma) v^* v \cap D(\bar{\delta})$  one has

$$\begin{aligned} \bar{\delta}(b) &= \bar{\delta}(bv^*v) \\ &= \bar{\delta}_0(bv^*)v + bv^*\bar{\delta}(v) \end{aligned}$$

where  $\bar{\delta}_0 = \delta_0 \otimes \iota$  with  $D(\bar{\delta}_0) = D(\delta_0) \otimes M_N$ . Therefore

$$\begin{aligned} (\bar{\delta} + \delta_{v^*\bar{\delta}(v)})(b) &= \bar{\delta}_0(bv^*)v + v^*\bar{\delta}(v)b \\ &= \{\bar{\delta}_0(bv^*) + v^*\bar{\delta}(v)bv^*\}v \end{aligned}$$

where  $\delta_u$  denotes the derivation with action  $\delta_u(b) = ub - bu$ .

Now the map from  $b \in \mathfrak{A}_N^{\bar{\alpha}}(\gamma) v^* v$  to  $bv^* \in \mathfrak{A}_N^{\bar{\alpha}} v v^*$  is an isomorphism from the Banach space  $\mathfrak{A}_N^{\bar{\alpha}}(\gamma) v^* v$  onto the Banach space  $\mathfrak{A}_N^{\bar{\alpha}} v v^*$ . But since  $\bar{\delta}_0(vv^*) = 0$  the restriction of  $\bar{\delta}_0$  to  $\mathfrak{A}_N^{\bar{\alpha}} v v^*$  is also a generator. Moreover the operator of left multiplication by  $v^*\bar{\delta}(v)$  is bounded and leaves  $\mathfrak{A}_N^{\bar{\alpha}} v v^*$  invariant. Therefore  $\bar{\delta}_0 + v^*\bar{\delta}(v)$  is the generator of a group of bounded operators on  $\mathfrak{A}_N^{\bar{\alpha}} v v^*$ . Hence  $\bar{\delta} + \delta_{v^*\bar{\delta}(v)}$  is a generator on  $\mathfrak{A}_N^{\bar{\alpha}}(\gamma) v^* v$ .

Next we repeat this argument with matrices  $v_i(\sigma)$  whose elements are zero except in the  $i$ -th row which is given by

$$\sigma(i)x_i, \sigma(i+1)x_{i+1}, \dots, \sigma(N)x_N, \sigma(1)x_1, \dots, \sigma(i-1)x_{i-1}$$

where the  $\sigma(j)$  take values  $\pm 1$ . Then  $v_i(\sigma) \in \mathfrak{A}_N^{\bar{\alpha}}(\gamma) \cap D(\bar{\delta})$  and

$$v_i(\sigma)v_i(\sigma)^* = e_{ii}.$$

By the above reasoning  $\bar{\delta} + \delta_{v_i(\sigma)^*v_i(\sigma)}$  is a generator on  $\mathfrak{A}_N^{\bar{\alpha}}(\gamma)v_i(\sigma)^*v_i(\sigma)$ . But

$$2^{-N} \sum_{\sigma} v_i(\sigma)^*v_i(\sigma) = \begin{pmatrix} x_i^*x_i & & & 0 \\ & x_{i+1}^*x_{i+1} & & \\ & & \dots & \\ 0 & & & x_{i-1}^*x_{i-1} \end{pmatrix}$$

and

$$2^{-N} \sum_{i=1}^N \sum_{\sigma} v_i(\sigma)^*v_i(\sigma) = \sum_{i=1}^N x_i^*x_i \mathbf{1}_N \geq \|a\|^{-1} \mathbf{1}$$

where  $\mathbf{1}_N$  is the identity of  $M_N$ . Therefore

$$\mathfrak{A}_N^{\bar{\alpha}}(\gamma) = \sum_{i=1}^N \sum_{\sigma} \mathfrak{A}_N^{\bar{\alpha}}(\gamma)v_i(\sigma)^*v_i(\sigma)$$

and we can apply Lemma 4 to the family

$$X = \mathfrak{A}_N^{\bar{\alpha}}(\gamma), \quad X_i = \mathfrak{A}_N^{\bar{\alpha}}(\gamma)v_i(\sigma)^*v_i(\sigma),$$

