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# On radicals and polynomial rings

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**Abstract.** For any class  $\mathscr{M}$  of rings, it is shown that the class  $\mathscr{E}_{\ell}(\mathscr{M})$  of all rings each nonzero homomorphic image of which contains either a non-zero left ideal in  $\mathscr{M}$  or a proper essential left ideal is a radical. Some characterizations and properties of these radicals are presented. It is also shown that, for radicals  $\gamma$  under certain constraints, one can obtain a strictly decreasing chain of radicals  $\gamma = \gamma_{(1)} \supset \gamma_{(2)} \supset \cdots \supset \gamma_{(n)} \supset \cdots$  where, for each positive integer n,  $\gamma_{(n)}$  is the radical consisting of all rings A such that  $A[x_1, \ldots, x_n]$  is in  $\gamma$ , thus giving a negative answer to a question posed by Gardner. Moreover, classes  $\mathscr{M}$ of rings are constructed such that there exist several such radicals  $\gamma$  in the interval  $[\mathscr{E}_{\ell}(0), \mathscr{E}_{\ell}(\mathscr{M})]$ .

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## 1. Introduction

All rings considered in this note are associative. Let us recall that a (Kurosh-Amitsur) radical  $\gamma$  is a class of rings which is closed under homomorphisms, extensions (I and A/I in  $\gamma$  imply that A in  $\gamma$ ) and has the inductive property (if  $I_1 \subseteq I_2 \subseteq \cdots \subseteq I_\alpha \subseteq \cdots$  is an ascending chain of ideals of A such that each  $I_\alpha$  is in  $\gamma$ , then also  $\bigcup I_\alpha \in \gamma$ ). The unique largest  $\gamma$ -ideal  $\gamma(A)$  of A is then called the  $\gamma$ -radical of A. A class  $\mathcal{M}$  of rings is said to be regular if every non-zero ideal of a ring in  $\mathcal{M}$  has a non-zero homomorphic image in  $\mathcal{M}$ . Starting from a regular class  $\mathcal{M}$  of rings, the upper radical operator  $\mathfrak{U}$  yields a radical class:

 $\mathfrak{U}_{\mathscr{M}} = \{A \mid A \text{ has no non-zero homomorphic image in } \mathscr{M}\}.$ 

The definitions and significant radical theoretic properties used throughout this text may be found in [2], [9]. As usual,  $I \lhd A$  indicates that I is an ideal of the ring A. A ring (ideal) belonging to a class  $\mathcal{M}$  will be called an  $\mathcal{M}$ -ring ( $\mathcal{M}$ -ideal).

#### 2. Upper radicals and essential left ideals

For any class  $\mathscr{M}$  of rings, we show that the class  $\mathscr{E}_{\ell}(\mathscr{M})$  of all rings each non-zero homomorphic image of which contains either a non-zero left ideal in  $\mathscr{M}$  or a proper essential left ideal is a radical. For certain classes  $\mathscr{M}$  of rings,  $\mathscr{E}_{\ell}(\mathscr{M})$  is characterized as an upper radical (for example, the Thierrin radical) and we also show that the collection of all the radicals  $\mathscr{E}_{\ell}(\mathscr{M})$  forms a meet sublattice of the lattice of all radicals.

Let us recall that a simple ring means a ring without nontrivial ideals. A ring without nontrivial left ideals shall be called a left simple ring. We remind the reader that such rings are simple zero-rings or division rings. An essential left ideal of a ring A is a left ideal which has non-zero intersection with every non-zero ideal of A.

It is well known [4] that if a ring A has a homomorphic image with a proper essential ideal, then A has a proper essential ideal. We now show, in a similar way, that the same holds for left-sided ideals.

**Lemma 1.** If A has a homomorphic image with a proper essential left ideal, then A has a proper essential left ideal.

*Proof.* Let A/I be a non-zero homomorphic image of A with L/I a proper essential left ideal of A/I. Then I is a proper ideal of A and  $L \neq A$ . To show that L is essential in A, let  $0 \neq J \lhd A$ . If  $J \subseteq L$ , then  $J \cap L = J \neq 0$ , as desired. If  $J \not\subseteq L$ , then  $J \not\subseteq I$  and  $0 \neq (J + I)/I \lhd A/I$  so that  $[(J + I)/I] \cap [L/I] \neq 0$ . Thus, there exists  $a + b \in J + I$  and  $l \in L$  such that  $(a + b) + I = l + I \neq I$ . This implies that  $a + b + b_1 = l + b_2$  for some  $b_1, b_2 \in I$ . Since  $I \subseteq L$ , we have  $b + b_1 \in L$  and  $l + b_2 \in L$ . Therefore,  $a = (l + b_2) - (b + b_1) \in L$  and so  $a \in J \cap L$ . If a = 0, then  $b + b_1 = l + b_2$ , which implies that  $l \in I$ . However, this is impossible since  $l + I \neq I$ . Hence  $J \cap L \neq 0$ , as required.

