Commentarii Mathematici Helvetici

For a fixed Turaev shadow Jones-Vassiliev invariants depend polynomially on the gleams

Urs Burri

Abstract. We use Turaev's technique of shadows and gleams to parametrize the set of all knots in S^3 with the same Hopf projection. We show that the Vassiliev invariants arising from the Jones polynomial $J_t(K)$ are polynomials in the gleams, i.e., for $n \geq 2$, the n-th order Vassiliev invariant u_n, defined by $J_{e^x}(K) = \sum_{n=0}^{\infty} u_n(K) x^n$, is a polynomial of degree $2n$ in the gleams.

Mathematics Subject Classification (1991). 57M25.

Keywords. Vassiliev invariants, shadows, gleams, knots, Jones polynomial.

Introduction

In Turaev's seminal paper *Shadow links and face models of statistical mechanics* [T] knots and links in S^1-fibred 3-manifolds over oriented surfaces are presented by their Turaev shadows and gleams. Using these ideas we give an explicit parametrization $\mathcal{K}$, see Section 1, of the set of all knots in the 3-sphere S^3 which project under the Hopf map onto a fixed Turaev shadow s on the two-sphere. Let e be the number of double points of the Turaev shadow s. The map

$$\mathcal{K} : \mathbb{Z}^e \times \mathbb{Z} \longrightarrow \{K \subset S^3 \mid K \text{ knot with generic Hopf projection } s\}$$

may also be viewed as a suitable parametrization of the lattice of admissible gleams. In Theorem 3.3 we give an explicit formula for the second order Vassiliev invariant v_2. The map $v_2 \circ \mathcal{K} : \mathbb{Z}^e \times \mathbb{Z} \longrightarrow \mathbb{Z}$ is a polynomial of degree 4 given by

$$v_2 \circ \mathcal{K}(x_1, \ldots, x_e, x_f) = \sum_{i=1}^{e} \left(\frac{1}{2}(\alpha_i + \delta_i x_f)(x_i^2 - x_i) - \frac{1}{6} x_i(2x_i^2 - 3x_i + 1) + \mu_i x_i \right)$$

$$+ \sum_{1 \leq i < j \leq e} c_{ij} x_i x_j + \frac{1}{24} x_f(x_f - 1)(x_f + 1)(x_f + 2) + v_2(\mathcal{K}(0, \ldots, 0, 0))$$

where the coefficients are easily computable from s. As a by-product we obtain a formula for v_2 for standard knot diagrams on $\mathbb{R}^2$. The maybe best known Vassiliev invariants are related to the Jones polynomial $J_t(K) \in \mathbb{Z}[t, t^{-1}]$ of a knot K by expanding this Laurent polynomial, after the substitution $t = e^x$, into the power series $\sum_{n=0}^{\infty} u_n(K)x^n$. The main result of this paper is to give a constructive proof that the n-th order Vassiliev invariant u_n is a polynomial of degree $2n$ in the gleams.

Theorem 3.6. *The function*

$$u_n \circ \mathcal{K} : \mathbb{Z}^e \times \mathbb{Z} \longrightarrow \mathbb{Q}$$

is a polynomial of degree $2n$.

The first version of this paper was written in March '95. In June '95 two new results were obtained. Goussarov [G] showed that n-th order Vassiliev invariants are polynomials of degree $\leq 2n$ in the gleams. This proved a conjecture by O. Viro in 1990 that Vassiliev invariants depend polynomially on the gleams. Independently Shumakovitch [S] found a shadow formula for v_2 with very interesting coefficients.

1. The parametrization K

In this section we give an explicit parametrization $\mathcal{K}$ of the set of all knots in the 3-sphere S^3 which project under the Hopf map onto a fixed Turaev shadow s on the two-sphere. We identify S^3 with the unit sphere in $\mathbb{C}^2$ and the Hopf fibration is induced by the intersection with complex lines through the center. We denote the Hopf map by H. First we have to fix some additional data. Let s be the image of a generic immersion from S^1 to S^2, the fixed *Turaev shadow*. *Generic* means that the curve s has no triple points and no selftangency points. The closure of a connected component of the complement of the curve s is called *region*. The number of double points e and the number of regions r of the curve s are related by $r = e + 2$. After having fixed a region and an interior point, say ∞, of this region, we identify S^2 with $\mathbb{R}^2 \cup \{\infty\}$. We fix a disc $D \subset \mathbb{R}^2$ which contains s. In the solid torus $H^{-1}(D)$ we fix a normal cylinder N, homeomorphic to $D \times [0, \pi]$ and such that the Hopf fibres either do not intersect this cylinder or intersect N in vertical segments $d \times [0, \pi]$, $d \in D$. Knots in N which project onto s can be presented by standard knot diagrams with the usual over and under crossing information. For technical reasons we also fix an extra point P_f on s which is incident to the unbounded region and orient s in such a way that the unbounded region lies on the right hand side when we pass through P_f. In Figure 1, on the left hand side, we see a piece of a knot, passing through H^{-1}(small disc) and intersecting the oriented Hopf fibre over P. The processes of positive and negative *fibre fusions*, respectively, are indicated in the same figure.
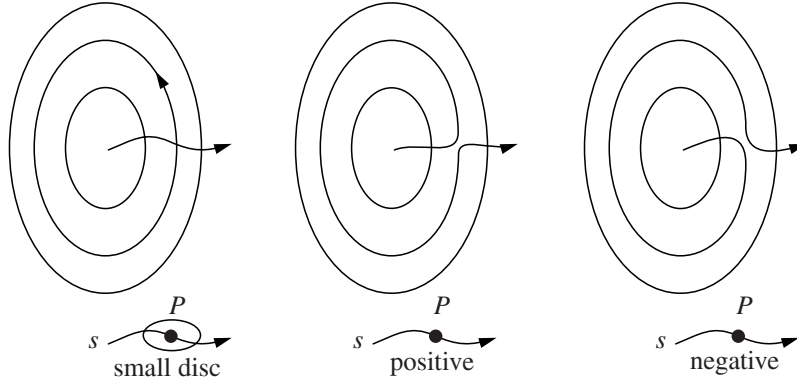
Figure 1

The point P we marked on s is also called *blowing-up point.* Given $n \in \mathbb{Z}$, to perform n fibre fusions in a blowing-up point P means to choose $|n|$ different points on s, very close to P, and to make for each of these points a positive or negative fibre fusion if n is positive or negative, respectively. Now we can define the map

$$\mathcal{K} : \mathbb{Z}^e \times \mathbb{Z} \longrightarrow \{K \subset S^3 \mid K \text{ knot with generic Hopf projection } s\}.$$

