# Purely Inseparable Extensions of Unique Factorization Domains

By

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# **§ 0. Introduction**

This article attempts to set some further groundwork for the study of codimension-one cycles of purely inseparable coverings of varieties in characteristic *p.* Thus it represents, hopefully, a preliminary effort. The simplest type of purely inseparable cover of a variety *X* with coordinate ring *A* in characteristic  $p \neq 0$  is obtained by taking  $Y = \text{Spec}(A[\sqrt[p]{g}])$  for some  $g \in A$ . Efforts to relate the codimension one cycles of X and  $Y(\lceil 8 \rceil, \lceil 2 \rceil)$  led to the ring-theoretic question,

I. If *A* is a UFD of characteristic  $p \neq 0$ , for what  $g \in A$  is  $A\left[\frac{p}{g}\right]$  a UFD?

A natural place to begin to investigate (I) is with *A* a polynomial ring defined over a field k of characteristic  $p > 0$ . When k is perfect (I) can be restated,

II. For what  $g \in A = k[x_1, \ldots, x_n]$  is  $A^p[g]$  a UFD?

This paper investigates (I) in Section 5 when *A* is the coordinate ring of a surface *X* defined as a complete intersection and extends (II) in Section 3 to study the divisor classes of rings of the form  $k[x_1^p,\ldots,x_n^p,g_1,\ldots,g_{n-1}].$ 

After a few brief preliminaries in Section 1, some tools for calculating *Cl (A)* are developed in Section 2, that generalize  $([8], (2.6))$  and  $([5], H(1.3))$ , which played an important role in showing that for a general choice of *geA*  $= k[x_1,..., x_n]$  *A<sup>p</sup>*[g] is factorial (See [5], II(2.6)). In Section 4 some examples are considered. The reader is also referred to two excellent references for the subject of divisor classes of Krull rings, Samuel's 1964 Tata notes [11] and Possum's *"The Divisor Class Group of a Krull Domain" [4],*

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#### **§1.** Notation and Preliminaries

- (0.1) k-an algebraically closed field of characteristic  $p \neq 0$ .
- **(0.2)**  $A = k[x_1, \ldots, x_n]$ -a polynomial ring in *n*-indeterminates over k.

(0.3) Given  $f_1, \ldots, f_n \in A$ ,  $J(f_1, \ldots, f_n)$  is the determinant of the matrix

$$
[D_i(f_j)], 1 \le i, j \le n
$$
, where  $D_i = \frac{\delta}{\delta x_i}$ .

(0.4) Given  $h \in A$ , let  $deg_{x_i}(h)$  denote the degree of h in  $x_i$ ,  $deg_{x_i,x_j}(h)$  denote the degree of h in  $x_i$  and  $x_j$ , etc...., let  $deg(h)$  denote the total degree of h.

(0.5)  $A_k^n$  denotes the affine *n*-space over *k*.

(0.6)  $f_1, \ldots, f_{n-1} \in A$  are said to satisfy condition (\*) if the variety  $V \subseteq A_k^n$ defined by the  $n-1$  by  $n-1$  minors of the matrix  $\begin{bmatrix} D_1(f_1) & \cdots & D_n(f_n) \\ \vdots & \vdots & \vdots \\ D_1(f_{n-1}) & \cdots & D_n(f_{n-1}) \end{bmatrix}$ 

has dimension less than  $n - 2$ .

(0.7) If *R* is a Krull ring denote by *Cl(R)* the divisor class group of *R* ([11], page 18)(cf. (0.2)).

 $(0.8)$  If X is a noetherian integral separated scheme which is regular in codimension one, denote by  $Cl(X)$  the group of Weil divisors of  $X(\lceil 7 \rceil, page)$ 130).

(0.9) If *R* is a noetherian integrally closed domain, then *R* is a Krull ring and  $X = \text{Spec}(R)$  will be regular in codimension one, and  $Cl(R)$  and  $Cl(X)$  defined above are isomorphic.

(0.10) Given  $g, f_1, \ldots, f_{n-2} \in A$ , let *P* be the ideal in *A* generated by  $f_1, \ldots, f_{n-2}$ and  $B = A/P$ . For  $f \in A$ , denote its image in *B* by  $\bar{f}$ . Then we say that *g*,  $f_1, \ldots, f_{n-2}$  satisfies condition (\*\*) if all three of the following conditions are satisfied.

- (i) P is a height  $n-2$  prime ideal in A.
- (ii)  $\bar{g} \notin B^p = k[\bar{x}_1^p, \dots, \bar{x}_n^p]$

(iii) The ring  $A[\omega]/(\omega^p - g, f_1, \ldots, f_{n-2})$  is regular in codimension one.

Note that the ring in (iii) is a domain by (i) and (ii) and it is regular in codimension one if and only if it is normal ([7], pg. 186, Proposition 8.23).

**(0.11)** For a prime number p, we will let  $\mathbb{F}_p$  denote the finite field of order p and the set of integers  $\{0, 1, \ldots, p-1\}$ . It will be clear from the context which is meant.

**1.1.** Theorem. Let  $A \subset B$  be Krull rings. Suppose that either B is integral over *A or that B is aflat A-algebra. Then there is a well defined group homomorphism*  $\varphi$ :  $Cl(A) \rightarrow Cl(B)$ .

Let *B* be a Krull ring of characteristic  $p > 0$ . Let  $\Delta$  be a derivation of L, the quotient field of *B*, such that  $A(B) \subset B$ . Let  $K = \text{ker}(A)$  and A

 $= B \cap K$ . Then *A* is a Krull ring with *B* integral over *A*. By (1.1) we have a map  $\varphi$ :  $Cl(A) \rightarrow Cl(B)$  (see [11] pp. 19–20). Set  $\mathcal{L} = \{t^{-1} \, \Delta t \in B | t \in L\}$  and  $\mathcal{L}'$  $=\{u^{-1}\Delta u|u\text{ is a unit in B}\}.$  Then  $\mathscr L$  is an additive group with subgroup  $\mathscr L'$ .

1.2. Theorem. (a) There exists a canonical monomorphism  $\bar{\varphi}$ : ker  $\varphi$  $\rightarrow \mathcal{L}/\mathcal{L}'$ . (b) If [L: K] = p and  $\Delta(B)$  is not contained in any height one prime *of B, then*  $\bar{\varphi}$  *is an isomorphism* ([11], p. 62).

**1.3. Theorem.** (a) If  $[L: K] = p$ , then there exists  $a \in A$  such that  $\Delta^p = a \Delta$ , (b)  $t \in L$  is equal to  $u^{-1} \Delta u$  for some  $u \in L$  if and only if  $\Delta^{p-1}t - at + t^p$  $= 0$  ([11], pp. 63–64).

#### **§2. Computational Tools**

Let *k* be an algebraically closed field of characteristic  $p > 0$ . Let *A*  $k[x_1,...,x_n]$  be a polynomial ring in *n*-indeterminates over *k*. Let  $f_1, \ldots, f_{n-1} \in A$ . Define a derivation *D* on  $L = k(x_1, \ldots, x_n)$  by  $D(h)$  $= J(h, f_1, \ldots, f_{n-1})$  where J represents the determinant of the Jacobian matrix. That is,

$$
J(h, f_1, ..., f_{n-1}) = \det \begin{bmatrix} D_1(h) & D_2(h) & \cdots & D_n(h) \\ D_1(f_1) & D_2(f_1) & \cdots & D_n(f_1) \\ \vdots & \vdots & \vdots & \vdots \\ D_1(f_{n-1}) & D_2(f_{n-1}) & \cdots & D_n(f_{n-1}) \end{bmatrix} \text{where } D_j = \frac{\partial}{\partial x_j}.
$$

We have the following generalization of ([8], page 395, (2.6)). We let *A'*  $= k[x_1^p, \ldots, x_n^p, f_1, \ldots, f_{n-1}].$ 

**2.1. Proposition.** Assume  $D \neq 0$ . Then (i) there exists  $a \in A$  such that  $D(a) = 0$  $= aD$ , (ii) a is given by  $a = (-1)^n \sum_{j=1}^n \sum_{r_j=0}^{r_j} f_1^{r_1} \cdots f_n^{r_n-1}$  $t \in L, D^{p-1}t - at = (-1)^{n-1}\sum_{j=1}^{n-1}\sum_{r_j=0}^{p-1}f_1^{r_1} \cdots$  $V = (D_1^{p-1} \cdots D_n^{p-1}).$ 

*Proof* (i) For each  $i = 1, ..., n - 1$ ,  $f_i \notin k(x_1^p, ..., x_n^p, f_1, ..., f_{i-1})$  (where we let  $f_0 = 0$ ) since  $D \neq 0$ . It then follows that **2.1.1.**  $[L: L'] = p$  and  $L' = D^{-1}(0)$ , where L' is the quotient field of A'.

By (1.3) there exists  $a \in A \cap L'$  such that  $D^p = aD$ .

- (ii) Will follow from (iii) by letting  $t = 1$ .
- (iii) Case(I): The  $f_i$  contain no monomials that are p-th powers and the  $f_i$

satisfy condition (\*).

