# Sectional Invariants of Scrolls Over a Smooth Projective Variety

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ABSTRACT - Let X be a smooth complex projective variety of dimension n and let  $\mathcal E$  be an ample vector bundle of rank r on X. Then we calculate the ith sectional Euler number  $e_i(\mathbb P_X(\mathcal E),H(\mathcal E))$  and the ith sectional Betti number  $b_i(\mathbb P_X(\mathcal E),H(\mathcal E))$  for  $i\geq 2n-3$  or i=1, and the ith sectional Hodge number of type (j,i-j)  $h_i^{j,i-j}(\mathbb P_X(\mathcal E),H(\mathcal E))$  for  $i\geq 2n-1$  and  $0\leq j\leq i$ , where  $\mathbb P_X(\mathcal E)$  is the projective space bundle associated with  $\mathcal E$  and  $H(\mathcal E)$  is its tautological line bundle. Moreover we define a new invariant  $v(X,\mathcal E)$  of  $(X,\mathcal E)$  for  $r\geq n-1$ . This invariant is thought to be a generalization of curve genus. We will investigate some properties of this invariant.

#### 1. Introduction.

Let X be a projective variety of dimension n defined over the field of complex numbers, and let L be an ample (resp. a nef and big) line bundle on X. Then (X, L) is called a *polarized (resp. quasi-polarized) variety*. If X is smooth, then we say that (X, L) is a polarized (resp. quasi-polarized) manifold. In order to study polarized varieties, it is important to use an invariant of (X, L). There are the following three invariants of (X, L) which are well-known.

- The degree  $L^n$ .
- The sectional genus g(L).
- The  $\Delta$ -genus  $\Delta(L)$ .

By using these invariants, many authors studied polarized varieties. In particular, P. Ionescu classified polarized manifolds by the degree under the assumption that L is very ample with  $L^n \leq 8$  ([13], [14], and [15]), and

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T. Fujita classified polarized varieties by the  $\Delta$ -genus and the sectional genus ([3]).

In [5], in order to study polarized varieties more deeply, the author introduced the notion of the ith sectional geometric genus  $g_i(X,L)$  of (X,L) for every integer i with  $0 \le i \le n$ . This is a generalization of the degree and the sectional genus of (X,L). Namely  $g_0(X,L) = L^n$  and  $g_1(X,L) = g(L)$ .

Here we recall the reason why this invariant is called the ith sectional geometric genus. Let (X,L) be a polarized manifold of dimension  $n \geq 2$  with  $\operatorname{Bs}|L| = \emptyset$ , where  $\operatorname{Bs}|L|$  is the base locus of the complete linear system |L|. Let i be an integer with  $1 \leq i \leq n$ . Let  $X_{n-i}$  be the transversal intersection of general n-i members of |L|. In this case  $X_{n-i}$  is a smooth projective variety of dimension i. Then we can prove that  $g_i(X,L) = h^i(\mathcal{O}_{X_{n-i}})$ , that is,  $g_i(X,L)$  is the geometric genus of  $X_{n-i}$ .

Hence we can expect that  $g_i(X,L)$  has analogous properties of the geometric genus of *i*-dimensional varieties.

In [6] and [7], we introduced the notion of the *ith sectional H-arithmetic genus*  $\chi_i^H(X,L)$  of (X,L). By definition we can prove that if  $\operatorname{Bs}|L|=\emptyset$ , then  $\chi_i^H(X,L)=\chi(\mathcal{O}_{X_{n-i}})$ , where  $X_{n-i}$  is the transversal intersection of general n-i members of |L|. Namely  $\chi_i^H(X,L)$  is the Euler-Poincaré characteristic of the structure sheaf of  $X_{n-i}$ .  $(\chi(\mathcal{O}_{X_{n-i}}))$  is called the arithmetic genus of  $X_{n-i}$  in the sense of Hirzebruch. (See [12, 15.5, Section 15, Chapter IV]. We also call  $\chi(\mathcal{O}_{X_{n-i}})$  the H-arithmetic genus of  $X_{n-i}$ .)

In [8], we also introduced some ith sectional invariants of (X,L), that is, the ith sectional Euler number  $e_i(X,L)$ , the ith sectional Betti number  $b_i(X,L)$  and the ith sectional Hodge number  $h_i^{j,i-j}(X,L)$  of type (j,i-j) for every integer j with  $0 \le j \le i$ , and we investigated some properties of these. In particular we proved that polarized manifolds' version of the Hodge duality and the Hodge decomposition hold (see [8, Theorem 3.1]).

In this paper we consider the ith sectional Euler number and the ith sectional Betti number of scrolls over a smooth projective variety. In this paper we say that a polarized manifold (P, H) is a *scroll over a smooth projective variety X* if there exists an ample vector bundle  $\mathcal{E}$  on X such that  $(P, H) \cong (\mathbb{P}_X(\mathcal{E}), H(\mathcal{E}))$ , where  $H(\mathcal{E})$  is the tautological line bundle on  $\mathbb{P}_X(\mathcal{E})$ .

In section 3, we calculate  $e_i(\mathbb{P}_X(\mathcal{E}), H(\mathcal{E}))$  and  $b_i(\mathbb{P}_X(\mathcal{E}), H(\mathcal{E}))$  for  $i \geq 2n-3$  and i=1 (see Theorems 3.1 and 3.2). We also calculate  $h_i^{j,i-j}(\mathbb{P}_X(\mathcal{E}), H(\mathcal{E}))$  for  $i \geq 2n-1$  and  $0 \leq j \leq i$  (see Theorem 3.3). In particular, by using these results, we can calculate these invariants of  $(\mathbb{P}_X(\mathcal{E}), H(\mathcal{E}))$  completely for n=1 or 2 (see Corollaries 3.1, 3.3 and 3.4).

In section 4, we will define a new invariant of generalized polarized manifolds. Here a generalized polarized manifold means the pair  $(X, \mathcal{E})$  where X is a smooth projective variety and  $\mathcal{E}$  is an ample vector bundle on X. Let  $r := \operatorname{rank}(\mathcal{E})$ . Here we state the history of invariants of  $(X, \mathcal{E})$ . First in [2], Fujita introduced the  $c_1$ -sectional genus and the  $\mathcal{O}(1)$ -sectional genus of  $(X, \mathcal{E})$ . Next, in [1], for the case where r = n - 1, Ballico defined an invariant of  $(X, \mathcal{E})$  which is called the curve genus  $cg(X, \mathcal{E})$  of  $(X, \mathcal{E})$  (see Definition 2.3), and several authors (in particular Lanteri, Maeda, Sommese, and so on) studied this invariant.

As a generalization of the curve genus, for any ample vector bundle  $\mathcal{E}$  with  $r \leq n-1$ , Ishihara ([16, Definition 1.1]) defined an invariant  $g(X,\mathcal{E})$ , which is called the  $c_r$ -sectional genus of  $(X,\mathcal{E})$ , and in [9] we investigated some properties about  $g(X,\mathcal{E})$ . We note that if n-r=1, then  $g(X,\mathcal{E})$  is the curve genus. This invariant means the following: If a general element of  $H^0(\mathcal{E})$  has a zero locus Z which is smooth of expected dimension n-r, then  $g(X,\mathcal{E})=g(Z,\det\mathcal{E}|_Z)$ , that is,  $g(X,\mathcal{E})$  is the sectional genus of  $(Z,\det\mathcal{E}|_Z)$ . Recently Fusi and Lanteri generalized this invariant. See [11] for detail.

In this paper, we will introduce a new invariant  $v(X, \mathcal{E})$  of generalized polarized manifolds  $(X, \mathcal{E})$  with  $r \geq n-1$ , which is defined by using a result in section 3 (see Definition 4.1). Here we note that  $v(X, \mathcal{E})$  is equal to the curve genus if r = n-1. We will investigate  $v(X, \mathcal{E})$  and give some results about this invariant (see Theorems 4.1 and 4.2, and Proposition 4.1).

The author would like to thank the referee for giving useful comments and suggestions.

## **Notation and Conventions.**

We say that *X* is a *variety* if *X* is an integral separated scheme of finite type. In particular *X* is irreducible and reduced if *X* is a variety. Varieties are always assumed to be defined over the field of complex numbers. In this article, we shall study mainly a smooth projective variety. The words "line bundles" and "Cartier divisors" are used interchangeably. The tensor products of line bundles are denoted additively.

 $\mathcal{O}(D)$ : invertible sheaf associated with a Cartier divisor D on X.

 $\mathcal{O}_X$ : the structure sheaf of X.

 $\chi(\mathcal{F})$ : the Euler-Poincaré characteristic of a coherent sheaf  $\mathcal{F}$ .

