

# On the Bifurcation Set of a Polynomial Function and Newton Boundary

By

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## §1. Introduction

Let  $f: \mathbb{C}^n \rightarrow \mathbb{C}$  be a polynomial function. It is well known that there exists a finite set  $\Gamma \subseteq \mathbb{C}$ , such that  $f: \mathbb{C}^n \setminus f^{-1}(\Gamma) \rightarrow \mathbb{C} \setminus \Gamma$  is a locally trivial fibration (see [1], [5], [13], [15], [16]). The smallest such set  $\Gamma$  we call the *bifurcation set*, denoted by  $B_f$  (in [1], [2] it is called the set of *atypical values*). Since the map  $f$  is not proper, the set  $B_f$  contains besides the set  $\Sigma_f$  of all critical values of  $f$  perhaps some other points (the “critical values at infinity” or “critical values of second type” [12]). There are some special cases when the polynomial has no critical values at infinity (hence  $B_f = \Sigma_f$ ): Pham [13] and Fedoryuk [4] have imposed lowerbound conditions for  $\|\text{grad } f(x)\|$  for large values of  $\|x\|$ , Kouchnirenko has proved in [6] for convenient polynomials with nondegenerate Newton principal part at infinity, Broughton [1], [2] for “tame” polynomials and the first author [8], [9] for the larger class of “quasitame” polynomials.

In this note we give an *explicit* set  $S_f$ , such that  $B_f \subseteq \Sigma_f \cup S_f$ . More precisely, let  $\text{grad } f(z) = \left( \frac{\partial f}{\partial z_1}(z), \dots, \frac{\partial f}{\partial z_n}(z) \right)$ . We denote by  $\mathcal{M}(f)$  the *Milnor set* of the polynomial  $f$ , namely

$$\mathcal{M}(f) = \{z \in \mathbb{C}^n; \text{ there exists } \lambda \in \mathbb{C} \text{ such that } \text{grad } f(z) = \lambda z\}.$$

We define the set  $S_f$  by:

$$S_f = \left\{ c \in \mathbb{C}; \text{ there exists a sequence } \{z^k\}_k \subseteq \mathcal{M}(f) \text{ such that } \right. \\ \left. \lim_{k \rightarrow \infty} \|z^k\| = \infty \text{ and } \lim_{k \rightarrow \infty} f(z^k) = c \right\}$$

In the second section we prove:

**Theorem 1.** *Let  $f: \mathbb{C}^n \rightarrow \mathbb{C}$  be a polynomial map. Then  $B_f \subseteq \Sigma_f \cup S_f$ .*

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In the third section we consider the Newton nondegenerate case. In this situation, the set  $S_f$  can be determined very easy and explicitly. Namely, let  $f = \sum_{\nu \in \mathbb{N}^n} a_\nu z^\nu$  be a polynomial of  $n$  variables (where  $\nu = (\nu_1, \dots, \nu_n)$  and  $z^\nu = z_1^{\nu_1} \cdots z_n^{\nu_n}$  as usual) with  $f(0)=0$ . As in [6], [11] we denote  $\text{supp}(f) = \{\nu \in \mathbb{N}^n; a_\nu \neq 0\}$ ,  $\overline{\text{supp}(f)}$  = the convex closure in  $\mathbb{R}^n$  of  $\text{supp}(f)$ ,  $\tilde{\Gamma}_-(f)$  = the convex closure of  $\{0\} \cup \text{supp}(f)$ ,  $\tilde{\Gamma}(f)$  = the union of the closed faces of the polyhedron  $\tilde{\Gamma}_-(f)$  which do not contain the origin. If  $\Delta \subseteq \tilde{\Gamma}(f)$  is a closed face, we note  $f_\Delta(z) = \sum_{\nu \in \Delta} a_\nu z^\nu$  and we say that  $f$  is nondegenerate on  $\Delta$  if the system of equations  $\frac{\partial f_\Delta}{\partial z_1}(z) = \dots = \frac{\partial f_\Delta}{\partial z_n}(z) = 0$  has no solutions in  $(\mathbb{C}^*)^n$ . We say that  $f$  is *Newton nondegenerate* if for every compact face  $\Delta$  of  $\tilde{\Gamma}(f)$ ,  $f$  is nondegenerate on  $\Delta$ . By definition,  $f$  is *convenient* if the intersection of  $\text{supp}(f)$  with each coordinate axis is non-empty.

