

A Poincaré-Birkhoff-Witt Theorem for Quantized Universal Enveloping Algebras of Type A_N

By

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Introduction

In this paper, we construct an explicit basis of the quantized universal enveloping algebra $U_q(sl_{N+1}(\mathbb{C}))$.

Let $A = (a_{ij})_{1 \leq i, j \leq N}$ be a symmetrizable generalized Cartan matrix, and $\mathcal{G}(A)$ the Kac-Moody Lie algebra of A . Motivated by studies of quantum Yang-Baxter equations, Jimbo [6] and Drinfeld [2, 3] introduced a Hopf algebra $U_q(\mathcal{G}(A))$ with a nonzero complex parameter q . This Hopf algebra, which is also called [3] a “quantum group”, can be considered as a natural q -analogue of the universal enveloping algebra $U(\mathcal{G}(A))$ of $\mathcal{G}(A)$. For example, it is known that the representation theory of $U_q(\mathcal{G}(A))$ is quite analogous to that of $U(\mathcal{G}(A))$. See Lusztig [9] and Rosso [11]. The purpose of this paper is to show that, if $\mathcal{G}(A)$ is of type A_N , and $q^8 \neq 1$, then $U_q(\mathcal{G}(A))$ has a Poincaré-Birkhoff-Witt type basis.

Let R be a commutative ring with 1. Denote by $sl_{N+1}(R)$, the Lie algebra of $(N+1) \times (N+1)$ matrices over R of trace 0. It has the standard R -basis consisting of the elements

$$e_{i,j} = E_{i,j}, f_{i,j} = E_{j,i} \quad (1 \leq i < j \leq N+1), \quad h_i = E_{i,i} - E_{i+1,i+1} \quad (1 \leq i \leq N)$$

($E_{i,j}$ is the matrix having 1 in (i, j) position and 0 elsewhere). By the Poincaré-Birkhoff-Witt theorem [1], the elements

$$f_{m_1, n_1} \cdots f_{m_s, n_s} h_1^{r_1} \cdots h_N^{r_N} e_{i_1, j_1} \cdots e_{i_t, j_t} \quad (*)$$

($r_1, \dots, r_N \geq 0$; $(m_1, n_1) \leq \cdots \leq (m_s, n_s)$ and $(i_1, j_1) \leq \cdots \leq (i_t, j_t)$ with respect to the lexicographic order \leq) form an R -basis of $U(sl_{N+1}(R))$. Let $U_q(sl_{N+1}(R))$ be the quantum group over R associated with the Cartan matrix of type A . (See the beginning of Section 6 for the definition of $U_q(sl_{N+1}(R))$.) Let R^\times be the unit group of R . In this paper, for $q \in R^\times$ such that $q^8 - 1 \in R^\times$, we construct an R -basis of $U_q(sl_{N+1}(R))$ which can be considered as a natural q -analogue of $(*)$ (Theorem 1.1 and 6.1). Here the condition $q^8 - 1 \in R^\times$ is essential. In fact,

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- (i) When R is a field and $q^8 = 1$, $U_q(sl_{N+1}(R))$ seems to have no basis q -analogous to (*). (Even in this case, we can give an explicit basis. See Proposition 6.2.)
- (ii) If q is an indeterminate and $R = \mathbb{C}[q^{\pm 1}, (q^4 - 1)^{-1}]$, then the R -module $U_q(sl_{N+1}(R))$ is not free. (See Proposition 6.3.)

To remedy this unpleasant situation, we are naturally led to introduce a new quantum group $\bar{U}_q(sl_{N+1}(R))$ (Section 6), which seems to be more natural than $U_q(sl_{N+1}(R))$ in the following sense (See Theorem 6.1):

- (o) $\bar{U}_q(sl_{N+1}(R)) = U_q(sl_{N+1}(R))$ if $q^8 - 1 \in R^\times$,
- (i) $\bar{U}_q(sl_{N+1}(R))$ has an R -basis q -analogous to (*).

We can define a filtration in $\bar{U}_q(sl_{N+1}(R))$ such that the associated graded algebra is a non-commutative analogue of a polynomial ring (Section 5 (and 6)). As a corollary of this fact, we show that if R is a Noetherian ring, then $\bar{U}_q(sl_{N+1}(R))$ is a left (right) Noetherian ring, and that, if R has no zero divisors $\neq 0$, then $\bar{U}_q(sl_{N+1}(R))$ has no zero divisors $\neq 0$ (Theorem 1.2 and 6.1).

An important step in proving our main results is to show that a quantum group $U_q(\mathcal{G}(A))$ has a “triangular decomposition”; this is done in Section 2 for a general A . We also need “(q -)commutator relations” in $U_q(sl_{N+1}(\mathbb{C}))$ (Section 3), which have been communicated to the author by Professor M. Jimbo. The author is very grateful to him.

In the last section, we also give an explicit Poincaré-Birkhoff-Witt basis of $U_q(so_5(\mathbb{C}))$.

§1. Statement of the Main Results

Let $A = (a_{ij})_{1 \leq i, j \leq N}$ be a symmetrizable generalized Cartan matrix (see [8]); there exists a diagonal matrix $D = \text{diag}(d_1, \dots, d_N)$ such that $d_i \in \mathbb{Z} \setminus \{0\}$ and $DA = {}^t(DA)$. Let F be a field, and let $q \in F^\times$ be such that $q^{4d_i} \neq 0$ ($1 \leq i \leq N$). Let $U_q(\mathcal{G}(A)) = U_q(\mathcal{G}(A), D)$ be the associative F -algebra with 1 with generators $e_i, f_i, k_i^{\pm 1}$ ($1 \leq i \leq N$), and relations:

$$k_i k_i^{-1} = k_i^{-1} k_i = 1, \quad k_i k_j = k_j k_i \tag{1.1}$$

$$k_i e_j k_i^{-1} = q^{d_i a_{ij}} e_j, \quad k_i f_j k_i^{-1} = q^{-d_i a_{ij}} f_j \tag{1.2}$$

$$e_i f_j - f_j e_i = \delta_{ij} \frac{k_i^2 - k_i^{-2}}{q^{2d_i} - q^{-2d_i}} \tag{1.3}$$

$$\sum_{v=0}^{1-a_{ij}} (-1)^v \begin{bmatrix} 1 - a_{ij} \\ v \end{bmatrix}_{q^{2d_i}} e_i^{1-a_{ij}-v} e_j e_i^v = 0 \quad (i \neq j) \tag{1.4}$$

$$\sum_{v=0}^{1-a_{ij}} (-1)^v \begin{bmatrix} 1 - a_{ij} \\ v \end{bmatrix}_{q^{2d_i}} f_i^{1-a_{ij}-v} f_j f_i^v = 0 \quad (i \neq j) \tag{1.5}$$

where, for any two integers $m \geq n \geq 0$ and an arbitrary parameter t ,

$\begin{bmatrix} m \\ n \end{bmatrix}_t \in \mathbf{Z}[t, t^{-1}]$ is defined by

$$\begin{bmatrix} m \\ n \end{bmatrix}_t = \begin{cases} \prod_{i=1}^n \frac{t^{m-i+1} - t^{-m+i-1}}{t^i - t^{-i}} & \text{if } m > n > 0, \\ 1 & \text{if } n = 0 \text{ or } m = n. \end{cases}$$

When A is the Cartan matrix of type A_N , we put $U_q(sl_{N+1}(F)) = U_q(\mathcal{G}(A, \text{diag}(1, 1, \dots, 1)))$ for $q^4 \neq 1$. For $1 \leq i < j \leq N + 1$, we define inductively the elements e_{ij}, f_{ij} of $U_q(sl_{N+1}(F))$ by

$$\begin{aligned} e_{i,i+1} &= e_i, f_{i,i+1} = f_i \\ e_{ij} &= qe_{i,j-1}e_{j-1,j} - q^{-1}e_{j-1,j}e_{i,j-1}, \quad (j - i > 1) \end{aligned} \tag{1.6}$$

and

$$f_{ij} = qf_{i,j-1}f_{j-1,j} - q^{-1}f_{j-1,j}f_{i,j-1}, \quad (j - i > 1).$$

(The elements e_{ij}, f_{ij} were introduced by Jimbo [7].)

Define the lexicographic order $<$ on $\mathbf{Z} \times \mathbf{Z}$ by

$$(i, j) < (m, n) \text{ if } i < m \text{ or if } i = m, j < n. \tag{1.7}$$

Now we can state our main theorem.

Theorem 1.1. *Let $q \in F^\times$ be such that $q^8 \neq 1$. Then the elements*

$$f_{m_1, n_1} \cdots f_{m_s, n_s} k_1^{\ell_1} \cdots k_N^{\ell_N} e_{i_1, j_1} \cdots e_{i_s, j_s}$$

$((m_1, n_1) \leq \cdots \leq (m_s, n_s), (i_1, j_1) \leq \cdots \leq (i_s, j_s), \ell_1, \dots, \ell_N \in \mathbf{Z})$ form a basis of $U_q(sl_{N+1}(F))$.

Theorem 1.2. *If $q^8 \neq 1$, then $U_q(sl_{N+1}(F))$ is a left (right) Noetherian ring, and has no zero divisors $\neq 0$.*

Remark. If $N \geq 3$ and q is a primitive 8-th root of unity, the set of elements given in Theorem 1.1 does not span $U_q(sl_{N+1}(F))$. Even in that case we can give an explicit basis of $U_q(sl_{N+1}(F))$. See Proposition 6.2.

