

## A characterization of automorphism groups of simple $K_3$ -groups

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ABSTRACT - In this paper, a new characterization of automorphism groups of simple  $K_3$ -groups is presented.

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## 1. Introduction

All groups considered in this paper are finite.

It is known that the set  $cs(G)$  of sizes of the conjugacy classes of a finite group  $G$  encodes much information about the structure of  $G$ . Many authors have studied the connection between arithmetical properties of  $cs(G)$  and structural properties of  $G$ . The present paper is a contribution along this line, which is related to Thompson's conjecture (see [11, Problem 12.38] and [2, 3, 4, 5, 12, 1] for detail).

**CONJECTURE 1.1.** *Let  $G$  be a group with  $Z(G) = 1$  and  $M$  is a non-abelian simple group satisfying that  $cs(G) = cs(M)$ , then  $G \simeq M$ .*

In this conjecture,  $M$  is a non-abelian simple group. Hence it seems interesting to consider the following question.

*Let  $G$  be a group with  $Z(G) = 1$  and  $M$  is an almost simple group satisfying that  $cs(G) = cs(M)$ . Then, what can we say about the structure of  $G$ ?*

A group  $G$  is almost simple if there exists a non-abelian simple group  $S$  such that  $S \leq G \leq Aut(S)$ . In [9, 10], the almost sporadic groups,  $Aut(PSL(2, q))$  and  $PGL(2, p)$  for some special cases are discussed. In this paper, we investigate the almost simple  $K_3$ -groups. A group  $G$  is called a  $K_3$ -group if  $\pi(G)$  consists of exactly three distinct primes. Among the known simple groups, there are exactly eight simple  $K_3$ -groups (see [7, p. 12]):

$$A_5, \quad A_6, \quad L_2(7), \quad L_2(8), \quad L_2(17), \quad L_3(3), \quad U_3(3), \quad U_4(2).$$

Our main result is as follows.

**THEOREM 1.2.** *Let  $G$  be a group with  $Z(G) = 1$  and  $S$  be one of the simple  $K_3$ -groups. Set  $M = Aut(S)$ .*

(1) *If  $S \in \{A_5, A_6, L_2(7), L_2(8), L_2(17), L_3(3), U_3(3)\}$  and  $cs(G) = cs(M)$ , then  $G \simeq M$ .*

(2) *If  $S = U_4(2)$ ,  $cs(G) = cs(M)$  and  $|G|_p = |M|_p$ , then  $G \simeq M$ , where  $|G|_p$  denotes the order of the Sylow  $p$ -subgroups of  $G$  for  $p \in \{2, 3, 5\}$ .*

## 2. Preliminaries

Let  $G$  be a group and construct its prime graph  $\Gamma(G)$  as follows: the vertices are the primes dividing the order of  $G$ , two vertices  $p$  and  $q$  are

joined by an edge if and only if  $G$  contains an element of order  $pq$  (see [13]). We denote the set of all connected components of the graph  $\Gamma(G)$  by  $T(G) = \{\pi_i(G) | 1 \leq i \leq t(G)\}$ , where  $t(G)$  is the number of the connected components of  $\Gamma(G)$ . If the order of  $G$  is even, we always assume that  $2 \in \pi_1(G)$ . For  $x \in G$ ,  $x^G$  denotes the conjugacy class in  $G$  containing  $x$  and  $C_G(x)$  denotes the centralizer of  $x$  in  $G$ . A group  $G$  is called a *2-Frobenius group* if  $G$  has a normal series  $1 \subseteq H \subseteq K \subseteq G$  such that  $K$  and  $G/H$  are Frobenius groups with kernels  $H$  and  $K/H$  respectively (see, for example [9, Definition 2.1]).

The other notation and terminologies in this paper are standard and the reader is referred to ATLAS [6] and [8] if necessary.

The following lemma is well-known. It follows from [4, Lemma 1.1] or [12, Lemma 3].