A closed face  $\Delta \subseteq \overline{\text{supp}(f)}$  is called *bad* if:

- (i) the affine subvariety of dimension =  $\dim \Delta$  spanned by  $\Delta$  contains the origin, and
- (ii) there exists a hyperplane  $H \subseteq \mathbb{R}^n$  with equation  $a_1 x_1 + \dots + a_n x_n = 0$  (where  $x_1, \dots, x_n$  are the coordinates in  $\mathbb{R}^n$ ) such that
  - a) there exist  $i$  and  $j$  with  $a_i < 0$  and  $a_j > 0$
  - b)  $H \cap \overline{\text{supp}(f)} = \Delta$ .

We can express more geometrically the condition (iia) by saying that the hyperplane  $H$  intersects the interior of the positive octant  $(\mathbb{R}_+)^n$ .

Let  $\mathcal{B}$  denote the set of bad faces of  $\overline{\text{supp}(f)}$ . If  $\Delta \in \mathcal{B}$  we define:

$$\Sigma_\Delta = \{f_\Delta(z^0); z^0 \in (\mathbb{C}^*)^n \text{ and } \text{grad } f_\Delta(z^0) = 0\}.$$

It is clear that  $\Sigma_\Delta \subseteq \Sigma_{f_\Delta}$ , hence  $\Sigma_\Delta$  is a *finite set*.

We have the following:

**Theorem 2.** *Suppose that  $f$  is not convenient, Newton nondegenerate and  $f(0)=0$ . Then  $B_f \subseteq \Sigma_f \cup \{0\} \cup \bigcup_{\Delta \in \mathcal{B}} \Sigma_\Delta$ .*

In the convenient case,  $B_f = \Sigma_f$  (see [6], [2]).

In the last section of this note there are some remarks. Also, for  $n=2$ , we compare our Theorem 2 with the result of Hà and Lê, which gives  $B_f$  in terms of the Euler-characteristic of the fibers  $f^{-1}(c)$  (see [5]). In particular, for  $n=2$ , our formula is the best possible (see Proposition 6).

### § 2. Proof of Theorem 1

We need the following lemma, which is a direct consequence of the definitions:

**Lemma 3.** *Let  $D \subseteq \mathbf{C} \setminus S_f$  be a closed disc. Then  $f^{-1}(D) \cap \mathcal{M}(f)$  is bounded.*

For  $a, b \in \mathbf{C}^n$  we note  $\langle a, b \rangle = \sum_{j=1}^n a_j \bar{b}_j$ . For  $R \in (0, \infty)$  we put  $S_R = \{z \in \mathbf{C}^n; \|z\| = R\}$  and  $B_R = \{z \in \mathbf{C}^n; \|z\| \leq R\}$ .

Now for the proof of the theorem we fix  $c \in \mathbf{C} \setminus (\Sigma_f \cup S_f)$  and  $D$  a small open disc centered at  $c$ , with the closure  $\bar{D} \subseteq \mathbf{C} \setminus (\Sigma_f \cup S_f)$ . Let  $R \in (0, \infty)$  be sufficiently large such that  $f^{-1}(D) \cap \mathcal{M}(f) \cap \{z \in \mathbf{C}^n; \|z\| \geq R\} = \emptyset$  (this is possible by Lemma 3) and such that  $S_R$  meets transversally  $f^{-1}(c')$  for all  $c' \in D$  (also possible if  $D$  is small enough). It follows that  $\text{grad} f(z)$  and  $z$  are  $\mathbf{C}$ -linearly independent vectors for all  $z \in A$ , where  $A = f^{-1}(D) \cap \{z \in \mathbf{C}^n; \|z\| \geq R\}$ , and therefore we can find a smooth vector field  $v_1(z)$  on  $A$  such that  $\langle v_1(z), z \rangle = 0$  and  $\langle v_1(z), \text{grad} f(z) \rangle = 1$ .

