

Extension of Holomorphic Functions with Growth Conditions

By

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§1. Introduction and Summary

In his paper [4], Y. Nishimura discussed the problem of extending holomorphic functions on a submanifold X of \mathbb{C}^n to ones on the ambient space, with the order of growth in consideration. In this note we shall take up this problem in a slightly different setting. We consider a Stein manifold X with a strictly plurisubharmonic C^∞ exhaustion function ψ such that $\sup \psi = +\infty$. We also set $t_0 = \inf \psi$. For a real valued continuous function $\lambda(t)$ on $[t_0, \infty)$, we set

$$(1.1) \quad \mathcal{H}(X, \lambda) = \{h \mid h \text{ is holomorphic on } X \text{ and} \\ \sup |h(p)| \exp(-\lambda(\psi(p))) < \infty\}.$$

$\mathcal{H}(X, \lambda)$ is a Banach space with respect to the norm

$$(1.2) \quad \|h\|_\lambda = \sup_{p \in X} |h(p)| \cdot \exp\{-\lambda(\psi(p))\}.$$

If Y is a closed analytic submanifold of X , Y is again a Stein manifold and $\psi|_Y$ is a strictly plurisubharmonic exhaustion function for Y . We shall use the similar notation as (1.1) and (1.2) for Y and $\psi|_Y$.

For two C^∞ functions $\mu(t)$ and $\lambda(t)$ on $[t_0, \infty)$, $\mu \ll \lambda$ shall mean $\mu(t) \leq \lambda(t)$, $\mu'(t) \leq \lambda'(t)$ and $\mu''(t) \leq \lambda''(t)$ for all $t \in [t_0, \infty)$.

Now our main assertion sounds as

Theorem 1. *If X and ψ are as above and if Y is a closed analytic submanifold of codimension 1, then there exists a non-decreasing convex C^∞ function $\nu(t)$ of $t \in [t_0, \infty)$, such that for any C^∞ function $\lambda(t)$ with $\nu \ll \lambda^{(1)}$, there exists a constant C_λ such that any $h \in \mathcal{H}(Y, \lambda)$ has an extension $H \in \mathcal{H}(X, \lambda^{(2)} + \nu^{(1)})$,*

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with $\|H\|_{\lambda^{\infty} + \nu^{\infty}} \leq C_{\lambda} \|h\|_{\lambda}$. Here $\lambda^{(i)}$ is defined by $\lambda^{(i)}(t) = \lambda(t+i)$ for $i=1, 2$ and similarly for $\nu^{(i)}$.

There are many works in this direction. To mention some of them, we have [0] and [6]. They have their own general scope or concrete viewpoints. The author hopes that the present work is not completely covered by them.

Many thanks are due to the referee for valuable suggestions.

§2. Construction of ν

Let X , ψ and Y be as above. Let us take locally finite open covers $\mathcal{U} = \{U_i\}_{i \in I}$ and $\mathcal{U}' = \{U'_i\}_{i \in I}$ with the following properties:

(1) For each $i \in I$, there exists a system of local coordinates (z_1^i, \dots, z_n^i) which is valid on an neighborhood U'_i containing \bar{U}_i , and in this coordinate system U_i and U'_i have forms

$$(2.1) \quad \begin{cases} U_i = \{(z_i) \mid |z_i^\alpha| < 1 \text{ for } \alpha = 1, \dots, n\}, \\ U'_i = \{(z_i) \mid |z_i^\alpha| < 1 - \varepsilon_i \text{ for } \alpha = 1, \dots, n\} \quad 0 < \varepsilon_i < 1. \end{cases}$$

(2) I is the disjoint union of subsets I_1 and I_2 . For $i \in I_1$, $U_i \cap Y \neq \emptyset$ and $z_i^n = 0$ is a local equation for Y in U'_i . For $i \in I_2$, $\bar{U}_i \cap Y = \emptyset$.

(3) If p and q belong to U_i , then $|\psi(p) - \psi(q)| < 1$.

We set $R_i = z_i^n$ for $i \in I_1$ and $R_i = 1$ for $i \in I_2$. Then $\{R_i\}$ form a system of local equations for Y and

$$(2.2) \quad b_{ij} = R_i / R_j$$

defines a system of transition functions for $[Y]$. It is clear that such coverings exist provided ε_i are suitably chosen. For $i \in I_1$, we define the projection $\pi_i: U'_i \rightarrow U'_i \cap Y$ by

$$(2.3) \quad \pi_i(\bar{z}_i, z_i^n) = \bar{z}_i, \quad \text{where } \bar{z}_i = (z_i^1, \dots, z_i^{n-1}).$$

Now there exists, for each $p \in U_j \cap U'_l$ ($j \in I_1$), a positive number $s_{jl}(p)$ such that

$$|z_j^n(p)| \geq s_{jl}(p) \quad \text{or} \quad (\bar{z}_j(p), \zeta) \in U_j \cap U_l \quad \text{for} \quad |\zeta| < s_{jl}(p).$$

If we set

$$\begin{aligned} \pi_j^{-1}(\pi_j(p)) \cap U_j \cap U_l &= \pi_j(p) \times W_p, \\ \pi_j^{-1}(\pi_j(p)) \cap U_j \cap U'_l &= \pi_j(p) \times W'_p, \end{aligned}$$