**Theorem 2.**  $\mathscr{E}_{\ell}(\mathscr{M})$  is a radical class for any class  $\mathscr{M}$  of rings.

*Proof.* Clearly,  $\mathscr{E}_{\ell}(\mathscr{M})$  is a homomorphically closed class of rings. Now suppose that  $A \notin \mathscr{E}_{\ell}(\mathscr{M})$ . Then A has a non-zero homomorphic image  $\overline{A}$  which has neither a non-zero left ideal in  $\mathscr{M}$  nor a proper essential left ideal. Let  $\overline{K}$  be a non-zero  $\mathscr{E}_{\ell}(\mathscr{M})$ -ideal of  $\overline{A}$ . By [3], Theorem 5,  $\overline{K}$  must be a direct summand of  $\overline{A}$  and then also,  $\overline{K}$  is a homomorphic image of  $\overline{A}$ . Since  $\overline{K} \in \mathscr{E}_{\ell}(\mathscr{M})$ , it must have a proper essential left ideal or a non-zero left ideal in  $\mathscr{M}$ . But, if  $\overline{K}$  has a proper essential left ideal, contrary to the choice of  $\overline{A}$ . Also, if  $\overline{K}$  has a non-zero left ideal in  $\mathscr{M}$ , then this left ideal of  $\overline{K}$  is actually a left ideal of  $\overline{A}$ , since  $\overline{K}$  is a direct summand of  $\overline{A}$ . Again this is impossible. Thus  $\overline{A}$  has no non-zero ideal in  $\mathscr{E}_{\ell}(\mathscr{M})$ .

Let  $\mathcal{M}$  be any class of rings. In the sequel,  $\mathcal{M}_1$  stands for the class of all simple rings contained in  $\mathcal{M}$ , and  $\mathcal{M}_0$  denotes the class of all left simple rings contained in  $\mathcal{M}$ . The class of rings complementary to the class  $\mathcal{M}$  is denoted by  $\mathcal{M}'$ .

**Proposition 3.** For any class  $\mathcal{M}$  of rings,  $\mathscr{E}_{\ell}(\mathcal{M}) = \mathscr{E}_{\ell}(\mathcal{M}_0) = \mathscr{E}_{\ell}(\mathcal{M}_1)$ .

*Proof.* Obviously,  $\mathscr{E}_{\ell}(\mathscr{M}_0) \subseteq \mathscr{E}_{\ell}(\mathscr{M}_1) \subseteq \mathscr{E}_{\ell}(\mathscr{M})$ . Let  $A \in \mathscr{E}_{\ell}(\mathscr{M})$  and let  $\overline{A}$  be any non-zero homomorphic image of A. If  $\overline{A}$  has no proper essential left ideal, then, according to [3], Theorem 5,  $\overline{A}$  is a direct sum of left simple rings and hence contains a non-zero  $\mathscr{M}_0$ -ideal. Thus  $A \in \mathscr{E}_{\ell}(\mathscr{M}_0)$ .

**Theorem 4.** For any class  $\mathcal{M}$  of left simple rings,  $\mathfrak{U}\mathcal{M} = \mathscr{E}_{\ell}(\mathcal{M}'_0) = \mathscr{E}_{\ell}(\mathcal{M}'_1)$ .

*Proof.* Suppose  $A \notin \mathfrak{U}\mathcal{M}$ . Then  $\overline{A} \in \mathcal{M}$  for some non-zero homomorphic image of A. So,  $\overline{A}$  has neither a proper essential left ideal nor a non-zero left ideal in  $\mathcal{M}'_1$ . Hence,  $A \notin \mathscr{E}_{\ell}(\mathcal{M}'_1)$ . On the other hand, if  $A \notin \mathscr{E}_{\ell}(\mathcal{M}'_1)$ , then A has a non-zero homomorphic image  $\overline{A}$  without a proper essential left ideal or a nonzero left ideal in  $\mathcal{M}'_1$ . Then  $\overline{A}$  is a direct sum of simple rings having only trivial left ideals, each of which can be considered an ideal of  $\overline{A}$ . These simple rings are obviously in  $\mathcal{M}$ . Moreover, each of these summands is a homomorphic image of  $\overline{A}$  and thus of A. Therefore  $A \notin \mathfrak{U}\mathcal{M}$ .