For each  $i = 1, ..., n - 1$ , let  $A_i$  be the derivation on L defined by

$$
A_i(h) = \det \begin{bmatrix} D_1(h) & \cdots & D_{n-1}(h) \\ D_1(f_1) & \cdots & D_{n-1}(f_1) \\ \vdots & & \vdots \\ D_1(f_{i-1}) & \cdots & D_{n-1}(f_{i-1}) \\ D_1(f_{i+1}) & \cdots & D_{n-1}(f_{i+1}) \\ \vdots & & \vdots \\ D_1(f_{n-1}) & \cdots & D_{n-1}(f_{n-1}) \end{bmatrix}
$$

Since  $D \neq 0$ , we may assume after a permutation of the  $x_i$  that  $A_i(f_i) \neq 0$  for each *i*. Now for each  $1 \le i \le n-1$ , let  $E_i = (1/A_i(f_i)) \cdot A_i$  and E  $= E_1^{p-1} \cdots E_{n-1}^{p-1}.$ 

**2.1.2.** Claim:  $E(D^{p-1}t - at) = \nabla t$ , for all  $t \in L$ .

*n-l Proof of* (2.1.2). If  $t \in A$ ,  $deg(Dt) \leq M + deg t - n$ , where  $M = \sum$ It then follows that  $deg(a) \leq (p-1)(M-n)$  and

**2.1.3.** 
$$
deg(D^{p-1}t - at) \leq deg(t) + (p-1)(M-n)
$$
, for all  $t \in A$ .

Given  $h \in A'$ , there is a unique  $\beta_F \in A$ , for each  $\bar{r} \in \mathbb{F}_p^{n-1}$ , such that h  $=\sum_{\bar{r}} \beta_{\bar{r}}^p f^{\bar{r}}$  (where for  $\bar{r} = (r_1, \ldots, r_{n-1}) \in \mathbb{F}_p^{n-1}$ ,  $f^{\bar{r}} = f_1^{r_1} \cdots f_{n-1}^{r_{n-1}}$ ). We have that for each  $i = 1, ..., n - 1$ ,  $E_i(h) = \sum r_i \beta_i^p f^r$ , where  $\vec{r}' = (r_1, ..., r_i - 1, ..., r_{n-1})$ . Then  $E_i(h) \in A'$  and  $deg(E_i(h)) \leq deg(h) - deg(f_i)$ . Given  $t \in A$ ,  $D^{p-1}t - at \in A$ by (3.2) below, the proof of which is independent of this section, since  $D^p = aD$ and  $Da = 0$ . By (2.1.3) we have for all  $t \in A$ ,

$$
E(D^{p-1}t - at) = 0, \text{ or}
$$
  
2.1.4 
$$
deg(E(D^{p-1}t - at)) \leq deg \ t - (p-1)n.
$$

Any differential operator on  $k(x_1, \ldots, x_n)$  can be written uniquely as a linear combination of  $D_1^{s_1} \cdots D_n^{s_n}$ ,  $0 \le s_i \le p-1$ , with coefficients in L. Thus there exists unique  $\alpha_{\bar{r}} \in L$ , for each  $\bar{r} \in \mathbb{F}_p^n$  such that

**2.1.5.** 
$$
E(D^{p-1} - aI) = \sum_{\vec{r}} \alpha_{\vec{r}} \partial^{\vec{r}}
$$
, where for  $\vec{r} = (r_1, ..., r_n)$ ,  $\partial^{\vec{r}} = D_1^{r_1} D_2^{r_2} \cdots D_n^{r_n}$ .

Proceed by induction on  $\sum r_i$  to show  $\alpha = \infty$  for  $\bar{r} \neq (p - 1, ..., p - 1)$ . By (2.1.4),  $E(D^{p-1}(1) - a(1)) = 0$ . By (2.1.5)  $\alpha_{(0,...,0)} = 0$ . Assume  $\alpha_{\bar{r}} = 0$  for all i

with  $\sum_{r_i < k} < n(p-1)$ . Let  $\bar{r}^* = (r_1^*, \dots, r_n^*)$  be such that  $\sum_{r_i} r_i^* = k$ . Substitute  $t = x_1^{r_1^*} \cdots x_n^{r_n^*}$  into (2.1.5) and use (2.1.4) to obtain  $r_1^*! \cdots r_n^*! \alpha_{r^*} = 0$  which implies  $\alpha_{r*} = 0$ . Therefore

2.1.6. 
$$
E(D^{p-1} - aI) = \alpha V, \text{ for some } \alpha \in L.
$$

Apply both sides of (2.1.6) to  $(x_1 \cdots x_n)^{p-1}$  and use (2.1.4) to see that  $\alpha \in k$ . To compute  $\alpha$ , we first note that  $\alpha$  in (2.1.6) is invariant under a linear change of variables. This may be checked by one coordinate change at a time. If  $x_1$  say, is replaced by  $\alpha_1 x_1 + \cdots + \alpha_n x_n + \alpha_{n+1} (\alpha_i \in k)$  then  $D_1$  becomes  $\alpha_1 D_1$  and  $\nabla$  becomes  $\alpha_1^{p-1} \nabla$ . By the chain rule D becomes  $\alpha_1$  D, E. remains unchanged and a becomes  $\alpha_1^{p-1}$  a so that  $E(D^{p-1} - aI)$  becomes  $\alpha_1^{p-1}E(D^{p-1} - aI)$  $- aI$ ).

By (\*) there is a point  $Q \in k^n$  where the matrix

is row independent over *k.*

Since a is invariant under a change in coordinates we may assume *Q*  $=(0, \ldots, 0)$ . Furthermore since  $\alpha$  is clearly unaffected by the constant terms of the  $f_i$ , we may assume that  $f_i(Q) = \cdots = f_n(Q) = 0$ . We then have that the degree one forms of the  $f_i$  are k-independent. Therefore, after another linear change we may assume that the lowest degree form of  $f_i$  is  $x_i$  ( $1 \le i \le n$ )  $-$  1). Again apply both sides of (2.1.6) to  $(x_1 \cdots x_n)^{p-1}$  and compare the 0degree terms. On the right we get  $(-1)^n x$  and on the left we get  $(-1)^n$ . Hence  $\alpha = 1$  in (2.1.6).

Now let  $t \in L$ . Since  $D^{p-1}t - at \in L'$ , there are unique  $\beta_r \in L$  such that  $D^{p-1}t - at = \sum_{r} \beta_r^p f^r$ . Fix  $\bar{s} = (s_1, ..., s_{n-1}) \in \mathbb{F}_p^{n-1}$ . Then on the one hand we know by (2.1.6) with  $\alpha = 1$  that  $E(D^{p-1}(f^{\bar{s}}t) - af^{\bar{s}}t) = V(f^{\bar{s}}t)$ . On the other hand, we have  $E(D^{p-1}(f^{s}t) - af^{s}t) = E(f^{s}(D^{p-1}t - at)) = (-1)^{n-1} \beta_{r_0}^{p}$  where  $\bar{r}_0$  $= (p - 1 - s_1, \ldots, p - 1 - s_{n-1})$ , from which (iii) follows,

**Case (II).** The  $f_i$  contain no monomials that are  $p^{th}$  powers.

Assume the coefficients of the  $f_i$  are algebraically independent over  $k$ . Then (\*) is satisfied and hence the formula in (iii) holds. Therefore it will hold after any specialization of the coefficients, since with respect to the differential operators *D* and *V* they are constants. Finally observe that if the  $f_i$  are replaced by  $h_i$ ,  $1 \le i \le n-1$ , such that  $f_i - h_i \in B = k[x_1^p, \ldots, x_n^p]$ , then *D* and hence a (such that  $D^p = aD$ ) remain unchanged. The next lemma shows that the right side of the equality in (2.1 iii) also remains unchanged by such a substitution. Case II showed that the desired formula holds whenever the  $f_i$ contain no p-th powers. Thus the general case now follows from the above observations.