§2. The Triangular Decomposition of $U_q(\mathcal{G}(A))$

Let $A = (a_{ij})_{1 \leq i, j \leq N}$ be a symmetrizable generalized Cartan matrix. Let $\tilde{U}_q(\mathcal{G}(A, \text{diag}(d_1, \dots, d_N)))$ be the associative F -algebra with 1 with generators $e_i, f_i, k_i^{\pm 1}$ ($1 \leq i \leq N$), and relations (1.1), (1.2), (1.3). Let \mathcal{X}_+ (resp. \mathcal{X}_-) be the free associative F -algebra with 1 with generators ζ_1, \dots, ζ_N (resp. ξ_1, \dots, ξ_N). Let $F[v_1^{\pm 1}, \dots, v_N^{\pm 1}]$ be the F -algebra of Laurent polynomials in indeterminates

v_1, \dots, v_N . Let $\mathfrak{M} = \mathcal{X}_- \otimes_F F[v_1^{\pm 1}, \dots, v_N^{\pm 1}] \otimes_F \mathcal{X}_+$. Then the elements $\xi_{i_1} \cdots \xi_{i_s} v_1^{\ell_1} \cdots v_N^{\ell_N} \zeta_{j_1} \cdots \zeta_{j_t}$ ($\ell_1, \dots, \ell_N \in \mathbb{Z}$, $1 \leq i_1, \dots, i_s, j_1, \dots, j_t \leq N$) form an F -basis of \mathfrak{M} .

Lemma 2.1. \mathfrak{M} has a left $\tilde{U}_q(A, \text{diag}(d_1, \dots, d_N))$ -module structure defined by

$$\begin{aligned} & k_r \cdot \xi_{i_1} \cdots \xi_{i_s} v_1^{\ell_1} \cdots v_N^{\ell_N} \zeta_{j_1} \cdots \zeta_{j_t} \\ &= q^{-d_r(a_{r,i_1} + \cdots + a_{r,i_s})} \xi_{i_1} \cdots \xi_{i_s} v_1^{\ell_1} \cdots v_r^{\ell_r+1} \cdots v_N^{\ell_N} \zeta_{j_1} \cdots \zeta_{j_t} \end{aligned} \tag{2.1}$$

$$\begin{aligned} & f_r \cdot \xi_{i_1} \cdots \xi_{i_s} v_1^{\ell_1} \cdots v_N^{\ell_N} \zeta_{j_1} \cdots \zeta_{j_t} \\ &= \xi_r \xi_{i_1} \cdots \xi_{i_s} v_1^{\ell_1} \cdots v_N^{\ell_N} \zeta_{j_1} \cdots \zeta_{j_t} \end{aligned} \tag{2.2}$$

$$\begin{aligned} & e_r \cdot \xi_{i_1} \cdots \xi_{i_s} v_1^{\ell_1} \cdots v_N^{\ell_N} \zeta_{j_1} \cdots \zeta_{j_t} \\ &= q^{-d_r(\ell_1 a_{r,1} + \cdots + \ell_N a_{r,N})} \xi_{i_1} \cdots \xi_{i_s} v_1^{\ell_1} \cdots v_N^{\ell_N} \zeta_r \zeta_{j_1} \cdots \zeta_{j_t} \\ &+ \frac{1}{q^{2d_r} - q^{-2d_r}} \sum_{i_u=r} \{ q^{-2d_r \alpha_u} \xi_{i_1} \cdots \xi_{i_u} \cdots \xi_{i_s} v_1^{\ell_1} \cdots v_r^{\ell_r+2} \cdots v_N^{\ell_N} \zeta_{j_1} \cdots \zeta_{j_t} \\ &- q^{2d_r \alpha_u} \xi_{i_1} \cdots \xi_{i_u} \cdots \xi_{i_s} v_1^{\ell_1} \cdots v_r^{\ell_r-2} \cdots v_N^{\ell_N} \zeta_{j_1} \cdots \zeta_{j_t} \} \end{aligned} \tag{2.3}$$

where $\alpha_u = a_{r,i_{u+1}} + a_{r,i_{u+2}} + \cdots + a_{r,i_s}$, and ξ_{i_u} means that ξ_{i_u} is omitted.

This can be verified by straightforward computations.

Lemma 2.2. The elements $f_{i_1} \cdots f_{i_s} k_1^{\ell_1} \cdots k_N^{\ell_N} e_{j_1} \cdots e_{j_t}$ ($\ell_1, \dots, \ell_N \in \mathbb{Z}$, $1 \leq i_1, \dots, i_s, j_1, \dots, j_t \leq N$) form a basis of $\tilde{U}_q(A, \text{diag}(d_1, \dots, d_N))$.

Proof. Let $1_{\mathfrak{M}} \in \mathfrak{M}$ (resp. $1_{\tilde{U}} \in \tilde{U}_q(\mathcal{G}(A))$) be the unit element of $F[v_1^{\pm 1}, \dots, v_N^{\pm 1}]$ (resp. $\tilde{U}_q(\mathcal{G}(A))$). By Lemma 2.1, we can define the left $\tilde{U}_q(\mathcal{G}(A))$ -module homomorphisms $\sigma: \mathfrak{M} \rightarrow U_q(\mathcal{G}(A))$ and $\tau: \tilde{U}_q(\mathcal{G}(A)) \rightarrow \mathfrak{M}$ by $\sigma(\xi_{i_1} \cdots \xi_{i_s} v_1^{\ell_1} \cdots v_N^{\ell_N} \zeta_{j_1} \cdots \zeta_{j_t}) = f_{i_1} \cdots f_{i_s} k_1^{\ell_1} \cdots k_N^{\ell_N} e_{j_1} \cdots e_{j_t}$ and $\tau(x) = x \cdot 1_{\mathfrak{M}}$. Then $\tau \circ \sigma$ is the identity map. Moreover, $\tilde{U}_q(\mathcal{G}(A)) = \tilde{U}_q(\mathcal{G}(A))$. $1_{\tilde{U}} = \tilde{U}_q(\mathcal{G}(A))$. $\sigma(1_{\mathfrak{M}}) = \sigma(\mathfrak{M})$. Hence σ is bijective. Hence the lemma follows.

We prepare some notations which will be used hereafter.

$\cdot U_q(\mathcal{N}_+)$ (resp. $\tilde{U}_q(\mathcal{N}_+)$) is the subalgebra of $U_q(\mathcal{G}(A))$ (resp. $\tilde{U}_q(\mathcal{G}(A))$) generated by the e_i 's along with 1.

$\cdot U_q(\mathcal{N}_-)$ (resp. $\tilde{U}_q(\mathcal{N}_-)$) is the subalgebra of $U_q(\mathcal{G}(A))$ (resp. $\tilde{U}_q(\mathcal{G}(A))$) generated by the f_i 's along with 1.

$\cdot H$ (resp. \tilde{H}) is the subalgebra of $U_q(\mathcal{G}(A))$ (resp. $\tilde{U}_q(\mathcal{G}(A))$) generated by the $k_i^{\pm 1}$'s.

$\cdot \phi_{ij}^+, \phi_{ij}^-$ ($1 \leq i \neq j \leq N$) are the elements of $\tilde{U}_q(\mathcal{G}(A), \text{diag}(d_1, \dots, d_N))$ defined by

$$\begin{aligned} \phi_{ij}^+ &= \sum_{\nu=0}^{1-a_{ij}} (-1)^\nu \begin{bmatrix} 1-a_{ij} \\ \nu \end{bmatrix}_{q^{2d_i}} e_i^{1-a_{ij}-\nu} e_j^\nu, \\ \phi_{ij}^- &= \sum_{\nu=0}^{1-a_{ij}} (-1)^\nu \begin{bmatrix} 1-a_{ij} \\ \nu \end{bmatrix}_{q^{2d_i}} f_i^{1-a_{ij}-\nu} f_j^\nu. \end{aligned}$$

$\cdot I_+$ (resp. I_-) is the two sided ideal of $\tilde{U}_q(\mathcal{N}_+)$ (resp. $\tilde{U}_q(\mathcal{N}_-)$) generated by the ϕ_{ij}^+ 's (resp. the ϕ_{ij}^- 's).

$\cdot K$ is the two sided ideal of $\tilde{U}_q(\mathcal{G}(A))$ generated by the ϕ_{ij}^+ 's and ϕ_{ij}^- 's.

Obviously, $U_q(\mathcal{G}(A), D) \simeq \tilde{U}_q(\mathcal{G}(A), D)/K$ as F -algebras. By Lemma 2.2, we have $\tilde{U}_q(\mathcal{G}(A)) \simeq \tilde{U}_q(\mathcal{N}_-) \otimes_F \tilde{H} \otimes_F \tilde{U}_q(\mathcal{N}_+)$ as vector spaces, and $\tilde{U}_q(\mathcal{N}_+) \simeq \mathcal{X}_+ \simeq \mathcal{X}_- \simeq \tilde{U}_q(\mathcal{N}_-)$ as F -algebras.

The following proposition gives a triangular decomposition of $U_q(\mathcal{G}(A))$.