W_p and W'_p being open sets on the z_j^n -plane, we have

$$(2.4) \quad \begin{cases} r_{ji}(p) = \max [z_j^n(p), \sup \{s \mid \{|z_j^n| < s\} \subset W_p\}] & \text{if } W_p \ni 0, \\ r_{ji}(p) = |z_j^n(p)| & \text{if } W_p \not\ni 0. \end{cases}$$

(Note that $r_{ji}(p) \geq |z_j^n(p)|$ in any cases.) Then we have the following:

Lemma 1. $\liminf r_{ji}(q) \geq r_{ji}(p)$ when $q \rightarrow p$. r_{ji} is bounded from below by a positive number.

Proof. Suppose $W_p \ni 0$ and $r_{ji}(p) = \sup \{s \mid \{|z_j^n| < s\} \subset W_p\}$. For any s with $0 < s < r_{ji}(p)$, $\pi_j(p) \times \{|z_j^n| \leq s\}$ is a compact set in $U_j \times U_j$. Hence there exists an open neighborhood V of $\pi_j(p)$ such that $V \times \{|z_j^n| \leq s\} \subset U_j \cap U_j$. Then for q with $q \in U_j \cap U'_j$ and $\pi_j(q) \in V$, $W_q \supset \{|z_j^n| \geq s\}$. Hence (2.4) holds for q and we have $r_{ji}(q) \geq s$. Hence $\liminf r_{ji}(q) \geq s$.

In other cases we have $\liminf r_{ji}(q) \geq \liminf |z_j^n(q)| = |z_j^n(p)| = r_{ji}(p)$.

Next, set

$$\epsilon_{jl} = \frac{1}{2} \inf \{|x - y| \mid x, y \in \mathbb{C}, x \in \bar{W}'_p, y \notin W_p\}.$$

It is clear that $\epsilon_{jl} > 0$. We have $r_{ji}(p) \geq \epsilon_{jl}$ for $p \in U_j \cap U'_j$. In fact, if $W_p \not\ni 0$, then

$$r_{ji}(p) = |z_j^n(p)| \geq |z_j^n(p) - 0| \geq 2\epsilon_{jl}.$$

($z_j^n(p)$ is in W'_p always.) Suppose $W_p \ni 0$. If $\epsilon_{jl} < \sup \{s \mid \{|z_j^n| < s\} \subset W_p\}$, then clearly $r_{ji}(p) > \epsilon_{jl}$. Otherwise there is a $y \in \mathbb{C} - W_p$ with $|y| = \epsilon_{jl}$. Hence $r_{ji}(p) \geq |z_j^n(p)| \geq 2\epsilon_{jl} - |y| = \epsilon_{jl}$.

For each p , there are only a finite number of pairs (j, l) such that $p \in U_j \cap U'_l$. Hence

$$(2.5) \quad r(p) = \min_{(j,l)} r_{ji}(p)$$

is a well defined positive valued function on a neighborhood of Y . This can be extended to a function on X with the same properties, by setting $r(p) = 1$ outside.

We choose a partition of unity $\{\rho_i\}$ subordinate to \mathcal{U}' . We also take a metric along the fibres of the bundle $[Y]^{-1}$. This is expressed by a system $\{a_i\}$ of positive valued C^∞ functions $a_i: U_i \rightarrow \mathbb{R}$, such that

$$(2.6) \quad a_j/a_k = |b_{jk}|^2 \quad \text{on } U_j \cap U_k.$$

Because the coordinates (z_j) exist on U'_j which contains \bar{U}_j and because we can assume R_j is also defined on U'_j , we can assume that a_j is defined as a differentiable function on U'_j . This we shall assume to be the case. Set, for each $p \in U_j$, $b_j(p) = \max_l |\log |b_{jl}(p)|^2|$, l ranging over such indices that $p \in U_l$. Then we have

$$-b_l(p) \leq \log a_j(p) - \log a_l(p) \leq b_l(p).$$

We put $b(p) = \sum_l \rho_l(p) b_l(p)$, then we have

$$-b(p) \leq \log a_j(p) - \sum_l \rho_l(p) \log a_l(p) \leq b(p).$$

We replace $a_j(p)$ by $a_j(p) \exp(-\sum_l \rho_l(p) \log a_l(p))$, then we have the estimate

$$(2.7) \quad \exp(-b(p)) \leq a_j(p) \leq \exp(b(p)).$$

Finally we choose a complete Kähler metric ds^2 on X . In terms of local coordinate system (z_j) , ds^2 will be expressed as

$$ds^2 = 2 \sum g_{j\alpha\bar{\beta}} dz_j^\alpha d\bar{z}_j^\beta.$$

We set

$$(2.8) \quad r_j = \det(g_{j\alpha\bar{\beta}}),$$

then $\{r_j\}$ defines a metric along the fibres of K_X^{-1} , where K_X denotes the canonical bundle of X .