Let $\mathcal{K}(0, \dots, 0, 0)$ be the knot in N with negative crossings only. Now take this knot and perform the fibre fusions shown in Figure 2 near each double point P_i, $i \in \{1, \dots, e\}$ and in P_f to obtain the knot $\mathcal{K}(x_1, \dots, x_e, x_f)$.
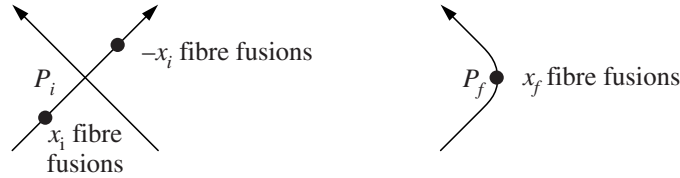

Figure 2

Note that this knot is well defined only up to *vertical isotopy*, i.e., isotopy where each point of the knot moves along the fibre. We will see in the next section that, up to vertical isotopy, the map $\mathcal{K}$ is surjective. This could also be shown directly using the vertical isotopies indicated in Figure 3.

Example. In Figure 4 we see the trivial curve s with no double point and the knot $\mathcal{K}(3)$ which projects under the Hopf map onto s. The sequence of knots $x_f \longmapsto \mathcal{K}(x_f)$ will be very important later. For $x_f \in \{-2, -1, 0, 1\}$ we obtain the
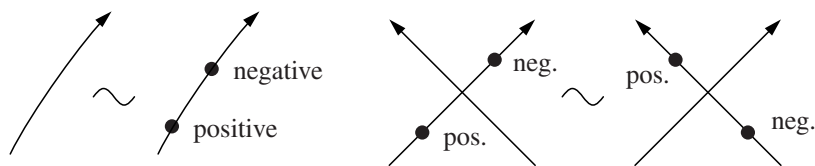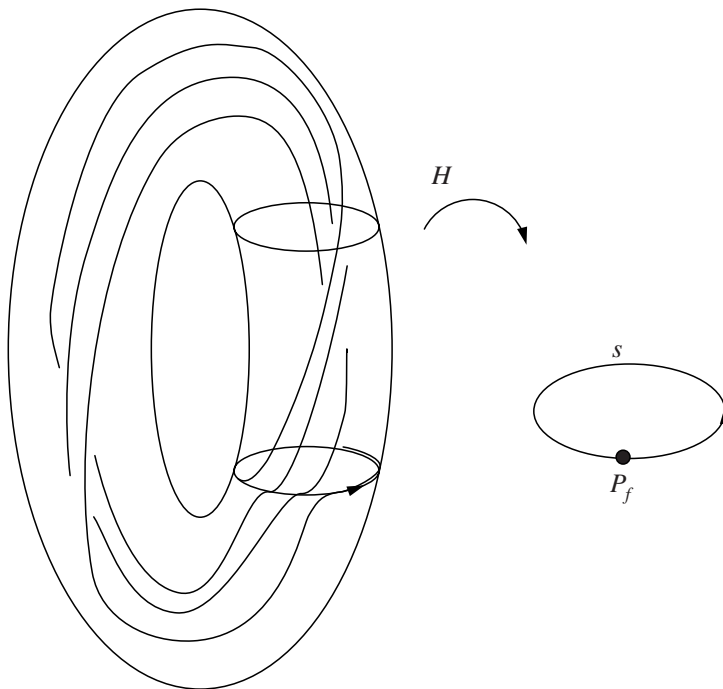
Figure 3

Figure 4

trivial knot, and for $x_f \geq 2$, $\mathcal{K}(x_f)$ is the torus knot $\mathcal{T}(x_f, x_f+1)$ which is isotopic to $\mathcal{K}(-1-x_f)$.

The map $\mathcal{K}$ has several important properties. The knots $\mathcal{K}(\ldots, x_i+1, \ldots, x_f)$ and $\mathcal{K}(\ldots, x_i, \ldots, x_f)$ differ by a positive crossing change. After the vertical isotopy indicated in Figure 5 it is sufficient to consider what happens inside $T_i := H^{-1}(D_i)$. We see that the loop can be moved back to the normal cylinder by a vertical isotopy.

This also shows that if $x_i \in \{0,1\}$ for all $i \in \{1, \ldots, e\}$, then, after a vertical isotopy, $\mathcal{K}(x_1, \ldots, x_e, 0)$ lies in N and $\mathcal{K}(x_1, \ldots, x_e, x_f) = \mathcal{K}(x_1, \ldots, x_e, 0) \# \mathcal{K}(x_f)$. For the sake of simplicity, we denote by $\mathcal{K}(\ldots, x_i + \frac{1}{2}, \ldots, x_f)$ the singular knot
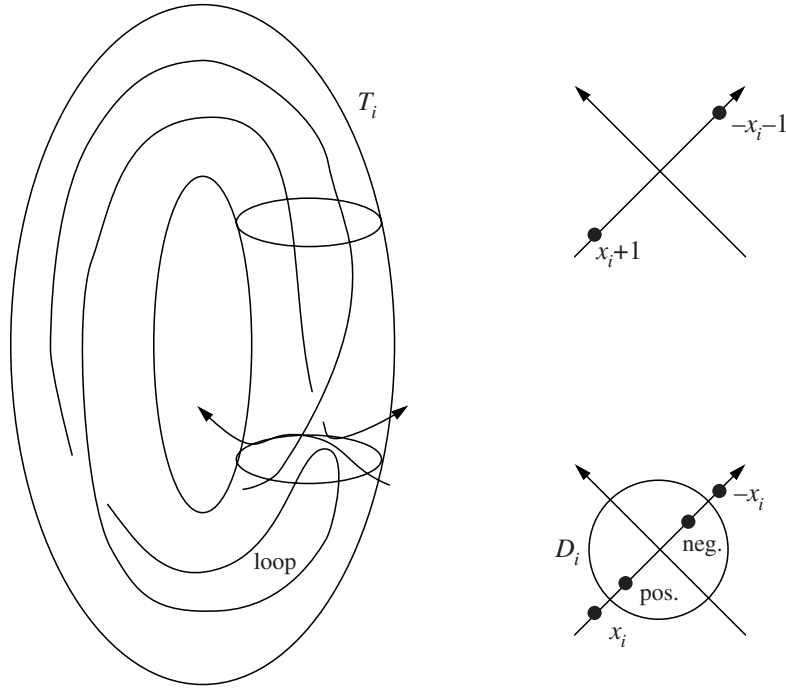
Figure 5

with positive and negative resolution $\mathcal{K}(\dots, x_i+1, \dots, x_f)$ and $\mathcal{K}(\dots, x_i, \dots, x_f)$, respectively.