**2.2. Lemma.** Assume  $f_1, ..., f_{n-1}, h_1 \in A$  with  $f_1 - h_1 \in B = k[x_1^p, ..., x_n^p]$ . Then *for all*  $t \in L$ ,

$$
\sum_{s_1} f_1^{s_1} \cdots f_{n-1}^{s_{n-1}} \nabla (f_1^{p-s_1-1} \cdots f_{n-1}^{p-s_{n-1}-1} t) =
$$
\n
$$
= \sum_{s} h_1^{s_1} f_2^{s_2} \cdots f_{n-1}^{s_{n-1}} \nabla (h_1^{p-s_1-1} f_2^{p-s_2-1} \cdots f_{n-1}^{p-s_{n-1}-1} t)
$$

*Proof.*  $h_1 = f_1 + \alpha$ , for some  $\alpha \in B$ . Let  $t \in L$  and  $t_0 = f_2^{p-s_2-1} \cdots$ 1<br>
1<br>
1<br>
1<br>
1<br>
1<br>  $\sum_{s_1} h_1^{s_1} f_2^{s_2} \cdots f_{n-1}^{s_{n-1}} \mathcal{V}(h_1^{p-s_1-1} \cdots f_{n-1}^{p-s_{n-1}-1} t) = \sum_{(s_2, \ldots, s_{n-1})} f_2^{s_2}$  $\sum_{s_1} h_1^{s_1} f_2^{s_2} \cdots f_{n-1}^{s_{n-1}} \mathcal{V}(h_1^{p-s_1-1} \cdots f_{n-1}^{p-s_{n-1}-1} t) = \sum_{(s_2,...,s_{n-1})} f_2^{s_2} \cdots$  $\frac{s_{n-1}}{1}$  $\int_{s_1=0}^{1} h^s \mathbb{P}(h^{p-s_1-1} t_0)$ . So it is enough to show that  $\sum_{s=0}^{n} h^s \mathbb{P}(h^{p-s-1} t_0)$  $=\sum_{k=0}^{p-1} f^s V(f^{p-s-1} t)$ , when  $h-f=\alpha \in B$ . We have  $\sum_{s=0}^{p-1}$  $=\sum_{s=0}^{p-1} \sum_{i=0}^{s} {s \choose i} f^i \alpha^{s-i} \sum_{j=0}^{p-1-s} {p-1 \choose j}$  $= \sum_{i=0}^{p-1} \sum_{i=0}^{s} \sum_{j=0}^{p-1-s} \binom{s}{i} \binom{p-1-s}{j} f^i \alpha^{p-1-i-j} \nabla (f^j t)$  $p-1$  ,  $p-1$  /n -1 - s)  $\sum_{j=0}^{\infty} \alpha^{-j} \mathcal{F}(f^{j}t) \sum_{s=0}^{\infty} {\binom{p-1-s}{j}} \alpha^{p-1-s}$  $=\sum_{j=0}^{p} \alpha^{-j} \mathcal{V}(f^{j} t) \sum_{s=0}^{p} {p-1 \choose j}$  $\sum_{j=0}^{p-1} (-1)^j \mathcal{V}(f^j t) \sum_{s=0}^{p-1} {p-1-j \choose s} (-1)^s \alpha^{p-1-j-s}$ (Note that  $\binom{p-1-s}{i}\binom{p-1}{s} = \binom{p-1-j}{s}\binom{p-1}{i}$  $p-1$ and  $\binom{p-1}{i} = (-1)^j$ , etc.)  $= \sum_{j=0}^{p-1} (-1)^j (F(f^j t)) (\alpha - (f + \alpha))^{p-1-j}$ 

$$
j=0
$$
  
=  $\sum_{j=0}^{p-1} f^{p-1-j} \overline{V}(f^j t) = \sum_{s=0}^{p-1} f^s \overline{V}(f^{p-1-s} t).$ 

The next proposition generalizes ([3], page 74, Theorem (3.4)). In the two

variable case it was used to prove that a generic Zariski surface has 0-divisor class group ([9]).

Let  $S = S(f_1, ..., f_{n-1}) = \{Q \in k^n : \text{rank}[D_i(f_j)](Q) < n-1\}$ . Let C be the matrix

$$
C = \begin{bmatrix} D_1(f_1) & \cdots & D_{n-1}(f_1) & D_n(f_1) \\ \vdots & & \vdots & \vdots \\ D_1(f_{n-2}) & \cdots & D_{n-1}(f_{n-2}) & D_n(f_{n-2}) \\ 0 & \cdots & 1 & 0 \\ 0 & \cdots & 0 & 1 \end{bmatrix}
$$

and  $C^* = (C^{-1})^t$  (= the transpose of  $C^{-1}$ ). Let  $[g_1, ..., g_n]$  and  $[h_1, ..., h_n]$  be  $\partial^2$ the  $n - 1 - st$  and *n*-th rows of  $C^*$ , respectively. Let  $E_1^2 = \sum_{i,i=1} g_i g_j \frac{\partial}{\partial x_i \partial x_j}$ ,  $E_2^2$  $=\sum_{i,j=1}^n h_i h_j \frac{\partial^2}{\partial x_i \partial x_j}$ , and  $E_1 E_2 = \sum_{i,j=1}^n g_i h_j \frac{\partial^2}{\partial x_i \partial x_j}$ . Let  $M_i$  be the cofactor of  $D_{n-1}(f_i)$  in the matrix

$$
\begin{bmatrix} D_1(f_1) & \cdots & D_{n-1}(f_1) \\ \vdots & & \vdots \\ D_1(f_{n-1}) & \cdots & D_{n-1}(f_{n-1}) \end{bmatrix}, 1 \le i \le n-1.
$$

Let  $H = \left[\sum_{i=1}^{n-1} M_i E_1 E_2(f_i)\right]^2 - \left[\sum_{i=1}^{n-1} M_i E_1^2(f_i)\right] \left[\sum_{i=1}^{n-1} M_i E_2^2(f_i)\right].$ 

**2.3. Proposition.** For all  $Q \in S$ ,  $a(Q) = (H(Q))^{(p-1)/2}$ , where a is as in (2.1).

*Proof.* It is a straightforward linear algebra to check that for all  $Q \in S$ ,  $g_i(Q)$  and  $h_i(Q)$  are independent of the order of  $f_1, \ldots, f_{n-1}$  up to a change of the same sign. It follows that  $H(Q)$  is independent of the order of  $f_1, \ldots, f_{n-1}$ .

Let  $Q \in S$  be a point where the rank  $[D_i(f_i)(Q)] = n - 2$ . After a change of coordinates, which will not alter *D* (hence a) or *H,* we may assume *Q*  $=(0,\ldots,0)$ . By the above remark, we may assume  $M_{n-1}(Q) \neq 0$ . Then

2.3.1 
$$
a_1 D_i(f_1)(Q) + \cdots + a_{n-2} D_i(f_{n-2})(Q) = D_i(f_{n-1})(Q), 1 \le i \le n
$$
, where  

$$
a_r = -M_r(Q)/M_{n-1}(Q), 1 \le r \le n-2.
$$

Replacing  $f_j$  by  $f_j - f_j(Q)$ ,  $1 \le j \le n - 1$  also does not change D (and hence a) or *H* so that we may assume  $f_j(Q) = 0, 1 \le j \le n - 1$ .

Temporarily, we replace  $f_{n-1}$  by  $f_{n-1} - \sum_{j=1}^{n-2} a_j f_j$ . Then D and a remain unchanged, and after this substitution we have that

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2.3.2 
$$
f_j(Q) = D_i(f_{n-1})(Q) = 0, \quad 1 \le j \le n-1, \ 1 \le i \le n.
$$

Now make the change in coordinates

2.3.3 
$$
\bar{x}_i = \sum_{i=1}^n D_i(f_i)(Q) \cdot x_i, \ 1 \leq i \leq n-2, \ \bar{x}_{n-1} = x_{n-1}, \ \bar{x}_n = x_n.
$$

Then *Q* remains (0,..., 0) and (2.3.2) still holds. By the chain rule we have for all  $h \in L$ ,

2.3.4 
$$
D_i(h) = \sum_{j=1}^{n-2} D_i(f_j)(Q) \cdot h_{\bar{x}_j} \quad \text{for} \quad 1 \le i \le n-2.
$$

and 
$$
D_i(h) = \sum_{j=1}^{n-2} D_i(f_j)(Q) \cdot h_{\bar{x}_j} + h_{\bar{x}_i} \quad \text{for} \quad n-1 \le i \le n.
$$

Then *D =*

$$
\det \begin{bmatrix}\n\sum_{j=1}^{n-2} D_1(f_j)(Q) \cdot \frac{\partial}{\partial x_j} & \cdots & \sum_{j=1}^{n-2} D_{n-1}(f_j)(Q) \cdot \frac{\partial}{\partial x_j} + \frac{\partial}{\partial x_n} \\
\cdots & \cdots & \cdots & \cdots \\
\sum_{j=1}^{n-2} D_1(f_j)(Q) \cdot (f_{n-2})_{\bar{x}_j} & \cdots & \sum_{j=1}^{n-2} D_{n-1}(f_j)(Q) \cdot (f_{n-2})_{\bar{x}_j} + (f_{n-2})_{\bar{x}_n} \\
\sum_{j=1}^{n-2} D_1(f_j)(Q) \cdot (f_{n-1})_{\bar{x}_j} & \cdots & \sum_{j=1}^{n-2} D_{n-1}(f_j)(Q) \cdot (f_{n-1})_{\bar{x}_j} + (f_{n-1})_{\bar{x}_n} \\
\vdots & \vdots & \vdots & \vdots \\
\frac{\partial}{\partial \bar{x}_1} & \frac{\partial}{\partial \bar{x}_2} & \cdots & \frac{\partial}{\partial \bar{x}_n} \\
\cdots & \cdots & \cdots & \cdots \\
(f_{n-1})_{\bar{x}_1} & (f_1)_{\bar{x}_2} & \cdots & (f_{n-1})_{\bar{x}_n}\n\end{bmatrix}
$$
\n
$$
\left[\n\begin{array}{ccccccc}\nD_1(f_1)(Q) & \cdots & D_{n-2}(f_1)(Q) & D_{n-1}(f_1)(Q) & D_n(f_1)(Q) \\
D_1(f_2)(Q) & \cdots & D_{n-2}(f_2)(Q) & D_{n-1}(f_2)(Q) & D_n(f_2)(Q) \\
\vdots & \vdots & \vdots & \vdots & \vdots \\
D_1(f_{n-2})(Q) & \cdots & D_{n-2}(f_{n-2})(Q) & D_{n-1}(f_{n-2})(Q) & D_n(f_{n-2})(Q) \\
0 & \cdots & 0 & 1 & 0\n\end{array}\n\right]
$$

 $=M_{n-1}(Q)[\overline{J}(\, ,f_1,\ldots,f_{n-1})]$ , where  $\overline{J}$  is the determinant of the jacobian matrix with respect to  $\bar{x}, \dots, \bar{x}_n$ .