All these being settled, we take a C^∞ non-decreasing convex function $\nu(t)$ on $[t_0, \infty)$, such that

$$(2.9) \quad \left\{ \begin{array}{l} \text{(a)} \quad \int_X \exp\{-\nu(\psi(p)) + 3b(p)\} \frac{1}{r(p)^2} \max\{1, (\sum_l |\bar{\partial}\rho_l|^2)\} d\nu < \infty. \\ \text{(b)} \quad 3 \left(\frac{\partial^2 \nu(\psi)}{\partial z_j^\alpha \partial \bar{z}_j^\beta} \right) - \left(\frac{\partial^2 \log a_j}{\partial z_j^\alpha \partial \bar{z}_j^\beta} \right) - \left(\frac{\partial^2 \log r_j}{\partial z_j^\alpha \partial \bar{z}_j^\beta} \right) \geq (g_{j\alpha\bar{\beta}}), \\ \text{(c)} \quad \exp\{-\nu(\psi(p)) + b(p)\} \cdot r_j(p)^{-1} \cdot \varepsilon_j^{-2n} \leq C, \end{array} \right.$$

where ε_j appeared in the definition of U'_j , j is any index such that $p \in U'_j$, and C is a positive constant.

§3. The Proof of the Theorem

For a function $h \in \mathcal{H}(Y, \lambda)$, we extend it to the holomorphic function \tilde{h}_j in U_j ($j \in I_1$), by

$$(3.1) \quad \tilde{h}_j(p) = h(\pi_j(p)).$$

For $j \in I_2$, we simply set $\tilde{h}_j = 0$. In $U_j \cap U_k$ $\tilde{h}_j - \tilde{h}_k$ and R_j have the zero set $U_j \cap U_k \cap Y$ in common. Hence

$$(3.2) \quad \tilde{h}_{jk} = (\tilde{h}_j - \tilde{h}_k)/R_j$$

is holomorphic in $U_j \cap U_k$ and $\{\tilde{h}_{jk}\}$ form a 1-cocycle in $Z^1(\mathcal{U}, \mathcal{O}([Y]^{-1}))$. As usual we consider the 1-cocycle $\{H_{jk}\}$ in $Z^1(\mathcal{U}, \mathcal{Q}^n([Y]^{-1} \otimes K_X^{-1}))$ defined by

$$H_{jk} = \tilde{h}_{jk} dz_j^1 \wedge \cdots \wedge dz_j^n$$

and set

$$(3.3) \quad \eta_j = - \sum_m \rho_m e_{jm} H_{mj},$$

where $e_{jk} = (R_k/R_j) \det \frac{\partial(z_j)}{\partial(z_k)}$, then we have $\eta_j - e_{jk} \eta_k = H_{jk}$. We set

$$(3.4) \quad f_j = \bar{\partial} \eta_j,$$

then $f = \{f_j\}$ is a $[Y]^{-1} \otimes K_X^{-1}$ -valued $(n, 1)$ -form on X with $\bar{\partial} f = 0$.

With the aid of a function $\mu: \mathbb{R} \rightarrow \mathbb{R}$, we introduce the inner product (φ, χ) of $[Y]^{-1} \otimes K_X^{-1}$ -valued (n, q) -forms $\varphi = \{\varphi_j\}$ and $\chi = \{\chi_j\}$ by

$$(3.5) \quad (\varphi, \chi) = \int_X \exp(-\mu(\psi)) \circ a_j \bar{r}_j \varphi_j \wedge \overline{* \chi_j},$$

and denote by $\mathcal{L}^q(X, \mu)$ the Hilbert space of $[Y]^{-1} \otimes K_X^{-1}$ -valued measurable (n, q) -forms φ with $(\varphi, \varphi) < \infty$.

Lemma 2. For any $h \in \mathcal{H}(Y, \lambda)$, f constructed as (3.1)~(3.4) belongs to $\mathcal{L}^1(X, 2\lambda^{(1)} + \nu)$ and the correspondence $h \rightarrow f$ is a continuous linear map. For η_j we also have

$$(3.6) \quad \|\eta_j\|_{\bar{U}_j}^2 = \int_{U_j} \exp\{-(2\lambda^{(1)}(\psi) + \nu(\psi))\} a_j \bar{r}_j \eta_j \wedge \overline{* \eta_j} \leq C' \cdot \|h\|_\lambda^2.$$

Proof. For $h \in \mathcal{H}(Y, \lambda)$, \tilde{h}_j satisfies $|\tilde{h}_j|(p) \leq \|h\|_\lambda \cdot \exp\{\lambda^{(1)}(\psi(p))\}$, because p and $\pi_j(p)$ belong to the same U_j . Consider $\tilde{h}_{jk}(p)$ for $p \in U_j \cap U'_k$, and in particular as a function of z_j^n , other z_j^{α} 's being considered as parameters. If $|z_j^n(p)| \geq r(p)$, then (3.7) below holds trivially. If $(\bar{z}_j(p), \zeta) \in U_j \cap U_k$ for $|\zeta| \leq r(p)$, then $\tilde{h}_{jk}(\bar{z}_j, \zeta)$ has the maximum of its absolute values on the circle $|\zeta| = r(p)$. Hence