**LEMMA 2.1.** *Let  $G$  and  $M$  be groups satisfying  $Z(G) = Z(M) = 1$  and  $cs(G) = cs(M)$ . Then  $\pi(G) = \pi(M)$ .*

**LEMMA 2.2** [4, Lemma 1.4]. *Let  $G$  be a group with  $Z(G) = 1$  and  $M$  a group with  $t(M) > 1$ . Suppose that  $cs(G) = cs(M)$ . Then  $|G| = |M|$ .*

**LEMMA 2.3** [4, Lemma 1.5]. *Let  $G$  and  $M$  be groups satisfying that  $|G| = |M|$  and  $cs(G) = cs(M)$ . Then  $t(G) = t(M)$  and  $T(G) = T(M)$ .*

**LEMMA 2.4.** *Suppose that  $G$  is a Frobenius group of even order and  $H$ ,  $K$  are the Frobenius kernel and the Frobenius complement of  $G$ , respectively. Then  $t(G) = 2$ ,  $T(G) = \{\pi(H), \pi(K)\}$  and  $G$  has one of the following structures:*

- (i)  $2 \in \pi(H)$  and all Sylow subgroups of  $K$  are cyclic;
- (ii)  $2 \in \pi(K)$ ,  $H$  is an abelian group,  $K$  is a solvable group, the Sylow subgroups of  $K$  of odd order are cyclic groups and the Sylow 2-subgroups of  $K$  are cyclic or generalized quaternion groups;
- (iii)  $2 \in \pi(K)$ ,  $H$  is abelian, and there exists a subgroup  $K_0$  of  $K$  such that

$$|K : K_0| \leq 2, K_0 = Z \times SL(2, 5), (|Z|, 2 \times 3 \times 5) = 1,$$

and the Sylow subgroups of  $Z$  are cyclic.

**PROOF.** This is Lemma 1.6 in [4]. □

LEMMA 2.5. *Let  $G$  be a 2-Frobenius group of even order. Then  $t(G) = 2$  and  $G$  has a normal series  $1 \subseteq H \subseteq K \subseteq G$  such that  $\pi(K/H) = \pi_2$ ,  $\pi(H) \cup \pi(G/K) = \pi_1$ , the order of  $G/K$  divides the order of the automorphism group of  $K/H$ , and both  $G/K$  and  $K/H$  are cyclic. Especially,  $|G/K| < |K/H|$  and  $G$  is solvable.*

PROOF. This is Lemma 1.7 in [4].  $\square$

LEMMA 2.6. *Let  $G$  be a group with more than one prime graph component. Then  $G$  is one of the following:*

- (i) *a Frobenius or 2-Frobenius group;*
- (ii)  *$G$  has a normal series  $1 \subseteq H \subseteq K \subseteq G$ , where  $H$  is a nilpotent  $\pi_1$ -group,  $K/H$  is a non-abelian simple group and  $G/K$  is a  $\pi_1$ -group such that  $|G/K|$  divides the order of the outer automorphism group of  $K/H$ . Besides,  $\pi_i(K/H) = \pi_i(G)$  for  $i \geq 2$ .*

PROOF. It follows straight forward from Lemmas 1-3 in [13], Lemma 1.5 in [3] and Lemma 7 in [5].  $\square$

LEMMA 2.7 [9, Corollary 5.1]. *Let  $G$  be a group with  $Z(G) = 1$  and  $M = Aut(L_2(q))$ , where  $\Gamma(M)$  is not connected. If  $cs(G) = cs(M)$ , then  $G \simeq M$ .*

LEMMA 2.8 [12, Lemma 4]. *Suppose that  $G$  is a group with  $Z(G) = 1$  and  $p$  is a prime in  $\pi(G)$  such that  $p^2$  does not divide  $|x^G|$  for all  $x$  in  $G$ . Then a Sylow  $p$ -subgroup of  $G$  is elementary abelian.*

### 3. Proof of Theorem 1.2

LEMMA 3.1. *Let  $G$  be a group with  $Z(G) = 1$  and*

$$S \in \{A_5, L_2(7), L_2(8), L_2(17), L_3(3), U_3(3)\}.$$

*Let  $M = Aut(S)$  and  $cs(G) = cs(M)$ . Then  $G \simeq M$ .*

PROOF. By [6], we have that  $\Gamma(M)$  is not connected if

$$S \in \{A_5, L_2(7), L_2(8), L_2(17), L_3(3), U_3(3)\}.$$

Therefore, by Lemma 2.7,  $G \simeq M$  provided that

$$S \in \{L_2(7), L_2(8), L_2(17)\}.$$

Now, it suffices to discuss the remaining three cases.