Proposition 2.3. $U_q(\mathcal{G}(A), D) \simeq U_q(\mathcal{N}_-) \otimes_F H \otimes_F U_q(\mathcal{N}_+)$ as vector spaces. $U_q(\mathcal{N}_\pm) \simeq \tilde{U}_q(\mathcal{N}_\pm)/I_\pm$ as F -algebras. The elements $k_1^{\ell_1} \cdots k_N^{\ell_N} (\ell_1, \dots, \ell_N \in \mathbf{Z})$ form a basis of H .

Proof. By Lemma 2.2, it suffices to prove:

$$K = (\tilde{U}_q(\mathcal{N}_-))\tilde{H}I_+ + I_- \tilde{H}(\tilde{U}_q(\mathcal{N}_+)).$$

This can be done by showing that $(\tilde{U}_q(\mathcal{N}_-))\tilde{H}I_+$ and $I_- \tilde{H}(\tilde{U}_q(\mathcal{N}_+))$ are ideals of $\tilde{U}_q(\mathcal{G}(A), D)$. We only consider $I_- \tilde{H}(\tilde{U}_q(\mathcal{N}_+))$, the argument for $(\tilde{U}_q(\mathcal{N}_-))\tilde{H}I_+$ being analogous. Let $Y = I_- \tilde{H}(\tilde{U}_q(\mathcal{N}_+))$. It is clear that $k_i^{\pm 1} Y \subset Y$, $Y k_i^{\pm 1} \subset Y$, $f_i Y \subset Y$, $Y f_i \subset Y$, $Y e_i \subset Y$. The proof of $e_i Y \subset Y$ is similar to that of [9, Lemma 2.3] and as follows. Let $e_i^\pm: \tilde{U}_q(\mathcal{N}_-) \rightarrow \tilde{U}_q(\mathcal{N}_-)$ be the two F -linear maps defined by

$$e_i^\pm(f_{i_1} \cdots f_{i_s}) = \sum_{i_u=i} q^{\pm 2d_i \alpha_u} f_{i_1} \cdots \hat{f}_{i_u} \cdots f_{i_s},$$

where α_u is an in (2.3), so that

$$\begin{aligned} & e_i f_{i_1} \cdots f_{i_s} k_1^{\ell_1} \cdots k_N^{\ell_N} e_{j_1} \cdots e_{j_t} \\ &= q^{-d_i(\ell_1 a_{i,1} + \cdots + \ell_N a_{i,N})} f_{i_1} \cdots f_{i_s} k_1^{\ell_1} \cdots k_N^{\ell_N} e_{j_1} \cdots e_{j_t} \\ &+ \frac{1}{q^{2d_i} - q^{-2d_i}} \sum_{i_u=i} \{ e_i^-(f_{i_1} \cdots f_{i_s}) k_1^{\ell_1} \cdots k_i^{\ell_i+2} \cdots k_N^{\ell_N} e_{j_1} \cdots e_{j_t} \\ &- e^+(f_{i_1} \cdots f_{i_s}) k_1^{\ell_1} \cdots k_i^{\ell_i-2} \cdots k_N^{\ell_N} e_{j_1} \cdots e_{j_t} \}. \end{aligned}$$

But we have

$$\begin{aligned} & e_i^\pm(f_{i_1} \cdots f_{i_p} \phi_{\ell m}^- f_{i_s} \cdots f_{i_{s+\ell}}) \\ &= \beta e_i^\pm(f_{i_1} \cdots f_{i_p}) \phi_{\ell m}^- f_{i_s} \cdots f_{i_{s+\ell}} \end{aligned}$$

$$\begin{aligned}
 &+ \beta' f_{i_1} \cdots f_{i_p} e_i^\pm(\phi_{\ell m}^-) f_{i_s} \cdots f_{i_{s+\ell}} \\
 &+ f_{i_1} \cdots f_{i_p} \phi_{\ell m}^- e_i^\pm(f_{i_s} \cdots f_{i_{s+\ell}})
 \end{aligned}$$

$(\beta, \beta' \in F^\times, 1 \leq \ell \neq m \leq N)$. Hence it is enough to show that $e_i^\pm(\phi_{\ell m}^-) = 0$. If $i \neq \ell, m$, this is obvious. We consider the case $i = m$.

$$\begin{aligned}
 &e_i^\pm(\phi_{\ell i}^-) \\
 &= \left(\sum_{v=0}^{1-a_{\ell i}} (-1)^v \begin{bmatrix} 1-a_{\ell i} \\ v \end{bmatrix}_{q^{2d_\ell}} q^{\pm 2d_\ell \cdot v a_{\ell i}} \right) f_\ell^{1-a_{\ell i}} \\
 &= \left(\sum_{v=1}^{1-a_{\ell i}} (-1)^v \begin{bmatrix} 1-a_{\ell i} \\ v-1 \end{bmatrix}_{q^{2d_\ell}} q^{\pm 2d_\ell (v-1)(a_{\ell i}-1)} \right. \\
 &\quad \left. + \sum_{v=0}^{-a_{\ell i}} (-1)^v \begin{bmatrix} 1-a_{\ell i} \\ v \end{bmatrix}_{q^{2d_\ell}} q^{\pm 2d_\ell \cdot v(a_{\ell i}-1)} \right) f_\ell^{1-a_{\ell i}} \\
 &= 0.
 \end{aligned}$$

In the above computation, we used the formulas:

$$\begin{bmatrix} m \\ n \end{bmatrix}_t = t^{\pm(m-n)} \begin{bmatrix} m-1 \\ n-1 \end{bmatrix}_t + t^{\pm n} \begin{bmatrix} m-1 \\ n \end{bmatrix}_t \quad (m > n > 1).$$

The remaining case $i = \ell$ can be verified by a direct computation.

Remark. Proposition 2.3 is an extension of [11, Prop. 2].

Corollary 2.4. Let $A = (a_{ij})_{1 \leq i, j \leq N}$ be the symmetrizable Cartan matrix. For $1 \leq M \leq N$, let $A' = (a_{ij})_{1 \leq i, j \leq M}$ be the submatrix of A . Then the subalgebra of $U_q(\mathcal{G}(A), \text{diag}(d_1, \dots, d_N))$ generated by $\{e_i, f_i, k_i^{\pm 1} \mid 1 \leq i \leq M\}$ is isomorphic to $U_q(\mathcal{G}(A'), \text{diag}(d_1, \dots, d_M))$ (as Hopf algebras).

Proof. Let $U_q(\mathcal{N}'_-) \otimes_F H \otimes_F U_q(\mathcal{N}'_+)$ be the triangular decomposition of $U_q(\mathcal{G}(A'), \text{diag}(d_1, \dots, d_M))$. Define the two homomorphisms $i_\pm: U_q(\mathcal{N}'_\pm) \rightarrow U_q(\mathcal{N}_\pm)$ by $i_+(e_i) = e_i$ and $i_-(f_i) = f_i (1 \leq i \leq M)$. By Proposition 2.3, it suffices to show that i_\pm are injective. We consider i_+ . By Proposition 2.3, we can define the homomorphism $p_+: U_q(\mathcal{N}'_+) \rightarrow U_q(\mathcal{N}'_+)$ by $p_+(e_i) = e_i (1 \leq i \leq M)$ and $p_+(e_i) = 0 (M < i \leq N)$. It is clear that $p_+ \circ i_+$ is the identity map. Hence it is injective.

§3. Some $(q-)$ commutator Relations in $U_q(sl_{N+1}(F))$

From now on, until the end of §5, we are concerned with the quantum group $U_q(sl_{N+1}(F))$, $q^8 \neq 1$. For a positive integer N , put $A_N = \{(i, j) \in \mathbb{Z} \times \mathbb{Z} \mid 1 \leq i < j \leq N + 1\}$. For $(i, j), (m, n) \in A_N$ such that $(i, j) < (m, n)$ (see(1.7)), there are following six cases:

$$\begin{array}{lll}
 \text{(I)} & i = m < j < n, & \text{(II)} & i < m < n < j, & \text{(III)} & i < m < j = n, \\
 & \underline{i \quad j} & & \underline{i \quad j} & & \underline{i \quad j} \\
 & \underline{m \quad n} & & \underline{m \quad n} & & \underline{m \quad n} \\
 \\
 \text{(IV)} & i < m < j < n, & \text{(V)} & i < j = m < n, & \text{(VI)} & i < j < m < n. \\
 & \underline{i \quad j} & & \underline{i \quad j} & & \underline{i \quad j} \\
 & \underline{m \quad n} & & \underline{m \quad n} & & \underline{m \quad n}
 \end{array}$$

Set

$$\begin{aligned}
 C_{(I)} &= \{((i, j), (m, n)) \in A_N \times A_N \mid i = m < j < n\}, \\
 C_{(II)} &= \{((i, j), (m, n)) \in A_N \times A_N \mid i < m < n < j\}, \\
 C_{(III)} &= \{((i, j), (m, n)) \in A_N \times A_N \mid i < m < j = n\}, \\
 C_{(IV)} &= \{((i, j), (m, n)) \in A_N \times A_N \mid i < m < j < n\}, \\
 C_{(V)} &= \{((i, j), (m, n)) \in A_N \times A_N \mid i < j = m < n\}, \\
 C_{(VI)} &= \{((i, j), (m, n)) \in A_N \times A_N \mid i < j < m < n\}.
 \end{aligned}$$

When $q^8 \neq 1$, we get the following formulas. We denote by e_{ij} and f_{mn} the elements of $U_q(sl_{N+1}(F))$ defined in (1, 6), and by $[x, y]$ the usual commutator $xy - yx$.