 $h^i(\mathcal{F}) := \dim H^i(X, \mathcal{F})$  for a coherent sheaf  $\mathcal{F}$  on X.

 $h^i(D) := h^i(\mathcal{O}(D))$  for a Cartier divisor D.

 $q(X)(=h^1(\mathcal{O}_X))$ : the irregularity of X.

 $b_i(X) := \dim H^i(X, \mathbb{C}).$ 

 $K_X$ : the canonical divisor of X.

 $\mathbb{P}^n$ : the projective space of dimension n.

 $\mathbb{Q}^n$ : a smooth quadric hypersurface in  $\mathbb{P}^{n+1}$ .

 $\sim$  (or =): linear equivalence.

 $\det(\mathcal{E}) := \wedge^r \mathcal{E}$ , where  $\mathcal{E}$  is a vector bundle of rank r on X.

 $\mathbb{P}_X(\mathcal{E})$ : the projective space bundle associated with a vector bundle  $\mathcal{E}$  on X.

 $H(\mathcal{E})$ : the tautological line bundle on  $\mathbb{P}_X(\mathcal{E})$ .

 $\mathcal{E}^{\vee}$ : the dual of a vector bundle  $\mathcal{E}$ .

 $c_i(\mathcal{E})$ : the *i*-th Chern class of a vector bundle  $\mathcal{E}$ .

 $c_i(X) := c_i(\mathcal{T}_X)$ , where  $\mathcal{T}_X$  is the tangent bundle of a smooth projective variety X.

For a real number m and a non-negative integer n, let

$$[m]^n := \left\{ egin{array}{ll} m(m+1)\cdots(m+n-1) & ext{if} & n \geq 1, \\ & 1 & ext{if} & n = 0. \end{array} 
ight.$$
  $[m]_n := \left\{ egin{array}{ll} m(m-1)\cdots(m-n+1) & ext{if} & n \geq 1, \\ & 1 & ext{if} & n = 0. \end{array} 
ight.$ 

Then for n fixed,  $[m]^n$  and  $[m]_n$  are polynomials in m whose degree are n. For any non-negative integer n,

$$n! := \left\{ \begin{aligned} [n]_n & \text{if} \quad n \ge 1, \\ 1 & \text{if} \quad n = 0. \end{aligned} \right.$$

Assume that m and n are integers with  $n \geq 0$ . Then we put

$$\binom{m}{n} := \frac{[m]_n}{n!}$$

We note that  $\binom{m}{n} = 0$  if  $0 \le m < n$ , and  $\binom{m}{0} = 1$ .

## 2. Preliminaries.

NOTATION 2.1. (1) Let Y be a smooth projective variety of dimension i, let  $\mathcal{T}_Y$  be the tangent bundle of Y, and let  $\Omega_Y (= \Omega_Y^1)$  be the dual bundle of  $\mathcal{T}_Y$  and  $\Omega_Y^j := \wedge^j \Omega_Y$ . For every integer j with  $0 \le j \le i$ , we put

$$\begin{split} h_{i,j}(c_1(Y),\cdots,c_i(Y)) := & \chi(\varOmega_Y^j) \\ &= \int\limits_{Y} \operatorname{ch}(\varOmega_Y^j) \mathrm{Td}(\mathcal{T}_Y). \end{split}$$

(Here  $\operatorname{ch}(\Omega_Y^j)$  (resp.  $\operatorname{Td}(\mathcal{T}_Y)$ ) denotes the Chern character of  $\Omega_Y^j$  (resp. the Todd class of  $\mathcal{T}_Y$ ). See [10, Example 3.2.3 and Example 3.2.4].)

(2) Let (M, L) be a polarized manifold of dimension m. For every integers i and j with  $0 \le j \le i \le m$ , we put

$$C^i_j(M,L) := \sum_{l=0}^j \left(-1
ight)^l inom{m-i+l-1}{l} c_{j-l}(M) L^l,$$

$$w_i^j(M,L) := h_{i,j}(C_1^i(M,L), \cdots, C_i^i(M,L))L^{n-i}.$$

(3) Let M be a smooth projective variety of dimension m. For every integers i and j with  $0 \le j \le i \le m$ , we put

$$H_1(i,j) := egin{cases} \sum_{s=0}^{i-j-1} {(-1)^s h^s(\Omega_M^j)} & ext{if } j 
eq i, \ 0 & ext{if } j = i, \end{cases}$$

$$H_2(i,j) := egin{cases} \sum_{t=0}^{j-1} \left(-1
ight)^{i-t} h^t(\Omega_M^{i-j}) & ext{if} \;\; j 
eq 0, \ 0 & ext{if} \;\; j = 0. \end{cases}$$

DEFINITION 2.1. (See [8, Definition 3.1].) Let (M,L) be a polarized manifold of dimension m, and let i and j be integers with  $0 \le i \le m$  and  $0 \le j \le i$ .

(1) The *ith sectional Euler number*  $e_i(M,L)$  of (M,L) is defined by the following:

$$e_i(M,L) := \sum_{l=0}^i (-1)^l \binom{m-i+l-1}{l} c_{i-l}(M) L^{m-i+l}.$$

(2) The *ith sectional Betti number*  $b_i(M,L)$  *of* (M,L) is defined by the following:

$$b_i(M,L) := \left\{ egin{aligned} e_0(M,L) & ext{if} & i = 0, \ \\ & (-1)^i igg( e_i(M,L) - \sum_{j=0}^{i-1} 2(-1)^j b_j(M) igg) & ext{if} & 1 \leq i \leq m. \end{aligned} 
ight.$$

(3) The ith sectional Hodge number  $h_i^{j,i-j}(M,L)$  of type (j,i-j) of

(M,L) is defined by the following:

$$h_i^{j,i-j}(M,L) := (-1)^{i-j} \Big\{ w_i^j(M,L) - H_1(i,j) - H_2(i,j) \Big\}.$$

Remark 2.1. (1) If i = 0, then

$$e_0(M,L) = b_0(M,L) = h_0^{0,0}(M,L) = L^m.$$

(2) If i = 1, then

$$e_1(M,L) = 2 - 2g(L),$$
  $b_1(M,L) = 2g(L),$   $h_1^{1,0}(M,L) = h_1^{0,1}(M,L) = g(L).$ 

(3) If i = m, then

$$e_m(M,L) = e(M),$$
  $b_m(M,L) = b_m(M),$   $h_m^{j,m-j}(M,L) = h^{j,m-j}(M),$   $h_m^{m-j,j}(M,L) = h^{m-j,j}(M).$ 

Proposition 2.1. Let (M, L) be a polarized manifold of dimension m.

- (1) For every integer i with  $0 \le i \le m$ , the following hold:
  - (1.1)  $b_i(M,L) = \sum_{k=0}^{i} h_i^{k,i-k}(M,L)$ .
  - (1.2)  $h_i^{j,i-j}(M,L) = h_i^{i-j,j}(M,L)$ .
  - $(1.3) \quad h_i^{i,0}(M,L) = h_i^{0,i}(M,L) = g_i(M,L).$
- (2) Assume that L is base point free. Then for every integers i and j with  $1 \le i \le m$  and  $0 \le j \le i$  the following hold.
  - (2.1)  $b_i(M, L) \ge b_i(M)$ .
  - (2.2)  $h_i^{j,i-j}(M,L) \ge h^{j,i-j}(M)$ .

PROOF. See [8, Theorem 3.1 (3.1.1), (3.1.3), (3.1.4) and Proposition 3.3 (2) and (3)].  $\hfill\Box$ 

Proposition 2.2. For every integers a, k, l and r with  $0 \le l$ ,

$$\sum_{j=0}^{l} (-1)^{j} \binom{r+j-a}{j} \binom{r-k}{l-j} = (-1)^{l} \binom{k-a+l}{l}.$$

PROOF. See [8, Proposition 2.5].  $\Box$ 

NOTATION 2.2. Let X be a smooth projective variety of dimension  $n \ge 1$  and let  $\mathcal E$  be an ample vector bundle of rank r on X. We put  $P := \mathbb P_X(\mathcal E)$ ,  $H := H(\mathcal E)$  and  $m := \dim P$ . Then m = n + r - 1. In this paper we assume that r > 2.

REMARK 2.2. Let X,  $\mathcal{E}$ , P, H, m, n and r be as in Notation 2.2.

(1) By [18, (2.1) Proposition], we have

$$b_j(P) = b_j(X) + b_{j-2}(X) + \dots + b_{j-2r+2}(X).$$

(2) Let i be an integer with  $i \le m$ . Then  $n+r-1 \ge i$  and we obtain r > i-n+1.