First, we suppose that  $S = A_5$ . Then  $\Gamma(M)$  is not connected. By the hypothesis and lemma 2.2, we obtain that  $|G| = |M|$ . Since  $\pi_2(M) = \{5\}$  by [6], it follows from Lemma 2.3 that  $\pi_2(G) = \{5\}$ . Since 5 does not divide 23, by Lemma 2.4, we have  $G$  is not a Frobenius group. Suppose that  $G$  is a 2-Frobenius group. Then, by Lemma 2.5,  $G$  has a normal series  $1 \subseteq H \subseteq K \subseteq G$  such that  $|K/H| = 5$ . Let  $P$  be a Sylow 3-subgroup of  $H$ . Then  $P$  is a normal subgroup of  $G$  of order 3. Let  $x \in G$  such that  $|x| = 5$ . Then  $x$  acts trivially on  $P$  and so  $\Gamma(G)$  is connected. This contradiction shows that  $G$  is not a 2-Frobenius group either. Now, by lemma 2.6,  $G$  has a normal series  $1 \subseteq H \subseteq K \subseteq G$  such that  $K/H$  is a non-abelian simple group. It is easy to see that  $K/H$  is isomorphic to  $A_5$ . If  $H \neq 1$ , then  $H$  is of order 2 and so  $H \leq Z(G)$ , contrary to our assumption for  $G$ . Hence  $H = 1$  and therefore  $K \simeq A_5$ . It follows that  $G \simeq S_5$ , as desired.

Next, we assume that  $S = L_3(3)$ . Since  $\Gamma(M) = \Gamma(Aut(S))$  is not connected, Lemma 2.2 together with the hypothesis imply that  $|G| = |M|$ . By [6], we have that  $\{13\}$  is a component of  $\Gamma(M)$ . Hence, by Lemma 2.3,  $\{13\}$  is a component of  $\Gamma(G)$ . Similar to above discussion, we can show that  $G$  is not a Frobenius group. We assert that  $G$  is not a 2-Frobenius group either. If not, then, by Lemma 2.5,  $G$  has a normal series  $1 \subseteq H \subseteq K \subseteq G$  such that  $|K/H| = 13$ . Let  $P$  be a Sylow 2-subgroup of  $H$  and  $\Omega = \Omega_1(Z(P))$ . Since  $(13, |GL_n(2)|) = 1$ , where  $n = 2, 3, 4, 5$ , an element  $x$  of  $G$  of order 13 act trivially on  $\Omega$ . Therefore  $G$  has an element of order 26, a contradiction. Thus  $G$  is not a 2-Frobenius group. By Lemma 2.6,  $G$  has a chief factor  $K/H$  such that  $K/H$  is a simple  $K_3$ -group and  $13 \in \pi(K/H)$ . By [6],  $K/H$  must be isomorphic to  $L_3(3)$ . Similarly as above, we obtain that  $H = 1$  and so  $G \simeq M = Aut(L_3(3))$ .

Finally, we prove that  $G \simeq M = Aut(U_3(3))$ . As above, one can show that  $G$  is neither a Frobenius group nor a 2-Frobenius group. By [6],  $\pi_2(M) = \{7\}$  and so  $\pi_2(G) = \{7\}$  by Lemma 2.3. It follows from Lemma 2.6 that  $G$  has a chief factor  $K/H$  such that  $K/H$  is a simple  $K_3$ -group and  $7 \in \pi(K/H)$ . Then  $K/H$  is isomorphic to  $L_2(7)$ ,  $L_2(8)$  or  $U_3(3)$ . Let  $P$  be a Sylow 2-subgroup of  $H$ ,  $Q$  a Sylow 3-subgroup of  $H$  and  $x$  an element of  $G$  of order 7. If  $K/H \simeq L_2(7)$ , then  $|Q| = 3^2$ . Since  $(7, |Aut(Q)|) = 1$ ,  $x$  acts trivially on  $Q$  and so 7 and 3 are joined, a contradiction. If  $K/H \simeq L_2(8)$ , then  $P$  is of order  $2^3$  and so  $G$  has an element  $x$  such that  $|x^G| \leq 7$ , a contradiction by [6]. Hence  $K/H \simeq U_3(3)$ . It is easy to see that  $H = 1$  since otherwise,  $H$  is contained in  $Z(G)$ , a contradiction. Thus,  $K \simeq U_3(3)$  and  $G \simeq Aut(U_3(3))$ .  $\square$

LEMMA 3.2. *Let  $G$  be a group with  $Z(G) = 1$  and  $M = Aut(A_6)$ . If  $cs(G) = cs(M)$ , then  $G \simeq M$ .*

PROOF. We proceed the proof by several steps.