Let  $\varepsilon > 0$  be such that for every  $R' \in [R, R + \varepsilon]$  and for every  $d \in D$ , we have  $f^{-1}(d) \cap S_{R'}$ . Since  $D \cap \Sigma_f = \emptyset$ , the fibration theorem of Ehresmann gives that the restriction  $f : (f^{-1}(D) \cap B_{R+\varepsilon}, f^{-1}(D) \cap S_{R+\varepsilon}) \rightarrow D$  is a locally trivial fibration with the fiber  $F = f^{-1}(c) \cap B_{R+\varepsilon}$ , a smooth manifold with boundary  $\partial F = f^{-1}(c) \cap S_{R+\varepsilon}$ . Hence there exists a diffeomorphism  $\psi : (F \times D, \partial F \times D) \rightarrow (f^{-1}(D) \cap B_{R+\varepsilon}, f^{-1}(D) \cap S_{R+\varepsilon})$  such that  $f \circ \psi$  is the projection onto  $D$ . Thus the vector field  $w : F \times D \rightarrow T(F \times D) = TF \times TD$ ,  $w(z, d) = ((z, 0), (d, 1))$  will give a vector field  $v_2$  on  $f^{-1}(D) \cap B_{R+\varepsilon}$  such that  $\langle v_2(z), \text{grad} f(z) \rangle = 1$  for every  $z$ . Glueing together  $v_1$  and  $v_2$ , we obtain a vector field  $v$  on  $f^{-1}(D)$  such that  $\langle v, \text{grad} f \rangle = 1$  and such that for every  $z$  with  $\|z\| \geq R + \varepsilon$ , we have  $\langle v(z), z \rangle = 0$ . Now using the solutions of the differential equation  $\frac{dz}{dt} = v(z)$  we obtain that the restriction  $f : f^{-1}(D) \rightarrow D$  is a trivial fibration.

### § 3. Proof of Theorem 2

We need a version of Curve Selection Lemma from Milnor's book [7]. This seems to be well known. For a proof, we refer the reader to [10].

**Lemma 4.** (Curve Selection Lemma) *Let  $f_1, \dots, f_q, g_1, \dots, g_s, h_1, \dots, h_r \in \mathbf{R}[X_1, \dots, X_m]$  be polynomial functions with real coefficients. Let  $U = \{x \in \mathbf{R}^m; f_i(x) = 0, i = 1, \dots, q\}$  and  $W = \{x \in \mathbf{R}^m; g_i(x) > 0, i = 1, \dots, s\}$ . Suppose that there exists a sequence  $\{x^k\} \subseteq U \cap W$  such that  $\lim_{k \rightarrow \infty} \|x^k\| = \infty$  and for all  $j \in \{1, \dots, r\}$ ,  $\lim_{k \rightarrow \infty} h_j(x^k) = 0$ . Then there exists a real analytic curve  $p : (0, \varepsilon) \rightarrow U \cap W$  with  $\lim_{t \rightarrow 0} \|p(t)\| = \infty$ ,  $\lim_{t \rightarrow 0} h_j(p(t)) = 0$  for  $1 \leq j \leq r$  and of the form  $p(t) = at^\alpha + a_1 t^{\alpha+1} + \dots$  with  $a \in \mathbf{R}^m \setminus \{0\}$  and  $\alpha < 0$ .*

Using Theorem 1 and Curve Selection Lemma, it is sufficient to prove the following :

If  $f$  is a Newton nondegenerate polynomial with  $f(0)=0$  and if  $p(t) \in \mathcal{M}(f)$  is an analytic curve such that

$$(1) \quad \lim_{t \rightarrow 0} \|p(t)\| = \infty \quad \text{and} \quad \lim_{t \rightarrow 0} f(p(t)) \in \mathbf{C}$$

then  $\lim_{t \rightarrow 0} f(p(t)) \in \Sigma_f \cup \{0\} \cup \bigcup_{A \in \mathcal{A}} \Sigma_A$ .