**Corollary 5.** If  $\mathcal{M}$  is a homomorphically closed class of rings, then  $\mathfrak{L}\mathcal{M} = \mathscr{E}_{\ell}(\mathcal{M})$  if and only if  $\mathfrak{L}\mathcal{M} = \mathfrak{U}\mathcal{M}'_0$ , where  $\mathfrak{L}\mathcal{M}$  denotes the lower radical determined by  $\mathcal{M}$ .

*Proof.* This is clear since  $\mathscr{E}_{\ell}(\mathscr{M}) = \mathscr{E}_{\ell}(\mathscr{M}_1) = \mathscr{E}_{\ell}(\mathscr{M}_0) = \mathfrak{U}\mathscr{M}'_0$ .

**Corollary 6.** If  $\mathcal{M}$  is a regular class of rings, then there exists a class  $\mathcal{N}$  of rings such that  $\mathfrak{U}\mathcal{M} = \mathscr{E}_{\ell}(\mathcal{N})$  if and only if  $\mathfrak{U}\mathcal{M} = \mathfrak{U}\mathcal{M}_0$ .

*Proof.* If  $\mathfrak{U}\mathcal{M} = \mathscr{E}_{\ell}(\mathcal{N})$  for some class  $\mathcal{N}$  of rings, then  $\mathscr{E}_{\ell}(\mathcal{N}_0) = \mathscr{E}_{\ell}(\mathcal{N}) = \mathfrak{U}\mathcal{M}$ , where  $\mathscr{E}_{\ell}(\mathcal{N}_0) = \mathfrak{U}\mathcal{N}'_0$ . Hence  $\mathfrak{U}\mathcal{M} = \mathfrak{U}\mathcal{N}'_0$ . But  $\mathcal{N}'_0 \subseteq \mathcal{M}_0$ , for  $B \in \mathcal{N}'_0$  implies that  $B \notin \mathfrak{U}\mathcal{N}'_0 = \mathfrak{U}\mathcal{M}$ , whence  $B \in \mathcal{M}$ . Thus  $\mathfrak{U}\mathcal{M}_0 \subseteq \mathfrak{U}\mathcal{N}'_0$ . Moreover,  $\mathcal{M}_0 \subseteq \mathcal{N}'_0$ . In fact, A left simple and  $A \notin \mathcal{N}'_0$  implies that  $A \in \mathcal{N}_0 \subseteq \mathfrak{U}\mathcal{M}$ . Therefore  $A \notin \mathcal{M}$ and consequently  $A \notin \mathcal{M}_0$ . We have now that  $\mathfrak{U}\mathcal{M} = \mathfrak{U}\mathcal{M}_0$ .

Conversely,  $\mathfrak{U}_{\mathcal{M}} = \mathfrak{U}_{\mathcal{M}_0} = \mathscr{E}_{\ell}(\mathscr{M}'_0).$ 

**Corollary 7.** Let  $\mathcal{M}$  be a regular class of rings which contains non-zero left simple rings. Then there exists a class  $\mathcal{N}$  of rings such that  $\mathfrak{U}\mathcal{M} = \mathscr{E}_{\ell}(\mathcal{N})$  if and only if every non-zero  $\mathcal{M}$ -ring has a left simple non-zero homomorphic image in  $\mathcal{M}$ .

**Remark 8.** Let  $\gamma$  be a hypernilpotent radical (that is, all nilpotent rings are  $\gamma$ -rings) and let  $\mathscr{G}\gamma$  be the semisimple class of  $\gamma$ . If  $\mathscr{M}$  is any class of rings such that  $\mathscr{M}_0 = \gamma_0$  then we have, by Theorem 4,

$$\mathscr{U}\gamma'_0 = \mathscr{E}_\ell(\gamma_0) = \mathscr{E}_\ell(\mathscr{M}),$$

where  $\gamma'_0$ , the class of left simple rings in the complementary class of  $\gamma$ , clearly coincides with the class of division rings in  $\mathcal{S}\gamma$ .