(1) By [6],  $cs(G)$  consists of  $n_1 = 1, n_2 = 3^2 \cdot 5, n_3 = 2^4 \cdot 5, n_4 = 2 \cdot 3^2 \cdot 5, n_5 = 2^4 \cdot 3^2, n_6 = 2 \cdot 3 \cdot 5, n_7 = 2^4 \cdot 3 \cdot 5, n_8 = 2^2 \cdot 3^2, n_9 = 2^2 \cdot 3^2 \cdot 5$ .

(2) By Lemma 2.1, we have that  $\pi(G) = \pi(M) = \{2, 3, 5\}$ .

(3) The Sylow 5-subgroups of  $G$  are of order 5.

Let  $P$  be a Sylow 5-subgroup of  $G$ . Then, by Lemma 2.8,  $P$  is elementary abelian since  $5^2$  does not divide any element in  $cs(G)$ . We assert that  $P$  is of order 5. Assume that  $5^2$  divide the order of  $G$ . Then, the centralizer of every element of  $G$  contains an element of order 5. Let  $y \in G$  such that  $|y^G| = 2^4 \cdot 3 \cdot 5$  and  $x$  an element of  $C_G(y)$  of order 5. Since the Sylow 5-subgroup of  $G$  is elementary abelian, we have that 5 does not divide  $|x^G|$  and consequently  $x^G = 2^4 \cdot 3^2$  or  $2^2 \cdot 3^2$ . If 5 does not divide  $|y|$ , then  $C_G(xy) = C_G(x) \cap C_G(y)$ , from which we can deduce that  $2^6 \cdot 3^3 \cdot 5$  divide  $(xy)^G$ , which is impossible. If 5 divides  $|y|$ , then  $y = y_1y_2$  with  $|y_1| = 5$  and  $(|y_1|, |y_2|) = 1$ . It follows that  $|y_1^G|$  should divide  $|y^G|$ , a contradiction. Hence the Sylow 5-subgroup of  $G$  is of order 5.

(4)  $O_{22'}(G) = O_2(G)$ .

Write  $K = O_2(G)$  and  $\bar{G} = G/K$ . Suppose that the statement is false. Then there is  $r \in \{3, 5\}$  such that  $O_r(\bar{G}) \neq 1$ . If  $P = O_5(\bar{G}) \neq 1$ , then  $|P| = 5$ . Let  $Q$  be a Sylow 3-subgroup of  $G$  and  $x$  an element of  $Z(Q)$  of order 3. Then  $|x^G| = n_3 = 2^4 \cdot 5$  and so 5 does not divide  $|C_G(x)|$  by Step 1. Since  $(3, 5 - 1) = 1$ , we see that  $\bar{x}$  acts trivially on  $P$ . Thus 5 divides  $C_{\bar{G}}(\bar{x})$ . Since  $(3, |K|) = 1$ , we have that  $C_{\bar{G}}(\bar{x}) = C_G(x)K/K$  and so 5 divides  $|C_G(x)|$ , a contradiction. Now, we assume that  $O_3(\bar{G}) \neq 1$ . Put  $V = \Omega_1(Z(O_3(\bar{G})))$ . Then  $V$  is a nontrivial normal subgroup of  $\bar{G}$ . Let  $y$  be an element of  $G$  of order 5. Then  $V = [V, \bar{y}] \times C_V(\bar{y})$ . By Step 1,  $|V : C_V(\bar{y})|$  is at most  $3^2$ . It follows that  $[[V, \bar{y}], \bar{y}] = 1$ , which implies that  $[V, \bar{y}] = 1$ . Therefore  $V = C_V(\bar{y})$ . Let  $Q$  be a Sylow 3-subgroup of  $\bar{G}$ . Then  $V \cap Z(Q) \neq 1$ . Let  $\bar{z}$  be an element of  $V \cap Q$  of order 3. Then  $\bar{y} \bar{z} = \bar{z} \bar{y}$ . Since  $(3, |K|) = 1$ , there exists a preimage  $z$  of  $\bar{z}$  in  $G$  such that  $z$  is contained in the center a Sylow 3-subgroup of  $G$  and so 5 divides  $|C_G(z)|$ . But, by Step 1, this is impossible.