To prove this we consider the expansions

$$(2) \quad \begin{cases} p(t) = at^\alpha + a_1 t^{\alpha+1} + \dots \\ f(p(t)) = bt^\beta + b_1 t^{\beta+1} + \dots \\ \text{grad } f(p(t)) = ct^\gamma + c_1 t^{\gamma+1} + \dots \end{cases}$$

and the identity

$$(3) \quad \frac{df(p(t))}{dt} = \left\langle \frac{dp}{dt}, \text{grad } f(p(t)) \right\rangle.$$

The condition  $p(t) \in \mathcal{M}(f)$  means that there exists an analytic curve  $\lambda(t) \in \mathbf{C}$  such that for every  $t$ , we have

$$(4) \quad \text{grad } f(p(t)) = \lambda(t)p(t).$$

If  $\text{grad } f(p(t)) \equiv 0$ , the identity (3) shows that  $f(p(t))$  is constant with respect to  $t$ , namely  $f(p(t)) \in \Sigma_f$ .

So we can suppose that  $\text{grad } f(p(t)) \not\equiv 0$ . Similarly,  $f(p(t)) \not\equiv 0$ , since otherwise by derivation  $\lambda(t) \cdot \left\langle \frac{dp}{dt}, p \right\rangle = 0$  which is in contradiction with  $\text{grad } f(p(t)) \not\equiv 0$  and  $\left\langle \frac{dp}{dt}, p \right\rangle \not\equiv 0$ . From (4) we get also  $\lambda(t) \not\equiv 0$ . Let  $\lambda(t) = \lambda_0 t^\delta + \lambda_1 t^{\delta+1} + \dots$  be the expansion of  $\lambda(t)$ , where  $\lambda_0 \neq 0$ . From (1) we can assume that  $a \neq 0$ ,  $\alpha < 0$ ,  $b \neq 0$ ,  $\beta \geq 0$  and  $c \neq 0$ . Using (4), the scalar product  $\langle a, c \rangle \neq 0$ , hence from the expansions (2) and the formula (3) we get that  $\gamma + \alpha - 1 \geq 0$  and thus  $\gamma > 0$ .

Renumbering the coordinates, if necessary, we may assume that  $p(t) = (p_1(t), \dots, p_n(t)) = (w_1^0 t^{\nu_1} + w_1^1 t^{\nu_1+1} + \dots, \dots, w_k^0 t^{\nu_k} + w_k^1 t^{\nu_k+1} + \dots, 0, \dots, 0)$ , where  $w_1^0 \neq 0, \dots, w_k^0 \neq 0$  and  $\alpha = \nu_1 \leq \nu_2 \leq \dots \leq \nu_k$ . Identifying  $\mathbf{R}^k$  with  $\{x \in \mathbf{R}^n; x_{k+1} = \dots = x_n = 0\}$  we have  $\text{supp}(f) \cap \mathbf{R}^k \neq \emptyset$  since  $f(p(t)) \not\equiv 0$ .

Consider the continuous function  $l_\nu(x) = \sum_{j=1}^k \nu_j x_j$  on  $\mathbf{R}^n$ . Let  $\Delta$  be the unique face of  $\overline{\text{supp}(f)} \cap \mathbf{R}^k$  where the restriction  $l_\nu : \overline{\text{supp}(f)} \cap \mathbf{R}^k \rightarrow \mathbf{R}$  takes the minimal value, say  $d$ , and let  $m \in (-\infty, 0)$  be such that

$$m < \min\{l_\nu(x); x \in \overline{\text{supp}(f)}\}.$$

Then  $f(p(t)) = f_\Delta(w_0^0) t^d + \dots$ , and for  $j=1, \dots, k$ ,  $\frac{\partial f}{\partial z_j}(p(t)) = \frac{\partial f_\Delta}{\partial z_j}(w_0^0) t^{d-\nu_j} + \dots$ , where  $w^0 = (w_1^0, \dots, w_k^0, 1, \dots, 1)$ .