and the bounded operators  $\delta_{v_i(\sigma)^*v_i(\sigma)}$  and conclude that  $(I + \beta\bar{\delta})(\mathfrak{A}_N^{\bar{\alpha}}(\gamma) \cap D(\bar{\delta}))$  is dense in  $\mathfrak{A}_N^{\bar{\alpha}}(\gamma)$  for sufficiently small  $\beta$ . Since  $\mathfrak{A}_N^{\bar{\alpha}}(\gamma) = \mathfrak{A}^{\alpha}(\gamma) \otimes M_N$  this implies that  $(I + \beta\delta)(\mathfrak{A}^{\alpha}(\gamma) \cap D(\delta))$  is dense in  $\mathfrak{A}^{\alpha}(\gamma)$  for small  $\beta$  and hence  $\delta$  is a generator by Corollary 3.

### Appendix

#### Covariant Representations

Throughout this appendix  $(\mathfrak{A}, \tau, \omega)$  denotes a  $C^*$ -algebra  $\mathfrak{A}$ , a strongly continuous one-parameter group of  $*$ -automorphisms  $\tau$  of  $\mathfrak{A}$ , and a state  $\omega$  over  $\mathfrak{A}$ . Furthermore  $(\mathcal{H}_{\omega}, \pi_{\omega}, \Omega_{\omega})$  denotes the cyclic representation of  $\mathfrak{A}$  associated with  $\omega$ . It follows from the proof of Proposition 2 that the state

$$\omega_e = \frac{1}{2} \int_{-\infty}^{\infty} dt e^{-|t|} \omega \circ \tau_t$$

generates a covariant representation, i.e., there exists a strongly continuous one-parameter group of unitary operators  $U_{\omega_e}$  on  $\mathcal{H}_{\omega_e}$  which implements the automorphisms  $\tau$ ,

$$\pi_{\omega_e}(\tau_t(A)) = U_{\omega_e}(t)\pi_{\omega_e}(A)U_{\omega_e}(t)^{-1}.$$

The purpose of this appendix is to further analyze this phenomenon by proving the following.

**Theorem A1.** *Let  $f$  be an almost everywhere positive integrable function over  $\mathbf{R}$  with total integral one.*

*It follows that the state*

$$\omega_f = \int dt f(t) \omega \circ \tau_t$$

*generates a covariant representation.*

*Remark.* If the Fourier transform  $\hat{f}$  of  $f$  has compact support this result is a corollary of a spectral theorem of Arveson (Theorem 5.3. of [6]).

The proof of Theorem A1 will be divided into two pieces each of which have a separate interest. The first piece of information extends a construction used by Tomita in the decomposition theory of states (see [7] Chapter 4, in particular Lemma 4.1.21). In the following  $E_{\mathfrak{A}}$  will denote the state space of  $\mathfrak{A}$  equipped with the weak\*-topology.

**Proposition A2.** *Let  $\mu$  be a regular probability measure on  $E_{\mathfrak{A}}$  with barycentre  $\omega$  and let  $f$  be a non-negative  $\mu$ -integrable function over  $E_{\mathfrak{A}}$ . Define the positive sesquilinear form  $s_f$  over  $\mathcal{H}_\omega$  by  $D(s_f) = \pi_\omega(\mathfrak{A})\Omega_\omega$  and*

$$s_f(\pi_\omega(x)\Omega_\omega, \pi_\omega(y)\Omega_\omega) = \int_{E_{\mathfrak{A}}} d\mu(\omega') f(\omega') \omega'(x^*y).$$

*It follows that  $s_f$  is closable and the positive self-adjoint operator  $S_f$  associated with the closure  $\bar{s}_f$  of  $s_f$  is affiliated with the commutant  $\pi_\omega(\mathfrak{A})'$  of  $\pi_\omega(\mathfrak{A})$ . Moreover if  $f$  is positive  $\mu$ -almost everywhere then  $S_f$  is invertible.*