$$\begin{aligned}
 (1) \quad & q^{-2}e_{ij}e_{mn} - e_{mn}e_{ij} = 0 && \text{if } ((i, j), (m, n)) \in C_{(I)} \cup C_{(III)}. \\
 & [e_{ij}, e_{mn}] = 0 && \text{if } ((i, j), (m, n)) \in C_{(II)} \cup C_{(VI)}. \\
 & [e_{ij}, e_{mn}] = (q^2 - q^{-2})e_{in}e_{mj} && \text{if } ((i, j), (m, n)) \in C_{(IV)}. \\
 & q^2e_{ij}e_{mn} - e_{mn}e_{ij} = qe_{in} && \text{if } ((i, j), (m, n)) \in C_{(V)}.
 \end{aligned}$$

(2) The f_{ij} 's also satisfy relations similar to (1).

$$\begin{aligned}
 (3) \quad & [e_{ij}, f_{mn}] = (-1)^{j-i+1} q f_{jn} k_i^2 k_{i+1}^2 \cdots k_{j-1}^2 && \text{if } ((i, j), (m, n)) \in C_{(I)}. \\
 & [e_{ij}, f_{mn}] = (-1)^{n-m+1} q k_m^2 k_{m+1}^2 \cdots k_{n-1}^2 e_{im} && \text{if } ((i, j), (m, n)) \in C_{(III)}. \\
 & [e_{ij}, f_{mn}] = (-1)^{j-m+1} (q^4 - 1) f_{jn} k_m^2 k_{m+1}^2 \cdots k_{j-1}^2 e_{im} && \text{if } ((i, j), (m, n)) \in C_{(IV)}. \\
 & [e_{ij}, f_{mn}] = 0 && \text{if } ((i, j), (m, n)) \in C_{(II)} \cup C_{(V)} \cup C_{(VI)}. \\
 & [e_{mn}, f_{ij}] = (-1)^{j-i} q^{-1} k_i^{-2} k_{i+1}^{-2} \cdots k_{j-1}^{-2} e_{jn} && \text{if } ((i, j), (m, n)) \in C_{(I)}. \\
 & [e_{mn}, f_{ij}] = (-1)^{n-m} q^{-1} f_{jm} k_m^{-2} k_{m+1}^{-2} \cdots k_{n-1}^{-2} && \text{if } ((i, j), (m, n)) \in C_{(III)}. \\
 & [e_{mn}, f_{ij}] = (-1)^{j-m} (1 - q^{-4}) f_{im} k_m^{-2} k_{m+1}^{-2} \cdots k_{j-1}^{-2} e_{jn} && \text{if } ((i, j), (m, n)) \in C_{(IV)}. \\
 & [e_{mn}, f_{ij}] = 0 && \text{if } ((i, j), (m, n)) \in C_{(II)} \cup C_{(V)} \cup C_{(VI)}. \\
 & [e_{ij}, f_{ij}] = \frac{(-1)^{j-i+1}}{q^2 - q^{-2}} (k_i^2 k_{i+1}^2 \cdots k_{j-1}^2 - k_i^{-2} k_{i+1}^{-2} \cdots k_{j-1}^{-2}).
 \end{aligned}$$

(4)

$$\begin{aligned} k_r e_{ij} k_r^{-1} &= q^{(a_{i,r} + a_{i+1,r} + \dots + a_{j-1,r})} e_{ij}, \\ k_r f_{ij} k_r^{-1} &= q^{-(a_{i,r} + a_{i+1,r} + \dots + a_{j-1,r})} f_{ij}. \end{aligned}$$

Among these, we just prove:

$$q^2 e_{ij} e_{mn} - e_{mn} e_{ij} = q e_{in} \quad \text{if } ((i, j), (m, n)) \in C_{(V)}, \quad (3.1)$$

$$[e_{ij}, e_{mn}] = 0 \quad \text{if } ((i, j), (m, n)) \in C_{(II)}; \quad (3.2)$$

to verify other formulas is left to the reader. To prove the formula (3.1), we use induction on $n - m$:

$$\begin{aligned} & q^2 e_{i,j} e_{m,n} - e_{m,n} e_{i,j} \\ &= q^2 e_{i,m} (q e_{m,n-1} e_{n-1,n} - q^{-1} e_{n-1,n} e_{m,n-1}) \\ &\quad - (q e_{m,n-1} e_{n-1,n} - q^{-1} e_{n-1,n} e_{m,n-1}) e_{i,m} \\ &= q^2 e_{i,n-1} e_{n-1,n} - e_{n-1,n} e_{i,n-1} \\ &= q e_{i,n}. \end{aligned}$$

We get the formula (3.2) by (3.1) and the following formula:

$$\begin{aligned} & [e_{i,i+3}, e_{i+1,i+2}] \\ &= (q^2 e_i e_{i+1} e_{i+2} - e_{i+1} e_i e_{i+2} - e_{i+2} e_i e_{i+1} + q^{-2} e_{i+2} e_{i+1} e_i) e_{i+1} \\ &\quad - e_{i+1} (q^2 e_i e_{i+1} e_{i+2} - e_{i+1} e_i e_{i+2} - e_{i+2} e_i e_{i+1} + q^{-2} e_{i+2} e_{i+1} e_i) \\ &= q^2 e_i ((q^2 + q^{-2})^{-1} (e_{i+1}^2 e_{i+2} + e_{i+2} e_{i+1}^2)) - e_{i+1} e_i e_{i+2} e_{i+1} \\ &\quad - e_{i+2} e_i e_{i+1}^2 + q^{-2} e_{i+2} ((q^2 + q^{-2})^{-1} (e_{i+1}^2 e_i + e_i e_{i+1}^2)) \\ &\quad - q^2 ((q^2 + q^{-2})^{-1} (e_{i+1}^2 e_i + e_i e_{i+1}^2)) e_{i+2} + e_{i+1}^2 e_i e_{i+2} \\ &\quad + e_{i+1} e_{i+2} e_i e_{i+1} - q^{-2} ((q^2 + q^{-2})^{-1} (e_{i+1}^2 e_{i+2} + e_{i+2} e_{i+1}^2)) e_i \\ &= 0. \end{aligned} \quad (3.3)$$

We note that the condition $q^8 \neq 1$ is used in proving (3.2).

§4. Proof of Theorem 1.1

Let A_N be as in §3. Let \mathcal{N} be an F -vector space spanned by a basis $\{\mathcal{X}_{ij} \mid (i, j) \in A_N\}$. Let $\mathcal{X}(\mathcal{N})$ be the free associative F -algebra with 1 with generators x_{ij} ($(i, j) \in A_N$). For $(i, j), (m, n) \in A_N$ such that $(i, j) < (m, n)$, we define $\varepsilon_{ijmn} \in F^\times$ and $y_{ijmn} \in \mathcal{X}(\mathcal{N})$ by

$$\varepsilon_{ijmn} = \begin{cases} 1 & \text{if } ((i, j), (m, n)) \in C_{(II)} \cup C_{(IV)} \cup C_{(VI)} \\ q^{-2} & \text{if } ((i, j), (m, n)) \in C_{(I)} \cup C_{(III)} \\ q^2 & \text{if } ((i, j), (m, n)) \in C_{(V)}, \end{cases} \quad (4.1)$$

$$y_{ijmn} = \begin{cases} qx_{in} & \text{if } ((i, j), (m, n)) \in C_{(V)} \\ (q^2 - q^{-2})x_{in}x_{mj} & \text{if } ((i, j), (m, n)) \in C_{(IV)} \\ 0 & \text{if } ((i, j), (m, n)) \in C_{(I)} \cup C_{(II)} \cup C_{(III)} \cup C_{(VI)}, \end{cases} \quad (4.2)$$

where $C_{(I)}, \dots, C_{(VI)}$ are as in §3.

Let \mathbf{I} (resp. \mathbf{J}) be the two sided ideal of $\mathcal{X}(\mathcal{N})$ generated by the elements $\varepsilon_{ijmn}x_{ij}x_{mn} - x_{mn}x_{ij} - y_{ijmn}$ (resp. $\varepsilon_{ijmn}x_{ij}x_{mn} - x_{mn}x_{ij}$) for $(i, j) < (m, n)$. Put $U_q(\mathcal{N}) = \mathcal{X}(\mathcal{N})/\mathbf{I}$ and $\mathfrak{S}_q(\mathcal{N}) = \mathcal{X}(\mathcal{N})/\mathbf{J}$. Let $\tilde{x}_{ij} = x_{ij} + \mathbf{I} \in U_q(\mathcal{N})$, $\tilde{y}_{ijmn} = y_{ijmn} + \mathbf{I} \in U_q(\mathcal{N})$ and $z_{ij} = x_{ij} + \mathbf{J} \in \mathfrak{S}_q(\mathcal{N})$.