$$(3.7) \quad |\tilde{h}_{jk}(p)| \leq \frac{2}{r(p)} \|h\|_\lambda \cdot \exp\{\lambda^{(1)}(\psi(p))\}$$

holds. Since $\text{supp } \rho_m$ is contained in U'_m for any m , we can estimate η_j and f_j by virtue of (3.7), and see

$$\begin{aligned} (f, f) &= \int_X \exp\{-(2\lambda^{(1)}(\psi) + \nu(\psi))\} a_j r_j (\sum_i \bar{\partial} \rho_i e_{ji} \tilde{h}_{ij} dz_i^1 \wedge \cdots \wedge dz_i^n) \\ &\quad \wedge * (\sum \bar{\partial} \rho_m e_{jm} \tilde{h}_{mj} dz_m^1 \wedge \cdots \wedge dz_m^n) \\ &= \int_X \exp\{-(2\lambda^{(1)}(\psi) + \nu(\psi))\} a_j r_j (\sum_i \bar{\partial} \rho_i b_{ij} \tilde{h}_{ij} dz_j^1 \wedge \cdots \wedge dz_j^n) \\ &\quad \wedge * (\sum_m \bar{\partial} \rho_m b_{mj} \tilde{h}_{mj} dz_j^1 \wedge \cdots \wedge dz_j^n) \\ &\leq C_0 \int_X \exp\{-(2\lambda^{(1)}(\psi) + \nu(\psi))\} a_j r_j \sum_{i,m} |b_{ij} \bar{b}_{mj} \tilde{h}_{ij} \bar{\tilde{h}}_{mj} u_{im}| w_j dv, \end{aligned}$$

where u_{im} and w_j denote the pointwise inner product of $\bar{\partial} \rho_i$ with $\bar{\partial} \rho_m$ and $dz_j^1 \wedge \cdots \wedge dz_j^n$ with itself respectively, that is: $\bar{\partial} \rho_i \wedge * (\bar{\partial} \rho_m) = u_{im} dv$ and $(dz_j^1 \wedge \cdots \wedge dz_j^n) \wedge * (dz_j^1 \wedge \cdots \wedge dz_j^n) = w_j dv$. C_0 is the constant which appears in [5], Lemma A (p. 18). (The same lemma is referred to as Lemma 11.1 in [3].) Hence we have

$$\begin{aligned} (f, f) &\leq 4C_0 \|h\|_\lambda^2 \int_X \exp\{-\nu(\psi) + 3b\} r(p)^{-2} \sum |u_{im}| dv \\ &\leq 4C_0 \|h\|_\lambda^2 \int_X \exp\{-\nu(\psi) + 3b\} r(p)^{-2} (\sum_i |\bar{\partial} \rho_i|^2) dv \\ &\leq C_1 \cdot \|h\|_\lambda^2, \end{aligned}$$

where C_1 is a constant independent of h . Thus we have

$$(3.8) \quad \|f\|_{\mathcal{L}} \leq \sqrt{C_1} \cdot \|h\|_\lambda.$$

The proof of (3.6) is similar.

Next we apply Hörmander's $\bar{\partial}$ -theory. Since components of the curvature form θ of the metric along the fibres are

$$2 \left[\frac{\partial^2 \lambda^{(1)}(\psi)}{\partial z_j^\alpha \partial \bar{z}_j^\beta} \right] + \left[\frac{\partial^2 \nu(\psi)}{\partial z_j^\alpha \partial \bar{z}_j^\beta} \right] - \left[\frac{\partial^2 \log a_j}{\partial z_j^\alpha \partial \bar{z}_j^\beta} \right] - \left[\frac{\partial^2 \log r_j}{\partial z_j^\alpha \partial \bar{z}_j^\beta} \right] \geq (g_{j\alpha\bar{\beta}}),$$

we have

$$((\epsilon(\theta)A - A\epsilon(\theta))\varphi, \varphi) \geq (\varphi, \varphi)$$

for (n, q) -form φ with compact support ($q \geq 1$). Hence

$$(3.9) \quad (\varphi, \varphi) \leq (\bar{\partial}\varphi, \bar{\partial}\varphi) + (\bar{\partial}^*\varphi, \bar{\partial}^*\varphi)$$

for such a φ , where $\bar{\partial}^*$ denotes the adjoint operator to $\bar{\partial}$. Because ds^2 is complete, (3.9) holds for any φ of $\mathcal{L}^q(X, 2\lambda^{(1)} + \nu)$, which belongs to the inter-

section of the domains of $\bar{\partial}$ and $\bar{\partial}^*$. ([5], Theorem 1.1, p. 18. See also [3], theorem 14.1.) Then Hörmander's theorem ([2], Theorem 1.1.4) tells that

(*) For f defined by (3.4), there exists a $\xi \in \mathcal{L}^0(X, 2\lambda^{(1)} + \nu)$ such that $f = \bar{\partial}\xi$, $\|\xi\|_{\mathcal{L}} \leq \|f\|_{\mathcal{L}}$ and ξ is C^∞ .