If  $d > 0$ , we have  $\lim_{t \rightarrow 0} f(p(t)) = 0$ .

If  $d = 0$  and  $\nu_k \leq 0$ , then  $f(z_1, \dots, z_k, 0, \dots, 0)$  does not depend on  $z_1$ , hence  $\frac{\partial f}{\partial z_1}(p(t)) \equiv 0$ , in contradiction with (4) and  $p_1(t) \neq 0$ .

If  $d = 0$  and  $\nu_k > 0$ , then for the hyperplane  $H \subseteq \mathbb{R}^n$  with equation  $\nu_1 x_1 + \dots + \nu_k x_k - m(x_{k+1} + \dots + x_n) = 0$  and the face  $\Delta$ , the condition (ii) is fulfilled. Thus if (i) is not fulfilled, then  $\Delta$  is not a bad face of  $\overline{\text{supp}(f)}$  and it follows that  $\Delta$  is a closed face of  $\tilde{I}(f)$ . By the nondegeneracy condition on  $\Delta$ , there exists  $l \in \{1, \dots, k\}$  such that  $\frac{\partial f_\Delta}{\partial z_l}(w^0) \neq 0$ . (We recall that  $f_\Delta(z)$  does not depend on the variables  $z_{k+1}, \dots, z_n$ .)

But this is in contradiction with the following lemma :

**Lemma 5.** *Let  $d, \Delta, w^0$  be defined as above. Suppose that  $d \leq 0$  and  $d \cdot f_\Delta(w^0) = 0$ . Then there exists no  $l \in \{1, \dots, k\}$  such that  $\frac{\partial f_\Delta}{\partial z_l}(w^0) \neq 0$ .*

*Proof of Lemma 5.* Suppose that there exists  $l \in \{1, \dots, k\}$  such that  $\frac{\partial f_\Delta}{\partial z_l}(w^0) \neq 0$ . By condition (4) and  $\gamma > 0$  we get that  $\delta + \nu_l = d - \nu_l > 0$ , hence  $\nu_l < 0$ . Let  $I = \{j; \nu_j = \nu_l\}$ . Again by (4) we have for  $j \in \{1, \dots, k\}$  :

$$j \in I \implies d - \nu_j = \delta + \nu_j \quad \text{and} \quad \frac{\partial f_\Delta}{\partial z_j}(w^0) = \bar{\lambda}_0 \bar{w}_j^0;$$

$$j \notin I \implies d - \nu_j < \delta + \nu_j, \quad \text{hence} \quad \frac{\partial f_\Delta}{\partial z_j}(w^0) = 0.$$

Thus, from the Euler relation for the weakly quasihomogeneous polynomial  $f_\Delta$ ,  $\sum_{j=1}^k \nu_j z_j \frac{\partial f_\Delta}{\partial z_j}(z) = d \cdot f_\Delta(z)$ , we obtain for  $z = w^0$  the absurd equality  $\nu_l \cdot \lambda_0 \sum_{j \in I} |w_j^0|^2 = 0$ . This ends the proof of Lemma 5.

It follows that (i) is also fulfilled and  $\Delta \in \mathcal{B}$ . By the above lemma,  $\frac{\partial f_\Delta}{\partial z_l}(w^0) = 0$  for all  $l \in \{1, \dots, k\}$  and thus we have  $\lim_{t \rightarrow 0} f(p(t)) = f_\Delta(w^0) \in \Sigma_\Delta$ .