Lemma 4.1. *If $q^8 \neq 1$, there exist isomorphisms $\varphi_{\pm}: U_q(\mathcal{N}) \rightarrow U_q(\mathcal{N}_{\pm})$ such that $\varphi_+(\tilde{x}_{ij}) = e_{ij}$, $\varphi_-(\tilde{x}_{ij}) = f_{ij}$ ($(i, j) \in A_N$).*

Proof. We only consider φ_+ . By the formulas in §3, φ_+ is well-defined. By the definition of $U_q(\mathcal{N})$, we have

$$\tilde{x}_{i,i+1}\tilde{x}_{j,j+1} - \tilde{x}_{j,j+1}\tilde{x}_{i,i+1} = 0 \quad \text{for } |i - j| \geq 2,$$

and

$$\begin{aligned} & (\tilde{x}_{i,i+1})^2\tilde{x}_{i+1,i+2} - (q^2 + q^{-2})\tilde{x}_{i,i+1}\tilde{x}_{i+1,i+2}\tilde{x}_{i,i+1} + \tilde{x}_{i+1,i+2}(\tilde{x}_{i,i+1})^2 \\ & = q^{-1}\tilde{x}_{i,i+1}\tilde{x}_{i,i+2} - q\tilde{x}_{i,i+2}\tilde{x}_{i,i+1} = 0. \end{aligned}$$

Similarly,

$$\begin{aligned} & (\tilde{x}_{i+1,i+2})^2\tilde{x}_{i,i+1} - (q^2 + q^{-2})\tilde{x}_{i+1,i+2}\tilde{x}_{i,i+1}\tilde{x}_{i+1,i+2} \\ & \quad + \tilde{x}_{i,i+1}(\tilde{x}_{i+1,i+2})^2 \\ & = 0. \end{aligned}$$

Hence we can define the homomorphism $\psi_+: U_q(\mathcal{N}_+) \rightarrow U_q(\mathcal{N})$ by $\psi_+(e_i) = \tilde{x}_{i,i+1}$. It is obvious that $\varphi_+ \circ \psi_+$ and $\psi_+ \circ \varphi_+$ are the identity maps.

Let P_N be the set of finite sequences of elements of A_N . We consider the "empty sequence" (\emptyset) is also an element of P_N . For $\Sigma = ((i_1, j_1), \dots, (i_t, j_t)) \in P_N$, we put $x_{\Sigma} = x_{i_1, j_1} \cdots x_{i_t, j_t}$; we understand that $x_{(\emptyset)} = 1$. Put $\tilde{x}_{\Sigma} = x_{\Sigma} + \mathbf{I} \in U_q(\mathcal{N})$, $z_{\Sigma} = x_{\Sigma} + \mathbf{J} \in \mathfrak{S}_q(\mathcal{N})$. Define the function $\eta: P_N \rightarrow \mathbf{Z}$ by $\eta(\Sigma) = i_1(j_1 - i_1) + \cdots + i_t(j_t - i_t)$ and $\eta((\emptyset)) = 0$. For a nonnegative integer m , let U_m (resp. S_m) be the subspace of $U_q(\mathcal{N})$ (resp. $\mathfrak{S}_q(\mathcal{N})$) spanned by the elements \tilde{x}_{Σ} (resp. z_{Σ}) such that $\eta(\Sigma) \leq m$, along with 1. Call Σ increasing if $(i_1, j_1) \leq \cdots \leq (i_t, j_t)$. (\emptyset) is also considered to be increasing.

Lemma 4.2. $U_q(\mathcal{N})$ is spanned by $\{\tilde{x}_\Sigma | \Sigma \text{ increasing}\}$ as a vector space.

Proof. Assume that any element of U_{m-1} is an F -linear combination of the \tilde{x}_Σ 's such that $\eta(\Sigma) \leq m-1$ and that Σ is increasing. It suffices to show that, for $\Sigma = ((i_1, j_1), \dots, (i_r, j_r))$ satisfying $\eta(\Sigma) = m$, we have

$$\begin{aligned} & x_{i_1, j_1} \cdots x_{i_u, j_u} x_{i_{u+1}, j_{u+1}} \cdots x_{i_r, j_r} \\ & \equiv \varepsilon_{i_{u+1}, j_{u+1}, i_u, j_u} x_{i_1, j_1} \cdots x_{i_{u+1}, j_{u+1}} x_{i_u, j_u} \cdots x_{i_r, j_r} \\ & \pmod{U_{m-1}}. \end{aligned}$$

for an integer u such that $(i_u, j_u) > (i_{u+1}, j_{u+1})$. Indeed, if $((i_{u+1}, j_{u+1}), (i_u, j_u)) \in C_{(I)} \cup C_{(II)} \cup C_{(III)} \cup C_{(VI)}$, this follows from (4.2). If it belongs to $C_{(V)}$ (resp. $C_{(IV)}$), then it is obtained from (4.2) and the formula:

$$\begin{aligned} & \eta\left(\left((i_{u+1}, j_{u+1}), (i_u, j_u)\right)\right) - \eta\left(\left((i_{u+1}, j_u)\right)\right) \\ & = (i_u - i_{u+1})(j_u - j_{u+1}) > 0 \end{aligned} \tag{4.3}$$

$$\begin{aligned} & \text{(resp. } \eta\left(\left((i_{u+1}, j_{u+1}), (i_u, j_u)\right)\right) - \eta\left(\left((i_{u+1}, j_u), (i_u, j_{u+1})\right)\right) \\ & = (i_u - i_{u+1})(j_u - j_{u+1}) > 0). \end{aligned} \tag{4.4}$$

Now we show that $\{\tilde{x}_\Sigma | \Sigma \text{ increasing}\}$ is, in fact, a basis of $U_q(\mathcal{N})$.

Lemma 4.3. *The set $\{z_\Sigma | \Sigma \text{ increasing}\}$ is a basis of $\mathfrak{S}_q(\mathcal{N})$.*

The proof is similar to that of Lemma 2.2; instead of Lemma 2.1, we need the following Lemma 4.4. We omit the details.

Lemma 4.4. *For $1 \leq i < j \leq n$, let $c_{ij} \in F$. We denote by \mathcal{T}_n the associative F -algebra with generators t_1, \dots, t_n and relations $c_{ij}t_it_j - t_jt_i$. Let $F[v_1, \dots, v_n]$ be the F -algebra of polynomials in indeterminates v_1, \dots, v_n . Then $F[v_1, \dots, v_n]$ has a left \mathcal{T}_n -module structure defined by*

$$t_i \cdot v_1^{r_1} \cdots v_n^{r_n} = c_{1,i}^{r_1} c_{2,i}^{r_2} \cdots c_{i-1,i}^{r_{i-1}} v_1^{r_1} \cdots v_i^{r_i+1} \cdots v_n^{r_n}.$$

For $\lambda, \mu \in A_N$ and $\Sigma \in P_N$, write $\lambda \leq \Sigma$ if $\lambda \leq \mu$ for all $\mu \in \Sigma$.

Lemma 4.5. *There exists an F -bilinear map $f: \mathcal{N} \times \mathfrak{S}_q(\mathcal{N}) \rightarrow \mathfrak{S}_q(\mathcal{N})$ satisfying:*

- (A) $f(x_\lambda, z_\Sigma) = z_\lambda z_\Sigma$ for $\lambda \leq \Sigma$.
- (B) $f(x_\lambda, z_\Sigma) \equiv z_\lambda z_\Sigma \pmod{\mathfrak{S}_{\eta(\Sigma) + \eta(\lambda) - 1}}$.
- (C) For all $(i, j) < (m, n)$,

$$\varepsilon_{ijmn} f(x_{ij}, f(x_{mn}, z_T)) - f(x_{mn}, f(x_{ij}, z_T))$$

$$= \begin{cases} qf(x_{in}, z_T) & \text{if } ((i, j), (m, n)) \in C_{(V)} \\ (q^2 - q^{-2})f(x_{in}, f(x_{mj}, z_T)) & \text{if } ((i, j), (m, n)) \in C_{(IV)} \\ 0 & \text{if } ((i, j), (m, n)) \in C_{(I)} \cup C_{(II)} \cup C_{(III)} \cup C_{(VI)}. \end{cases}$$

In order to prove this, we need:

Lemma 4.6. *Let r be a positive integer. Assume an F -bilinear map $f': \mathcal{N} \times \mathfrak{S}_q(\mathcal{N}) \rightarrow \mathfrak{S}_q(\mathcal{N})$ satisfies the following:*

(B') $f'(x_\lambda, z_\Sigma) \equiv z_\lambda z_\Sigma \pmod{S_{\eta(\Sigma) + \eta(\lambda) - 1}}$.