2. Turaev's construction

We shall only describe Turaev's construction for the special case of links in S^3 which project under the Hopf map H to S^2. For generalizations and details we refer to [T]. We consider a link $L \subset S^3$ which generically projects under H to S^2. We can vertically isotop this link to L' such that any two pre-images of any double point lie opposite in the Hopf fibre. Let $q : S^3 \longrightarrow \mathbb{R}\mathrm{P}^3$ be the canonical two-sheeted covering and let h' be the circle bundle induced by the commutative diagram $H = h' \circ q$. The graph $q(L')$ is mapped bijectively onto $H(L)$ by h'. The inverse bijection $r : H(L) \longrightarrow q(L')$ is a section of h' over $H(L)$. The restriction of h' to a region X is a trivial bundle. We identify it with the projection $S^1 \times X \longrightarrow X$. Denote by α_X the degree of the composition of $r|_{\partial X} : \partial X \longrightarrow S^1 \times X$ with the projection $S^1 \times X \longrightarrow S^1$. This is the obstruction to extend $r|_{\partial X}$ over X. Let β_X be the number of corners (counted with multiplicities) of the region X. The *gleam* of X is defined to be $\frac{\beta_X - \alpha_X}{2}$. It is an integer, independent of the choice

of L' and the trivialisation of h'. The *total gleam* is defined by the sum of the gleams over all regions, minus twice the number of double points. Each double point contributes to 4 corners, so the total gleam equals $-\frac{1}{2}\sum_X \alpha_X$. The sum of the obstructions α_X can be identified with $\chi(h') = 2\chi(H) = -2$, where $\chi(\xi)$ is the Euler number of the two dimensional real vector bundle associated to the oriented circle bundle ξ. The Turaev shadow $H(L)$ together with its (integer) gleams is called the *shadow* of L and denoted by $S(L)$, its total gleam equals one. We call two shadows *equivalent* if they can be transformed to each other by a finite number of shadow moves, see Figure 6. The local gleams $x, y, z, \dots$ are arbitrary integers, but $0, 1$ and 2 play a distinguished role.
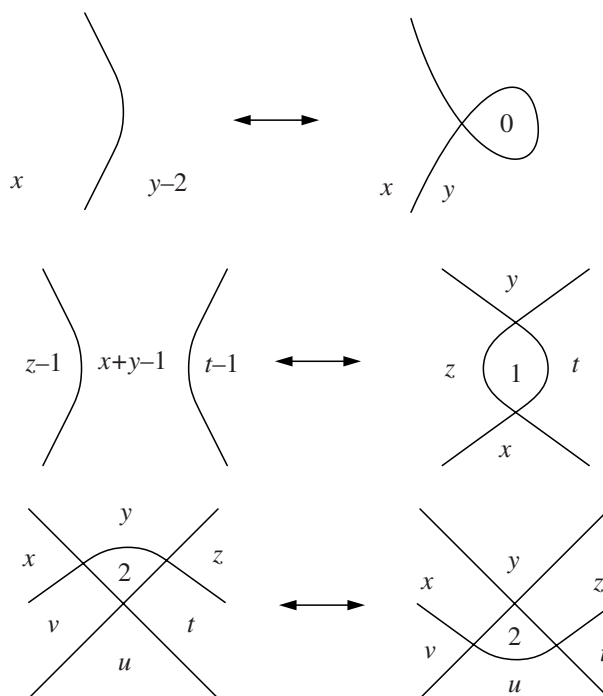

Figure 6

Theorem 2.1 (Turaev [T]). *Two generic links $L_1, L_2 \subset S^3$ are ambient isotopic if and only if their shadows $S(L_1), S(L_2)$ are equivalent. The map $L \longmapsto S(L)$ induces a bijective correspondence between the set of isotopy types of links in S^3 and equivalence classes of shadows.*

Note that there also exists an oriented version for this theorem, where the links and the corresponding Turaev shadows are oriented. The orientation of the fixed Turaev shadow s was chosen only for technical reasons. The proof of

this theorem shows that the set of links, which has as Hopf projection the same fixed generic collection of loops, is parametrized by the gleams. For the gleams there is only one restriction, the total gleam has to be equal to one. For the case of knots with Turaev shadow s, this *lattice of admissible gleams* is given by $\{(g_1, \dots, g_r) \in \mathbb{Z}^r \mid \sum_{j=1}^r g_j - 2e = 1\}$.

Theorem 2.2. *The map*

$$\mathcal{K} : \mathbb{Z}^e \times \mathbb{Z} \longrightarrow \{K \subset S^3 \mid K \text{ knot with generic Hopf projection } s\}$$

described above is surjective up to vertical isotopy.

Before we prove this theorem, let us study the gleams of $\mathcal{K}(x_1, \dots, x_e, x_f)$. The local gleams of $\mathcal{K}(0, \dots, 0, 0)$ are given by the following rule:
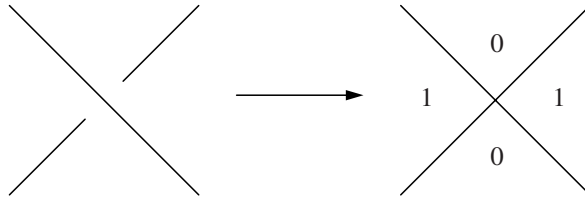

Figure 7

To obtain the gleam of a region we have to sum up these local gleams and we have to add one to the gleam of the unbounded region. Firstly we may assume that the normal cylinder N is exactly half of $H^{-1}(D)$ and secondly that for a knot in N the lower branch near a crossing point lies in the meridional disc $D \times \{0\}$ and the upper branch in $D \times \{\pi\}$. Then everything follows directly from the definition of the gleams. The local gleams of fibre fusions are shown on the left hand side of Figure 8. On the right hand side, we see the local gleams of the fibre fusions near the double point P_i.
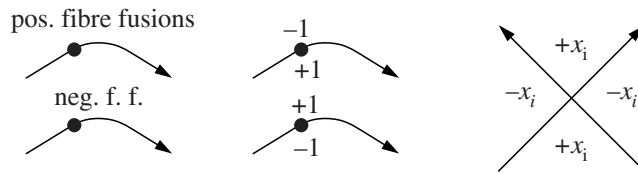