It remains to consider the case  $d < 0$ . With this assumption it follows that  $\Delta$  is a closed face of  $\tilde{I}(f)$ . Since  $\beta \geq 0$  we get  $f_\Delta(w^0) = 0$ , hence the nondegeneracy condition on  $\Delta$  is in contradiction with the above lemma.

### § 4. Some Remarks

1. S. A. Broughton described in [1] and [2] the class  $\mathcal{T}$  of tame polynomials and proved that for a tame polynomial  $f$ , we have  $B_f = \Sigma_f$ .

In [8] and [9], the first author considered the class  $\mathcal{QT}$  of quasitame poly-

nomials and also proved that  $B_f = \Sigma_f$  for any quasitame polynomial  $f$ . If we denote by  $\mathcal{MT}$  the class of  $\mathcal{M}$ -tame polynomials, namely the polynomials  $f$  with  $S_f = \emptyset$ , then  $\mathcal{T} \subseteq \mathcal{QT} \subseteq \mathcal{MT}$ , the first inclusion being strict. We don't know if the second inclusion is an equality or not. For other interesting properties of these classes of polynomials see also [10].

2. Also in [8] and [9], the first author proved that  $B_f \subseteq A_f$ , where

$$A_f := \left\{ \begin{array}{l} c \in \mathbf{C}; \text{ there exists a sequence } \{z^k\}_k \in \mathbf{C}^n \text{ such that } \lim_{k \rightarrow \infty} \text{grad} f(z^k) = 0 \\ \text{and } \lim_{k \rightarrow \infty} (f(z^k) - \langle z^k, \text{grad} f(z^k) \rangle) = c \end{array} \right\}$$

It is not hard to prove that  $\Sigma_f \cup S_f \subseteq A_f$ , but in general we have no equality, hence the set  $\Sigma_f \cup S_f$  is a better approximation for  $B_f$ . Such an example is the polynomial  $f = x^5y^3 + x^5z^2 + x^{11}y^3z^2 + x$  which is Newton nondegenerate (hence  $\Sigma_f \cup S_f$  is a finite set) but  $A_f = \mathbf{C}$ . This follows by using an analytic curve  $(x(t), y(t), z(t)) \in \mathbf{C}^3$  with  $x(t) = t$ ,  $[z(t)]^2 = -\frac{5}{9}t^{-6} + \lambda t^{-5} + \mu t^{-4} + \dots$  and  $[y(t)]^3 = -\frac{5}{2}t^{-6} - \frac{81\lambda}{4}t^{-5} + \frac{9(4 - 90\mu - 891\lambda^2)}{40}t^{-4} + \dots$ .

3. Our conjecture is that for a Newton nondegenerate polynomial  $f$  with  $f(0) = 0$  we have  $\bigcup_{\Delta \in \mathcal{B}} \Sigma_\Delta \subseteq B_f$ ; for the general case of polynomials, we hope that  $S_f \subseteq B_f$ . But without a good description of the (all !) fibers  $f^{-1}(c)$  it seems that there exists no simple way to prove this.

However, for  $n = 2$  we have the following :

**Proposition 6.** *Let  $f \in \mathbf{C}[x, y]$  be a not convenient and Newton nondegenerate polynomial, not depending only of one variable, and such that  $f(0) = 0$ . Then*

$$B_f = \Sigma_f \cup \{0\} \cup \bigcup_{\Delta \in \mathcal{B}} \Sigma_\Delta$$

*Proof.* We prove this proposition in three steps.

**Step 1.**  $\Sigma_f \subseteq B_f$ . *The proof is clear.*

**Step 2.** *If  $f \in \mathbf{C}[x, y]$  is a not convenient polynomial with  $f(0) = 0$ , not depending only of one variable, then  $0 \in B_f$ .*

*Proof.* Since  $f$  is not convenient,  $f$  has the form  $f(x, y) = xg(x, y)$  (or  $f(x, y) = yg(x, y)$ , which case can be analysed similarly).