(C') For all $(i, j), (m, n) \in A_N, T \in P_N$ such that $(i, j) < (m, n)$ and $\eta(i, j) + \eta(m, n) + \eta(T) < r$,

$$\begin{aligned} & \varepsilon_{ijmn} f'(x_{ij}, f'(x_{mn}, z_T)) - f'(x_{mn}, f'(x_{ij}, z_T)) \\ &= \begin{cases} qf'(x_{in}, z_T) & \text{if } ((i, j), (m, n)) \in C_{(V)} \\ (q^2 - q^{-2})f'(x_{in}, f'(x_{mj}, z_T)) & \text{if } ((i, j), (m, n)) \in C_{(IV)} \\ 0 & \text{if } ((i, j), (m, n)) \in C_{(I)} \cup C_{(II)} \cup C_{(III)} \cup C_{(VI)}. \end{cases} \end{aligned}$$

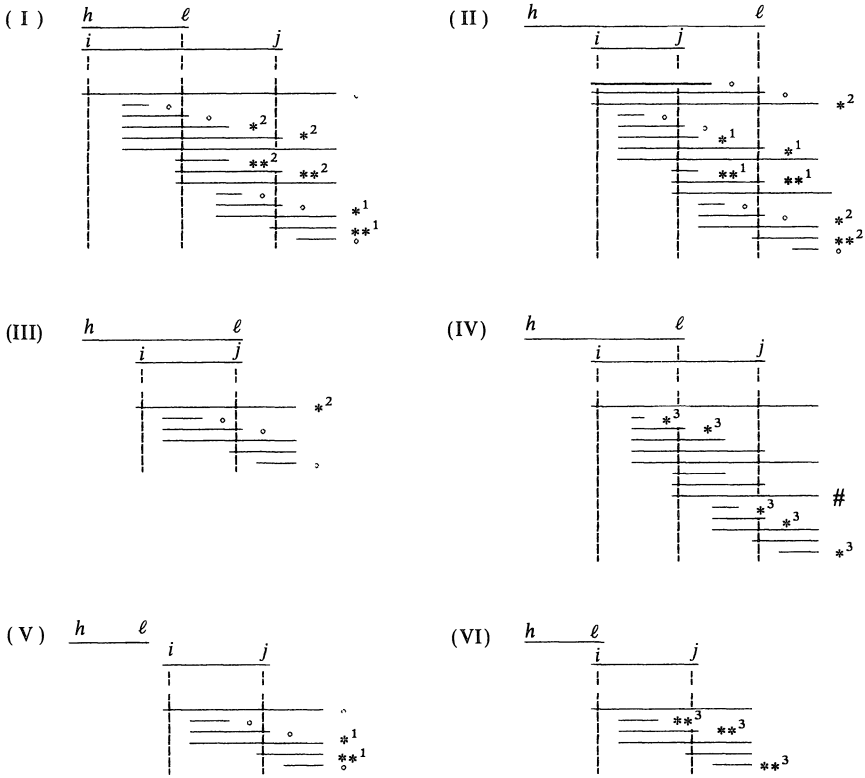
Then, for $(h, \ell) < (i, j) < (m, n)$ and $\Psi \in P_N$ such that $\eta(h, \ell) + \eta(i, j) + \eta(m, n) + \eta(\Psi) \leq r$, it follows:

$$\begin{aligned} & \varepsilon_{h\ell ij} \varepsilon_{h\ell mn} x_{h\ell} y_{ijmn} z_\Psi - y_{ijmn} x_{h\ell} z_\Psi \\ & \quad - \varepsilon_{ijmn} x_{ij} y_{h\ell mn} z_\Psi + \varepsilon_{h\ell ij} y_{h\ell mn} x_{ij} z_\Psi \\ & \quad + x_{mn} y_{h\ell ij} z_\Psi - \varepsilon_{ijmn} \varepsilon_{h\ell mn} y_{h\ell ij} x_{mn} z_\Psi \tag{4.5} \\ & = 0. \end{aligned}$$

(Here we abbreviate $f'(x_{i_1, j_1}, f'(x_{i_2, j_2}, \dots, f'(x_{i_t, j_t}, z_\Psi)))$ to $x_{i_1, j_1} x_{i_2, j_2} \dots x_{i_t, j_t} z_\Psi$.)

Sketch of the proof. In § 3, we have seen that there are 6 cases for $((i, j), (m, n)) \in A_N \times A_N$ such that $(i, j) < (m, n)$. Similarly we can see that there are 62 cases for $((h, \ell), (i, j), (m, n)) \in A_N \times A_N \times A_N$ such that $(h, \ell) < (i, j) < (m, n)$ (See Figure 1).

Figure 1 (we omit the letters m and n).



In the 20 cases labelled \circ , since $y_{ijmn} = y_{h\ell mn} = y_{h\ell ij} = 0$, the formula (4.5) is obvious. In the 24 cases labelled $*^r$ or $**^r$ ($r = 1, 2, 3$), we have

$$y_{ijmn} = \delta_{1r}, \quad y_{h\ell mn} = \delta_{2r}, \quad y_{h\ell ij} = \delta_{3r}.$$

In the cases of $*^r$,

the left-hand side of (4.5)

$$\begin{aligned} &= \varepsilon_{h\ell ij} \varepsilon_{h\ell mn} x_{h\ell} \delta_{1r} (q^2 - q^{-2}) x_{in} x_{mj} z \psi - \delta_{1r} (q^2 - q^{-2}) x_{in} x_{mj} x_{h\ell} z \psi \\ &\quad - \varepsilon_{ijmn} x_{ij} \delta_{2r} (q^2 - q^{-2}) x_{hn} x_{m\ell} z \psi + \varepsilon_{h\ell ij} \delta_{2r} (q^2 - q^{-2}) x_{hn} x_{m\ell} x_{ij} z \psi \\ &\quad + x_{mn} \delta_{3r} (q^2 - q^{-2}) x_{hj} x_{i\ell} z \psi - \varepsilon_{ijmn} \varepsilon_{h\ell mn} \delta_{3r} (q^2 - q^{-2}) x_{hj} x_{i\ell} x_{mn} z \psi \\ &= (q^2 - q^{-2}) \left(\delta_{1r} (\varepsilon_{h\ell ij} \varepsilon_{h\ell mn} - \varepsilon_{h\ell mj} \varepsilon_{h\ell in}) x_{h\ell} x_{in} x_{mj} z \psi \right. \\ &\quad \left. - \delta_{2r} (\varepsilon_{ijmn} \varepsilon_{hni j} - \varepsilon_{h\ell ij} \varepsilon_{ijm\ell}) x_{hn} x_{ij} x_{m\ell} z \psi \right. \\ &\quad \left. + \delta_{3r} (\varepsilon_{hjmn} \varepsilon_{i\ell mn} - \varepsilon_{ijmn} \varepsilon_{h\ell mn}) x_{hj} x_{mn} x_{i\ell} z \psi \right) \\ &= 0. \end{aligned}$$

The cases of $**^r$, can be treated similarly.

In each of the remaining 18 cases, we can get (4.5) after easy computation. For example, in the case of $h < i < \ell = m < j < n$ (labelled #),

the left-hand side of (4.5)

$$\begin{aligned} &= q^2(q^2 - q^{-2})x_{h\ell}x_{in}x_{mj}z_\Psi - (q^2 - q^{-2})x_{in}x_{mj}x_{h\ell}z_\Psi \\ &\quad - qx_{ij}x_{hn}z_\Psi + qx_{hn}x_{ij}z_\Psi \\ &\quad + (q^2 - q^{-2})x_{mn}x_{hj}x_{i\ell}z_\Psi - q^2(q^2 - q^{-2})x_{hj}x_{i\ell}x_{mn}z_\Psi \\ &= q^2(q^2 - q^{-2})x_{h\ell}x_{in}x_{mj}z_\Psi - q^2(q^2 - q^{-2})x_{in}x_{h\ell}x_{mj}z_\Psi + \\ &\quad q(q^2 - q^{-2})x_{in}x_{hj}z_\Psi \\ &\quad + (q^2 - q^{-2})x_{hj}x_{mn}x_{i\ell}z_\Psi - (q^2 - q^{-2})^2x_{hn}x_{mj}x_{i\ell}z_\Psi - \\ &\quad q^2(q^2 - q^{-2})x_{hj}x_{i\ell}x_{mn}z_\Psi \\ &= q^2(q^2 - q^{-2})^2x_{hn}x_{i\ell}x_{mj}z_\Psi + q(q^2 - q^{-2})x_{in}x_{hj}z_\Psi \\ &\quad - q(q^2 - q^{-2})x_{hj}x_{in}z_\Psi - (q^2 - q^{-2})^2x_{hn}x_{mj}x_{i\ell}z_\Psi \\ &= q(q^2 - q^{-2})^2x_{hn}x_{ij}z_\Psi - q(q^2 - q^{-2})^2x_{hn}x_{ij}z_\Psi = 0. \end{aligned}$$

Proof of Lemma 4.5. To define $f(x_\lambda, z_\Sigma)$ satisfying (A) and (B), we proceed by induction on $\eta(\lambda) + \eta(\Sigma)$. If $\eta(\lambda) + \eta(\Sigma) = 1$, only the case $\lambda = (1, 2)$ and $\Sigma = (\phi)$ occurs; therefore we can put $f(x_{12}, 1) = z_{12}$. Assume that we have already defined the elements $f(x_{\lambda'}, z_{\Sigma'}) \in \mathfrak{S}_q(\mathcal{N})$ for λ', Σ' with $\eta(\lambda') + \eta(\Sigma') < \eta(\lambda) + \eta(\Sigma)$ so that they satisfy (A) and (B). We define $f(x_\lambda, z_\Sigma)$ when Σ is increasing. For the case $\lambda \leq \Sigma$, we define $f(x_\lambda, z_\Sigma) = z_\lambda z_\Sigma$. If $\lambda \leq \Sigma$ fails, then $\Sigma = (\mu, T)$, $\mu \leq T$ and $\mu < \lambda$. Put $(i, j) = \mu$, $(m, n) = \lambda$. By (A) and (4.3 and 4), we can put:

$$f(x_{mn}, z_\Sigma) = \varepsilon_{ijmn}z_{ij}z_{mn}z_T + \varepsilon_{ijmn}f(x_{ij}, f(x_{mn}, z_T) - z_{mn}z_T) - X_{((i,j),(m,n))}$$

where

$$X_{((i,j),(m,n))} = \begin{cases} qf(x_{in}, z_T) & \text{if } ((i, j), (m, n)) \in C_{(V)} \\ (q^2 - q^{-2})f(x_{in}, f(x_{mj}, z_T)) & \text{if } ((i, j), (m, n)) \in C_{(IV)} \\ 0 & \text{if } ((i, j), \\ & (m, n)) \in C_{(I)} \cup C_{(II)} \cup C_{(III)} \cup C_{(VI)}. \end{cases}$$