(2.1) If 
$$i\geq 2n-2$$
 and  $i-1\geq j$ , then 
$$j-2r+2\leq (i-1)-2(i-n+1)+2$$
 
$$=2n-1-i$$
 
$$<1.$$

So by (1) above, if  $i \ge 2n - 2$  and  $i - 1 \ge j$ , then by (1) we have

$$b_j(P) = \left\{ egin{aligned} \sum_{k=0}^l b_{j-2k}(X) & ext{if } j=2l, \ \sum_{k=0}^l b_{j-2k}(X) & ext{if } j=2l+1. \end{aligned} 
ight.$$

By the same argument as this, if  $i \ge 2n - 1$ , then we see that

$$b_i(P) = \begin{cases} \sum_{k=0}^l b_{i-2k}(X) & \text{if } i=2l, \\ \\ \sum_{k=0}^l b_{i-2k}(X) & \text{if } i=2l+1. \end{cases}$$

(2.2) Assume that  $i \le m-1$ . If i=2n-3 and  $i-1 \ge j$ , then  $j-2r+2 \le 2$ . If this equality holds, then i=n+r-1=m. But this contradicts the assumption. Hence  $j-2r+2 \le 1$ , and by (1) above we have

$$b_j(P) = \left\{ egin{aligned} \sum_{k=0}^l b_{j-2k}(X) & \text{if} & j=2l, \\ \sum_{k=0}^l b_{j-2k}(X) & \text{if} & j=2l+1. \end{aligned} 
ight.$$

By the same argument as this, if  $i \leq m-1$ ,  $n \geq 1$  (resp.  $n \geq 2$ ) and i = 2n-2 (resp. i = 2n-3), then we see that  $b_{2n-2}(P) = \sum_{k=0}^{n-1} b_{2n-2-2k}(X)$  (resp.  $b_{2n-3}(P) = \sum_{k=0}^{n-2} b_{2n-3-2k}(X)$ ).

DEFINITION 2.2. Let X be a smooth projective variety of dimension n and let  $\mathcal{E}$  be a vector bundle of rank r on X.

(1) The *Chern polynomial*  $c_t(\mathcal{E})$  is defined by the following:

$$c_t(\mathcal{E}) = 1 + c_1(\mathcal{E})t + c_2(\mathcal{E})t^2 + \cdots$$

(2) For every integer j with  $j \geq 0$ , the jth Segre class  $s_j(\mathcal{E})$  of  $\mathcal{E}$  is defined by the following equation:  $c_t(\mathcal{E}^{\vee})s_t(\mathcal{E}) = 1$ , where  $c_t(\mathcal{E}^{\vee})$  is the Chern polynomial of  $\mathcal{E}^{\vee}$  and  $s_t(\mathcal{E}) = \sum_{j>0} s_j(\mathcal{E})t^j$ .

REMARK 2.3. (1) Let X be a smooth projective variety and let  $\mathcal{F}$  be a vector bundle on X. Let  $\tilde{s}_j(\mathcal{F})$  be the Segre class which is defined in [10, Chapter 3]. Then  $s_j(\mathcal{F}) = \tilde{s}_j(\mathcal{F}^{\vee})$ .

(2) For every integer i with  $1 \le i$ ,  $s_i(\mathcal{F})$  can be written by using the Chern classes  $c_j(\mathcal{F})$  with  $1 \le j \le i$ . (For example,  $s_1(\mathcal{F}) = c_1(\mathcal{F})$ ,  $s_2(\mathcal{F}) = c_1(\mathcal{F})^2 - c_2(\mathcal{F})$ , and so on.)

DEFINITION 2.3. Let X be a smooth projective variety of dimension n and let  $\mathcal{E}$  be an ample vector bundle of rank n-1 on X. Then the *curve genus*  $cg(X,\mathcal{E})$  of  $(X,\mathcal{E})$  is defined as follows:

$$cg(X,\mathcal{E}) = 1 + \frac{1}{2}(K_X + c_1(\mathcal{E}))c_{n-1}(\mathcal{E}).$$

# 3. Sectional invariants of scrolls over a smooth projective manifold.

THEOREM 3.1. Let X,  $\mathcal{E}$ , P, H, m, n and r be as in Notation 2.2. Then the following hold.

(3.1.1) If 
$$i \geq 2n - 1$$
, then  $e_i(P, H) = (i - n + 1)c_n(X)$ .

(3.1.2) If 
$$n \ge 2$$
, then  $e_{2n-2}(P, H) = (n-1)c_n(X) + c_n(\mathcal{E})$ .

$$(3.1.3)$$
 If  $n \ge 3$ , then  $e_{2n-3}(P, H) = (n-2)(c_n(X) - c_n(\mathcal{E})) - c_{n-1}(\mathcal{E})(K_X + c_1(\mathcal{E}))$ .

$$(3.1.4) e_1(P,H) = -(n-2)s_n(\mathcal{E}) - (c_1(\mathcal{E}) + K_X)s_{n-1}(\mathcal{E}).$$

$$(3.1.5) e_0(P, H) = s_n(\mathcal{E}).$$

PROOF. By [10, Example 3.2.11] and Remark 2.3 (1), for every integer l with  $0 < l \leq m$ 

$$c_l(P) = \sum_{i=0}^l \sum_{k=0}^j inom{r-k}{j-k} c_kig(p^*\mathcal{E}^eeig) H(\mathcal{E})^{j-k} c_{l-j}(p^*\mathcal{T}_X).$$

(Here  $p: P \to X$  denotes the projection.) Hence

$$\begin{split} e_{i}(P,H) &= \sum_{j=0}^{i} (-1)^{j} \binom{m-i+j-1}{j} c_{i-j}(P) H^{m-i+j} \\ &= \sum_{j=0}^{i} (-1)^{j} \binom{m-i+j-1}{j} \\ &\left\{ \sum_{s=0}^{i-j} \sum_{u=0}^{s} \binom{r-u}{s-u} c_{u} (p^{*}\mathcal{E}^{\vee}) H(\mathcal{E})^{m-i+j-u+s} c_{i-j-s}(p^{*}\mathcal{T}_{X}) \right\} \\ &= \sum_{\substack{0 \leq k,t \\ 0 \leq k+t \leq i}} \binom{i-t-k}{j} (-1)^{j} \binom{r+j+n-i-2}{j} \binom{r-k}{i-t-k-j} \\ \end{split}$$

 $c_{k}(p^{*}\mathcal{E}^{\vee})c_{t}(p^{*}\mathcal{T}_{X})H(\mathcal{E})^{m-k-t}$ 

By Proposition 2.2 and [3, (3.7) in Chapter 0], we get

$$\begin{split} &(1)e_i(P,H) \\ &= \sum_{\substack{0 \leq k,t \\ 0 \leq k+t \leq i}} (-1)^{i-t-k} \binom{k+(n-i-2)+i-t-k}{i-t-k} c_k \left(p^* \mathcal{E}^\vee\right) c_t (p^* \mathcal{T}_X) H(\mathcal{E})^{m-k-t} \\ &= \sum_{\substack{0 \leq k,t \\ 0 \leq k+t \leq i}} (-1)^{i-t-k} \binom{n-t-2}{i-t-k} c_k \left(p^* \mathcal{E}^\vee\right) c_t (p^* \mathcal{T}_X) H(\mathcal{E})^{m-k-t} \\ &= \sum_{\substack{0 \leq k,t \\ 0 \leq k+t \leq i}} (-1)^{i-t-k} \binom{n-t-2}{i-t-k} c_k (\mathcal{E}^\vee) c_t (\mathcal{T}_X) s_{n-k-t} (\mathcal{E}). \end{split}$$

Here we put

$$E(i,k,t) := (-1)^{i-t-k} inom{n-t-2}{i-t-k} c_k ig(\mathcal{E}^eeig) c_t(\mathcal{T}_X) s_{n-k-t}(\mathcal{E}).$$

In order to calculate  $e_i(P, H)$ , we have only to consider the case where  $E(i, k, t) \neq 0$ . We note that if k + t > n, then  $c_k(\mathcal{E}^{\vee})c_t(\mathcal{T}_X)s_{n-k-t}(\mathcal{E}) = 0$ . So we may assume that  $k + t \leq n$ .

(a) The case where  $i \geq 2n - 1$ .

First we note that if (n, i) = (1, 1), then

$$e_i(P, H) = e_1(P, H) = 2 - b_1(P, H) = 2 - 2g_1(P, H) = 2 - 2g(X) = c_1(X).$$

So we assume that  $(n, i) \neq (1, 1)$ .