Set

$$(3.10) \quad \eta_j - \xi_j = g_j dz_j^1 \wedge \cdots \wedge dz_j^n,$$

then g_j is a holomorphic function on U_j and we have

$$(3.11) \quad \check{h}_{jk} = g_j - (R_k/R_j)g_k \quad \text{on } U_j \cap U_k.$$

Hence

$$H = \check{h}_j - R_j g_j = \check{h}_k - R_k g_k$$

is a global holomorphic function on X which extends h on Y .

We have only to estimate H . Take any point p of X . p is contained in U_j for some j . Set $\zeta^\omega = z_j^\omega - z_j^\omega(p)$, then the multi-disk $D = \{(\zeta) \mid |\zeta^\omega| < \varepsilon_j\}$ is contained in U_j and g_j is holomorphic there. Hence we have, for $|\tau_\omega| < \varepsilon_j$

$$\begin{aligned} g_j(p) &= \frac{1}{(2\pi\sqrt{-1})^n} \int_{|\zeta^1|=\tau_1} \cdots \int_{|\zeta^n|=\tau_n} \frac{g_j(z_j(p) + \zeta)}{\zeta^1 \cdots \zeta^n} d\zeta^1 \cdots d\zeta^n \\ &= \frac{1}{(2\pi)^n} \int_{\theta_1=0}^{2\pi} \cdots \int_{\theta_n=0}^{2\pi} g_j(z_j^\omega(p) + \tau_\omega e^{i\nu^{-1}\theta_\omega}) d\theta_1 \cdots d\theta_n. \end{aligned}$$

Multiplying both hand sides by $\tau_1 \cdots \tau_n$ and integrating from $\tau_\omega=0$ to $\tau_\omega=\varepsilon_j$, we obtain

$$\frac{\varepsilon_j^{2n}}{2^n} g_j(p) = \frac{1}{(2\pi)^n} \int_D g_j(z) dz^1 d\bar{z}^1 \cdots dz^n d\bar{z}^n.$$

Hence

$$(3.12) \quad \frac{\varepsilon_j^{2n}}{2^n} |g_j(p)| \leq \left| \frac{1}{(2\pi)^n} \int_D (\eta'_j(z) - \xi'_j(z)) dz^1 d\bar{z}^1 \cdots dz^n d\bar{z}^n \right|,$$

where η'_j and ξ'_j denote the coefficients of $dz_j^1 \wedge \cdots \wedge dz_j^n$ in η_j and ξ_j respectively. We have, by Schwarz inequality,

$$\begin{aligned} & \left| \int_D (\eta'_j(z) - \xi'_j(z)) dz^1 d\bar{z}^1 \cdots dz^n d\bar{z}^n \right| \\ &= \left| \frac{1}{2^n} \int_D a_j^{-1/2} r_j^{-1} \exp \left\{ \lambda^{(1)}(\psi) + \frac{\nu(\psi)}{2} \right\} \cdot a_j^{1/2} \exp \left\{ -\lambda^{(1)}(\psi) - \frac{\nu(\psi)}{2} \right\} (\eta'_j - \xi'_j) dv \right| \\ &\leq \frac{1}{2^n} \left\{ \int_D a_j^{-1} r_j^{-2} \exp \{ 2\lambda^{(1)}(\psi) + \nu(\psi) \} dv \right\}^{1/2} \end{aligned}$$

$$\begin{aligned} & \cdot \left\{ \int_D a_j \exp \{ -2\lambda^{(1)}(\psi) - \nu(\psi) \} |\eta'_j - \xi'_j|^2 d\nu \right\}^{1/2} \\ & = 2^{-n/2} \left| \int_D a_j^{-1} r_j^{-1} \exp (2\lambda^{(1)}(\psi) + \nu(\psi)) dz^1 d\bar{z}^1 \cdots dz^n d\bar{z}^n \right|^{1/2} \|\eta' - \xi'\|_D, \end{aligned}$$

where $\|\cdot\|_D$ denotes the latter factor in the preceding expression. Because of (2.7) and (2.9c), we have

$$\begin{aligned} & \left| \int_D a_j^{-1} r_j^{-1} \exp \{ 2\lambda^{(1)}(\psi) + \nu(\psi) \} dz^1 d\bar{z}^1 \cdots dz^n d\bar{z}^n \right| \\ & \leq \exp \{ 2\lambda^{(2)}(\psi(p)) + 2\nu^{(1)}(\psi(p)) \} \left| \int_D \exp \{ -\nu(\psi) + b(\psi) \} r_j^{-1} dz^1 \cdots d\bar{z}^n \right| \\ & \leq \frac{\varepsilon_j^{4n}}{2^n} C \exp \{ 2\lambda^{(2)}(\psi(p)) + 2\nu^{(1)}(\psi(p)) \}, \end{aligned}$$

while

$$\|\eta' - \xi'\|_D \leq \|\eta' - \xi'\|_{U_j} = \|\eta - \xi\|_{U_j} \leq \{ 2\|\eta\|_{U_j}^2 + 2\|\xi\|_{U_j}^2 \}^{1/2} \leq 2C_1 \|h\|_\lambda.$$

Thus we have, from (3.12),

$$\frac{\varepsilon_j^{2n}}{2^n} |g_j(p)| \leq \frac{1}{(2\pi)^n} \frac{\varepsilon_j^{2n}}{\sqrt{2^n}} \sqrt{\pi^n} \sqrt{C} \cdot (2C_1) \|h\|_\lambda \exp \{ \lambda^{(2)}(\psi(p)) + \nu^{(1)}(\psi(p)) \}.$$