Figure 8

Proof of Theorem 2.2. We observe that we can realize all admissible gleams of s using the following trick:
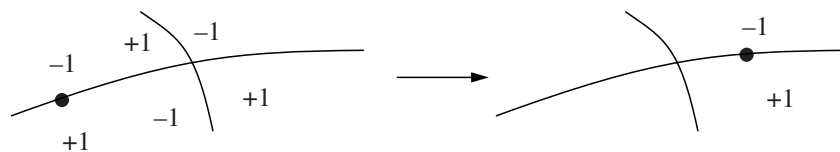
Figure 9

□

Moreover, for a fixed Turaev shadow s, the gleam of a knot of a region minus the gleam of $\mathcal{K}(0, \dots, 0, 0)$ of the same region is a $\mathbb{Z}$-linear combination of $x_1, \dots, x_e, x_f$. The transition matrix depends on s and on the choices of ∞ and P_f. This shows that a knot invariant I is a polynomial of degree m in the gleams if and only if $I \circ \mathcal{K}$ is a polynomial of degree m.

3. The main results

In this section we examine how knot invariants depend on the gleams. Given a $\mathcal{G}$-valued knot invariant I, where $\mathcal{G}$ is an abelian group, what does the map $I \circ \mathcal{K} : \mathbb{Z}^e \times \mathbb{Z} \longrightarrow \mathcal{G}$ look like?

As we will also deal with derivatives, i.e., finite differences, of functions defined on the lattice $\mathbb{Z}^e \times \mathbb{Z}$, we introduce the notation $\Delta_{x_j}(I \circ \mathcal{K})(\dots, x_j, \dots)$ for the difference $(I \circ \mathcal{K})(\dots, x_j + 1, \dots) - (I \circ \mathcal{K})(\dots, x_j, \dots)$.

We shall use the following result:

Theorem 3.1 (Birman, Lin [B-L]). *Let K be a knot and let $J_t(K)$ be its one-variable Jones polynomial. Let $U_x(K)$ be obtained from $J_t(K)$ by replacing the variable t by e^x. Using the power series expansion of e^x to express $U_x(K)$ as a power series in x:*

$$U_x(K) = \sum_{n=0}^{\infty} u_n(K)x^n .$$

Then $u_0(K) = 1$ and $u_n(K)$, $n \geq 1$ is a Vassiliev invariant of order n.

Example. We consider again the sequence of knots $x_f \mapsto \mathcal{K}(x_f)$. We try to examine how Jones–Vassiliev invariants depend on x_f. We use the fact that the one-variable Jones polynomial J_t of the torus knot $\mathcal{T}(p, p+1)$, $p \geq 2$, is given by the following formula of Jones [J]

$$J_t(\mathcal{T}(p, p+1)) = \frac{t^{p(p-1)/2}(1 - t^{p+1} - t^{p+2} + t^{2p+1})}{1 - t^2} .$$

We calculate:

$$J_t(\mathcal{T}(p, p+1)) = t^{p(p-1)/2}(t^p + \sum_{k=0}^{p-1}(t^k - t^{2k+1})) \ ,$$

and get, for $p \geq 2$, $U_x(\mathcal{T}(p, p+1)) = \sum_{n=0}^{\infty} u_n(p)x^n$, where

$$u_n(p) = \sum_{j=0}^{n} \frac{(p(p-1))^{n-j}}{2^{n-j}j!(n-j)!} \left(\sum_{k=0}^{p} k^j - \sum_{k=0}^{p-1}(2k+1)^j \right) \ .$$

The function u_n is a polynomial of degree $2n$ in p for $p \geq 2$. Extended to a polynomial defined on $\mathbb{Z}$, u_n has the symmetry $u_n(p) = u_n(-1-p)$, i.e., $u_n \circ \mathcal{K}$ is a polynomial in x_f of degree $2n$. As expected, we have $u_1(p) = 0$. For u_2 we get the nice expression $u_2(p) = -\frac{1}{8}p(p-1)(p+2)(p+1)$, and hence: $u_2(\mathcal{K}(x_f)) = -\frac{1}{8}x_f(x_f-1)(x_f+1)(x_f+2)$.

Theorem 3.2. *Let v_n be a $\mathcal{G}$-valued Vassiliev invariant of order n. For each choice of indices with $1 \leq i_1 < \ldots < i_n \leq e$ we have*

$$\Delta_{x_{i_1}}\Delta_{x_{i_2}} \ldots \Delta_{x_{i_n}}(v_n \circ \mathcal{K})(x_1, x_2, \ldots, x_e, x_f) = c_{i_1 i_2 \ldots i_n} \ ,$$

where $c_{i_1 i_2 \ldots i_n}$ is the value of v_n on an n-singular knot with the same chord diagram as the curve s, where only the chords corresponding to the double points $P_{i_1}, \ldots, P_{i_n}$ are considered.
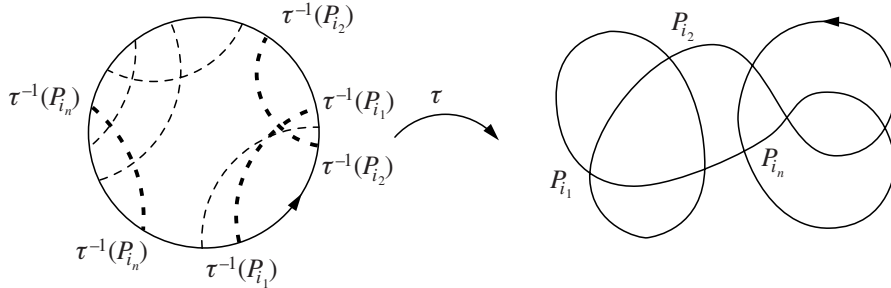
An example for $n = 3$ is shown in Figure 10:

Figure 10

Proof. Let $1 \leq i_1 < \ldots < i_n \leq e$. The n-singular knots $\mathcal{K}(\ldots, x_{i_1} + \frac{1}{2}, \ldots, x_{i_n} + \frac{1}{2}, \ldots, x_f)$ have the same chord diagram for all $x_1, \ldots, x_e, x_f \in \mathbb{Z}$. The value of

the n-th order Vassiliev invariant v_n is constant on these singular knots. On the other hand, using the Vassiliev skein relation, we get

$$v_n(\mathcal{K}(\dots, x_{i_1} + \frac{1}{2}, \dots, x_{i_n} + \frac{1}{2}, \dots, x_f)) =$$

$$\sum_{(\epsilon_{i_1},\dots,\epsilon_{i_n})\in\{0,1\}^n} (-1)^{n-(\epsilon_{i_1}+\dots+\epsilon_{i_n})} v_n(\mathcal{K}(\dots, x_{i_1} + \epsilon_{i_1}, \dots, x_{i_n} + \epsilon_{i_n}, \dots, x_f)) =$$

$$\Delta_{x_{i_1}} \dots \Delta_{x_{i_n}} (v_n \circ \mathcal{K})(x_1, x_2, \dots, x_e, x_f).$$

$$\square$$

Example. It is well known that the first order Vassiliev invariant v_1 is trivial. We want to check how strong our techniques are. We apply Theorem 3.2. It follows that for all $i \in \{1, \dots, e\}$ we have $\Delta_{x_i}(v_1 \circ \mathcal{K})(x_1, \dots, x_e, x_f) = 0$. Remember that v_1 of a 1-singular knot is zero, because the chord diagram has an isolated chord. This shows that $(v_1 \circ \mathcal{K})(x_1, \dots, x_e, x_f)$ is a function, let us say g, of x_f only. Now it is sufficient to find $x_1, \dots, x_e \in \{0, 1\}$ such that $\mathcal{K}(x_1, \dots, x_e, 0)$ is the trivial knot. Then

$$\mathcal{K}(x_1, \dots, x_e, x_f) = (\text{trivial knot}) \# \mathcal{K}(x_f),$$

which implies that $g(x_f)$ is determined by the values on the sequence $\mathcal{K}(x_f)$.

For the next theorem we need the following definition of $\mu_i, \delta_i, \alpha_i, c_{ij}$. Splicing the curve s in D_i as in Figure 11, we obtain two curves A_i and B_i and define $\mu_i := -\frac{1}{2}|A_i \cap B_i|$. This number is equal to the linking number of the two-component link obtained by splicing $\mathcal{K}(0, \dots, 0, \frac{1}{2}, 0, \dots, 0, 0)$, see Figure 11:
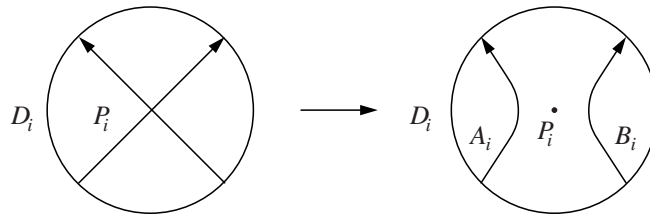

Figure 11

The integer δ_i is defined by $\delta_i := +1$ if $P_f \in B_i$ and $\delta_i := -1$ if $P_f \in A_i$. The coefficient α_i is defined to be the difference of the two winding numbers $\text{ind}(B_i, P_i)$
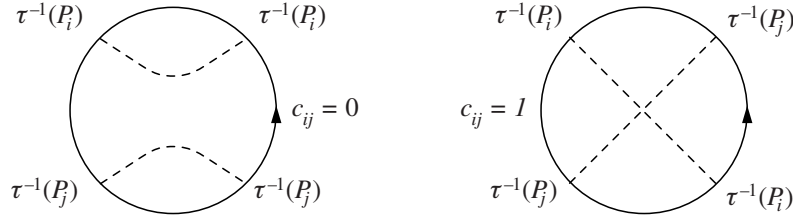
Figure 12

and $\mathrm{ind}(A_i, P_i)$. Let $\tau : S^1 \longrightarrow S^2$ be a parametrization of s. For $i \neq j$, c_{ij} is equal to zero or one according to Figure 12.

Let v_2 denote the second-order Vassiliev invariant which can be identified with the coefficient of z^2 of the Alexander-Conway polynomial $\nabla_K(z) \in \mathbb{Z}[z]$. We know that $v_2 = -\frac{1}{3}u_2$.

Theorem 3.3. *The function $v_2 \circ \mathcal{K} : \mathbb{Z}^e \times \mathbb{Z} \longrightarrow \mathbb{Z}$ is a polynomial of degree four given by:*

$$(v_2 \circ \mathcal{K})(x_1, x_2, \dots, x_e, x_f) = -\frac{1}{6}\sum_{i=1}^{e} x_i(2x_i^2 - 3x_i + 1) + \frac{1}{2}\sum_{i=1}^{e}(\alpha_i + \delta_i x_f)(x_i^2 - x_i)$$

$$+ \sum_{1 \leq i < j \leq e} c_{ij}x_i x_j + \sum_{i=1}^{e} \mu_i x_i + \frac{1}{24}x_f(x_f - 1)(x_f + 1)(x_f + 2) + v_2(\mathcal{K}(0, \dots, 0, 0)).$$

We obtain a formula for v_2 for standard knot diagrams on the plane. All knot diagrams on $\mathbb{R}^2$ with knot projection s can be encoded using $\mathcal{K}$. We put $x_f = 0$ and $x_i = 0$ or 1 if the i-th crossing is negative or positive, respectively.

Corollary 3.4. *The following formula for v_2 of standard knot diagrams holds true:*

$$v_2(\mathcal{K}(x_1, x_2, \dots, x_e, 0)) = \sum_{1 \leq i < j \leq e} c_{ij}x_i x_j + \sum_{i=1}^{e} \mu_i x_i + v_2(\mathcal{K}(0, \dots, 0, 0)),$$

where the last term can be calculated using the same formula, by choosing $x_1, \dots, x_e \in \{0, 1\}$ such that $\mathcal{K}(x_1, x_2, \dots, x_e, 0)$ is trivial.