Here we note that  $(n-t-2)-(i-t-k)=n-i-2+k \le -n+k-1 \le -1$ 

and  $k+t \leq i$ . Hence  $\binom{n-t-2}{i-t-k} \neq 0$  if and only if one of the following holds.

(a.1) 
$$i - t - k = 0$$
.

(a.2) 
$$i - t - k > 0$$
 and  $n - t - 2 < 0$ .

(a.1) If i-t-k=0, then  $2n-1 \le i=t+k \le n$ . Hence n=1 and i=1. But this contradicts the assumption here.

(a.2) If i-t-k>0 and n-t-2<0, then t=n-1 or n because  $k+t\leq n$  and  $k\geq 0$ . Hence (t,k)=(n-1,0), (n-1,1) or (n,0). If (t,k)=(n-1,0), then

$$\binom{n-t-2}{i-t-k} = \binom{-1}{i-n+1} = (-1)^{i-n+1}.$$

If (t, k) = (n - 1, 1), then

$$\binom{n-t-2}{i-t-k} = \binom{-1}{i-n} = (-1)^{i-n}.$$

If (t, k) = (n, 0), then

$$\binom{n-t-2}{i-t-k} = \binom{-2}{i-n} = (-1)^{i-n}(i-n+1).$$

Hence if  $(n, i) \neq (1, 1)$ , then

$$\begin{split} e_i(P,H) &= (-1)^{2(i-n+1)} c_{n-1}(\mathcal{T}_X) s_1(\mathcal{E}) + (-1)^{2(i-n)} c_1(\mathcal{E}^{\vee}) c_{n-1}(\mathcal{T}_X) \\ &+ (-1)^{2(i-n)} (i-n+1) c_n(\mathcal{T}_X) \end{split}$$

Therefore in any case

$$e_i(P, H) = (i - n + 1)c_n(X)$$

if i > 2n - 1.

(b) The case where i = 2n - 2. Here we note that  $n \ge 2$  in this case.

Then  $\binom{n-t-2}{i-t-k}=\binom{n-t-2}{2n-t-2-k}$ . Here we note that  $(n-t-2)\leq 2n-t-2-k$ . Hence  $\binom{n-t-2}{2n-t-2-k}\neq 0$  if and only if one of the following holds.

- (b.1) k = n.
- (b.2) k < n and n t 2 < 0.
- (b.1) If k=n, then t=0 because  $t+k\leq n$  and  $t\geq 0$ , and  $\binom{n-t-2}{2n-t-2-k}=1.$  Here we note that  $n-t-2\geq 0$  in this case because

n > 2 and t = 0. Hence

$$E(2n-2, n, 0) = (-1)^{2n-2-n} c_n(\mathcal{E}^{\vee}) = c_n(\mathcal{E}).$$

(b.2) If k < n and n - t - 2 < 0, then t = n - 1 or n. Hence (t, k) = (n - 1, 0), (n - 1, 1) or (n, 0). By the same argument as (a.2), we obtain

$$\begin{split} E(2n-2,0,n-1) + E(2n-2,1,n-1) + E(2n-2,0,n) \\ &= (-1)^{2(2n-2-n+1)} c_{n-1}(X) s_1(\mathcal{E}) + (-1)^{2(2n-2-n)} c_1(\mathcal{E}^{\vee}) c_{n-1}(X) \\ &+ (-1)^{2(2n-2-n)} (n-1) c_n(X) \\ &= (n-1) c_n(X). \end{split}$$

Hence we get

$$e_{2n-2}(P,H) = (n-1)c_n(X) + c_n(\mathcal{E}).$$

(c) Assume that i=2n-3. Here we note that  $n\geq 3$  in this case. Then  $\binom{n-t-2}{2n-t-k-3}\neq 0$  if and only if one of the following holds.

(c.1) 
$$k = n$$
.

(c.2) 
$$k = n - 1$$
.

(c.3) 
$$k < n - 2$$
 and  $t > n - 2$ .

First we consider the case (c.1). Then t=0 because  $k+t\leq n$  and  $t\geq 0$ . So we have

$$E(2n-3, n, 0) = (-1)^{2n-3-0-n} \binom{n-0-2}{2n-3-0-n} c_n(\mathcal{E}^{\vee})$$

$$= -\binom{n-2}{n-3} c_n(\mathcal{E})$$

$$= -(n-2)c_n(\mathcal{E}).$$

Next we consider the case (c.2). Then t=0 or 1, and  $\binom{n-t-2}{2n-t-k-3}=1.$  Hence we have  $E(2n-3,n-1,0)=-c_{n-1}(\mathcal{E})s_1(\mathcal{E})=$  $=-c_{n-1}(\mathcal{E})c_1(\mathcal{E}) \text{ and } E(2n-3,n-1,1)=c_{n-1}(\mathcal{E})c_1(X).$ 

Finally we consider the case (c.3). Then (k, t) = (0, n - 1), (1, n - 1) or (0, n). Hence by the same argument as above

$$E(2n-3,0,n-1) + E(2n-3,1,n-1) + E(2n-3,0,n) = (n-2)c_n(X).$$

Therefore

$$\begin{split} &e_{2n-3}(P,H)\\ &= -(n-2)c_n(\mathcal{E}) - c_{n-1}(\mathcal{E})c_1(\mathcal{E}) + c_{n-1}(\mathcal{E})c_1(X) + (n-2)c_n(X)\\ &= (n-2)(c_n(X) - c_n(\mathcal{E})) + c_{n-1}(\mathcal{E})(c_1(X) - c_1(\mathcal{E}))\\ &= (n-2)(c_n(X) - c_n(\mathcal{E})) - c_{n-1}(\mathcal{E})(K_X + c_1(\mathcal{E})). \end{split}$$

(d) The case where i = 1. Then by (1) we get

$$\begin{split} e_{1}(P,H) &= \sum_{\substack{0 \leq k,t \\ 0 \leq k+t \leq 1}} (-1)^{1-t-k} \binom{n-t-2}{1-t-k} c_{k} (\mathcal{E}^{\vee}) c_{t}(\mathcal{T}_{X}) s_{n-k-t}(\mathcal{E}) \\ &= -(n-2) s_{n}(\mathcal{E}) + (c_{1}(\mathcal{E}^{\vee}) + c_{1}(\mathcal{T}_{X})) s_{n-1}(\mathcal{E}) \\ &= -(n-2) s_{n}(\mathcal{E}) - (c_{1}(\mathcal{E}) + K_{X}) s_{n-1}(\mathcal{E}). \end{split}$$

(e) The case where i=0. Then by (1) we get  $e_0(P,H)=s_n(\mathcal{E})$ . We get the assertion of Theorem 3.1.  $\square$ 

By Theorem 3.1, we get the following for n = 1 and 2.

COROLLARY 3.1. Let X,  $\mathcal{E}$ , P, H and n be as in Notation 2.2. (3.1.1) Assume that n = 1. Then we get the following:

$$e_i(P,H) = \left\{ egin{aligned} i(2-2g(X)) & \mbox{if } i \geq 1, \ \deg \mathcal{E} & \mbox{if } i = 0. \end{aligned} 
ight.$$

(3.1.2) Assume that n=2. Then we get the following:

$$e_i(P,H) = \begin{cases} (i-1)c_2(X) & \text{if } i \geq 3, \\ c_2(X) + c_2(\mathcal{E}) & \text{if } i = 2, \\ -(c_1(\mathcal{E}) + K_X)c_1(\mathcal{E}) & \text{if } i = 1, \\ s_2(\mathcal{E}) & \text{if } i = 0. \end{cases}$$

THEOREM 3.2. Let X,  $\mathcal{E}$ , P, H, m, n and r be as in Notation 2.2. Then the following hold.

(3.2.1) If 
$$m \ge i \ge 2n - 1$$
, then  $b_i(P, H) = b_i(P)$ .

(3.2.2) If 
$$n \ge 2$$
 and  $m > 2n - 2$ , then  $b_{2n-2}(P, H) = b_{2n-2}(P) + c_n(\mathcal{E}) - 1$ .

(3.2.3) If 
$$n \ge 3$$
 and  $m > 2n - 3$ , then

$$b_{2n-3}(P,H) = b_{2n-3}(P) + (n-2)c_n(\mathcal{E}) + c_{n-1}(\mathcal{E})(K_X + c_1(\mathcal{E})) + 2 - 2q(X).$$

$$(3.2.4) b_1(P,H) = 2 + (n-2)s_n(\mathcal{E}) + (c_1(\mathcal{E}) + K_X)s_{n-1}(\mathcal{E}).$$

$$(3.2.5) b_0(P, H) = s_n(\mathcal{E}).$$

PROOF. Since  $e_i(P, H)$  has been calculated in Theorem 3.1, we need to study  $\sum_{k=0}^{i-1} (-1)^k b_k(P)$ . By using Remark 2.2, we calculate this.