Let  $\overline{D} = \overline{J}(\, ,f_1,\ldots,f_{n-1})$  and  $\overline{a}$  be such that  $\overline{D}^p = \overline{a}\overline{D}$  (see (2.1)).

Then  $a = (M_{n-1}(Q))^{p-1} \bar{a}$ . Then  $a(Q) = (M_{n-1}(Q))^{p-1} \bar{a}(Q)$ . We have by (2.1) and (2.3.2) that  $\bar{a}(\bar{Q}) = (-1)^n \bar{V}((f_1 \cdots f_n)^{p-1})(Q)$  where  $\bar{V}$  $\hat{a}^{n(p-1)}$  $=\frac{U}{\partial \bar{x}_1^{p-1} \cdots \partial \bar{x}_n^{p-1}}$ . Also by the change in coordinates (2.3.3) we have 2.3.5.  $f_i = \bar{x}_i + f_i^+, 1 \le i \le n - 2$ , where  $f_i^+ = f_i$  – (leading form of  $f_i$ ) and  $f_{n-1} = \frac{1}{2} \sum_{i=1}^{n} (f_{n-1})_{\bar{x}_i \bar{x}_j} (Q) \bar{x}_i \bar{x}_j + f_{n-1}^+ \text{ if } p > 2,$ 

$$
f_{n-1} = \sum_{i < j} (f_{n-1})_{\bar{x}_i \bar{x}_j} (Q) \bar{x}_i \bar{x}_j + f_{n-1}^+) \quad \text{if} \quad p = 2
$$

Thus the initial form of  $(f_1 \cdots f_{n-1})^{p-1}$  is

2.3.6.  $(\bar{x}_1 \cdots \bar{x}_{n-2})^{p-1}((f_{n-1})_{\bar{x}_{n-1}\bar{x}_{n-1}}(Q) - \frac{n-1}{2} + (f_{n-1})_{\bar{x}_{n}\bar{x}_{n}}(Q) - \frac{n}{2} + (f_{n-1})_{\bar{x}_{n-1}\bar{x}_{n-1}}(Q)$  $(Q) \cdot \bar{x}_{n-1} \bar{x}_n p^{p-1} + g$ , where g is homogeneous of degree  $n(p-1)$ , with

$$
deg_{\bar{x}_{n-1},\bar{x}_n}(g) < 2(p-1), \text{ if } p > 2.
$$

If  $p = 2$ , the expression  $(f_{n-1})_{\bar{x}_{n-1}, \bar{x}_{n-1}} (Q) \frac{\bar{x}_{n-1}^2}{2} + (f_{n-1})_{\bar{x}_n, \bar{x}_n} (Q) \frac{\bar{x}_n^2}{2}$  is deleted from  $(2.3.6)$ .

It then follows that if  $p > 2$ ,

2.3.7. 
$$
\bar{a}(Q) = (-1)^n \frac{\partial^{2(p-1)}}{\partial \bar{x}_{n-1}^{p-1} \partial \bar{x}_n^{p-1}}.
$$

$$
\left[ (f_{n-1})_{\bar{x}_{n-1},\bar{x}_{n-1}}(Q) \frac{\bar{x}_{n-1}^2}{2} + (f_{n-1})_{\bar{x}_n, \bar{x}_n}(Q) \frac{\bar{x}_n^2}{2} + (f_{n-1})_{\bar{x}_{n-1},\bar{x}_n}(Q) \bar{x}_{n-1}, \bar{x}_n \right]^{p-1}
$$

$$
= \left[ (f_{n-1})_{x_{n-1},x_n}^2 - (f_{n-1})_{\bar{x}}^{n-1} \bar{x}_{n-1} (f_{n-1})_{\bar{x}_n, \bar{x}_n} \right]^{(p-1)/2} (Q) \text{ by}
$$

(2.4) below. If  $p = 2$ ,  $\bar{a}(Q) = (f_{n-1})\bar{x}_{n-1}^{p-1}\bar{x}_n(Q)$ . Therefore  $a(Q) =$  $\bar{x}_{n-1}\bar{x}_n^2 - (M_{n-1}(f_{n-1})\bar{x}_{n-1}\bar{x}_{n-1})(M_{n-1}(f_{n-1})\bar{x}_n\bar{x}_n^2)^{(p-1)/2}(Q).$ 

We have that

$$
\begin{bmatrix} \bar{x}_1 \\ \vdots \\ \bar{x}_n \end{bmatrix} = C(Q) \begin{bmatrix} x_1 \\ \vdots \\ x_n \end{bmatrix}
$$

Therefore 
$$
\begin{bmatrix} \frac{\partial}{\partial \bar{x}_1} \\ \vdots \\ \frac{\partial}{\partial \bar{x}_n} \end{bmatrix} = C^*(Q) \begin{bmatrix} \frac{\partial}{\partial x_1} \\ \vdots \\ \frac{\partial}{\partial x_n} \end{bmatrix}
$$

We then obtain

$$
a(Q) = [(M_{n-1}E_1E_2(f_{n-1}))^2 - (M_{n-1}E_1^2(f_{n-1}))(M_{n-1}E_2^2(f_{n-1}))]^{(p-1)/2}(Q)
$$

Now back substitute to replace  $f_{n-1}$  by  $f_{n-1} + \sum_{r=1}^{n-2} \frac{M_r(Q)}{M_{n-1}(Q)} f_r$  to obtain  $a(Q) = (H(Q))^{(p-1)/2}.$ 

Now if Q were a point such that rank  $[D_i(f_i)(Q)] < n - 2$  then we could have assumed that the first  $n-2$  rows of  $[D_i(f_i)(Q)]$  are dependent as well. Then clearly  $M_{n-1}(Q) = 0$  and by an argument similar to that used above, we obtain  $\bar{a}(Q) = 0$ . Thus we obtain  $a(Q) = (H(Q))^{(p-1)/2}$ , for all  $Q \in S$ .

**2.4.** Lemma. Let k be a ring of characteristics 
$$
p > 0
$$
. Let A, B and  $C \in k$  and  $F = Ax^2 + By^2 + Cxy \in k[x, y]$ . Then  $\frac{\partial^{2p-2}(F^{p-1})}{\partial x^{p-1} \partial y^{p-1}} = (C^2 - 4AB)^{(p-1)/2}$ .

*Proof.* The coefficient of  $x^{p-1}y^{p-1}$  in  $F^{p-1}$  if  $p > 2$  is

$$
\sum_{i=0}^{(p-1)/2} {p-1 \choose 2i} {2i \choose i} C^{p-1-2i} (AB)^i = \sum_{i=0}^{(p-1)/2} (-1)^{2i} {2i \choose i} C^{p-1-2i} (AB)^i
$$
  
\n
$$
= \sum_{i=0}^{(p-1)/2} {2i \choose i} C^{p-1-2i} (AB)^i = \sum_{i=0}^{(p-1)/2} {2i \choose i} (C^2)^{[(p-1)/2]-i} (AB)^i
$$
  
\n
$$
= \sum_{i=0}^{(p-1)/2} (-1)^i {p-1/2 \choose i} 2^{2i} (C^2)^{[(p-1)/2]-i} (AB)^i
$$
  
\n
$$
= \sum_{i=0}^{(p-1)/2} {p-1/2 \choose i} (C^2)^{(p-1)/2-i} (-4AB)^i
$$
  
\n
$$
= (C^2 - 4AB)^{(p-1)/2}. \quad \text{If } p = 2, \text{ the lemma is clearly true.}
$$

# §3. The Fixed **Subring** of a Polynomial Ring

Let k, A, A', L, L', and  $D = J($ ,  $f_1, \ldots, f_{n-1}$ ) be as in Section 2. Let  $\mathscr L$  be the additive group of logarithmic derivatives of *D* in *A*,  $\mathscr{L} = \{h^{-1}Dh \in A : h \in$ *L*}. Assume (\*) holds. Let  $f = f_1 \cdots f_{n-1}$ . For  $I = (i_1, \dots, i_{n-1}) \in \mathbb{F}_p^{n-1}$ , let  $f^I$  $=f_1^{i_1...i_{n-1}^{i_{n-1}}}$ 

**3.1. Lemma.** Let  $F \subset A_k^{2n-1}$  be the variety defined by the equations  $w_i^i$ 

 $=f_i(x_1,\ldots,x_n), 1\leq i\leq n-1.$  Then the coordinate ring of F is isomorphic to A'.