*Proof.* Define  $f_n$  by  $f_n(x) = \min(f(x), n)$ . Thus the  $f_n$  form an increasing family of positive functions which converges pointwise to  $f$ . Next let  $\kappa_\mu(f_n) \in \pi_\omega(\mathfrak{A})'$  denote the bounded operators defined by

$$(\Omega_\omega, \kappa_\mu(f_n)\pi_\omega(x)\Omega_\omega) = \int d\mu(\omega') f(\omega') \omega'(x)$$

(see [7] Lemma 4.1.21). Now introduce the increasing family of bounded quadratic forms

$$t_n(\psi) = (\psi, \kappa_\mu(f_n)\psi), \quad \psi \in \mathcal{H}_\omega$$

and their monotone limit

$$t(\psi) = \sup_n t_n(\psi) = \lim_{n \rightarrow \infty} t_n(\psi)$$

where  $D(t)$  is the family of  $\psi \in \mathcal{H}_\omega$  for which the supremum is finite. It follows from [8] Lemma 5.2.13 that  $t$  is closed. But

$$\begin{aligned} t(\pi_\omega(x)\Omega_\omega) &= \int d\mu(\omega') f(\omega') \omega'(x^*x) \\ &= s_f(\pi_\omega(x)\Omega_\omega) \end{aligned}$$

for all  $x \in \mathfrak{A}$ . Thus  $t$  is a closed extension of  $s_f$ , i.e.,  $s_f$  is closable.

Now  $\pi_\omega(\mathfrak{A})\Omega_\omega$  is automatically a core for  $S_f^{\frac{1}{2}}$ . Moreover

$$\begin{aligned} \|\mathcal{S}_f^{\frac{1}{2}} \pi_\omega(x)\pi_\omega(y)\Omega_\omega\|^2 &= \int d\mu(\omega') f(\omega') \omega'(y^*x^*xy) \\ &\leq \|x\|^2 \int d\mu(\omega') f(\omega') \omega'(y^*y) \\ &= \|x\|^2 \|\mathcal{S}_f^{\frac{1}{2}} \pi_\omega(y)\Omega_\omega\|^2. \end{aligned}$$

Thus it follows that  $\pi_\omega(\mathfrak{A})D(\mathcal{S}_f^{\frac{1}{2}}) \subseteq D(\mathcal{S}_f^{\frac{1}{2}})$ . Moreover one concludes from the identity

$$\begin{aligned} (\mathcal{S}_f^{\frac{1}{2}} \pi_\omega(xy)\Omega_\omega, \mathcal{S}_f^{\frac{1}{2}} \pi_\omega(z)\Omega_\omega) &= \int d\mu(\omega') f(\omega') \omega'(y^*x^*z) \\ &= (\mathcal{S}_f^{\frac{1}{2}} \pi_\omega(y)\Omega_\omega, \mathcal{S}_f^{\frac{1}{2}} \pi_\omega(x^*z)\Omega_\omega) \end{aligned}$$

by a double approximation procedure that

$$(\mathcal{S}_f^{\frac{1}{2}} \pi_\omega(x)\phi, \mathcal{S}_f^{\frac{1}{2}} \psi) = (\mathcal{S}_f^{\frac{1}{2}} \phi, \mathcal{S}_f^{\frac{1}{2}} \pi_\omega(x^*)\psi)$$

for all  $\phi, \psi \in D(S_f) \subseteq D(\mathcal{S}_f^{\frac{1}{2}})$ . But the left hand side is continuous in  $\phi$  and the right hand side is continuous in  $\psi$ . Hence one deduces that  $\pi_\omega(\mathfrak{A})D(S_f) \subseteq D(S_f)$  and

$$\begin{aligned} (S_f \pi_\omega(x)\phi, \psi) &= (S_f \phi, \pi_\omega(x^*)\psi) \\ &= (\pi_\omega(x)S_f \phi, \psi). \end{aligned}$$

Thus  $S_f$  is affiliated with  $\pi_\omega(\mathfrak{A})'$ .

Next suppose  $f$  is positive  $\mu$ -almost everywhere. The approximants  $f_n$  introduced above then have this property. Moreover since  $f \geq f_n > 0$  it follows that

$$S_f \geq \kappa_\mu(f_n) \geq 0$$

where the operator ordering is in the sense of quadratic forms. Thus to prove that  $S_f$  is invertible it suffices to prove that  $\kappa_\mu(f_n)$  is invertible and this effectively

reduces the problem to the examination of bounded  $f$ . Therefore we now assume  $f$  is bounded.