If  $g(0, 0) = 0$ , then  $0 \in \Sigma_f \subseteq B_f$ .

If  $g(0, 0) \neq 0$ , then  $g(x, y) = a_0 + a_1y + \dots + a_my^m + x\psi(x, y)$  with  $a_0 \neq 0$ .

Suppose that there exists  $a_i \neq 0, i \in \{1, \dots, m\}$ ; by an easy computation we obtain that  $0 \in \Sigma_f$ , hence  $0 \in B_f$ .

Suppose that  $a_i=0$  for all  $i=1, \dots, m$ ; hence  $f(x, y)=x(a_0+x\phi(x, y))$  and  $\phi(x, y)$  does depend on the variable  $y$ . The fiber  $f^{-1}(0)$  contains a connected component diffeomorphic to  $C$ . But the generic fiber  $f^{-1}(\lambda), \lambda \neq 0$ , doesn't contain any contractible component. To see this, suppose that  $T$  is a contractible component of  $f^{-1}(\lambda), \lambda \neq 0$ ; consider the projection  $\pi$  of  $T$  to the  $x$ -axis. Then the image  $\pi(T)$  is equal to  $C^* \setminus \{\text{finite number of points}\}$ ; hence  $T$  is a contractible (branched) covering of  $\pi(T)$  with finite fibers and  $\pi_1(\text{im } \pi) \neq 0$  and is free, contradiction. Consequently  $f^{-1}(0)$  and the generic fiber are not diffeomorphic, hence  $0 \in B_f$ .

**Step 3.** Let  $f \in C[x, y]$  be a not convenient and Newton nondegenerate polynomial, not depending only of one variable, and such that  $f(0)=0$ . If  $c \in \bigcup_{\Delta \in \mathcal{B}} \Sigma_\Delta \setminus (\Sigma_f \cup \{0\})$ , then  $c \in B_f$ .

*Proof.* Indeed, in this case the Euler-characteristic of the special fiber  $f^{-1}(c)$  and of the generic fiber  $f^{-1}(c_{\text{gen}})$  differ. The Euler-characteristic of a fiber  $f^{-1}(\lambda)$  can be computed by the following formula :

$$\chi(f^{-1}(\lambda)) + \#(\overline{f^{-1}(\lambda)} \cap H_\infty) = 2 - (d-1)(d-2) + \Sigma \mu_i(\lambda),$$

where  $\overline{f^{-1}(\lambda)}$  is the projective closure of  $f^{-1}(\lambda)$ ,  $H_\infty$  is the hyperplane at infinity and  $\Sigma \mu_i(\lambda)$  is the sum of the Milnor numbers of the singularities of  $\overline{f^{-1}(\lambda)}$  at infinity (see [5]).

We show that  $\Sigma \mu_i(c) > \Sigma \mu_i(c_{\text{gen}})$ . This follows from the followings two lemmas: the first one describes the singularities at infinity and the second one is applied for these singularities.

**Lemma 7.** Let  $f$  be as above and let  $d$  be the degree of  $f$  and  $F(x, y, z)$  the homogeneousated polynomial of  $f$ . Then only  $(1:0:0)$  and  $(0:1:0)$  can be singularities of  $\overline{f^{-1}(\lambda)}$  at infinity. If  $(1:0:0)$  is a singularity of  $\overline{f^{-1}(\lambda)}$  at infinity, then his equation is  $g(y, z) = F(1, y, z) - \lambda z^d = 0$  and the Newton polygon of this singularity can be obtained from the Newton diagram of  $f$  in the following way: let  $P, R, O$  be the points in the diagram of  $f$  with coordinates  $(d, 0), (0, d)$  and respectively  $(0, 0)$ . Then the origin in the diagram of  $g$  is  $P$ , the positive semiaxes are  $PR$  and  $PO$ , corresponding to the  $y$ -axis and respectively to the  $z$ -axis, and  $\overline{\text{supp}(g)}$  corresponds to  $\tilde{\Gamma}^-(f)$ . The nondegeneracy of  $f$  on the faces of  $\tilde{\Gamma}^-(f)$  means the nondegeneracy of  $g$  on the corresponding faces of  $\overline{\text{supp}(g)}$ . If  $\Delta$  is a bad face of  $\overline{\text{supp}(f)}$  giving rise to the face  $\bar{\Delta}$  of the Newton polygon of the singularity in  $0$  of  $g$ , then the values  $\lambda \in \Sigma_\Delta \setminus \{0\}$  are exactly the values of  $\lambda$  such that the conditions of nondegeneracy on  $\bar{\Delta}$  are not fulfilled.