Putting $C_2 = \frac{2\sqrt{C} \cdot C_1}{(2\pi)^{n/2}}$, we finally have

$$(3.13) \quad |g_j(p)| \cdot \exp \{ -\lambda^{(2)}(\psi(p)) - \nu^{(1)}(\psi(p)) \} \leq C_2 \cdot \|h\|_\lambda.$$

Because $|R_j(p)| \leq 1$ and $|\tilde{h}_j(p)| \leq \|h\|_\lambda \exp \{ \lambda^{(1)}(\psi(p)) \}$, we have proved that

$$(3.14) \quad |H(p)| \cdot \exp \{ -\lambda^{(2)}(\psi(p)) - \nu^{(1)}(\psi(p)) \} \leq C_3 \cdot \|h\|_\lambda.$$

§4. Nishimura's Case

In this section we shall sketch that we can deal with Nishimura's case by our method partly. In this case $X = \mathbb{C}^n$ with the standard cartesian coordinates $(\zeta^1, \dots, \zeta^n)$ and $\psi(\zeta) = \{ 1 + \sum_{\alpha} |\zeta^\alpha|^2 \}^{1/2}$. Y is defined by an entire function F as $Y = \{ (\zeta) | F(\zeta) = 0 \}$ and F satisfies the conditions

$$(4.1) \quad \begin{cases} |F(\zeta)| \exp \{ -B\psi(\zeta)^n \} \leq M & \zeta \in X, \\ |dF(\zeta)| \exp \{ B'\psi(\zeta)^n \} \geq M' > 0 & \zeta \in Y, \end{cases}$$

where $|dF(\zeta)|^2 = \sum_{\alpha} |\partial F / \partial \zeta^\alpha|^2$ and η, M, M', B and B' are positive constants.

Nishimura's main result is as follows:

Theorem N. *Suppose that above conditions are satisfied, then for any $B_1 > 0$, there exist positive constants B_2 and C_2 such that for any $h \in \mathcal{H}(Y, B_1 t^\eta)$, there exists an $H \in \mathcal{H}(\mathbb{C}^n, B_2 t^\eta)$ satisfying $H|_Y = h$ and $\|H\|_{B_2 t^\eta} \leq C_2 \|h\|_{B_1 t^\eta}$.*

We shall assume that $\eta \geq 1$, and shall show in the following that

Theorem 2. *If $X = \mathbb{C}^n$ and Y is defined by an F satisfying (4.1) with $\eta \geq 1$, then the function $\nu(t)$ mentioned in Theorem 1 can be taken as $\nu(t) = B_{10} t^\eta$, where B_{10} is a suitable positive constant.*

Since $(t+i)^\eta \ll A t^\eta$ for a constant A and for $i=1, 2$, it is clear that Theorem N follows from Theorems 1 and 2.

We shall sketch direct construction of the covers \mathcal{U} and \mathcal{U}' . First we recall a classical lemma in the theory of functions of a complex variable.

Lemma 3. *Suppose $w=f(z)$ is a function of a complex variable z , holomorphic in the disk $|z| < R$ and $|f(z)| \leq M$ there. Moreover assume that $f(0)=0$ and $f'(0)=c_1 \neq 0$. Then the inverse function $z=g(w)$ (with $g(0)=0$) is defined at least in the domain $|w| < |c_1|^2 R^2 / 6M$ and we have $|g(w)| \leq |c_1| \cdot R^2 / 4M$ there.*

For the proof see, for example, [1] Lemma 17. 7.1 pp. 385–386. We shall also make use of the following*)

Lemma 4. ([4], Lemma 2) *If H is a function in $\mathcal{H}(\mathbb{C}^n, B t^\eta)$ for some $\eta > 0$, $B > 0$, then $|1/m! \partial^{1^m} H / \partial \zeta^m| \leq \|H\|_{B t^\eta} (\sqrt{n})^{1^m} \exp \{-KB t^\eta\}$, where m denotes the multi-index (m_1, \dots, m_n) , $|m| = \sum m_\alpha$ and $K=2^n+1$.*

These being settled, we first verify without difficulty, that if p and $q \in \mathbb{C}^n$ are such that $\psi(p) \geq 2$ and $\sum_\alpha |\zeta^\alpha(p) - \zeta^\alpha(q)|^2 < \frac{1}{9} \{\sum |\zeta^\alpha(p)|^2\}^{-1}$, then we have $|\psi(q) - \psi(p)| < 1$. In the construction of \mathcal{U} and \mathcal{U}' we pay attentions to that part for which $\psi(p) \geq 2$. Remaining part can be dealt with separately.