*Proof.* Let  $\tilde{A} = k[x_1, ..., x_n, w_1, ..., w_{n-1}]$ . Let  $\phi: \tilde{A} \to A'$  be the ring homomorphism that sends  $x_i$  to  $x_i^p$ ,  $w_j$  to  $f_j$  and  $\alpha$  to  $\alpha^p$  for all  $1 \le i \le n$ ,  $1 \le j \le n-1$ ,  $\alpha \in k$ . (Note  $\phi$  is not a k-homomorphism.) Then  $w_j^p$  $-f_i \in \text{ker } \phi$ . Let  $Q \subseteq \tilde{A}$  be the ideal generated by  $w_j^p - f_j$ ,  $1 \le j \le n$ *—* 1. Then *Q* is a prime ideal in  $\tilde{A}$  of height *n* – 1. Therefore ker  $\phi = Q$  by ([7] page 6, ISA).

# 3.2. Lemma.  $D^{-1}(0) \cap A = A'$ .

*Proof.* Let  $B = D^{-1}(0) \cap A$ . Then  $k[x_1^p, \ldots, x_n^p] \subset A' \subseteq B \subseteq A$ . B is integral over A'. For each i,  $f_{i+1} \notin k(x_1^p, \ldots, x_n^p, f_1, \ldots, f_i)$  by (\*). Thus  $[L': k(x_1^p, \ldots, x_n^p)] = p^{n-1}$ . Also by (\*),  $D_{x_1} \neq 0$  for some *i*. Therefore the quotient field of *B* is not Land *A'* and *B* have the same quotient field. Since *A'* is normal,  $A' = B$ .

## 3.3. Lemma.  $Cl(A') \simeq \mathscr{L}$ .

*Proof.* By (\*) the image of *D* is not contained in any height one prime of *A.* By (1.2) and (3.2),  $Cl(A') \simeq \mathcal{L}$  since the units of *A* are the nonzero elements of  $k$ .

 $\frac{n-1}{n}$ **3.4. Lemma.** Let  $t \in \mathcal{L}$ . Then  $deg(t) \leq M - n$ , where  $M = \sum deg(f_i)$ .

*Proof.*  $t \in \mathscr{L}$  implies there exists a  $g \in L$  such that  $g^{-1}Dg = t$ . Multiplying *g* by an element of  $A^p$ , if necessary, we may assume  $g \in A$ .  $deg(Dg) \leq (deg\ g)$  $(n-1) + \sum_{i=1}^{n-1} deg(f_i - 1) = deg g + M - n.$  Therefore  $deg(t) \leq M - n.$ 

**3.5. Lemma.** Let  $\mathcal{G} = \{t \in A : F(f^{p-1}t) = (-1)^n t^p\}$ , where  $f = f_1 \cdots f_{n-1}$  and V  $= D_1^{p-1} \cdots D_n^{p-1}$ . Then  $\mathscr G$  is a p-group of type  $(p, ..., p)$  of order  $p^N$  with  $N \leq {M \choose n}.$ 

*Proof.* Let  $t \in \mathscr{G}$ .  $\mathbb{V}(f^{p-1}t) = (-1)^n t^p$  implies p deg  $t \le (p-1) \deg(f)$  $+ deg(t) - n(p - 1)$ . Thus  $deg(t) \leq M - n$ . Write  $t = \sum_{x} \alpha_{x} x^{J}$  where for J  $=(i_1, \ldots, i_n) \in (\mathbb{Z}^+)^n$ ,  $x^J = x_1^{i_1} \cdots x_n^{i_n}$  and  $|J| = \sum_{j=1}^n i_j$ . Comparing coefficients on both sides of the quality  $V(f^{p-1}t) = (-1)^n t^p$  we obtain for each  $J_0$  with  $|J_0| \leq M - n$  an equation of the form  $L_{J_0} = \alpha_{J_0}^p$ , where  $L_{J_0}$  is a linear expression in the  $\alpha_j$  with coefficients in k.

There are a total of  $\binom{n+1}{n} = \binom{n}{n}$  such equations. The ring R

 $= k[\alpha_J]_{|J| \le M-n}$  with the relations  $L_J = \alpha_J^p$  is a finite dimensional k-vector spaced spanned by all monomials in the  $\alpha<sub>I</sub>$  of degree less than or equal to  $(p - 1)$ *M* Thus *R* has a finite number of maximal ideals ([10], p. 89). *n*

Thus the  $\binom{M}{n}$  equations  $L_j = \alpha_j^p$  intersect at a finite number of points. There is no solution to these equations at infinity. By Bezout's Theorem this number is at most  $p^{(M)}(6]$ , p. 670). 'Therefore  $\mathscr G$  is of order at most  $p^{(M)}$ .  $\mathscr G$  is a p-group of type  $(p, \ldots, p)$  since  $\mathscr G \subset A$ .

**3.6. Proposition.** Let  $F \subset A_k^{2n-1}$  be the variety defined by  $w_i^p = f_i(x_1, \ldots, x_n)$ ,  $1 \leq i \leq n-1$ . Then  $Cl(F)$  is a finite p-group of type  $(p, ..., p)$  of order  $p^N$  where  $N \leq {M \choose n}.$ 

*Proof.* By (1.2), (3.1), (3.2), and (3.3),  $Cl(F) \simeq \mathcal{L}$ . By (1.3b) an element is in  $\mathscr L$  if and only if  $D^{p-1}t - at = -t^p$ . By (2.1)  $t \in \mathscr L$  if and only if

3.6.1. 
$$
\mathcal{V}(f^{p-1}t) = (-1)^n t^p \text{ and } \mathcal{V}(f^Jt) = 0
$$

for all 
$$
J \in \mathbb{F}_p^{n-1}
$$
 with  $J \neq (p-1, \ldots, p-1)$ .

Thus  $\mathscr{L} \subset \mathscr{G}$ . Now use (3.5).

**3.7.** Lemma. Let  $f \in A$  be such that  $s^{-1}Ds \in A$ . Assume  $s = g'h$ , where  $g \in A$  is *irreducible,*  $r \neq 0 \pmod{p}$  *is a positive integer and*  $h \in A$  *is relatively prime to* g. Then  $g^{-1}Dg \in A$ .

*Proof.* Let  $t = s^{-1}Ds$ . Then  $st = Ds = rg^{r-1}hDg + g^rD(h)$ . Then g divides *rhDg* and hence *g* divides *Dg,*

#### §4. Examples

4.1. **Remark.** From the proof of  $(3.6)$  we see that the calculation of  $Cl(X)$  is equivalent to determining the number of solutions to a corresponding system of equations of the form

**4.1.1.**  $L_J = \alpha_J^p$ ,  $L_{J'} = 0$  where the J,  $J' \in \mathbb{F}_p^{n-1}$  and the  $L_J$  and  $L_{J'}$  are linear expressions in the  $\alpha_j$ .

[1] provides an algorithm for finding the number of solutions to such a *p*linear system of equations and a computer program for determining this number when the coefficients of the  $f_i$  belong to a finite field, so that the computation of *Cl(F)* in this case is a programmable process.

**4.2.** Remark. Let  $h_i$ ,  $1 \le i \le n - 1$ , be homogeneous elements of *A* of degree  $s_i$ 

with  $s_i \neq 0 \pmod{p}$ . If the  $h_i$  satisfy (\*), then for each pair  $(i, j)$  with  $i \neq j$ ,  $h_i$  and *hj* have no common factors in *A* and each *h<sup>t</sup>* has no multiple factors in *A.* Let  $X \subset A_k^{2n-1}$  be defined by the equations  $w_i^p = h_i(x_1, \ldots, x_n)$   $1 \le i \le n-1$ . The next example studies  $Cl(X)$ .

**4.3. Example.** By (4.2) each  $h_i = H_{i1} \cdots H_{ir_i}$ , where the  $H_{ij}$  are distinct irreducible homogeneous elements of A. Let  $D = J($ ,  $h_1, \dots, h_{n-1}$  and  $\mathscr L$  the group of logarithmic derivatives of *D* in *A*. Let  $h = h_1 \cdots h_{n-1}$  and *M*  $= deg \, h.$  Let  $t \in \mathcal{L}$ . By (3.6.1)

**4.3.1.** 
$$
\nabla (h^{p-1}t) = (-1)^n t^p.
$$

Assume that the lowest degree form of *t* is of degree s and the highest degree form of *t* is of degree *m.* Compare the lowest and highest degree forms on both sides of the equality in (4.3.1) we obtain  $ps \ge (p-1)M + s - n(p-1)$  and  $pm \leq (p-1)M + m - n(p-1)$ . Then  $m \leq M - n \leq s$  and hence t is homogeneous of degree  $M - n$ . Repeat the same argument used in the proof of (3.5) to obtain  $|Cl(X)| = p^s$  with  $s \leq {m-1 \choose n-1}$ 

Now assume that the  $h_i$  satisfy the additional condition that the variety  $Y \subseteq A_k^n$  defined by  $h_1 = \cdots = h_{n-1} = 0$  has a finite number of singularities. (When  $n = 2$ , this condition is implied by  $(*)$ .) For each pair  $(i, j)$ ,  $1 \le i \le n-1, \ 1 \le j \le r_i$ , let  $t_{ij} = H^{-1}_{ij} D(H_{ij})$ . By (3.7),  $t_{ij} \in \mathcal{L}$  for each  $(i, j)$ .