**Lemma 8.** Let  $h \in C[x, y]$  be a convenient polynomial with  $\text{grad } h(0) = 0$  and let  $\Gamma$  be the Newton polygon of  $h$  in origin (see [6], [11] for the definition).

Suppose that  $h$  is nondegenerate on all the faces of  $\Gamma$ , excepting the 1-dimensional face  $\Delta$  of  $\Gamma$  which has an endpoint on the axis  $Ox$ , where the nondegeneracy condition is not fulfilled. Then the Milnor number  $\mu(h, 0)$  of  $h$  in 0 is strictly greater than the Newton number  $\nu(\Gamma)$ . (See [6], [11] for the definition of  $\nu(\Gamma)$ .)

We omit the proof of Lemma 7, since it is a straightforward verification. For the proof of Lemma 8, let  $(a, 0)$  and  $(b, c)$  be the coordinates of the terminal points of  $\Delta$ . We consider the covering  $\varphi: (\mathbb{C}^2, 0) \rightarrow (\mathbb{C}^2, 0)$ ,  $\varphi(x, y) = (x^c, y)$  and the singularity  $h'(x, y) = h(\varphi(x, y))$  with Newton polygon  $\Gamma'$ ; the relations (15) and (12) from [3] between  $\mu(h', 0)$  and  $\mu(h, 0)$ , respectively  $\nu(\Gamma)$  and  $\nu(\Gamma')$  enable us to suppose that  $c$  divides  $a - b$ . Let  $m = \frac{a-b}{c}$ . We have  $f_\Delta = \alpha x^b (y + \beta_1 x^m) \cdots (y + \beta_c x^m)$  with  $\alpha, \beta_1, \dots, \beta_c \in \mathbb{C}^*$ . The degeneracy condition on  $\Delta$  means that there exist  $i, j \in \{1, \dots, c\}$ ,  $i \neq j$ , such that  $\beta_i = \beta_j$ . Hence we can consider that  $f_\Delta = \alpha x^c (y + \beta_1 x^m)^r \cdot (y + \beta_{r+1} x^m) \cdots (y + \beta_c x^m)$  for some  $r \geq 2$ . We change the variables:  $\tilde{x} = x$ ,  $\tilde{y} = y + \beta_1 x^m$ . Then all the faces of  $\Gamma$ , excepting  $\Delta$ , will be faces of the new Newton polygon  $\Gamma''$  and  $\Gamma'' \supseteq \Gamma$ ,  $\Gamma'' \neq \Gamma$ . Now it is easy to see that  $\nu(\Gamma'') > \nu(\Gamma)$  and we finish the proof of Lemma 8 using Kouchnirenko's results [6].

Note that the analogue of Lemma 8 for polynomials with  $n \geq 3$  variables in general is not true (see Remarque 1.21 from [6]).

4. Our Proposition 6 (and the inequality from Step 3) can be compared with a result of Hà and Lê (which says that, for  $n=2$ , a bifurcation point  $\lambda$  is either critical point or  $\chi(f^{-1}(\lambda)) \neq \chi(f^{-1}(c_{\text{gen}}))$ ), and a result of M. Suzuki (which says that  $\chi(f^{-1}(\lambda)) \geq \chi(f^{-1}(c_{\text{gen}}))$ ), see [14], Théorème 1).

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