Take $p \in Y$, we change the coordinate into (ξ_p^α) by a unitary transformation;

$$(4.2) \quad \xi_p^\alpha = \sum_{\beta} c_{\beta}^\alpha(p) (\zeta^\beta - \zeta^\beta(p)),$$

*) Note that our $\{1 + \sum |\zeta^\alpha|^2\}^{1/2}$ and Nishimura's $(\sum |\zeta^\alpha|^2)^{\eta/2} + 1$ define the equivalent norms in the sense of (1.1).

so that $\partial F/\partial \xi_p^\alpha(p)=0$ for $\alpha < n$. Then $\partial F/\partial \xi_p^n(p)$, which we denote by c_1 , has the absolute value

$$(4.3) \quad |c_1| = \left\{ \sum_{\alpha} \left| \frac{\partial F}{\partial \xi_p^\alpha}(p) \right|^2 \right\}^{1/2} \geq \exp \{ -(A' + B'\psi(p)^n) \},$$

where B' appears in (4.1) and A' is a constant determined by M' .

For the moment we fix $\xi_p^1, \dots, \xi_p^{n-1}$ to be $=0$ and consider F as a function $f(\xi)=w$ of a single variable $\xi=\xi_p^n$. If we set

$$(4.4) \quad \begin{cases} R = \frac{1}{3} \psi(p)^{-1/2}, \\ M = \exp \{ A + B\psi(p)^n \}, \end{cases}$$

then we can apply Lemma 3 to f with R, M in (4.4). (A is determined in connection with M in (4.1).) Thus we have the inverse function $\xi=g(w)$, defined in a domain containing $\{w \mid |w| < \exp \{ -(A_3 + B_3\psi(p)^n) \} \}$. (Here and in the following, A_i, B_i are suitably chosen constants independent of p .)

In order to take the change of $\xi_p^1, \dots, \xi_p^{n-1}$ into account, we consider the relation

$$w' = H(\tilde{\xi}_p, \xi) = G_p(\tilde{\xi}_p, \xi) - G_p(\tilde{\xi}_p, 0),$$

where $\tilde{\xi}_p$ stands for $(\xi_p^1, \dots, \xi_p^{n-1})$ and G_p is the function F expressed in terms of (ξ_p) . Because of Lemma 4 we have

$$\begin{aligned} |H(\tilde{\xi}_p, \xi)| &= |G_p(\tilde{\xi}_p, \xi) - G_p(\tilde{\xi}_p, 0)| = \left| \int_0^\xi \partial G_p / \partial \xi(\tilde{\xi}_p, \tau) d\tau \right| \\ &\leq \sqrt{n} M \exp \{ KB(\psi(p) + 1)^n \} |\xi|. \\ |G_p(\tilde{\xi}_p, 0)| &= \left| \int_0^1 \frac{d}{d\tau} \{ G_p(\tau \tilde{\xi}_p, 0) \} d\tau \right| = \left| \int_0^1 \sum_{\alpha=1}^{n-1} \xi_p^\alpha \frac{\partial G_p}{\partial \xi_p^\alpha}(\tau \tilde{\xi}_p, 0) d\tau \right| \\ &\leq \sum_{\alpha=1}^{n-1} |\xi_p^\alpha| \cdot \sqrt{n} M \exp \{ KB(\psi(p) + 1)^n \}, \\ \left| \frac{\partial H}{\partial \xi}(\tilde{\xi}_p, 0) \right| &= \left| \frac{\partial G_p}{\partial \xi}(\tilde{\xi}_p, 0) \right| = \left| \frac{\partial G_p}{\partial \xi}(0, 0) + \int_0^1 \frac{d}{d\tau} \left\{ \frac{\partial G_p}{\partial \xi}(\tau \tilde{\xi}_p, 0) \right\} d\tau \right| \\ &\geq \left| \frac{\partial G_p}{\partial \xi}(0, 0) \right| - \left| \int_0^1 \sum_{\alpha=1}^{n-1} \xi_p^\alpha \frac{\partial^2 G_p}{\partial \xi \partial \xi_p^\alpha}(\tau \tilde{\xi}_p, 0) d\tau \right| \\ &\geq |c_1| - \sum_{\alpha=1}^{n-1} |\xi_p^\alpha| \cdot 2nM \exp \{ KB(\psi(p) + 1)^n \}. \end{aligned}$$

Hence if we choose A_4 and B_4 suitably and set

$$V_p = \{ (\xi_p) \mid |\xi_p^\alpha| < \exp \{ -(A_4 + B_4\psi(p)^n) \} \},$$

we have, in V_p ,

$$(4.5) \quad \begin{cases} |H| \leq \exp\{-(A_5+B_5\psi(p)^n)\}, \\ \left| \frac{\partial H}{\partial \xi}(\tilde{\xi}_p, 0) \right| \geq \frac{|c_1|}{2}, \quad |c_1| \geq \exp\{-(A'+B'\psi(p)^n)\}. \end{cases}$$