**4.3.2. Claim.** The  $t_{ij}$  are  $\mathbb{F}_p$ -independent.

Assume  $d_{ij} \in \mathbb{F}_p$  and  $\sum d_{ij} t_{ij} = 0$ . Let  $H = \prod H^{d_{ij}}_{ij}$ . Then  $DH = 0$ . Noting that  $Dh_i = 0(1 \le i \le n - 1)$ , we may assume that  $d_1r_1 = ... = d_{n-1}r_{n-1} = 0$ . By Euler's formula the determinant of the matrix

$$
\begin{bmatrix}\nD_1(H) & \cdots & D_{j-1}(H) & sH & D_{j+1}(H) & \cdots & D_n(H) \\
D_1(h_1) & \cdots & D_{j-1}(h_1) & s_1h_1 & D_{j+1}(h_1) & \cdots & D_n(h_1) \\
\vdots & \vdots & \vdots & \vdots & \vdots \\
D_1(h_{n-1}) & \cdots & D_{j-1}(h_{n-1}) & s_{n-1}h_{n-1} & D_{j+1}(h_{n-1}) & \cdots & D_n(h_{n-1})\n\end{bmatrix}
$$
\ncolumn j = -1

is 0 for each  $j = 1, \ldots, n$ , where  $s = deg(H)$ .

This shows that either  $s \equiv 0 \pmod{p}$  or *Y* has an infinite number of singularities satisfying the equation  $H_{1r_1} = \cdots = H_{n-1r_{n-1}} = 0$ . Thus  $s \equiv 0$ (mod *p).*

If some  $d_{ij} \neq 0$  we may assume without loss of generality that  $d_{11} \neq 0$ . Let  $H_0 = h_1^{p-d_{11}} H$ . Let H' be obtained from  $H_0$  by factoring out all p-th powers. Then  $deg(H') \equiv s_1 (p - d_{11}) \neq 0 \pmod{p}$  and the factors  $H_{11}$ ,

 $H_{2r_2}, \ldots, H_{n-1}$ , do not appear in *H'*. Repeat the above argument to obtain  $d_{ij} = 0$  for all  $2 \le i \le n - 1$  and that the exponent of  $H_{1r_1}$  in *H'* must also be 0. But this implies that  $p - d_{11} = 0$ . Contradiction!

Thus if we let *m* be the number of factors in  $h = h_1 \cdots h_{n-1}$  we have that the  $\binom{M-1}{n-1}$ 

**4.4. Remark.** When  $n = 2$ , (4.3) implies that  $r = m - 1$ , which was first proved in [8].

4.5. Example. Let  $f_i(x_n)$ ,  $g_i(x_n) \in k[x_n]$ ,  $1 \le i \le n - 1$ . Let  $f(x_n) = f_1(x_n) \cdots f_{n-1}$  $(x_n)$ . Assume  $f(x_n)$  has r distinct roots,  $\theta_1, \ldots, \theta_r$ . For each i, let  $F_i = x_i f_i(x_n)$ +  $x_n g_i(x_n)$ . Assume the  $F_i$  satisfy (\*). Let  $D = J($ ,  $F_1, \ldots, F_{n-1}$  and  $\mathscr L$  be the group of logarithmic derivatives of D in A. We will show that  $\mathscr L$  is of order  $p^r$ generated by the logarithmic derivatives,  $D(x_n - \theta_i)/(x_n - \theta_i)$ ,  $1 \le j \le r$  in A. Thus the group of Weil divisors of the variety defined by the equations,  $w_i^p$  $F_i$ ,  $1 \leq i \leq n-1$ , will be a direct sum of r copies of  $F_p$ .

Let  $t \in \mathcal{L}$ . Given  $h \in A$ ,  $deg_x(Dh) \leq deg_x(h)$ ,  $1 \leq i \leq n-1$ . Therefore  $deg_x(t) = 0$  for  $1 \le i \le n - 1$ . Thus  $t \in k[x_n]$ . Let  $\Delta$  be the *k*-derivation on  $k(x_1, \ldots, x_n)$  defined by  $\Delta = t^{-1}D$ . By Hochschild's formula ([11], pg. 64, (3.2)),  $A^p = A$ . Hence  $(A - (p - 1)I) \cdots (A - 2I)(A - I)A = A^p - A = 0$ , where *I* is the identity mapping of  $k(x_1, ..., x_n)$  into  $k(x_1, ..., x_n)$ . Clearly  $\Delta(x_n) \neq 0$ . Set  $y_1$  $y_1 = A(x_n), y_2 = (A - I)y_1, \ldots, y_p = (A - (p-1)I)y_{p-1}$  (= 0). First we observe that if  $x \in k(x_n)$  then  $\Delta(x) \in k(x_n)$ . Hence  $y_1, \ldots, y_{p-1} \in k(x_n)$ . Next we have that for some  $l = 2, ..., p - 1, y_{l-1} \neq 0$  and  $y_l = (A - (l - 1)I) y_{l-1} = 0$ . Therefore  $A(y_{l-1}) = (l-1)y_{l-1}$ , which implies that  $D(y_{l-1})/y_{l-1} = (l-1)t$ . Let q be the inverse of  $l - 1$  modulo p. Let  $y = y_{l-1}^q$ . Then  $D(y)/y = t$ . Thus we've shown that there exists  $y \in k(x_n)$  such that  $Dy/y = t$ . Multiplying y by an element of  $k[x_n^p]$ , if necessary, we may assume  $y \in k[x_n]$ .

Factor *y* into a product of linear factors,  $y = (x_n - \alpha_1)^{s_1} \cdots (x_n - \alpha_m)^{s_m}$  where  $\alpha_1, \ldots, \alpha_m \in k$  are pairwise distinct. If  $s_i \geq p$  for some  $s_i$ , then  $(x_n - \alpha_i)^{-p_y}$  will yield the same logarithmic derivative as y, so we may assume that  $1 \leq s_i \leq p-1$ for each  $s_i$ . By (3.7),  $D(x_n - \alpha_i)/x_n - \alpha_i \in \mathcal{L}$  for each  $i = 1, ..., m$ . But for each i,  $D(x_n - \alpha_i) = D(x_n) = (-1)^{n+1} f(x_n)$ . Therefore  $x_n - \alpha_i$  is a factor of  $f(x_n)$  in  $k[x_n]$ . We conclude that  $\alpha_i \in \{\theta_1, \dots, \theta_r\}$  for each  $i = 1, \dots, m$ . Thus t  $= D(y)/y = \sum_{i=1}^{m} s_i(D(x_n - \alpha_i)/(x_n - \alpha_i))$  belongs to the  $\mathbb{F}_p$ -space spanned by {*D*  $(x_n - \theta_i)/(x_n - \theta_i)$ :  $1 \le i \le r$ . These polynomials are easily seen to be  $\mathbb{F}_p$ independent. Thus  $\mathscr L$  has order  $p^r$ .

### §5. Purely Inseparable Covers of Dimension Two Factorial Domains

Let g,  $f_1, \ldots, f_{n-2} \in A = k[x_1, \ldots, x_n]$ , where k is algebraically closed of characteristic  $p \neq 0$ . Let  $D = J($ ,  $g, f_1, ..., f_{n-2})$ . Assume that the ideal P  $=(f_1,\ldots,f_{n-2})$  is a height  $n-2$  prime ideal in A. Let  $B=A/P$ . For  $f\in A$ , denote its image in *B* by  $\bar{f}$ . Then  $B = k[\bar{x}_1, ..., \bar{x}_n]$ . Let  $C = B^p[\bar{g}]$  $k[\bar{x}_1^p, \ldots, \bar{x}_n^p, \bar{g}]$ . Denote by  $\bar{L}$  and  $\bar{K}$  the quotient field of *B* and *C*, respectively. *D* will induce a *k*-derivation,  $\overline{D}$ , on  $\overline{L}$ . Throughout this section assume (\*\*) (See (0.10).). Let  $W \subseteq A_k^{n+1}$  be the variety defined by the equations  $f_1 = \cdots = f_{n-2} = w^p - g = 0.$ 

**5.1.** Lemma. (i)  $\overline{D}^{-1}(0) \cap B = C$ , (ii) C is isomorphic to the coordinate ring of *W*, (iii)  $\overline{L}$ :  $\overline{K}$ ] = p, (iv)  $\overline{D}(B)$  is not contained in any height one prime of B.

*Proof.* Consider the surjection  $\phi$ :  $A[w] \rightarrow C$  given by  $x_i \rightarrow \bar{x}_i^p$ ,  $1 \le i \le n$ , w  $\rightarrow \bar{g}$ , and  $\alpha \rightarrow \alpha^p$ , for all  $\alpha \in k$ . Then the ideal  $I \subseteq A[w]$  generated by  $f_1, \ldots, f_{n-2}$ ,  $w^p - g$  is contained in ker  $\phi$  and is a prime ideal of height  $n-1$ since  $\bar{g} \neq \bar{h}^p$  for any  $\bar{h} \in B$  by assumption. Since the dimension of C is 2, the height of ker  $\phi$  is  $n - 1$ . Thus  $A[w]/I \cong C$ , which proves (ii).