Corollary 3.5. *The numbers c_{ij}, μ_i are related by*

$$\sum_{1 \leq i < j \leq e} c_{ij} + \sum_{i=1}^{e} \mu_i = 0\,.$$

Proof of Corollary 3.5. The knot $\mathcal{K}(1,\ldots,1,0)$ is the mirror image of $\mathcal{K}(0,\ldots,0,0)$, but v_2 cannot distinguish them, i.e., $v_2(\mathcal{K}(1,\ldots,1,0)) = v_2(\mathcal{K}(0,\ldots,0,0))$ and we are done. $\qquad\qquad\qquad\qquad\qquad\qquad\qquad\square$

Proof of Theorem 3.3. We fix $i \in \{1,\ldots,e\}$ and study the function

$$x_i \mapsto v_2(\mathcal{K}(0,\ldots,0,x_i,0,\ldots,0,0))\,.$$

We calculate
$$x_i \mapsto \Delta_{x_i} v_2(\mathcal{K}(0,\ldots,0,x_i,0,\ldots,0,0)) =$$

$$v_2(\mathcal{K}(0,\ldots,0,x_i+1,0,\ldots,0,0)) - v_2(\mathcal{K}(0,\ldots,0,x_i,0,\ldots,0,0))\,.$$

This difference is equal to the linking number of the two-component link obtained by splicing the singular knot $\mathcal{K}(0,\ldots,0,x_i+\frac{1}{2},0,\ldots,0,0)$ at the singular point. Since the linking number of a link is the sum of any set of crossing indices whose switchings unlink it, we can calculate this linking number explicitly. It consists of three terms. First we observe that inside $T_i := H^{-1}(D_i)$ we have the linked fibres from the x_i positive and negative fibre fusions we made near P_i. The first term of the linking number calculates the linking of these fibres, the second one measures how the curves A_i and B_i wind around T_i and the third one does not depend on x_i. We obtain

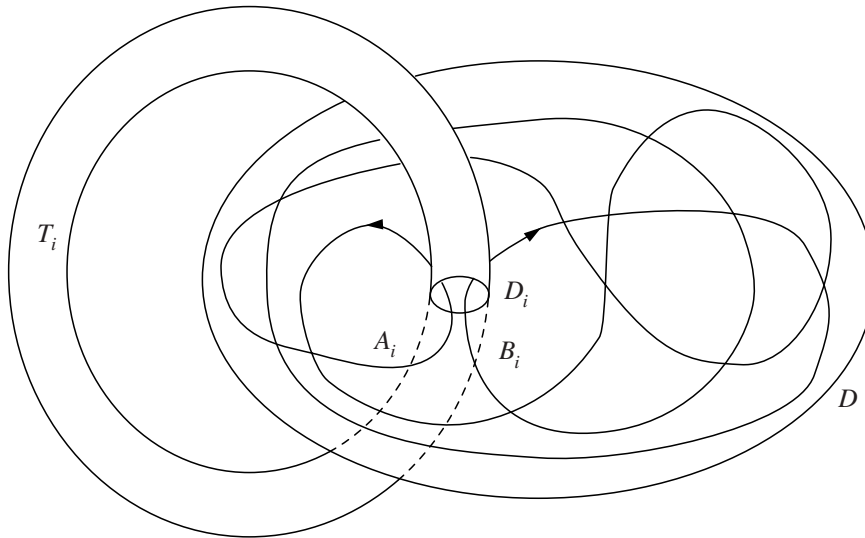$$-x_i^2 + \alpha_i x_i + \mu_i\ ,$$

see Figure 13:

Figure 13

Now we determine $\Delta_{x_i} v_2(\mathcal{K}(x_1, \dots, x_i, \dots, x_e, 0))$. The quadratic term $-x_i^2$ remains unchanged, because this contribution to the linking is determined by what happens inside the solid torus T_i. The astonishing thing is that the linear term $\alpha_i x_i$ as well remains unchanged. We give two independent proofs of this fact.

The first proof: The fibres of the fibre fusions near a double point P_j, $j \neq i$, are linked with the fibres in T_i. But there is no contribution to the linking which grows linearly in x_i, because one half of them is run through in the positive sense and the other half in the negative sense.

The second proof: Here we use the fact that v_2 is a Vassiliev invariant. Using Theorem 3.2, we know that for $j \neq i$, $1 \leq j \leq e$ we have

$$\Delta_{x_j} \Delta_{x_i} v_2(\mathcal{K}(x_1, \dots, x_i, \dots, x_e, 0)) = c_{ij} \, .$$

This means that the coefficient of the linear term does not depend on x_j.

The above implies

$$\Delta_{x_i} v_2(\mathcal{K}(x_1, \dots, x_i, \dots, x_e, 0)) = -x_i^2 + \alpha_i x_i + F_i(x_1, \dots, \widehat{x_i}, \dots, x_e, 0) \, ,$$

where $F_i(x_1, \dots, \widehat{x_i}, \dots, x_e, 0)$ does not depend on x_i. Now we let x_f run as well. The Hopf fibre over P_f is linked with the solid torus T_i, which implies

$$\Delta_{x_i} v_2(\mathcal{K}(x_1, \dots, x_i, \dots, x_e, x_f)) = -x_i^2 + (\alpha_i + \delta_i x_f) x_i + F_i(x_1, \dots, \widehat{x_i}, \dots, x_e, x_f).$$

For $j \neq i$, $1 \leq j \leq e$, the equation

$$\Delta_{x_j} \Delta_{x_i} v_2(\mathcal{K}(x_1, \dots, x_i, \dots, x_e, x_f)) = c_{ij}$$

implies that

$$F_i(x_1, \dots, \widehat{x_i}, \dots, x_e, x_f) = c_{i1}x_1 + \dots + \widehat{c_{ii}x_i} + \dots + c_{ie}x_e + h_i(x_f) \, ,$$

where h_i depends on x_f only. We integrate and get

$$x_i \mapsto v_2(\mathcal{K}(x_1, \dots, x_i, \dots, x_e, x_f)) = -\frac{1}{6}x_i(2x_i^2 - 3x_i + 1) + \frac{1}{2}(\alpha_i + \delta_i x_f)(x_i^2 - x_i)$$

$$+ c_{i1}x_1 x_i + \dots + \widehat{c_{ii}x_i x_i} + \dots + c_{ie}x_e x_i + h_i(x_f)x_i + G_i(x_1, \dots, \widehat{x_i}, \dots, x_e, x_f) \, ,$$

where G_i is the integration constant. But this result holds for every $i \in \{1, \dots, e\}$. We obtain

$$(v_2 \circ \mathcal{K})(x_1, x_2, \dots, x_e, x_f) = -\frac{1}{6} \sum_{i=1}^{e} x_i(2x_i^2 - 3x_i + 1) + \frac{1}{2} \sum_{i=1}^{e} (\alpha_i + \delta_i x_f)(x_i^2 - x_i)$$

$$+ \sum_{1 \leq i < j \leq e} c_{ij}x_i x_j + \sum_{i=1}^{e} h_i(x_f)x_i + g(x_f) \, .$$