- (a) The case where  $i \ge 2n 1$ . Since  $b_m(P, H) = b_m(P)$ , we assume that i < m.
- (a.1) Assume that i is even. Then  $i \geq 2n$ . Here we note that  $b_j(X) = 0$  if j > 2n. Then

$$\begin{split} &\sum_{k=0}^{i-1} (-1)^k b_k(P) \\ &= \sum_{k=0}^n \left(\frac{i}{2} - k\right) b_{2k}(X) - \sum_{k=1}^n \left(\frac{i}{2} - k + 1\right) b_{2k-1}(X) \\ &= \sum_{k=0}^n (n-k+1) b_{2k}(X) - \sum_{k=1}^n (n-k+2) b_{2k-1}(X) + \frac{i-2n-2}{2} e(X). \end{split}$$

Here we note that by Remark 2.2 (2.1), we have  $b_i(P)=\sum_{k=0}^n b_{2k}(X)$  because  $i\geq 2n$  in this case. Since i is even, we have

$$\begin{split} b_i(P,H) - b_i(P) \\ &= (-1)^i \left( e_i(P,H) - 2 \sum_{j=0}^{i-1} (-1)^j b_j(P) \right) - \sum_{k=0}^n b_{2k}(X) \\ &= (i-n+1)c_n(X) - 2 \sum_{k=0}^n (n-k+1)b_{2k}(X) \\ &+ 2 \sum_{k=1}^n (n-k+2)b_{2k-1}(X) - (i-2n-2)e(X) - \sum_{k=0}^n b_{2k}(X) \\ &= (n+3)e(X) + \sum_{k=0}^n (2k-2n-3)b_{2k}(X) + \sum_{k=1}^n (2n-2k+4)b_{2k-1}(X) \\ &= \sum_{k=0}^n (2k-n)b_{2k}(X) - \sum_{k=1}^n (2k-n-1)b_{2k-1}(X). \end{split}$$

Here we prove the following.

CLAIM 3.1. (1) 
$$\sum_{k=0}^{n} (2k - n)b_{2k}(X) = 0.$$

$$(2)\sum_{k=1}^{n}(2k-n-1)b_{2k-1}(X)=0.$$

PROOF. (1) Assume that n=2l. Then we note that  $(2k-n)b_{2k}(X)=0$  if k=l. So by Poincaré duality

$$\sum_{k=0}^{n} (2k - n)b_{2k}(X)$$

$$= \sum_{k=0}^{l-1} (2k - n)b_{2k}(X) + \sum_{k=l+1}^{n} (2k - n)b_{2k}(X)$$

$$= \sum_{k=0}^{l-1} (2k - n)b_{2k}(X) + \sum_{k=0}^{l-1} (n - 2k)b_{2n-2k}(X)$$

$$= \sum_{k=0}^{l-1} (2k - n)b_{2k}(X) - \sum_{k=0}^{l-1} (2k - n)b_{2k}(X)$$

$$= 0.$$

If n = 2l + 1, then by Poincaré duality

$$\sum_{k=0}^{n} (2k - n)b_{2k}(X)$$

$$= \sum_{k=0}^{l} (2k - n)b_{2k}(X) + \sum_{k=l+1}^{n} (2k - n)b_{2k}(X)$$

$$= \sum_{k=0}^{l} (2k - n)b_{2k}(X) + \sum_{k=0}^{l} (n - 2k)b_{2n-2k}(X)$$

$$= \sum_{k=0}^{l} (2k - n)b_{2k}(X) - \sum_{k=0}^{l} (2k - n)b_{2k}(X)$$

$$= 0.$$

Hence we obtain the assertion of (1).

(2) This can be proved by the same argument as (1).  $\Box$ 

By Claim 3.1,  $b_i(P, H) = b_i(P)$  if  $i \ge 2n - 1$  and i is even.

(a.2) Assume that i is odd. Then

$$\begin{split} &\sum_{j=0}^{i-1} (-1)^j b_j(P) \\ &= \sum_{k=0}^n \left( \frac{i+1}{2} - k \right) b_{2k}(X) - \sum_{k=1}^n \left( \frac{i-1}{2} - k + 1 \right) b_{2k-1}(X) \\ &= \sum_{k=0}^n (n-k+1) b_{2k}(X) - \sum_{k=1}^n (n-k+1) b_{2k-1}(X) \\ &\quad + \left( \frac{i+1}{2} - n - 1 \right) e(X). \end{split}$$

By Remark 2.2 (2.1), we have  $b_i(P) = \sum_{k=1}^n b_{2k-1}(X)$  because  $i \ge 2n-1$  in this case. Hence by Theorem 3.1 and Claim 3.1, we have

$$\begin{split} b_i(P,H) - b_i(P) \\ &= -\left((i-n+1)e(X) - 2\sum_{j=0}^{i-1}{(-1)^j b_j(P)}\right) - b_i(P) \\ &= -(n+2)e(X) + \sum_{k=0}^{n}{(2n-2k+2)b_{2k}(X)} - \sum_{k=1}^{n}{(2n-2k+3)b_{2k-1}(X)} \\ &= \sum_{k=0}^{n}{(n-2k)b_{2k}(X)} - \sum_{k=1}^{n}{(n-2k+1)b_{2k-1}(X)} \\ &= 0. \end{split}$$

Therefore  $b_i(P, H) = b_i(P)$  if  $i \ge 2n - 1$  and i is odd. In any case, if  $m \ge i \ge 2n - 1$ , then  $b_i(P, H) = b_i(P)$ .

(b) The case where i=2n-2 and 2n-2 < m. Then by Remark 2.2 (2.1) we obtain

$$b_j(P) = egin{cases} \sum_{k=0}^l b_{j-2k}(X) & ext{if} \quad j=2l, \ \sum_{k=0}^l b_{j-2k}(X) & ext{if} \quad j=2l+1 \end{cases}$$

for every integer j with j < 2n - 2. Hence

$$\sum_{j=0}^{2n-3} (-1)^{j} b_{j}(P) = \sum_{k=0}^{n-2} (n-k-1) b_{2k}(X) - \sum_{k=1}^{n-1} (n-k) b_{2k-1}(X).$$

By assumption, we have  $n+r-1=m\geq i+1=2n-1$ . So by Remark 2.2 (2.2) we obtain

$$b_{2n-2}(P) = \sum_{k=0}^{n-1} b_{2k}(X).$$

Therefore

$$\begin{split} b_{2n-2}(P,H) - b_{2n-2}(P) \\ &= \left(e_{2n-2}(P,H) - 2\sum_{j=0}^{2n-3} (-1)^j b_j(P)\right) - b_{2n-2}(P) \\ &= (n-1)e(X) + c_n(\mathcal{E}) - \sum_{k=0}^{n-2} (2n-2k-2)b_{2k}(X) \\ &+ \sum_{k=1}^{n-1} (2n-2k)b_{2k-1}(X) - \sum_{k=0}^{n-1} b_{2k}(X) \\ &= (n-1)e(X) + c_n(\mathcal{E}) - \sum_{k=0}^{n-2} (2n-2k-1)b_{2k}(X) \\ &+ \sum_{k=1}^{n-1} (2n-2k)b_{2k-1}(X) - b_{2n-2}(X) \\ &= (n-1)e(X) + c_n(\mathcal{E}) + e(X) - 1 - \sum_{k=0}^{n-1} (2n-2k)b_{2k}(X) \\ &+ \sum_{k=1}^{n-1} (2n-2k+1)b_{2k-1}(X) + b_{2n-1}(X) \\ &= ne(X) + c_n(\mathcal{E}) - 1 - \sum_{k=0}^{n-1} (2n-2k)b_{2k}(X) \\ &+ \sum_{k=1}^{n} (2n-2k+1)b_{2k-1}(X). \end{split}$$

Since

$$\sum_{k=0}^{n-1} (2n - 2k)b_{2k}(X) = \sum_{k=0}^{n} (2n - 2k)b_{2k}(X),$$

we obtain

$$\begin{split} b_{2n-2}(P,H) - b_{2n-2}(P) \\ &= ne(X) + c_n(\mathcal{E}) - 1 - \sum_{k=0}^n (2n - 2k)b_{2k}(X) \\ &+ \sum_{k=1}^n (2n - 2k + 1)b_{2k-1}(X) \\ &= c_n(\mathcal{E}) - 1 + \sum_{k=0}^n (2k - n)b_{2k}(X) \\ &- \sum_{k=1}^n (2k - n - 1)b_{2k-1}(X). \end{split}$$