Next define

$$\theta_n = \left\{ \omega' \in E_{\mathfrak{A}}; f(\omega') \geq \frac{1}{n} \right\}$$

and consider the bounded sesquilinear forms  $t_n$  over  $\mathcal{H}_\omega \times \mathcal{H}_\omega$  with the property

$$t_n(\pi_\omega(x)\Omega_\omega, \pi_\omega(y)\Omega_\omega) = \int_{\theta_n} d\mu(\omega') \omega'(x^*y).$$

Since  $nf(\omega') \geq 1$  on  $\theta_n$

$$\begin{aligned} t_n(\pi_\omega(x)\Omega_\omega, \pi_\omega(x)\Omega_\omega) &\leq n \int d\mu(\omega') f(\omega') \omega'(x^*y) \\ &= n \|\pi_\omega(x)S_f^{\frac{1}{2}}\Omega_\omega\|^2. \end{aligned}$$

Hence there is a sequence of positive bounded operators  $S_n$  on the range  $\mathcal{H}_n$  of  $E_n = [\pi_\omega(\mathfrak{A})S_f^{\frac{1}{2}}\Omega_\omega]$  such that

$$t_n(\pi_\omega(x)\Omega_\omega, \pi_\omega(y)\Omega_\omega) = (\pi_\omega(x)S_f^{\frac{1}{2}}\Omega_\omega, S_n\pi_\omega(y)S_f^{\frac{1}{2}}\Omega_\omega)$$

and  $S_n$  is in the commutant  $\pi_\omega(\mathfrak{A})'$  restricted to  $\mathcal{H}_n$ . But  $E_n \in \pi_\omega(\mathfrak{A})'$  and hence  $S_n = S_n E_n = E_n S_n E_n$  may be regarded as an operator in  $\pi_\omega(\mathfrak{A})'$  acting on  $\mathcal{H}_\omega$ . Moreover the family of forms associated with  $t_n$  is monotone increasing and

$$\begin{aligned} \lim_{n \rightarrow \infty} t_n(\pi_\omega(x)\Omega_\omega, \pi_\omega(x)\Omega_\omega) &= \lim_{n \rightarrow \infty} (\pi_\omega(x)\Omega_\omega, S_f^{\frac{1}{2}}S_nS_f^{\frac{1}{2}}\pi_\omega(x)\Omega_\omega) \\ &= (\pi_\omega(x)\Omega_\omega, \pi_\omega(x)\Omega_\omega). \end{aligned}$$

Thus  $S_f^{\frac{1}{2}}S_nS_f^{\frac{1}{2}}$  converges weakly, hence strongly, to the identity.

Finally suppose  $S_f\phi = 0$ . Then

$$(\phi, S_f^{\frac{1}{2}}S_nS_f^{\frac{1}{2}}\phi) = 0.$$

But this contradicts the previous convergence result unless  $\phi = 0$ , i.e.,  $S_f$  is invertible.

Next we compare the representations generated by the states obtained from two probability measures on the state space  $E_{\mathfrak{A}}$ .

**Proposition A3.** *Let  $\mu_1$  and  $\mu_2$  be two regular probability measures on  $E_{\mathfrak{A}}$  with barycentres  $\omega_1$  and  $\omega_2$  respectively.*

*If  $\mu_1$  is absolutely continuous with respect to  $\mu_2$  then  $\pi_{\omega_1}$  is unitarily*

equivalent to a subrepresentation of  $\pi_{\omega_2}$ , and if  $\mu_1$  and  $\mu_2$  are mutually absolutely continuous then  $\pi_{\omega_1}$  and  $\pi_{\omega_2}$  are unitarily equivalent.