Notice that if  $\mathscr{D}$  is the class of all division rings and  $\mathscr{U}\mathscr{D} \subseteq \gamma$ , then  $\gamma'_0$  coincides with the class of division rings in  $\mathscr{S}\gamma$  (hence  $\gamma'_0$  is a special class of rings) and  $\mathscr{E}_{\ell}(\mathscr{M})$  is a special radical.

**Example 9.** If  $\mathscr{D}$  again denotes the class of all division rings, and  $\mathscr{Z}$  is the class of all simple zero-rings, then

$$\mathscr{E}_{\ell}(\mathscr{Z}) = \mathscr{E}_{\ell}(\mathscr{D}'_0) = \mathfrak{U}\mathscr{D}$$

and  $\mathscr{E}_{\ell}(\mathscr{D}) = \mathscr{E}_{\ell}(\mathscr{Z}'_0) = \mathfrak{U}\mathscr{Z}.$ 

**Example 10.** Consider the subclass  $\mathscr{E}_{\ell}(0)$  of all rings each non-zero homomorphic image of which has a proper essential left ideal. Obviously, left simple rings cannot belong to  $\mathscr{E}_{\ell}(0)$ . For  $\mathscr{S} = \{A \mid A \text{ is either a division ring or a prime order zero ring} \}$  and  $\mathscr{T} = \{a \text{ ll direct sums of members of } \mathscr{S}\}, we have:$ 

$$\mathscr{E}_{\ell}(0) = \mathfrak{U}\mathscr{S} = \mathfrak{U}\mathscr{T} = \mathfrak{U}\mathscr{D} \cap \mathfrak{U}\mathscr{Z}.$$

In fact, from Theorem 4 and the definition of  $\mathscr{E}_{\ell}(0)$ , it follows that  $\mathscr{E}_{\ell}(0) = \mathfrak{U}\mathscr{T}$ . Also,  $\mathscr{S} \subseteq \mathscr{T}$ , so  $\mathfrak{U}\mathscr{T} \subseteq \mathfrak{U}\mathscr{S}$ . Conversely, if  $A \in \mathfrak{U}\mathscr{S}$  then R, having no non-zero image in  $\mathscr{S}$ , cannot have an image in  $\mathscr{T}$ , so that  $A \in \mathfrak{U}\mathscr{T}$  and so  $\mathscr{E}_{\ell}(0) = \mathfrak{U}\mathscr{T} = \mathfrak{U}\mathscr{S}$ . It is easily seen that  $\mathfrak{U}\mathscr{T} = \mathfrak{U}\mathscr{D} \cap \mathfrak{U}\mathscr{Z}$ .

In order to prove the next proposition, we require the following result.

**Lemma 11.** The following conditions are equivalent for an abelian group G:

(i) Every non-zero homomorphic image of G has a proper essential subgroup;

(ii) G is divisible.

*Proof.* (i) implies (ii). Suppose  $pG \neq G$  for some prime p. Then the cyclic group  $\mathbb{Z}(p)$  of order p is a homomorphic image of G/pG and therefore of G. But  $\mathbb{Z}(p)$  has no proper essential subgroup; a contradiction. Thus pG = G for every prime p and so G is divisible.

(ii) implies (i). If G is non-zero and divisible, then  $G = A \oplus B$  where  $A \cong \mathbb{Q}$  or  $A \cong \mathbb{Z}(p^{\infty})$  for some prime p. If  $0 \neq a \in A$ , then  $\langle a \rangle \oplus B$  is a proper essential subgroup of G, where  $\langle a \rangle$  is the cyclic subgroup of G generated by a. Now we just observe that all homomorphic images of G are also divisible.

**Proposition 12.** The radical  $\mathscr{E}_{\ell}(0)$  is polynomially extensible; that is,  $A \in \mathscr{E}_{\ell}(0)$  implies that  $A[x] \in \mathscr{E}_{\ell}(0)$ .