By Claim 3.1, we get

$$b_{2n-2}(P, H) = b_{2n-2}(P) + c_n(\mathcal{E}) - 1.$$

(c) The case where i = 2n - 3 and i < m. Then by Remark 2.2 (2.2) we obtain

$$b_j(P) = \left\{ egin{aligned} \sum_{k=0}^l b_{j-2k}(X) & ext{if} \quad j=2l, \ \sum_{k=0}^l b_{j-2k}(X) & ext{if} \quad j=2l+1 \end{aligned} 
ight.$$

for every integer j with j < 2n - 3. Hence

$$\sum_{j=0}^{2n-4} b_j(P) = \sum_{k=0}^{n-2} (n-k-1)b_{2k}(X) - \sum_{k=1}^{n-2} (n-k-1)b_{2k-1}(X).$$

By assumption we have  $n+r-1=m\geq i+1=2n-2$ . Hence by Remark 2.2 (2.2) we obtain

$$b_{2n-3}(P) = \sum_{k=1}^{n-1} b_{2k-1}(X).$$

## Therefore

$$\begin{split} b_{2n-3}(P,H) - b_{2n-3}(P) \\ &= -e_{2n-3}(P,H) + 2 \sum_{j=0}^{2n-4} \left( -1 \right)^{j} b_{j}(P) - b_{2n-3}(P) \\ &= -(n-2)(e(X) - c_{n}(\mathcal{E})) + c_{n-1}(\mathcal{E})(K_{X} + c_{1}(\mathcal{E})) \\ &+ \sum_{k=0}^{n-2} (2n - 2k - 2)b_{2k}(X) - \sum_{k=1}^{n-2} (2n - 2k - 2)b_{2k-1}(X) \\ &- \sum_{k=1}^{n-1} b_{2k-1}(X) \\ &= -(n-2)(e(X) - c_{n}(\mathcal{E})) + c_{n-1}(\mathcal{E})(K_{X} + c_{1}(\mathcal{E})) \\ &+ \sum_{k=0}^{n-2} (2n - 2k - 2)b_{2k}(X) - \sum_{k=1}^{n-2} (2n - 2k - 1)b_{2k-1}(X) \\ &- b_{2n-3}(X) \\ &= -(n-2)(e(X) - c_{n}(\mathcal{E})) + c_{n-1}(\mathcal{E})(K_{X} + c_{1}(\mathcal{E})) \\ &- 2e(X) + 2b_{2n-2}(X) + 2b_{2n}(X) - 3b_{2n-3}(X) - 2b_{2n-1}(X) \\ &+ \sum_{k=0}^{n-2} (2n - 2k)b_{2k}(X) - \sum_{k=1}^{n-2} (2n - 2k + 1)b_{2k-1}(X) \\ &= -(n-2)(e(X) - c_{n}(\mathcal{E})) + c_{n-1}(\mathcal{E})(K_{X} + c_{1}(\mathcal{E})) \\ &+ \sum_{k=0}^{n} (2n - 2k)b_{2k}(X) - \sum_{k=1}^{n} (2n - 2k + 1)b_{2k-1}(X) \\ &= -(n-2)e(X) + (n-2)c_{n}(\mathcal{E}) + c_{n-1}(\mathcal{E})(K_{X} + c_{1}(\mathcal{E})) \\ &+ \sum_{k=0}^{n} (n-2k)b_{2k}(X) - \sum_{k=1}^{n} (n-2k + 1)b_{2k-1}(X) \\ &+ (n-2)e(X) + 2b_{2n}(X) - b_{2n-1}(X). \end{split}$$

Since  $b_{2n}(X)=1$  and  $b_{2n-1}(X)=b_1(X)=2q(X)$ , by Claim 3.1 we obtain  $b_{2n-3}(P,H)-b_{2n-3}(P)$   $=(n-2)c_n(\mathcal{E})+c_{n-1}(\mathcal{E})(K_X+c_1(\mathcal{E}))+2-2q(X).$ 

(d) The case where i = 1.

Then by Theorem 3.1 (3.1.4) and the definition of  $b_1(P, H)$ 

$$b_1(P,H) = -e_1(P,H) + 2b_0(P)$$
  
= 2 + (n - 2)s<sub>n</sub>(\varepsilon) + (c\_1(\varepsilon) + K<sub>Y</sub>)s<sub>n-1</sub>(\varepsilon).

(e) The case where i = 0.

Then by Theorem 3.1 (3.1.5) and the definition of  $b_0(P, H)$ ,

$$b_0(P, H) = e_0(P, H) = s_n(\mathcal{E}).$$

Therefore we get the assertion.

COROLLARY 3.2. Let X,  $\mathcal{E}$ , P, H, m and n be as in Notation 2.2. If  $n \geq 2$  and m > i > 2n - 2, then  $b_i(P, H) > b_i(P)$ .

PROOF. If  $i \geq 2n-1$ , then by Theorem 3.2 (3.2.1) we get the assertion. Next we consider the case where i=2n-2. Since  $\mathcal E$  is ample, we have  $c_n(\mathcal E) \geq 1$ . Therefore by Theorem 3.2 (3.2.2), we see  $b_{2n-2}(P,H) \geq b_{2n-2}(P)$ . This completes the proof.  $\square$ 

COROLLARY 3.3. Let X,  $\mathcal{E}$ , P, H, m and n be as in Notation 2.2. (3.3.1) Assume that n=1. Then we get the following:

$$b_i(P,H) = \left\{ egin{aligned} b_i(P) & \textit{if } m \geq i \geq 1, \\ \deg \mathcal{E} & \textit{if } i = 0. \end{aligned} 
ight.$$

(3.3.2) Assume that n = 2. Then we get the following:

$$b_i(P,H) = egin{cases} b_i(P) & \ if \ m \geq i \geq 3, \ b_2(P) + c_2(\mathcal{E}) - 1 & \ if \ i = 2, \ c_1(\mathcal{E})(c_1(\mathcal{E}) + K_X) + 2 & \ if \ i = 1, \ s_2(\mathcal{E}) & \ if \ i = 0. \end{cases}$$

PROOF. By Theorem 3.2, we get the assertion. (Here we note that if n=2, then 2n-2=2< n+r-1=m because we assume  $r\geq 2$  in this paper.)  $\square$ 

Remark 3.1. Since  $\mathcal{E}$  is ample, we see that if n=1 or 2, then  $b_i(P,H) \geq 0$  for any i.

Here we calculate  $h_i^{j,i-j}(P,H)$  for the case where  $m \geq i \geq 2n-1$ .

Theorem 3.3. Let X, E, P, H, m and n be as in Notation 2.2. If  $m \ge i \ge 2n - 1$  and  $0 \le j \le i$ , then  $h_i^{j,i-j}(P,H) = h^{j,i-j}(P)$ .

PROOF. First we note that we can take an ample line bundle A on X such that  $\mathcal{E} \otimes A^{\otimes t}$  is ample and spanned for every positive integer t. Hence  $H(\mathcal{E} \otimes A^{\otimes t})$  is ample and spanned. We also note that there exists an isomorphism  $\phi: \mathbb{P}_X(\mathcal{E} \otimes A^{\otimes t}) \to P$  with  $H(\mathcal{E} \otimes A^{\otimes t}) = \phi^*(H \otimes p^*(A^{\otimes t}))$ , where  $p: P \to X$  is the projection. Therefore  $H \otimes p^*(A^{\otimes t})$  is also ample and spanned. By this  $\phi$ , we identify  $\mathbb{P}_X(\mathcal{E} \otimes A^{\otimes t})$  and P. By Theorem 3.2 we have

$$b_i(P, H(\mathcal{E} \otimes A^{\otimes t})) = b_i(P).$$

Hence

$$b_i(P, H \otimes p^*(A^{\otimes t}))$$

$$= b_i(P, H(\mathcal{E} \otimes A^{\otimes t}))$$

$$= b_i(P).$$

On the other hand by Proposition 2.1 (1.1) and (2) we have

$$h_i^{j,i-j}(P,H\otimes p^*(A^{\otimes t}))=h^{j,i-j}(P)$$

because  $H\otimes p^*(A^{\otimes t})$  is ample and spanned. But since  $F(t):=:=h_i^{j,i-j}(P,H\otimes p^*(A^{\otimes t}))-h^{i,i-j}(P)$  is a polynomial in t and F(t)=0 for every positive integer t, we see that F(0)=0, that is,

$$h_i^{j,i-j}(P,H) = h^{i,i-j}(P).$$

This completes the proof.