It remains to determine h_i and g. We have

$$v_2(\mathcal{K}(0,\dots,0,x_f)) = g(x_f)\,.$$

Furthermore we know that $\mathcal{K}(0,\dots,0,x_f) = \mathcal{K}(0,\dots,0,0)\#\mathcal{K}(x_f)$ and that v_2 is additive under connected sum, which implies that

$$g(x_f) = v_2(\mathcal{K}(0,\dots,0,0)) + \frac{1}{24}x_f(x_f-1)(x_f+1)(x_f+2)\,.$$

From

$$\mathcal{K}(0,\dots,0,1,0,\dots,0,x_f) = \mathcal{K}(0,\dots,0,1,0,\dots,0,0)\#\mathcal{K}(x_f)\,,$$

and

$$v_2(\mathcal{K}(0,\dots,0,1,0,\dots,0,x_f)) = h_i(x_f) + g(x_f)\,,$$

we find

$$h_i(x_f) = v_2(\mathcal{K}(0,\dots,0,1,0,\dots,0,0)) - v_2(\mathcal{K}(0,\dots,0,0)) = \mu_i\,.$$

$$\square$$

Theorem 3.6. *Let us define u_n as in Theorem 3.1. The function*

$$u_n \circ \mathcal{K} : \mathbb{Z}^e \times \mathbb{Z} \longrightarrow \mathbb{Q}$$

is a polynomial of degree $2n$.

Proof. The proof is by induction. We need the following result:

Proposition 3.7 (Birman, Lin [B-L])**.** *Let K_+, K_-, K_∞ be knots which are defined by immersions which agree everywhere except near a single crossing. Let λ be the linking number of the 2-component link K_0. Then for every $n \geq 2$ the following formula holds:*

$$u_n(K_+) - u_n(K_-) = u_{n-1}(K_-) - u_{n-1}(K_\infty) + \frac{1 + (3\lambda)^n - (3\lambda+1)^n}{n!}$$

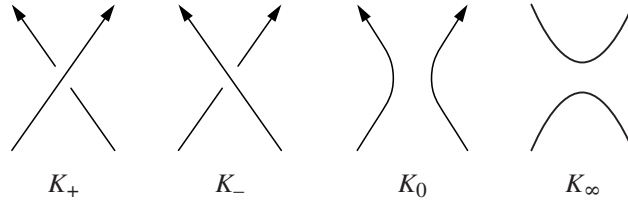$$+ \sum_{j=2}^{n-2} \frac{u_j(K_-) + u_j(K_\infty)[(3\lambda)^{n-j} - (3\lambda+1)^{n-j}]}{(n-j)!}\,.$$

Figure 14

First we translate this formula into our language. The four immersions K_+, K_-, K_0, K_∞, which agree everywhere near a single crossing, are shown in Figure 14.

Let us consider the singular knot $K := K(x_1, \ldots, x_i + \frac{1}{2}, \ldots, x_e, x_f)$. It is easy to see that $K_+ = \mathcal{K}(x_1, \ldots, x_i + 1, \ldots, x_e, x_f)$ and $K_- = \mathcal{K}(x_1, \ldots, x_i, \ldots, x_e, x_f)$. We already encountered K_0 in the proof of Theorem 3.3. It follows that $\lambda = \Delta_{x_i} v_2(\mathcal{K}(x_1, \ldots, x_i, \ldots, x_e, x_f)) =$

$$-x_i^2 + (\alpha_i + \delta_i x_f)x_i + c_{i1}x_1 + \ldots + \widehat{c_{ii}x_i} + \ldots + c_{ie}x_e + \mu_i \, .$$

For K_∞ the situation is somewhat more difficult, but with the help of the map $\mathcal{K}$ we will be able to describe K_∞. We define $\mathcal{K}^{s_i}$ as before but, instead of the curve s, we now use the curve s_i obtained from the curve s by making an ∞-splice at the double point P_i. The double points $P_1, \ldots, \widehat{P_i}, \ldots, P_e$ of s_i have the same names as those of s. The orientation is chosen in such a way that s and s_i run through P_f in the same sense. There are two possibilities for the orientations of the branches in D_i, see Figure 15:
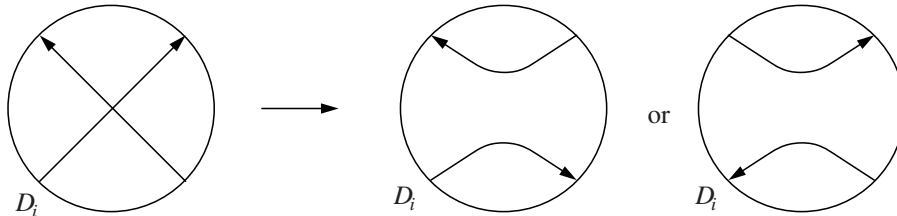

Figure 15

We shade two of the four local regions at any double point as shown in Figure 16 and compare these shadings of s and s_i in the example shown in Figure 17.

For $j \in \{1, \ldots, e\} \setminus \{i\}$, we define $\overline{x_j}$ as x_j if the two shadings in P_j coincide and as $1 - x_j$, if they are opposite. A good approximation for K_∞ is given by