*Proof.* Let  $A \in \mathscr{E}_{\ell}(0)$  and consider an arbitrary non-zero homomorphic image A[x]/I of the polynomial ring A[x]. If  $A \not\subseteq I$ , then  $0 \neq (A + I)/I \cong A/(A \cap I)$  and (A + I)/I has a proper essential left ideal. But (A + I)/I is a homomorphic image of A[x]/I. In fact,  $\varphi : A[x]/I \to (A + I)/I$  defined by  $\varphi(a_0 + a_1x + \dots + a_nx^n + I) = a_0 + I$  is a ring epimorphism. Hence, by Lemma 1, A[x]/I has a proper essential left ideal. If  $A \subseteq I$ , then  $a_0 + b_1x + b_2x^2 + \dots \in I$  for all  $a_0 \in A$ ,  $b_i \in A^2$ , so A[x]/I is a homomorphic image of  $\{(c_1 + A^2)x + (c_2 + A^2)x^2 + \dots | c_i \in A\} \cong A/A^2 \oplus A/A^2 \oplus \dots$  Now  $A \neq A^2$ , since otherwise I = A[x]. Since  $A \in \mathscr{E}_{\ell}(0)$  it follows that  $0 \neq A/A^2 \in \mathscr{E}_{\ell}(0)$ . By the previous lemma,  $A/A^2$  is a divisible zero-ring and hence also A[x]/I. By the first part of the proof, A[x]/I has a proper essential left ideal.  $\Box$ 

**Proposition 13.** (1) For any class  $\mathcal{M}$  of rings,  $\mathscr{E}_{\ell}(\mathscr{E}_{\ell}(\mathcal{M})) = \mathscr{E}_{\ell}(\mathcal{M})$ . (2) For any family  $\{\mathcal{M}_i | i \in \Lambda\}$  of classes of rings, we have

$$\bigcap_{i \in \Lambda} \mathscr{E}_{\ell}(\mathscr{M}_{i}) = \mathscr{E}_{\ell} \Big( \bigcap_{i \in \Lambda} \mathscr{M}_{i} \Big)$$

*Proof.* (1) It is clear that  $\mathscr{E}_{\ell}(\mathscr{M}) \subseteq \mathscr{E}_{\ell}(\mathscr{E}_{\ell}(\mathscr{M}))$ . On the other hand, suppose that  $A \in \mathscr{E}_{\ell}(\mathscr{E}_{\ell}(\mathscr{M}))$  and let  $\overline{A}$  be any non-zero homomorphic image of A. Suppose that  $\overline{A}$  has no proper essential left ideals. Then  $\overline{A}$  has a non-zero left ideal  $\overline{L} \in \mathscr{E}_{\ell}(\mathscr{M})$  and, by [3], Theorem 5,  $\overline{L}$  is a direct summand of  $\overline{A}$ ; that is,  $\overline{A} = \overline{L} \oplus \overline{K}$  for some ideal  $\overline{K}$  of  $\overline{A}$ . But then any left ideal of  $\overline{L}$  is also a left ideal of  $\overline{A}$ . Now, if  $\overline{L}$  has a proper essential left ideal, then by

$$\overline{A}/\overline{K} = (\overline{L} + \overline{K})/\overline{K} \simeq \overline{L}/(\overline{L} \cap \overline{K}) \simeq \overline{L}$$

and Lemma 1,  $\overline{A}$  has a proper essential left ideal, a contradiction. Hence  $\overline{L}$  has a non-zero left ideal in  $\mathcal{M}$ , which is also a left ideal in  $\overline{A}$ . Therefore  $A \in \mathscr{E}_{\ell}(\mathcal{M})$ .

(2) Clearly,  $\mathscr{E}_{\ell}(\bigcap_{i \in \Lambda} \mathscr{M}_i) \subseteq \bigcap_{i \in \Lambda} \mathscr{E}_{\ell}(\mathscr{M}_i)$ . Let  $A \in \bigcap_{i \in \Lambda} \mathscr{E}_{\ell}(\mathscr{M}_i)$  and suppose that  $\overline{A}$  is any non-zero homomorphic image of A. If  $\overline{A}$  has no proper essential left ideal, then  $\overline{A}$  has a non-zero left ideal  $\overline{S} \in \mathscr{M}_1$  which is a left simple ring and a summand of  $\overline{A}$ . We claim that  $\overline{S} \in \mathscr{M}_i$  for each  $i \in \Lambda$ . If  $\overline{S} \notin \mathscr{M}_i$  for some  $i \in \Lambda$ , then  $\overline{S}$ , as a homomorphic image of A, has neither a proper essential left ideal nor a non-zero left ideal in  $\mathscr{M}_i$ , contradicting  $A \in \mathscr{E}_{\ell}(\mathscr{M}_i)$ . Therefore,  $A \in \mathscr{E}_{\ell}(\bigcap_{i \in \Lambda} \mathscr{M}_i)$ .