Since $\varepsilon_{ijmn}z_{ij}z_{mn}z_T = z_{mn}z_\Sigma$, $f(x_{mn}, z_\Sigma)$ satisfies (B). By the definition of f , f satisfies (C) in the case $(i, j) \leq T$. We shall consider the case when $(i, j) \leq T$ fails. Write $T = ((h, \ell), \Psi)$ where $(h, \ell) \leq \Psi$, $(h, \ell) < (i, j)$. By induction on $\eta(i, j) + \eta(m, n) + \eta(T)$, we show that f satisfies (C). Assume that, for each $\eta(i, j) + \eta(m, n) + \eta(T) \leq r$, (C) holds. Then, for $\eta(i, j) + \eta(m, n) + \eta(T) \leq r + 1$, we have:

$$\begin{aligned} & x_{ij}x_{mn}x_{h\ell}z\psi \\ &= x_{ij}(\varepsilon_{h\ell mn}x_{h\ell}x_{mn}z\psi - y_{h\ell mn}z\psi) \\ &= \varepsilon_{h\ell mn}(\varepsilon_{h\ell ij}x_{h\ell}x_{ij}x_{mn}z\psi - y_{h\ell ij}x_{mn}z\psi) - x_{ij}y_{h\ell mn}z\psi \\ &= \varepsilon_{h\ell mn}\varepsilon_{h\ell ij}x_{h\ell}x_{ij}x_{mn}z\psi - \varepsilon_{h\ell mn}y_{h\ell ij}x_{mn}z\psi - x_{ij}y_{h\ell mn}z\psi. \end{aligned}$$

(Here we abbreviate $f(x_{i_1, j_1}, f(x_{i_2, j_2}, \dots, f(x_{i_t, j_t}, z\psi)\dots))$ to $x_{i_1, j_1}x_{i_2, j_2} \cdots x_{i_t, j_t}z\psi$.)
 Similary,

$$\begin{aligned} & x_{mn}x_{ij}x_{h\ell}z\psi \\ &= \varepsilon_{h\ell ij}\varepsilon_{h\ell mn}\varepsilon_{ijmn}x_{h\ell}x_{ij}x_{mn}z\psi - \varepsilon_{h\ell ij}\varepsilon_{h\ell mn}x_{h\ell}y_{ijmn}z\psi \\ &\quad - \varepsilon_{h\ell ij}y_{h\ell mn}x_{ij}z\psi - x_{mn}y_{h\ell ij}z\psi. \end{aligned}$$

Therefore, by Lemma 4.6, we get:

$$\varepsilon_{ijmn}x_{ij}x_{mn}z_T - x_{mn}x_{ij}z_T - y_{ijmn}z_T = 0.$$

Hence f satisfies (C). This completes the proof.

We can restate Lemma 4.5 as:

Lemma 4.7. $\mathfrak{S}_q(\mathcal{N})$ has a left $U_q(\mathcal{N})$ -module structure satisfying:

- (A) $\tilde{x}_\lambda z_\Sigma = z_\lambda z_\Sigma$ for $\lambda \leq \Sigma$.
- (B) $\tilde{x}_\lambda z_\Sigma = z_\lambda z_\Sigma \pmod{S_{\eta(\Sigma) + \eta(\lambda) - 1}}$.

As in the proof of Lemma 2.2, Lemma 4.2 and Lemma 4.7 imply the following:

Lemma 4.8. Let $q \in F^\times$ be such that $q^8 \neq 1$. Then the set $\{z_\Sigma | \Sigma \text{ increasing}\}$ is a basis of $U_q(\mathcal{N})$.

Combining Proposition 2.3, and Lemma 4.1 with Lemma 4.8, we obtain Theorem 1.1.

§5. Proof of Theorem 1.2

Let $\Sigma = ((i_1, j_1), \dots, (i_t, j_t)) \in P_N$. Define the function $\delta: P_N \rightarrow \mathbb{Z}$ by $\delta(\Sigma) = (j_1 - i_1) + \dots + (j_t - i_t)$ and $\delta((\phi)) = 0$. Denote the element $e_{i_1, j_1} \cdots e_{i_t, j_t}$ (resp. $f_{i_1, j_1} \cdots f_{i_t, j_t}$) of $U_q(sl_{N+1}(F))$ by e_Σ (resp. f_Σ). We understand $e_{(\phi)} = f_{(\phi)} = 1$. Put

$$\Omega_N = \{(m, n) \in \mathbb{Z} \times \mathbb{Z} | m, n \geq 0, n \leq \text{Max}\{\eta(\Sigma) | \Sigma \in P_N, \delta(\Sigma) = m\}\}.$$

For $(m, n) \in \Omega_N$, let $\mathfrak{A}_{(m, n)}$ be the subspace of $U_q(sl_{N+1}(F))$ spanned by the elements $f_{\Sigma'} k_1^{\ell_1} \cdots k_N^{\ell_N} e_{\Sigma}$, where Σ' and Σ are increasing, $(\delta(\Sigma') + \delta(\Sigma), \eta(\Sigma') + \eta(\Sigma)) \leq (m, n)$, and $\ell_1, \dots, \ell_N \in \mathbb{Z}$. Clearly, $\mathfrak{A}_{(m', n')} \mathfrak{A}_{(m, n)} \subset \mathfrak{A}_{(m' + m, n' + n)}$, $\mathfrak{A}_{(m, n)}$

$\subset \mathfrak{A}_{(i,j)}$ if $(m, n) < (i, j)$. For $(m, n) \in \Omega_N$, let $(m, n)^\wedge \in \Omega_N$, be the element satisfying that

- (1) $(m, n)^\wedge < (m, n)$.
- (2) There is no element $(i, j) \in \Omega_N$ such that $(m, n)^\wedge < (i, j) < (m, n)$.

Put $\bar{\mathfrak{A}}_{(m,n)} = \mathfrak{A}_{(m,n)}/\mathfrak{A}_{(m,n)^\wedge}$, and $\bar{\mathfrak{A}}_{(0,0)} = \mathfrak{A}_{(0,0)}$. Then $\bar{\mathfrak{A}}_N = \bigoplus_{(m,n) \in \Omega_N} \bar{\mathfrak{A}}_{(m,n)^\wedge}$ has a graded algebra structure with 1 whose multiplication is defined component-wise by

$$(x + \mathfrak{A}_{(m,n)^\wedge})(y + \mathfrak{A}_{(m',n')^\wedge}) = xy + \mathfrak{A}_{(m+m',n+n')^\wedge},$$

where $x \in \mathfrak{A}_{(m,n)}$, $y \in \mathfrak{A}_{(m',n')}$. Let $\mathfrak{S}_q(\mathcal{G})$ be the associative F -algebra with 1 with generators $\tilde{e}_{ij}, \tilde{f}_{ij}$ ($(i, j) \in A_N$), $\tilde{k}_i^{\pm 1}$, ($1 \leq i \leq N$) and relations:

$$\begin{aligned} \tilde{k}_i \tilde{k}_i^{-1} &= \tilde{k}_i^{-1} \tilde{k}_i = 1, \quad \tilde{k}_i \tilde{k}_j = \tilde{k}_j \tilde{k}_i \\ \tilde{k}_r \tilde{e}_{ij} \tilde{k}_r^{-1} &= q^{(a_i, r + a_{i+1, r} + \dots + a_{j-1, r})} \tilde{e}_{ij} \\ \tilde{k}_r \tilde{f}_{ij} \tilde{k}_r^{-1} &= q^{-(a_i, r + a_{i+1, r} + \dots + a_{j-1, r})} \tilde{f}_{ij} \\ \tilde{e}_{ij} \tilde{f}_{mn} &= \tilde{f}_{mn} \tilde{e}_{ij} \\ \varepsilon_{ijmn} \tilde{e}_{ij} \tilde{e}_{mn} &= \tilde{e}_{mn} \tilde{e}_{ij}, \quad \varepsilon_{ijmn} \tilde{f}_{ij} \tilde{f}_{mn} = \tilde{f}_{mn} \tilde{f}_{ij} \quad ((i, j) < (m, n)). \end{aligned}$$

As in the proof of Lemma 2.2, we can show that the elements $\tilde{f}_{m_1, n_1} \dots \tilde{f}_{m_s, n_s} \tilde{k}_1^{\ell_1} \dots \tilde{k}_N^{\ell_N} \tilde{e}_{i_1, j_1} \dots \tilde{e}_{i_t, j_t}$ ($(m_1, n_1) \leq \dots \leq (m_s, n_s)$, $(i_1, j_1) \leq \dots \leq (i_t, j_t)$, $\ell_1, \dots, \ell_N \in \mathbb{Z}$) form an F -basis of $\mathfrak{S}_q(\mathcal{G})$. As an immediate consequence of Theorem 1.1 and the formulas in §3, we get:

Lemma 5.1. $\bar{\mathfrak{A}}_N$ is isomorphic to $\mathfrak{S}_q(\mathcal{G})$ as F -algebras.

Remark. The above argument shows that, using our filtration, we can compute the structure constants of $U_q(sl_{N+1}(F))$ with respect to the basis given in Theorem 1.1.

- Lemma 5.2.** (a) $\mathfrak{S}_q(\mathcal{G})$ has no zero divisors $\neq 0$.
 (b) $\mathfrak{S}_q(\mathcal{G})$ is a left (right) Noetherian ring.