(5)  $G \simeq Aut(A_6)$ .

Set  $K = O_2(G)$  and  $\bar{G} = G/K$ . Then  $\bar{G}$  is insoluble by Step 4. Furthermore, we have that  $M \leqslant \bar{G} \leqslant Aut(M)$ , where  $M = S_1 \times S_2 \times \cdots \times S_k$  is a direct product of non-abelian simples  $S_1, S_2, \dots, S_k$ . Since  $\pi(G) = \{2, 3, 5\}$  and the order of the Sylow 5-subgroups of  $G$  is 5, we obtain that  $k = 1$ , that is,  $M$  is a non-abelian simple  $K_3$ -group. Hence  $M$  is isomorphic to  $A_5, A_6$  or  $U_4(2)$ .

If  $M \simeq A_5$ , then  $G/K \simeq A_5$  or  $Aut(A_5)$  and so  $3^2$  does not divide  $|G|$ , a contradiction.

Suppose that  $M \simeq A_6$ . Assume first that  $\bar{G} \simeq A_6$  or  $S_6$ . Let  $x$  be an element of  $G$  of order 5 such that  $n_8 = |x^G| = 2^2 \cdot 3^2$ . Then  $|\bar{x}^{\bar{G}}|$  divides  $2^2 \cdot 3^2$ . By [6], we have that  $|\bar{x}^{\bar{G}}| = 1$ , which implies that  $\bar{x} \in Z(\bar{G})$ . Therefore  $\bar{x} = 1$  for that  $Z(\bar{G})$  is trivial and so  $x \in K$ , a contradiction.

Assume that  $\bar{G} \simeq PGL_2(9)$ . Pick  $x \in G$  with  $|x| = 5$  such that  $|x^G| = 2^2 \cdot 3^2$ . Then, by [6],  $|\bar{x}^{\bar{G}}| = 1$  or  $2^2 \cdot 3^2$ . As above, it is impossible that  $|\bar{x}^{\bar{G}}| = 1$ . If  $|\bar{x}^{\bar{G}}| = 2^2 \cdot 3^2$ , then  $x$  centralizes  $K$ . If  $K \neq 1$ , then  $x$  centralizes an element of  $G$  which lies in the center of a Sylow 2-subgroup of  $G$ , contrary to Step 1. If  $K = 1$ , then  $G \simeq PGL_2(9)$ , but  $cs(PGL_2(9)) \neq cs(G)$  by [6], a contradiction.

Suppose that  $\bar{G} \simeq M_{10}$ . Let  $x$  be an element of  $G$  of order 5 such that  $|x^G| = 2^4 \cdot 3^2$ . Then  $|\bar{x}^{\bar{G}}| = 1$  or  $2^4 \cdot 3^2$  by [6]. Similar to the above case, we can derive a contradiction.

If  $\bar{G} \simeq Aut(A_6)$ , then, similar to the forgoing argument, one can show that  $K = 1$  and so  $G \simeq Aut(A_6)$ , as desired.

If  $\bar{G} \simeq U_4(2)$ , then  $G$  has an element  $x$  such that  $|\bar{x}^{\bar{G}}| = 2^7 \cdot 3^2 \cdot 5$ . It follows that  $|x^G|$  is divisible by  $2^7 \cdot 3^2 \cdot 5$ , which is impossible by Step 1.