**Remark 14.** (i) The lattice of all radicals  $\mathscr{E}_{\ell}(\mathscr{M})$  is atomic and coatomic. The atoms are the radicals  $\mathscr{E}_{\ell}(\{P\})$  and the coatoms are the radicals  $\mathscr{E}_{\ell}(\mathscr{G} - \{P\})$ , where *P* is a non-zero left simple ring and  $\mathscr{G}$  is the class of all left simple rings.

(ii) The collection of all radicals  $\mathscr{E}_{\ell}(\mathscr{M})$  forms a meet subsemilattice of the lattice of all radicals. Indeed, if  $\mathscr{E}_{\ell}(\mathscr{M}_1)$  and  $\mathscr{E}_{\ell}(\mathscr{M}_2)$  are any two such radical classes, then  $\mathscr{E}_{\ell}(\mathscr{M}_1) \wedge \mathscr{E}_{\ell}(\mathscr{M}_2) = \mathscr{E}_{\ell}(\mathscr{M}_1) \cap \mathscr{E}_{\ell}(\mathscr{M}_2) = \mathscr{E}_{\ell}(\mathscr{M}_1 \cap \mathscr{M}_2)$ .

(iii) [11], Example 5, also shows that this collection of radical classes  $\mathscr{E}_{\ell}(\mathscr{M})$  is not a sublattice of the lattice of all radical classes with respect or  $\wedge$  and  $\vee$ . However, like the collection of the Olson and Jenkins radical classes, this collection also forms a Boolean lattice with respect to the operators  $\wedge$  and  $\vee'$ , where  $\mathscr{E}_{\ell}(\mathscr{M}_1) \vee' \mathscr{E}_{\ell}(\mathscr{M}_2) = \mathscr{E}_{\ell}(\mathscr{M}_1 \cup \mathscr{M}_2)$  for arbitrary classes  $\mathscr{M}_1$  and  $\mathscr{M}_2$  of rings.

## 3. Polynomial rings and radicals

For a ring A, A[x] and  $A[x_1, x_2, ..., x_n]$  denote, respectively, the ring of polynomials over A in one indeterminate and the ring of polynomials over A in n commuting indeterminates and  $P_n$  denotes the class of all polynomial rings  $A[x_1, x_2, ..., x_n]$ .

For a radical  $\gamma$ , let  $\gamma_{(1)}$  denote the class  $\{A \mid A[x] \in \gamma\}$  and let  $\gamma^{(1)}$  denote the lower radical  $\mathfrak{L}(\gamma \cap P_1)$ .

**Proposition 15** ([1], Theorem 1).  $\gamma_{(1)}$  is a radical class for any radical class  $\gamma$ .

Defining  $\gamma_{(n)} = \{A \mid A[x_1, \dots, x_n] \in \gamma\}$  and  $\gamma^{(n)} = \mathfrak{L}(\{P_n \cap \gamma\})$ , we obtain the chains

$$\gamma \supseteq \gamma_{(1)} \supseteq \cdots \supseteq \gamma_{(n)} \supseteq \cdots$$

and

$$\gamma \supseteq \gamma^{(1)} \supseteq \cdots \supseteq \gamma^{(n)} \supseteq \cdots$$

Clearly,

 $\gamma_{(n)} \subseteq \gamma^{(n)}.$ 

In [1], Gardner posed the following question: Does the chain

$$\gamma = \gamma_{(0)} \supseteq \gamma_{(1)} \supseteq \cdots \supseteq \gamma_{(n)} \supseteq \cdots$$

terminate for every radical class  $\gamma$ ? We shall give a negative answer to this question, but first we need some preliminary results.

**Proposition 16** ([1]). Let A be a ring with unity and S a ring with unity and no other non-zero idempotents. Then S belongs to  $\mathfrak{L}(\{A\})$  if and only if it is a homomorphic image of A.

Let A be a ring. A ring I is said to be an accessible subring of A if  $I = I_1 \lhd \cdots \lhd I_n = A$  for some natural number n.

**Lemma 17.** Let A be a ring with unity and B a ring with unity and no other nonzero idempotents. Then the  $\mathfrak{L}(\{A\})$ -radical of B is non-zero if and only if B is a homomorphic image of A.