COROLLARY 3.4. Let X,  $\mathcal{E}$ , P, H, m and n be as in Notation 2.2. (3.4.1) Assume that n = 1. Then we get the following:

$$h_i^{j,i-j}(P,H) = \begin{cases} h^{j,i-j}(P) & \text{if } m \ge i \ge 1 \text{ and } 0 \le j \le i, \\ \deg \mathcal{E} & \text{if } i = 0 \text{ and } j = 0. \end{cases}$$

(3.4.2) Assume that n=2. Then we get the following:

$$h_i^{j,i-j}(P,H) = \begin{cases} h^{j,i-j}(P) & \text{if } m \geq i \geq 3 \text{ and } 0 \leq j \leq i, \\ h^{0,2}(P) & \text{if } i = 2 \text{ and } j = 0, \\ h^{2,0}(P) & \text{if } i = 2 \text{ and } j = 2, \\ h^{1,1}(P) + c_2(\mathcal{E}) - 1 & \text{if } i = 2 \text{ and } j = 1, \\ \frac{1}{2}(c_1(\mathcal{E})(c_1(\mathcal{E}) + K_X)) + 1 & \text{if } i = 1 \text{ and } j = 0, 1, \\ s_2(\mathcal{E}) & \text{if } i = 0 \text{ and } j = 0. \end{cases}$$

PROOF. If n = 1, then by Corollary 3.3 and Theorem 3.3 we get the assertion.

Assume that n=2. Then by Corollary 3.3 and Theorem 3.3 we get the assertion for the case where  $m\geq i\geq 3$  and  $0\leq j\leq i$ . If (i,j)=(2,0) (resp. (2,2)), then by Proposition 2.1 (1.3) and [5, Example 2.10 (8)] we have  $h_2^{0,2}(P,H)=g_2(P,H)=h^2(\mathcal{O}_P)=h^{0,2}(P)$  (resp.  $h_2^{2,0}(P,H)=g_2(P,H)=h^2(\mathcal{O}_P)=h^{0,2}(P)$ ). Moreover by Corollary 3.3 (3.3.2) and Proposition 2.1 (1.1) we get  $h_2^{1,1}(P,H)=h^{1,1}(P)+c_2(\mathcal{E})-1$ . Assume that i=1. Then by Proposition 2.1 (1.1) we have

Assume that i = 1. Then by Proposition 2.1 (1.1) we have  $b_1(P, H) = h_1^{1,0}(P, H) + h_1^{0,1}(P, H)$ . Moreover by Proposition 2.1 (1.2) we have  $h_1^{1,0}(P, H) = h_1^{0,1}(P, H)$ . Therefore by Corollary 3.3 we get the assertion for the case i = 1.

By Corollary 3.3 we get the assertion for the case where i = 0.

REMARK 3.2. Since  $\mathcal E$  is ample, we see that if n=1 or 2, then  $h_i^{j,i-j}(P,H)\geq 0$  for any i and j with  $0\leq j\leq i\leq m$ .

## 4. A new invariant of generalized polarized manifolds.

Here we use Notation 2.2. Assume that i = 2n - 3,  $n \ge 3$  and i < m. Then by Theorem 3.2 (3.2.3) we see that  $(n - 2)c_n(\mathcal{E}) + c_{n-1}(\mathcal{E})(K_X + c_1(\mathcal{E}))$  is even because  $b_{2n-3}(P, H)$  and  $b_{2n-3}(P)$  are even (see [8, Theorem 3.1 (3.1.2)]). We put

$$v(X, \mathcal{E}) := 1 + \frac{1}{2}((n-2)c_n(\mathcal{E}) + c_{n-1}(\mathcal{E})(K_X + c_1(\mathcal{E}))).$$

Here we note that  $r \ge n-1$  since  $n+r-1=m \ge i+1=2n-2$ . If r=n-1, then

$$v(X,\mathcal{E}) = 1 + \frac{1}{2}(K_X + c_1(\mathcal{E}))c_{n-1}(\mathcal{E}).$$

So  $v(X, \mathcal{E})$  is thought to be a generalization of the curve genus  $cg(X, \mathcal{E})$  of  $(X, \mathcal{E})$  (see Definition 2.3). Here we define  $v(X, \mathcal{E})$  again.

DEFINITION 4.1. Let  $(X, \mathcal{E})$  be a generalized polarized manifold of dimension  $n \geq 3$ . Assume that  $r \geq n - 1$ . Then the invariant  $v(X, \mathcal{E})$  of  $(X, \mathcal{E})$  is defined as follows.

$$v(X,\mathcal{E}):=1+\frac{1}{2}((n-2)c_n(\mathcal{E})+c_{n-1}(\mathcal{E})(K_X+c_1(\mathcal{E}))).$$

Since  $b_{2n-3}(P,H) - b_{2n-3}(P) = 2v(X,\mathcal{E}) - 2q(X)$  by Theorem 3.2 (3.2.3), we can propose the following conjecture.

Conjecture 4.1. Let  $(X, \mathcal{E})$  be a generalized polarized manifold of dimension  $n \geq 3$ . Assume that  $r \geq n-1$ . Then  $v(X, \mathcal{E}) \geq q(X)$ .

Here we study the non-negativity of  $v(X, \mathcal{E})$ .

THEOREM 4.1. Let  $(X, \mathcal{E})$  be a generalized polarized manifold of dimension  $n \geq 3$ . Assume that  $r \geq n - 1$ . Then  $v(X, \mathcal{E}) \geq 0$ .

PROOF. If r = n - 1, then  $v(X, \mathcal{E})$  is the curve genus and then  $v(X, \mathcal{E}) > 0$  by [19, Theorem 1]. So we may assume that r > n.

If  $r \geq n$  and  $K_X + c_1(\mathcal{E})$  is nef, then  $(K_X + c_1(\mathcal{E}))c_{n-1}(\mathcal{E}) \geq 0$  because  $\mathcal{E}$  is ample. Furthermore  $c_n(\mathcal{E}) \geq 1$ . So we obtain  $v(X, \mathcal{E}) \geq 2$ .

If  $r \geq n$  and  $K_X + c_1(\mathcal{E})$  is not nef, then  $(X, \mathcal{E}) \cong (\mathbb{P}^n, \mathcal{O}_{\mathbb{P}^n}(1)^{\oplus n})$  by [22, Theorem 1 and Theorem 2]. In this case  $(K_X + c_1(\mathcal{E}))c_{n-1}(\mathcal{E}) = -n$  and  $c_n(\mathcal{E}) = 1$ . Hence  $v(X, \mathcal{E}) = 0$ . Therefore we get the assertion.

THEOREM 4.2. Let  $(X, \mathcal{E})$  be a generalized polarized manifold of dimension  $n \geq 3$ . Assume that  $r \geq n-1$ .

- (1) If  $v(X, \mathcal{E}) = 0$ , then  $(X, \mathcal{E})$  is one of the following.
  - (1.1)  $(\mathbb{P}^n, \mathcal{O}_{\mathbb{P}^n}(1)^{\oplus n}).$
  - (1.2)  $(\mathbb{P}^n, \mathcal{O}_{\mathbb{P}^n}(1)^{\oplus n-1}).$
  - $(1.3) \quad (\mathbb{P}^n, \mathcal{O}_{\mathbb{P}^n}(1)^{\oplus n-2} \oplus \mathcal{O}_{\mathbb{P}^n}(2)).$
  - (1.4)  $(\mathbb{Q}^n, \mathcal{O}_{\mathbb{Q}^n}(1)^{\oplus n-1}).$
  - (1.5)  $X \cong \mathbb{P}_{\mathbb{P}^1}(\mathcal{F})$  for some vector bundle  $\mathcal{F}$  of rank n on  $\mathbb{P}^1$ , and  $\mathcal{E} \cong \bigoplus_{j=1}^{n-1} (H(\mathcal{F}) + \pi^* \mathcal{O}_{\mathbb{P}^1}(b_j))$ , where  $\pi : X \to \mathbb{P}^1$  is the bundle projection.

- (2) If  $v(X, \mathcal{E}) = 1$ , then  $(X, \mathcal{E})$  is one of the following.
  - (2.1)  $X \cong \mathbb{P}_{C}(\mathcal{F})$  for some vector bundle  $\mathcal{F}$  of rank n on a smooth elliptic curve C, and  $\mathcal{E}_{F} \cong \mathcal{O}_{\mathbb{P}^{n-1}}(1)^{\oplus n-1}$  for any fiber F of the bundle projection  $X \to C$ .
  - (2.2)  $K_X + \det(\mathcal{E}) = \mathcal{O}_X$  and r = n 1.