Since $\mathfrak{S}_q(\mathcal{G})$ is a non-commutative analogue of polynomial rings, (a) and (b) can be proved in a way similar to the case of usual polynomial ring (e.g. see [10, Th. 1.2.10]).

By Lemma 5.1 and Lemma 5.2, we obtain Theorem 1.2. The proof is entirely similar to that of [5, Chap. 4, Theorem 4].

§6. $U_q(sl_{N+1}(R))$ over a Commutative Ring R

Let R be a commutative ring with 1, and R^\times the unit group of R . Assume $q \in R^\times$ is such that $q^4 - 1 \in R^\times$. Let $U_q(sl_{N+1}(R))$ be the associative R -algebra with 1 with generators $e_i, f_i, k_i^{\pm 1}$ ($1 \leq i \leq N$), and relations (1.1), ..., (1.5), where $A = (a_{ij})_{1 \leq i, j \leq N}$ is the Cartan matrix of type A_N . For $1 \leq i < j \leq N + 1$, we define e_{ij}, f_{ij} by (1.6). Let L be the two sided ideal of $U_q(sl_{N+1}(R))$ generated by $[e_{i,i+3}, e_{i+1}], [f_{i,i+3}, f_{i+1}]$ ($1 \leq i \leq N - 2$). Put $\bar{U}_q(sl_{N+1}(R)) = U_q(sl_{N+1}(R))/L$. By (3.3), for a field F , if $q^8 \neq 1$, $\bar{U}_q(sl_{N+1}(F)) \simeq U_q(sl_{N+1}(F))/L$.

Theorem 6.1. (a) *As an R -module, $\bar{U}_q(sl_{N+1}(R))$ is free. The elements*

$$f_{m_1, n_1} \cdots f_{m_s, n_s} k_1^{\ell_1} \cdots k_N^{\ell_N} e_{i_1, j_1} \cdots e_{i_t, j_t} \tag{6.1}$$

($(m_1, n_1) \leq \cdots \leq (m_s, n_s)$, $(i_1, j_1) \leq \cdots \leq (i_t, j_t)$, $\ell_1, \dots, \ell_N \in \mathbb{Z}$) form an R -basis of $\bar{U}_q(sl_{N+1}(R))$.

(b) If R has no zero divisors $\neq 0$, then $\bar{U}_q(sl_{N+1}(R))$ has no zero divisors $\neq 0$. If R is a Noetherian ring, $\bar{U}_q(sl_{N+1}(R))$ is a left (right) Noetherian ring.

(c) If $q^8 - 1 \in R^\times$, then $L = (0)$.

Proof. First we prove (a). Let v be an indeterminate, $\mathbb{C}(v)$ the field of rational functions. Let \mathcal{A} be the \mathbb{Z} -subalgebra of $\mathbb{C}(v)$ generated by $1, v^{\mp 1}, (v^4 - 1)^{-1}$. We define $U_{\mathcal{A}}$ to be the \mathcal{A} -submodule of $U_v(sl_{N+1}(\mathbb{C}(v)))$ generated by the elements (6.1). From the arguments in §5, we see that $U_{\mathcal{A}}$ is an \mathcal{A} -algebra with a free \mathcal{A} -basis (6.1). We now define, for R and q , $U_{R,q} = U_{\mathcal{A}} \otimes_{\mathcal{A}} R_q$, where R_q is R , regarded as an \mathcal{A} -algebra with v (resp. 1) acting as multiplication by q (resp. 1). Since $U_{R,q}$ satisfies the formulas $[e_{i,i+3}, e_{i+1}] = [f_{i,i+3}, f_{i+1}] = 0$, we can define the epimorphism $\varphi: \bar{U}_q(sl_{N+1}(R)) \rightarrow U_{R,q}$ by $\varphi(e_i) = e_i \otimes 1$, $\varphi(f_i) = f_i \otimes 1$, $\varphi(k_i^{\mp 1}) = k_i^{\mp 1} \otimes 1$. Hence the elements (6.1) are linearly independence over R . We know that the elements e_{ij}, f_{ij} and k_i of $\bar{U}_q(sl_{N+1}(R))$ satisfies the formulas (1), (2), (3), (4) in §3. Hence, defining the filtration on $\bar{U}_q(sl_{N+1}(R))$ similar to $\{\mathfrak{A}_{(m,n)}\}_{(m,n) \in \Omega_N}$ in §5, we see that, as an R -module, $\bar{U}_q(sl_{N+1}(R))$ is generated by the elements (6.1). This completes the proof of (a).

We obtain (b) from the same argument as in §5, and (c) from the formula (3.3).

For $U_q(sl_{N+1}(F))$ over a field F , we have the following supplementary result:

Proposition 6.2. *Assume $q \in F^\times$ is a primitive 8-th root of unity. Then the elements*

$$f_{m_1, n_1} f_{m_2, n_2} \cdots f_{m_s, n_s} f_1^{2b_1} \cdots f_N^{2b_N} k_1^{\ell_1} \cdots k_N^{\ell_N} e_{i_1, j_1} e_{i_2, j_2} \cdots e_{i_t, j_t} e_1^{2c_1} \cdots e_N^{2c_N}$$

$$(m_p < n_{p+1} - 1 (1 \leq p \leq s - 1), i_r < j_{r+1} - 1 (1 \leq r \leq t - 1),$$

$$b_1, \dots, b_N, c_1, \dots, c_N \geq 0, \ell_1, \dots, \ell_N \in \mathbb{Z})$$

form a basis of $U_q(\mathfrak{sl}_{N+1}(F))$.

The proof is based on Proposition 2.3 and an argument similar to the one used in the proof of Lemma 2.1, 2.2; we omit the details.

From Proposition 6.2, we obtain:

Proposition 6.3. *Assume q to be an indeterminate. Let $\mathcal{A} = F[q^{\pm 1}, (q^4 - 1)^{-1}]$. Then, if $N \geq 3$, $U_q(\mathfrak{sl}_{N+1}(\mathcal{A}))$ is not free as an \mathcal{A} -module.*

Proof. By the argument of (3.3), we see

$$(q^2 + q^{-2})[e_{i,i+3}, e_{i+1,i+2}] = 0.$$

Let $\zeta \in F$ be a primitive 8-th root of unity. Define the F -algebra homomorphism $p: U_q(\mathfrak{sl}_{N+1}(\mathcal{A})) \rightarrow U_\zeta(\mathfrak{sl}_{N+1}(F))$ by $p(e_i) = e'_i$, $p(f_i) = f'_i$, $p(k_i^{\pm 1}) = k'_i$, $p(q) = \zeta$ where e'_i, f'_i, k'_i ($1 \leq i \leq N$) are generators in the definition of $U_\zeta(\mathfrak{sl}_{N+1}(F))$. By Proposition 6.2, we have

$$p([e_{i,i+3}, e_{i+1,i+2}]) = e'_{i,i+3}e'_{i+1,i+2} - e'_{i+1,i+2}e'_{i,i+3} \neq 0.$$

Hence $[e_{i,i+3}, e_{i+1,i+2}] \neq 0$, which shows that $U_q(\mathfrak{sl}_{N+1}(\mathcal{A}))$ is not free.

§7. On $U_q(\mathfrak{so}_5(F))$

Let A be the Cartan matrix of type B_2 , namely, $A = \begin{pmatrix} 2 & -2 \\ -1 & 2 \end{pmatrix}$. Put $U_q(\mathfrak{so}_5(F)) = U_q(A, \text{diag}(1, 2))$ where $q^8 \neq 1$. Define the elements E_i, F_i ($1 \leq N$) by

$$\begin{aligned} E_1 &= e_1, E_2 = e_2, E_3 = e_1e_2 - q^4e_2e_1, E_4 = e_1E_3 - q^{-4}E_3e_1, \\ F_1 &= f_1, F_2 = f_2, F_3 = f_1f_2 - q^4f_2f_1, F_4 = f_1F_3 - q^{-4}F_3f_1. \end{aligned}$$

Proposition 7.1. (a) *The elements $F_1^{m_1} F_2^{m_2} F_3^{m_3} F_4^{m_4} \cdot k_1^{\ell_1} k_2^{\ell_2} \cdot E_1^{i_1} E_2^{i_2} E_3^{i_3} E_4^{i_4}$ ($m_s, i_s \geq 0, \ell_1, \ell_2 \in \mathbb{Z}$) form a basis of $U_q(\mathfrak{so}_5(F))$.*

(b) *$U_q(\mathfrak{so}_5(F))$ is a left (right) Noetherian ring, and has no zero divisors $\neq 0$.*

Let V_i be the subspace of $U_q(\mathfrak{so}_5(F))$ spanned by the elements $F_1^{m_1} F_2^{m_2} F_3^{m_3} F_4^{m_4} \cdot k_1^{\ell_1} k_2^{\ell_2} \cdot E_1^{i_1} E_2^{i_2} E_3^{i_3} E_4^{i_4}$ such that $2(m_1 + i_1) + (m_2 + i_2) + 2(m_3 + i_3) + 3(m_4 + i_4) \leq i$. The proofs of (a) and (b) are obtained by using the filtration $\{V_i\}_{i=0}^\infty$ of $U_q(\mathfrak{so}_5(F))$. This is similar to those of Theorem 1.1 and 1.2. The details are omitted.

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