$$\mathcal{K}^{s_i}(\overline{x_1}, \ldots, \widehat{x_i}, \ldots, \overline{x_e}, x_f) \, ,$$
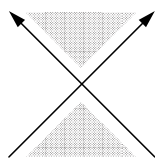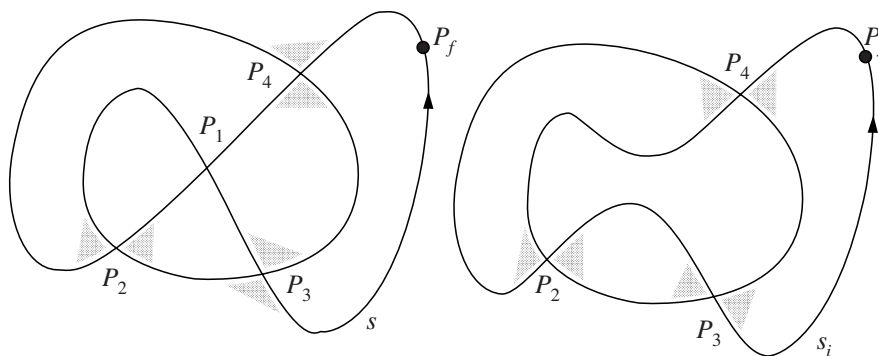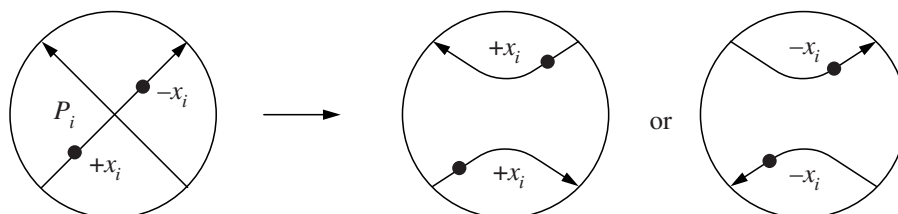
Figure 16

Figure 17

Figure 18

but the effect of the fibre fusions indicated in Figure 18 has not yet been taken into account.

We can do $\pm 2x_i$ more fibre fusions in P_f and move them towards D_i using the trick in Figure 9. We give an example for the same curve as before. In Figure 19 we indicate that we have to take the following entries for $\mathcal{K}^{s_1}$ to get K_∞:

$$K_\infty = \mathcal{K}^{s_1}(\widehat{x_1}, x_2, 1 - x_3 + x_1, 1 - x_4 + x_1, x_f + 2x_1) .$$

With this choice, the gleams of corresponding regions on the left and right hand side coincide, see Figure 19.

Now we are ready to prove the theorem for $n = 3$. For $i \in \{1, \ldots, e\}$ we have

$$\Delta_{x_i} u_3(\mathcal{K}(x_1, \ldots, x_e, x_f)) = u_2(\mathcal{K}(x_1, \ldots, x_e, x_f)) - u_2(K_\infty) + \frac{1 + (3\lambda)^3 - (3\lambda + 1)^3}{3!} .$$
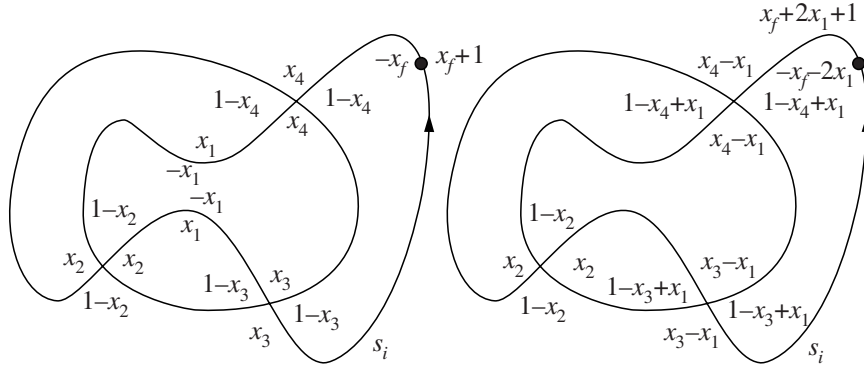
Figure 19

So $\Delta_{x_i}(u_3 \circ \mathcal{K})$ is a polynomial of degree ≤ 4 in $x_1, \ldots, x_f$. We conclude that, up to an integration constant $G_i(x_1, \ldots, \widehat{x_i}, \ldots, x_e, x_f)$, the map $x_i \longmapsto (u_3 \circ \mathcal{K})$ is a polynomial of degree ≤ 5 in $x_1, \ldots, x_f$. But this is true for all $i \in \{1, \ldots, e\}$, which implies that $u_3 \circ \mathcal{K}$ is a polynomial of degree ≤ 5 in $x_1, \ldots, x_f$ plus a function, let us say $g_{(3)}$, which depends on x_f only. We choose $x_1, \ldots, x_e \in \{0, 1\}$ such that the knot $\mathcal{K}(x_1, \ldots, x_e, 0)$ is trivial. For this choice of $x_1, \ldots, x_e$ we get: $(u_3 \circ \mathcal{K})(x_1, \ldots, x_e, x_f) = u_3(\mathcal{K}(x_f)) = $ a polynomial of degree ≤ 5 in x_f plus $g_{(3)}(x_f)$. This implies that $g_{(3)}$ is a polynomial of degree 6 in x_f, because we have shown in the first example of this section, that $u_3(\mathcal{K}(x_f))$ is a polynomial of degree 6 in x_f.

Let us suppose now that for all $m \leq n$ the map $u_m \circ \mathcal{K}$ is a polynomial of degree $2m$. We want to show that $u_{n+1} \circ \mathcal{K}$ is a polynomial of degree $2(n+1)$. Using the induction hypothesis and the result in Proposition 3.7 we see at once that for all $i \in \{1, \ldots, e\}$ the map $\Delta_{x_i}(u_{n+1} \circ \mathcal{K})$ is a polynomial of degree $\leq 2n$ in $x_1, \ldots, x_f$. This implies that $u_{n+1} \circ \mathcal{K}$ is a polynomial of degree $\leq 2n + 1$ in $x_1, \ldots, x_f$ plus a function, let us say $g_{(n+1)}$, which depends on x_f only. We conclude, as above, that $g_{(n+1)}$ is a polynomial of degree $2(n + 1)$ in x_f, which proves the theorem.$\square$

Acknowledgements

References

[B-L]   J. S. Birman and X. S. Lin, Knot polynomials and Vassiliev's invariants, *Invent. Math.*
        111 (1993), 225–270.
[G]   M. N. Goussarov, Interdependent modifications of links and invariants of finite degree,
        Uppsala University preprint 1995:26.
[J]   V. F. R. Jones, Hecke algebra representations of braid groups and link polynomials,
        Annals of Math. **126** (1987), 335–388.
[S]   A. Shumakovitch, Shadow formula for the Vassiliev invariant of degree two, Uppsala
        University preprint 1995:27.
[T]   V. G. Turaev, Shadow links and face models of statistical mechanics, *Jour. of Differential
        Geometry*, **36** (1992), 35–74.

Urs Burri
Mathematisches Institut
Universität Basel
Rheinsprung 21
4051 Basel
Switzerland
e-mail: burri@math.unibas.ch