PROOF. If  $v(X, \mathcal{E}) \leq 1$ , then by the proof of Theorem 4.1, one of the following cases occurs:

$$(4.2.1) r = n - 1.$$

(4.2.2)  $r \ge n$  and  $K_X + c_1(\mathcal{E})$  is not nef.

If r=n-1 and  $v(X,\mathcal{E})=0$  (resp.  $v(X,\mathcal{E})=1$ ), then  $0=v(X,\mathcal{E})=cg(X,\mathcal{E})$  (resp.  $1=v(X,\mathcal{E})=cg(X,\mathcal{E})$ ) and by [19, Theorem 1 and Theorem 2] we get the above types.

If  $r \geq n$  and  $K_X + c_1(\mathcal{E})$  is not nef, then  $(X, \mathcal{E}) \cong (\mathbb{P}^n, \mathcal{O}_{\mathbb{P}^n}(1)^{\oplus n})$  by [22, Theorem 1 and Theorem 2] and then  $v(X, \mathcal{E}) = 0$ . Hence we get the assertion.

REMARK 4.1. (1) If  $(X, \mathcal{E})$  is the type (2.2) in Theorem 4.2, then a classification of  $(X, \mathcal{E})$  has been obtained by [20].

(2) If  $v(X, \mathcal{E}) \leq 1$ , then we see that  $v(X, \mathcal{E}) \geq q(X)$ .

PROPOSITION 4.1. Let  $(X,\mathcal{E})$  be a generalized polarized manifold of dimension  $n \geq 3$ . Assume that  $r \geq n-1$  and  $\mathcal{E}$  is spanned. Then  $v(X,\mathcal{E}) \geq q(X)$ .

PROOF. Set  $(P, H) := (\mathbb{P}_X(\mathcal{E}), H(\mathcal{E}))$ . Then H is ample and spanned. So by Proposition 2.1 (2.1) we obtain

$$b_{2n-3}(P,H) \ge b_{2n-3}(P).$$

On the other hand

$$b_{2n-3}(P, H) - b_{2n-3}(P)$$
  
=  $2v(X, \mathcal{E}) - 2q(X)$ .

Hence we get the assertion.  $\Box$ 

REMARK 4.2. (1) By Theorem 4.2 we can determine the type of  $(X, \mathcal{E})$  such that  $v(X, \mathcal{E}) = q(X)$  and  $q(X) \leq 1$ .

- (2) For every integer  $q \geq 2$ , there exists an example of  $(X,\mathcal{E})$  with  $v(X,\mathcal{E}) = q = q(X)$  (This was given by the referee.): Let C be a smooth projective curve with  $g(C) = q \geq 2$ . We note that there exist vector bundles  $\mathcal{F}$  and  $\mathcal{G}$  on C with rank  $\mathcal{F} = n$  and rank  $\mathcal{G} = n 1$  such that  $\mathcal{E} := H(\mathcal{F}) \otimes \pi^*(\mathcal{G})$  is an ample vector bundle of rank n 1 on X, where  $X := \mathbb{P}_C(\mathcal{F})$ ,  $H(\mathcal{F})$  is the tautological line bundle of  $\mathcal{F}$  and  $\pi: X \to C$  is the bundle projection. Then we can easily check that  $v(X, \mathcal{E}) = cg(X, \mathcal{E}) = q \geq 2$ .
- (3) We see that if  $\mathcal{E}$  is ample and spanned, rank  $\mathcal{E} = n-1$  and  $v(X,\mathcal{E}) = q(X) \geq 2$ , then  $(X,\mathcal{E})$  is isomorphic to the type in (2) above. This has been proved by [17, Theorem].

Here we give the following conjecture which was pointed out by the referee:

Conjecture 4.2. Let X be a smooth projective variety of dimension  $n \geq 3$  and let  $\mathcal{E}$  be an ample vector bundle on X with rank  $\mathcal{E} = r$ . Assume that  $r \geq n-1$  and  $q(X) \geq 2$ . If  $\mathcal{E}$  is spanned and  $v(X,\mathcal{E}) = q(X)$ , then r = n-1.

Remark 4.3. We consider the case where  $r \ge n \ge 3$ . (The following (a) and (b) were also pointed out by the referee.)

- (a) If  $K_X + c_1(\mathcal{E})$  is not ample, then by [4, Main Theorem]  $(X, \mathcal{E})$  is one of the following 6 types and we can calculate  $v(X, \mathcal{E})$  and q(X):
  - (a.1)  $(\mathbb{P}^n, \mathcal{O}_{\mathbb{P}^n}(1)^{\oplus n+1})$ . In this case  $(v(X, \mathcal{E}), q(X)) = (1 + (1/2) \cdot (n-2)(n+1), 0)$ .
  - (a.2)  $(\mathbb{P}^n, \mathcal{O}_{\mathbb{P}^n}(1)^{\oplus n})$ . In this case  $(v(X, \mathcal{E}), q(X)) = (0, 0)$ .
  - (a.3)  $X \cong \mathbb{P}_C(\mathcal{F})$  for a vector bundle  $\mathcal{F}$  of rank n on a smooth curve C, and  $\mathcal{E} \cong H(\mathcal{F}) \otimes \pi^*(\mathcal{G})$  for a vector bundle  $\mathcal{G}$  on C with rank  $\mathcal{G} = n$ , where  $\pi : X \to C$  is the bundle projection. In this case  $(v(X, \mathcal{E}), q(X)) = (g(C) + (n-1)(g(C) 1 + c_1(\mathcal{F}) + c_1(\mathcal{G})), g(C))$ .
  - (a.4)  $(\mathbb{P}^n, \mathcal{O}_{\mathbb{P}^n}(1)^{\oplus n-1} \oplus \mathcal{O}_{\mathbb{P}^n}(2))$ . In this case  $(v(X, \mathcal{E}), q(X)) = (n-1, 0)$ .
  - (a.5)  $(\mathbb{P}^n, \mathcal{T}_{\mathbb{P}^n})$ . In this case  $(v(X, \mathcal{E}), q(X)) = (1 + (1/2)(n-2) \cdot (n+1), 0)$ .
  - (a.6)  $(\mathbb{Q}^n, \mathcal{O}_{\mathbb{Q}^n}(1)^{\oplus n})$ . In this case  $(v(X, \mathcal{E}), q(X)) = (n 1, 0)$ .

Here we consider the case where  $(X, \mathcal{E})$  is the type (a.3) above. Then  $1 \le c_n(\mathcal{E}) = c_1(\mathcal{F}) + c_1(\mathcal{G})$  because  $\mathcal{E}$  is ample. Hence  $g(C) - 1 + c_1(\mathcal{F}) + c_2(\mathcal{E})$ 

 $+c_1(\mathcal{G}) \geq 0$  and we get  $v(X,\mathcal{E}) \geq g(C) = q(X)$ . Moreover if  $g(C) \geq 1$ , then we see that  $v(X,\mathcal{E}) \geq q(X) + (n-1) > q(X)$ .

We also note that if  $\mathcal{E}$  is spanned by global sections, then  $c_n(\mathcal{E}) \geq 2$  by [21, (3.4) Theorem] and we obtain  $v(X, \mathcal{E}) \geq q(X) + (n-1) > q(X)$ .

(b) Next we assume that  $K_X + c_1(\mathcal{E})$  is ample. Then we can prove the following:

Proposition 4.2. (1) If  $K_X + c_1(\mathcal{E})$  is ample, then  $v(X, \mathcal{E}) \ge 1 + \frac{1}{2}(n-1)$ .

(2) If  $K_X + c_1(\mathcal{E})$  is ample and  $\mathcal{E}$  is spanned, then  $v(X, \mathcal{E}) > n$ .

PROOF. (1) By assumption, we have  $c_n(\mathcal{E}) \geq 1$  and  $(K_X + c_1(\mathcal{E}))c_{n-1}(\mathcal{E}) \geq 1$ . Hence we get the assertion of (1).

(2) Since  $\mathcal{E}$  is spanned and  $K_X + c_1(\mathcal{E})$  is ample, we see that  $c_n(\mathcal{E}) \geq 2$  by [21, (3.4) Theorem]. Hence  $(n-2)c_n(\mathcal{E}) + (K_X + c_1(\mathcal{E}))c_{n-1}(\mathcal{E}) \geq 2(n-2) + 2$  because the term on the left is even. Therefore we get the assertion of (2).

The above results suggest that for the case where  $r \ge n$  and  $v(X, \mathcal{E}) \ne q(X)$  there are some gaps for the value of  $v(X, \mathcal{E}) - q(X)$ , depending on n. We will investigate this in a future paper.

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Manoscritto pervenuto in redazione il 10 luglio 2007.