We apply Lemma 3 to H . When $|\xi_p^\alpha| < \exp\{-(A_4+B_4\psi(p)^n)\}$ for $\alpha=1, \dots, n-1$, the relation $w'=H(\tilde{\xi}_p, \xi)$ can be solved as $\xi=\psi(\tilde{\xi}_p, w')$ for $|w'| < \exp\{-(A_6+B_6\psi(p)^n)\}$. We change A_4 and B_4 if necessary and can achieve that $|G_p(\tilde{\xi}_p, 0)| < \frac{1}{2} \exp\{-(A_6+B_6\psi(p)^n)\}$, then

$$\xi = \Psi(\tilde{\xi}_p, w - G_p(\tilde{\xi}_p, 0))$$

is holomorphic for $|w| < \frac{1}{2} \exp\{-(A_6+B_6\psi(p)^n)\}$. In other words, we have constructed a neighborhood V'_p of p and local coordinates $(\tilde{\xi}_p, w)$ such that

$$\begin{aligned} V'_p &= \{(\tilde{\xi}_p, w) \mid |\xi_p^\alpha| < \exp\{-(A_4+B_4\psi(p)^n)\}, \\ &\quad |w| < \frac{1}{2} \exp\{-(A_6+B_6\psi(p)^n)\}\}, \end{aligned}$$

where $w(q)=F(q)$ for $q \in V'_p$.

Take $U_p = \{(\tilde{\xi}_p, w) \mid |\xi_p^\alpha| < \rho, |w| < \rho\}$ and $U'_p = \{(\tilde{\xi}_p, w) \mid |\xi_p^\alpha| < (1-\epsilon)\rho, |w| < (1-\epsilon)\rho\}$, where $\rho = \exp\{-(A_7+B_7\psi(p)^n)\}$, ϵ is small positive and independent of p , in such a way that $\bar{U}_p \subset V_p$. Choose a locally finite system $\{U'_j\}_{j \in I_1}$ from among $\{U'_p\}_{p \in Y}$ so that $\cup U'_j \supset Y$. As a matter of fact we choose $\{p_j\}$ and denote U'_p by U'_j . (Similarly for U_j .) We add $\{U'_j\}_{j \in I_2}$ and $\{U_j\}_{j \in I_2}$ to obtain covers of X , as described in §2. Those U'_j and U_j ($j \in I_2$) which intersect $\cup_{j \in I_1} U_j$ shall be taken in similar forms as U'_p, U_p above with the origin p_j of (ξ_j) -coordinates as the centre of U'_j , and $w_j = F - F(p_j)$ instead of $w_p = F$. Coordinates for U_j which does not intersect any U_k ($k \in I_1$) can be taken as linear coordinates unitary equivalent to (ζ) . ϵ will be taken to be common all through.

These coordinate systems are not normalized as described in §2, but dependence of ρ_j on j is known and its effect on the choice of the function ν is clear.

We have only to estimate r_{jk} appeared in §2. Take a pair (j, k) of indices in I_1 such that $U_j \cap U_k \neq \emptyset$. ξ_k^α ($\alpha=1, \dots, n-1$) are linear functions of $(\xi_j^1, \dots, \xi_j^n)$:

$$(4.6) \quad \xi_k^\alpha = \sum_{\beta, \gamma} c_{\beta}^\alpha(p_k) \cdot \bar{c}_{\beta}^\gamma(p_j) \xi_j^\gamma + \sum c_{\beta}^\alpha(p_k) (\zeta^\beta(p_j) - \zeta^\beta(p_k)).$$

$c_{\beta}^\alpha(p_k)$ and $\bar{c}_{\beta}^\gamma(p_j)$ are entries of unitary matrices and hence coefficients of the linear terms in (4.6) are bounded when j and k vary. In U_j we take ξ_j^α ($\alpha=1, \dots, n-1$) and $w_j=F$ as coordinates. ξ_k^α ($1 \leq \alpha \leq n-1$) are holomorphic functions of these coordinates. We have

$$\frac{\partial \xi_k^\alpha}{\partial w_j} = \sum_{\beta} c_{\beta}^\alpha(p_k) \cdot \bar{c}_{\beta}^\alpha(p_j) \left(\frac{\partial F}{\partial \xi_j^\alpha} \right)^{-1}.$$

Hence

$$\left| \frac{\partial \xi_k^\alpha}{\partial w_j}(q) \right| < \exp\{A_8 + B_8 \psi(q)^\eta\} \quad \text{for } q \in U_j.$$

Consider a point $q \in U_j \cap U'_k$, for which

$$|w_j(q)| < \exp\{-(A_9 + B_9 \psi(q)^\eta)\},$$

where A_9 and B_9 are suitably chosen. We have $|\xi_k^\alpha(q)| < (1-\epsilon)\rho_k$ for $1 \leq \alpha \leq n-1$, hence for $q' \in \pi_j^{-1}(\pi_j(q))$ for which $|w_j(q')| \leq \exp\{-(A_9 + B_9 \psi(q)^\eta)\}$, we have

$$|\xi_k^\alpha(q')| < \rho_k \quad (\alpha = 1, \dots, n-1),$$

and we see that $q' \in U_j \cap U_k$.

This shows that r_j is not less than $\exp\{-(A_9 + B_9 \psi^\eta)\}$, and combined with above considerations, shows that we can take $\nu(t)$ to be $B_{10}t^\eta$.

Note added in Proof. The condition $\eta \geq 1$ in Theorem 2 can be replaced by $\eta > 0$, if we take $\psi(\zeta)$ to be $\psi(\zeta) = \frac{1}{2} \log\{1 + \sum |\zeta^\alpha|^2\}$ and $\nu(t) = B_{10} \exp(\eta t)$.

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