Thus, the proof is complete.  $\square$

**LEMMA 3.3.** *Let  $G$  be a group with  $Z(G) = 1$  and  $M = Aut(U_4(2))$ . Suppose that  $cs(G) = cs(M)$  and  $|G|_p = |M|_p$ , where  $|G|_p$  denotes the order of the Sylow  $p$ -subgroups of  $G$  for  $p \in \{2, 3, 5\}$ . Then  $G \simeq M$ .*

**PROOF.** We proceed the proof by the following steps.

(1)  $cs(G)$  consists of  $n_1 = 1$ ,  $n_2 = 3^2 \cdot 5$ ,  $n_3 = 2 \cdot 3^3 \cdot 5$ ,  $n_4 = 2^4 \cdot 5$ ,  $n_5 = 2^4 \cdot 3 \cdot 5$ ,  $n_6 = 2^5 \cdot 3 \cdot 5$ ,  $n_7 = 2^2 \cdot 3^3 \cdot 5$ ,  $n_8 = 2^3 \cdot 3^4 \cdot 5$ ,  $n_9 = 2^6 \cdot 3^4$ ,  $n_{10} = 2^4 \cdot 3^2 \cdot 5$ ,  $n_{11} = 2^5 \cdot 3^2 \cdot 5$ ,  $n_{12} = 2^4 \cdot 3^3 \cdot 5$ ,  $n_{13} = 2^7 \cdot 3^2 \cdot 5$ ,  $n_{14} = 2^5 \cdot 3^3 \cdot 5$ ,  $n_{15} = 2^2 \cdot 3^2$ ,  $n_{16} = 2^2 \cdot 3^4 \cdot 5$ ,  $n_{17} = 2^4 \cdot 3^4 \cdot 5$ .

This follows from [6].

(2)  $\pi(G) = \pi(M) = \{2, 3, 5\}$ .

This follows from [6] and Lemma 2.1.

(3)  $O_{22'}(G) = O_2(G)$ .

Write  $K = O_2(G)$  and  $\bar{G} = G/K$ . Suppose that the assertion is false. Then  $O_r(\bar{G}) \neq 1$ , where  $r \in \{3, 5\}$ . Assume first that  $O_5(\bar{G}) \neq 1$ . Then,  $|O_5(\bar{G})| = 5$ . Let  $x \in Z(Q)$  with  $|x| > 1$ , where  $Q$  is a Sylow 3-subgroup of  $G$ . Then  $|x^G| = 2^4 \cdot 5$  by (1). Since  $\bar{x}$  acts trivially on  $O_5(\bar{G})$ , we have that 5 divides the order of  $C_{\bar{G}}(\bar{x})$ , by which we conclude that 5 divides the order of  $C_G(x)$ . This is impossible by (1). Now assume that  $O_3(\bar{G}) \neq 1$  and set  $V = \Omega_1(Z(O_3(\bar{G})))$ . Let  $y$  be an element of  $G$  of order 5. Then  $|y^G| = 2^6 \cdot 3^4$  or  $2^2 \cdot 3^2$ . Since  $V = [V, \bar{y}] \times C_V(\bar{y})$ , we have that  $|V : C_V(\bar{y})| \leq 3^4$ . If

$|V : C_V(\bar{y})| \leq 3^3$ , then  $[[V, \bar{y}], \bar{y}] = 1$  and so  $[V, \bar{y}] = 1$ . Discussing as in Lemma 3.2, we see that this is impossible. If  $|V : C_V(\bar{y})| = 3^4$ , then the Sylow 3-subgroups of  $G$  is elementary abelian, which contradicts that  $|x^G| = n_{13} = 2^7 \cdot 3^2 \cdot 5$  for some 3-element  $x \in G$ .

(4)  $G \simeq M$ .

By (3),  $\bar{G}$  is insoluble. Hence we have that  $M \leq \bar{G} \leq \text{Aut}(M)$ , where  $M$  is a direct product of non-abelian simple groups. Since, by (2)  $\pi(G) = \pi(M) = \{2, 3, 5\}$ , we know that  $M$  is simple  $K_3$ -groups by (3). Thus,  $M$  is isomorphic to  $A_5$ ,  $A_6$  or  $U_4(2)$ . If  $M$  is isomorphic to  $A_5$  or  $A_6$ , then  $3^3$  does not divide the order of  $G$ , a contradiction by (1). Suppose that  $M \simeq U_4(2)$ . Then  $\bar{G} \simeq U_4(2)$  or  $U_4(2).2$ . If  $\bar{G} \simeq U_4(2)$ , then  $Z(G)$  is non-trivial, a contradiction. If  $\bar{G} \simeq U_4(2).2$ , then  $G$  is isomorphic to  $\text{Aut}(U_4(2))$ , as desired.

*Proof of Theorem 1.2.*

It follows from Lemmas 3.1-3.3. □

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