We have  $B^p \subseteq C \subseteq \overline{D}^{-1}(0) \cap B \subseteq B$  and  $[\overline{K} : \overline{L}^p] = p$ . By lemma  $(5.2)(below), [\overline{L}: \overline{L}^p] = p^2$ . Therefore C and  $\overline{D}^{-1}(0) \cap B$  have the same quotient field. By (ii) C is normal, which gives  $C = \overline{D}^{-1}(0) \cap B$ . Also  $[\overline{L} : \overline{K}] = [\overline{L} : \overline{L}^p]$  $/[\overline{K}:\overline{L}^p]=p.$  Hence (iii).

(iv) is immediate from the assumption on *W.*

5.2. Lemma. Let k be a perfect field of characteristic  $p \neq 0$ . Let A be a *finitely generated k-integral domain of dimension* 2. *Let B = A<sup>p</sup> . Then the degree of A over B is*  $p^2$ .

*Proof.*  $A = k[u_1, \ldots, u_n]$  for some  $u_i \in A$ . Then  $B = k[u_1^p, \ldots, u_n^p]$ . By Noether's normalization theorem there exists  $y_1, y_2 \in A$  such that A is separably algebraic over  $k[y_1, y_2]$  and  $y_1, y_2$  are algebraically independent over k. We then have the diagram of inclusions



Let L, L' be the quotient fields of A, B, respectively. Clearly  $[L: k(y_1, y_2)]$  $=[L': k(y_1^p, y_2^p)]$  and the result follows.

**5.3. Corollary.** Let C be as in (5.1).  $Cl(C) \cong \overline{\mathscr{L}}/\overline{\mathscr{L}}'$ , where  $\overline{\mathscr{L}}$  $=\{\bar{f}^{-1}\bar{D}(\bar{f})\in B\},\ \bar{\mathscr{L}}'=\{\bar{u}^{-1}D(\bar{u})\colon \bar{u}\ \text{is a unit in } B\}.$ 

*Proof.* Use (5.1) and (1.2).

Throughout the remainder of this section assume that each *f<sup>t</sup>* is homogeneous of degree  $s_i$ ,  $1 \le i \le n - 2$  and g is homogeneous of degree  $s \ne 0$ *n-2*  $\pmod{p}$ . Let  $M = s + \sum s_i$ .

**5.4. Lemma.** Let  $\bar{w} \in \bar{\mathscr{L}}$ . Then there exists homogeneous te A of degree M — n *such that*  $\bar{t} = \bar{w}$ .

*Proof.* Let  $w \in A$  be a representative of  $\overline{w}$  of minimal degree. Let  $deg(w)$  $d = d$ . Then  $w = \sum_{j=0}^{d} w_j$ , where  $w_j \in A$  is homogeneous of degree j. Note  $\bar{w}_d \neq 0$ by minimality. Let  $a \in A$  be such that  $D^p = aD$ . Then  $\overline{D}^p = \overline{a}\overline{D}$ . By (1.3)  $\overline{D}^{p-1}(\overline{w}) - \overline{a}\overline{w} + \overline{w}^p = 0$ . Then  $\sum_{j=0}^{d} (D^{p-1}(w_j) - aw_j + w_j^p) \in P$ . *P* being homogeneous implies that  $w_d \in P$  or  $D^{p-1}(w_j) - aw_j + w_d^p \in P$  for some  $j = 0, 1, ..., d$ with  $deg(D^{p-1}w_j - aw_j) = deg(w_i^p)$ . (Note if h is homogeneous of degree r, then  $D^{p-1}h - ah$  is homogeneous of degree  $(p-1)(M-n) + r$  or  $D^{p-1}h - ah$ = 0.) Since  $\bar{w}_d \neq 0$ , it must be that  $pd = (p - 1)(M - n) + j$  for some j  $= 0, 1, \ldots, d$ . Then  $pd \le (p-1)(M-n) + d$ , which implies that  $d \le M-n$ .

**5.4.1.** The two sets,  $\{j: \overline{D}^{p-1} \overline{w}_j - \overline{a} \overline{w}_j \neq 0\}$  and  $\{j: \overline{w}_j \neq 0\}$ , have the same number of elements since  $\sum_{j=0}^d \overline{D}^{p-1} \overline{w}_j - \overline{a} \overline{w}_j + \overline{w}_j^p = 0$  and *P* is homogeneous.

This shows that  $\overline{D}^{p-1}\overline{w}_d - \overline{a}\overline{w}_d \neq 0$ . (Note  $\overline{w}_{j=0} \Rightarrow \overline{D}^{p-1}\overline{w}_j - \overline{a}\overline{w}_j = 0$ .) Therefore  $D^{p-1}w_d - aw_d$  and  $w_d^p$  have the same degree and  $\overline{D}^{p-1}\overline{w}_d - \overline{a}\overline{w}_d + \overline{w}_d^p$ **\_ d-l \_** = 0. Thus  $\bar{w}_d \in \overline{\mathscr{L}}$  by (1.3). Then  $\bar{w}-\bar{w}_d=\sum \bar{w}_j \in \overline{\mathscr{L}}$ . Repeat the same argument beginning with (5.4.1) to obtain  $\bar{w}_j \in \bar{\mathscr{L}}$ ,  $j = 1, ..., d$ . If  $\bar{w}_j \neq 0$  then this implies that  $D^{p-1}w_j - aw_j$  and  $w_j^p$  have the same degree, but this is only possible if  $j = M - n$ . Thus it must be that  $d = M - n$ ,  $\bar{w}_j = 0$  for  $j < M - n$ and  $\bar{w} = \bar{w}_d$ .

**5.5. Lemma.** Let  $\bar{w} \in \bar{\mathcal{L}}$ . Then there exists homogeneous  $y \in A$  such that  $\bar{y} \neq 0$ *and*  $\bar{y}^{-1}\bar{D}(\bar{y}) = \bar{w}$ .

*Proof.* By (5.4) we may assume w is homogeneous of degree  $M - n$ .  $\overline{A}$  $=\overline{w}^{-1}\overline{D}$ . By (\*\*),  $\overline{D}(\overline{x}_r) \neq 0$  for some  $r = 1, ..., n$ . Let  $\overline{y}_1 = \overline{A}(\overline{x}_r)$ . For

 $2 \le j \le p - 1$ , let  $\bar{y}_j = \bar{A}(\bar{y}_{j-1}) - (j - 1)\bar{y}_{j-1}$ . Then for some  $j$ ,  $\bar{y}_j^{-1}D(\bar{y}_j) = (j - 1)\bar{y}_j$  $(-1)\bar{w}$  by ([11], pg 64), proof of (3.2)). Note also that  $\bar{y}_i$  is of the form  $\bar{v}^{-1}\bar{u}$ where u,  $v \in A$  are homogeneous with  $deg(u) = deg(v) + 1$ . Multiply  $\bar{y}_i$  by  $\bar{v}^p$  to obtain a homogeneous  $h \in A$  such that  $\bar{h}^{-1}\bar{D}(\bar{h}) = (j-1)\bar{w}$ . Choose  $m \in \mathbb{F}_p$  such that  $m(j - 1) = 1$ . Then  $y = h^m$  has the desired property.

**5.6. Remark.** Assume that *B* is a unique factorization domain and that  $\bar{y} \in B$  is irreducible homogeneous such that  $\bar{w} = \bar{y}^{-1} \bar{D}(\bar{y}) \in \bar{\mathscr{L}}$  and  $\bar{w} \neq 0$ . Then

$$
\det \begin{bmatrix}\n\bar{x}_1 \bar{D}_1(\bar{y}) & \bar{D}_2(\bar{y}) & \cdots & \bar{D}_n(\bar{y}) \\
\bar{x}_1 \bar{D}_1(\bar{g}) & \bar{D}_2(\bar{g}) & \cdots & \bar{D}_n(\bar{g}) \\
\vdots & \vdots & \ddots & \vdots \\
\bar{x}_1 \bar{D}_1(\bar{f}_{n-2}) & \bar{D}_2(\bar{f}_{n-2}) & \cdots & \bar{D}_n(\bar{f}_{n-2})\n\end{bmatrix}
$$
\n
$$
= \det \begin{bmatrix}\n e\bar{y} & \bar{D}_2(\bar{y}) & \cdots & \bar{D}_n(\bar{y}) \\
\bar{s}\bar{g} & \bar{D}_2(\bar{g}) & \cdots & \bar{D}_n(\bar{g}) \\
\vdots & \vdots & \ddots & \vdots \\
\bar{s}_{n-2} \bar{f}_{n-2} & \bar{D}_2(\bar{f}_{n-2}) & \cdots & \bar{D}_n(\bar{f}_{n-2})\n\end{bmatrix}
$$

by Euler's formula, where  $e = deg(y)$ .

Therefore  $\bar{x}_1 \bar{w} \bar{y} = e \bar{y} M_{11} + s \bar{g} M_{21}$ , where  $M_{11}$  and  $M_{21}$  are the cofactors of  $e\bar{y}$  and  $s\bar{g}$  in the matrix. Thus  $\bar{y}$  divides  $\bar{g}$  or  $M_{21}$  (recall  $s \neq 0$ ). Similarly, if  $\bar{y}$  does not divide  $\bar{g}$ , then  $\bar{y}$  divides  $M_{2j}$ ,  $1 \le j \le n$ .