*Proof.* If  $\mu_1$  is absolutely continuous with respect to  $\mu_2$  there is a non-negative  $f \in L^1(\mu_2)$  such that  $d\mu_1 = fd\mu_2$ . Now define  $S_f$  on  $\mathcal{H}_{\omega_2}$  by the construction of Proposition A2. Thus

$$\begin{aligned} (S_f^{\frac{1}{2}}\Omega_{\omega_2}, \pi_{\omega_2}(x)S_f^{\frac{1}{2}}\Omega_{\omega_2}) &= \int d\mu_2(\omega')f(\omega')\omega'(x) \\ &= \int d\mu_1(\omega')\omega'(x) = \omega_1(x) \end{aligned}$$

and  $S_f$  is affiliated to  $\pi_{\omega_2}(\mathfrak{A})'$ . Next define an operator from  $\mathcal{H}_{\omega_1}$  to  $R(S_f^{\frac{1}{2}})$ , the closure of the range of  $S_f^{\frac{1}{2}}$ , such that

$$U\pi_{\omega_1}(x)\Omega_{\omega_1} = \pi_{\omega_2}(x)S_f^{\frac{1}{2}}\Omega_{\omega_2}.$$

Note that

$$\begin{aligned} \|U\pi_{\omega_1}(x)\Omega_{\omega_1}\|^2 &= \|\pi_{\omega_2}(x)S_f^{\frac{1}{2}}\Omega_{\omega_2}\|^2 \\ &= \omega_1(x^*x) = \|\pi_{\omega_1}(x)\Omega_{\omega_1}\|^2. \end{aligned}$$

Hence  $U$  extends to a well defined isometry. But then one readily calculates that

$$U\pi_{\omega_1}(x)U^* = \pi_{\omega_2}(x) |_{R(S_f^{\frac{1}{2}})}$$

i.e.,  $\pi_{\omega_1}(\mathfrak{A})$  is unitarily equivalent to the subrepresentation of  $\pi_{\omega_2}(\mathfrak{A})$  acting on  $R(S_f^{\frac{1}{2}})$ .

Finally if  $\mu_1$  and  $\mu_2$  are mutually absolutely continuous then  $f$  is positive  $\mu_2$ -almost everywhere and  $S_f$  is invertible by Proposition A2. Thus  $R(S_f^{\frac{1}{2}}) = \mathcal{H}_{\omega_2}$  and  $(\mathcal{H}_{\omega_1}, \pi_{\omega_1})$  and  $(\mathcal{H}_{\omega_2}, \pi_{\omega_2})$  are unitarily equivalent.

Now we are in a position to prove Theorem A1.

*Proof of Theorem A1.* Let  $E$  be an arbitrary Borel set in  $E_{\mathfrak{A}}$ . Since  $\tau$  is strongly continuous one can define a unique regular Borel measure  $\mu_f$  such that

$$\mu_f(E) = \int_{\omega \circ \tau_t \in E} dt f(t).$$

But  $f$  has total integral one and hence  $\mu_f$  is a probability measure. Moreover

$$\int d\mu_f(\omega')\omega' = \int dt f(t)\omega \circ \tau_t = \omega_f.$$

Similarly for  $e; e(t) = \exp\{-|t|\}/2$  one can introduce a measure  $\mu_e$  and a state  $\omega_e$ . But since  $f$  and  $e$  are almost everywhere positive the measures  $\mu_f$  and  $\mu_e$

are mutually absolutely continuous and  $\omega_f$  and  $\omega_e$  generate unitarily equivalent representations by Proposition A3. But the representation associated with  $\omega_e$  is covariant, by the proof of Proposition 2, and hence the representation associated with  $\omega_f$  is also covariant.

Finally we remark that the observation that  $\omega_e$  generates a covariant representation can be used to reestablish a result of Borchers [9]; the representation  $(\mathcal{H}_\omega, \pi_\omega)$  extends to a covariant representation if, and only if,  $t \rightarrow \omega \circ \tau_t$  is norm continuous. The necessity of the continuity condition is straightforward. The sufficiency follows by noting that  $\omega$  is the norm limit of the sequence of states

$$\omega_n = \frac{1}{2} \int dt e^{-|t|} \omega \circ \tau_{t/n}$$

and hence  $\pi_\omega$  is quasi-contained in the direct sum of the covariant representations  $\pi_{\omega_n}$ . In fact Borchers obtains his result for general locally compact groups of automorphisms.

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