Let  $\Delta_j$  ( $1 \le j \le n$ ) be the derivation on B defined by  $\Delta_j =$ 

$$
\det \begin{bmatrix} D_1 & \cdots & D_{j-1} & D_{j+1} & \cdots & D_n \\ D_1(f_1) & D_{j-1}(f_1) & D_{j+1}(f_1) & \cdots & D_n(f_1) \\ \vdots & \vdots & \vdots & & \vdots \\ D_1(f_{n-2}) & D_{j-1}(f_{n-2}) & D_{j+1}(f_{n-2}) & \cdots & D_n(f_{n-2}) \end{bmatrix}
$$

Then  $\bigcap \Delta_i^{-1}(0) \cap B = B^p$  since  $\bar{g} \notin \bigcap \Delta_i^{-1}(0) \cap B$  by (5.1). Also  $\bar{y}^{-1} \Delta_i(\bar{y}) \in B$  for  $1 \leq j \leq n$ . At this point, in order to arrive at a definitive

description of  $Cl(W)$  analogous to ([1], page 398, (3.2)), a condition must be added to (\*\*) to exclude the possibility that  $\bar{y}^{-1} \Delta_i(\bar{y}) \notin B$  ( $1 \leq j \leq n$ ). Hence

5.7. Theorem. *Suppose B is a unique factorization domain and that g factors in B into a product of q +* 1 *distinct prime elements. Assume that either*

- (i) for each  $i = 1, ..., n$ ,  $\bar{x}_i \notin B^p$  and the variety defined by the equations  $w^p x_i$  $=f_1 = \cdots = f_{n-2} = 0$  in  $A_k^{n+1}$  defines a unique factorization domain, or
- (ii)  $\text{End}_C(B) = B[G]$ , where  $B[G]$  denotes the C-subalgebra of  $\text{End}_C[B]$ *generated by B and*  $G = \langle \Delta_1, \ldots, \Delta_n \rangle$ .

*Then the divisor class group of W is a direct sum of q copies of*  $\mathbb{Z}/p\mathbb{Z}$ .

Some preliminary lemmas are required.

**5.8.** Lemma. *Assume that B is a unique factorization domain and that g factors in B into a product of q* + 1 *distinct prime elements. Then there exists homogeneous polynomials*  $g_1, \ldots, g_{q+1} \in A$  such that the decomposition of  $\bar{g}$  in B *into prime elements is given by*  $\bar{g} = \bar{g}_1 \cdots \bar{g}_{q+1}$ *.* 

*Proof.* Suppose  $\bar{g} = \bar{w}_1 \bar{w}_2$  for some  $\bar{w}_1$ ,  $\bar{w}_2 \in B$ . We'll show that we may choose the representatives  $w_1$ ,  $w_2$  so that they are homogeneous in A. Let  $w_1$  $= u_0 + \cdots + u_d$ ,  $w_2 = v_0 + \cdots + v'_d$ , where  $u_i$ ,  $v_i$  denote the forms of  $w_1$ ,  $w_2$  of degree *i* and *j*, respectively. Then  $g - w_1 w_2 \in P$ . Let  $r = deg(g)$ . Then  $\sum_{i+j=e} u_i v_j \in P$  for all  $0 \le e \le d + d'$  with  $e \ne r$ . Let  $i_0$  be minimal such that  $u_{i_0} \notin P$  and  $j_0$  be minimal such that  $v_{j_0} \notin P$ . Let  $i_0 + j_0 = m$ . Then  $\sum_{i+j=m} \bar{u}_i \bar{v}_j$  $\overline{u}_i \overline{v}_i \neq 0$ , which shows that  $m = r$  and  $\overline{g} = \overline{u}_{i_0} \overline{v}_{j_0}$ .

5.9. Lemma.  $\mathscr{L}'=0$ .

*Proof.* Let  $\bar{w} \in \bar{\mathscr{L}}'$ . By (5.5), there exists a homogeneous element  $h \in A$ such that  $\bar{h} \neq 0$  and  $\bar{h}^{-1} \bar{D}(\bar{h}) = \bar{w}$  in *B*. Also by definition of  $\bar{\mathscr{L}}'$  there is a unit  $\bar{u}$  in *B* such that  $\bar{u}^{-1}\bar{D}(\bar{u}) = \bar{w}$ . Let  $\bar{v} = \bar{u}^{-1}$ . Then  $\bar{D}(\bar{v}\bar{h}) = 0$ . Thus by (5.1),  $p-1$   $p-1$  $\bar{v}\bar{h} = \sum_{j=0}^{n} \bar{\alpha}_j^p \bar{g}^j (\alpha_j \in A)$ . Let  $v \in A$  be a preimage of  $\bar{v}$ . Then  $vh - \sum_{j=0}^{n} \alpha_j^p g^j \in P$ . Write  $v = \sum_{i=0}^{r} v_i$  with  $v_i$  the form of *v* of degree *i*. *v* being a unit implies  $v_0 \neq 0$ . Since *h* and *g* are homogeneous and *P* is a homogeneous ideal and *P-I*  $deg(g) \neq 0 \pmod{p}$ , we see by comparing lowest degree forms of *vh* and  $\sum_{j=0} \alpha_j^p g^j$ that for some  $\beta \in A$  and  $j = ,..., p - 1, v_0 h - \beta^p g^j \in P$ . Therefore  $\bar{v}_0 \bar{h} \in C$ . Since  $v_0$ (hence  $\bar{v}_0 \in k$ ,  $\bar{h} \in C$  and  $\bar{w} = \bar{h}^{-1} D(\bar{h}) = 0$  by (5.1).

*Proof of theorem* (5.7): Continuing with (5.6), we have  $\bar{y}^{-1} \Delta_i(\bar{y}) \in B$  for  $1 \leq j \leq n$ . Since  $\overline{D}(\overline{y}) \neq 0$ ,  $\Delta_i(\overline{y}) \neq 0$  for some *j*. If we assume (i), then either the divisor class group of the variety defined by the equations  $w^p - x_j = f_1 = \cdots$  $=f_{n-2}$  is not trivial or  $\bar{y}$  is a unit in *B* by (1.2), which contradicts the irreducibility of  $\bar{y}$  in *B*. If we assume (ii) then either  $Cl(B^p) \neq 0$  or  $\bar{y}$  is a unit in *B* by theorem ([4], page 93, (17.4)). Thus in either case,  $\bar{y}$  is a factor of  $\bar{g}$ .

Let  $\bar{g} = \bar{g}_1 \cdots \bar{g}_{q+1}$  be a decomposition of  $\bar{g}$  in B into prime elements. Then by (3.7) and the above argument we have that the logarithmic derivatives  $\bar{g}_i^{-1} \bar{D}(\bar{g}_i) \in B$  (and hence  $\bar{\mathscr{L}}$ ) and they generate  $\bar{\mathscr{L}}$ . Note  $\sum_{i=1}^{s+1} \frac{D(\bar{g}_i)}{\bar{g}_i} = \frac{D\bar{g}}{\bar{g}}$ = 0. Therefore  $\{\bar{g}_i^{-1}\bar{D}(\bar{g}_i): 1 \le i \le q\}$  generate  $\bar{\mathscr{L}}$  over  $\mathbb{F}_p$ . We will now show

that they are  $\mathbb{F}_n$ -independent.

Suppose  $e_i \in \mathbb{F}_p$ ,  $1 \le i \le q$  are such that  $\sum_{i=1}^3 e_i \overline{g_i}^{\{-1\}} \overline{D}(\overline{g_i}) = 0$ . By (5.8) we may assume that the representative  $g_i \in A$  of  $\bar{g}_i \in B$  is homogeneous  $(1 \le i \le q)$ + 1). Let  $H = g_1^{e_1} \cdots g_q^{e_q}$ . Then  $\overline{D}(\overline{H}) = 0$ , which implies by (5.1) that  $\overline{L}^p$  $\subset \overline{L}^p(\overline{H}) \subset \overline{L}^p(\overline{g})$ . If  $\overline{H} \in \overline{L}^p$  then  $e_i = 0 \pmod{p}$ ,  $1 \le i \le q$  and we're done. Otherwise  $\overline{L}^p(\overline{H}) = \overline{L}^p(\overline{g})$  which implies there exists  $\alpha_i \in A$  ( $0 \le i \le q$ ) such *P-I* that  $\bar{\alpha}_p^p \bar{H} = \sum_{i=0}^{\infty} \bar{\alpha}_i^p \bar{g}^i$ . Since *H*, *g* are homogeneous elements and *P* a homogeneous ideal we may assume that the  $\alpha_i$  are homogeneous polynomials as well. Since  $deg(\alpha_i^p g^i) = i(deg(g)) \pmod{p}$  and  $deg(g) \neq 0 \pmod{p}$ , it follows  $\overline{\alpha}_p^p \overline{H}$  $\overline{a} = \overline{\alpha}_i^p \overline{g}^i$  for some  $i = 0, ..., p - 1$ . This implies that if  $i \neq 0$   $\overline{g}_{q+1} \in B^p$ , which contradicts (5.1). Thus  $i = 0$  and  $\overline{H} \in \overline{L}^p$ .

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