*Proof.* Let  $\mathfrak{L}(\{A\}) \neq 0$ . Then  $\mathfrak{L}(\{A\})(B)$  has a non-zero accessible subring I such that I is a homomorphic image of A. Since  $\mathfrak{L}(\{A\})(B) \lhd B$ , I is an accessible subring of B. Similarly to the proof of Proposition 16, we obtain that I = B. Hence B is a homomorphic image of A. The converse is clear.

**Lemma 18.** Let  $\gamma$  be a radical and let I be an accessible subring of a ring A. If  $I \in \gamma$ , then  $\gamma(A) \neq 0$ .

*Proof.* Suppose that  $I = I_1 \lhd \cdots \lhd I_n = A$ . Since  $I \in \gamma$  and  $I \lhd I_2$ ,  $0 \neq \gamma(I) \subseteq \gamma(I_2)$  and, by induction,  $0 \neq \gamma(I) \subseteq \gamma(I_2) \subseteq \cdots \subseteq \gamma(I_n) = \gamma(A)$ .

We denote by  $\mathscr{C}_p$  the class of all commutative prime rings and  $\mathscr{C} = \mathfrak{U}\mathscr{C}_p$ . If  $\mathscr{U}$  is a class of rings with unity, we put  $\mathscr{C}_{\mathscr{U}} = \mathfrak{L}(\mathscr{C} \cup \mathscr{U})$ .

**Proposition 19.** Let  $\mathcal{U}$  be a class of rings with unity and let  $A \neq 0$  be a commutative reduced ring with unity and no other non-zero idempotent. Then  $\mathscr{C}_{\mathcal{U}}(A) \neq 0$  if and only if A is a homomorphic image of a ring  $B \in \mathcal{U}$ .

*Proof.* Suppose that  $\mathscr{C}_{\mathscr{U}}(A) \neq 0$ . Since A is a commutative reduced ring,  $R = \mathscr{C}_{\mathscr{U}}(A)$  is also a commutative reduced ring. Therefore, for each  $0 \neq a \in R$ , there exists an ideal  $K_a$  of R, which is maximal with respect to the exclusion of  $a^n$  for any natural number n. Clearly,  $R/K_a$  is a prime commutative ring. Since  $0 \neq a \in R$  is arbitrary, R is a subdirect sum of the rings  $R/K_a$ . Hence R is a  $\mathscr{C}$ -semisimple ring. By Lemma 18, R has no non-zero accessible subring which is a homomorphic image of a ring  $B \in \mathscr{C}$ . Therefore R has an accessible subring I such that I is a homomorphic image B in  $\mathscr{U}$ . Hence, by Lemma 18,  $L(\{B\})(A) \neq 0$ , because I is an accessible subring of A. By Lemma 17, A is a homomorphic image of B. The converse is clear.

We denote by |A| the cardinality of a ring A.

**Lemma 20.** Let A be a simple ring with  $|A| \ge \aleph_0$ . Then |A| = |B| for every non-zero homomorphic image B of  $A[x_1, \ldots, x_n]$  and  $n \in \mathbb{N}$ .

*Proof.* Since A is infinite, we have  $|A[x_1, ..., x_n]| = |A|$ . Therefore  $|B| \le |A|$  for every homomorphic image of  $A[x_1, ..., x_n]$ . Let  $B = A[x_1, ..., x_n]/I \ne 0$ .

We show that  $A \cap I = 0$ . Suppose that  $A \cap I \neq 0$ . Since  $0 \neq A \cap I \lhd A$  and A is a simple ring,  $A = A \cap I$ . Clearly  $A^2 = A$  because A is infinite. Therefore  $A[x_1, \ldots, x_n] = A^2[x_1, \ldots, x_n] \subseteq I$ . Hence  $A[x_1, \ldots, x_n] = I$ , a contradiction to  $A[x_1, \ldots, x_n]/I \neq 0$ . Thus  $A \cap I = 0$ . Since  $(A + I)/I \cong A/(A \cap I) \cong A$ , we have  $|A| = |(A + I)/I| \leq |A[x_1, \ldots, x_n]/I| = |B|$ . Thus |A| = |B|.

**Remark 21.** Notice that we may find fields  $F_1, F_2, \ldots, F_n, \ldots$ , of zero characteristic such that  $|F_1| < |F_2| < \cdots < |F_n| < \cdots$  and  $|F_1| \ge \aleph_0$ . Therefore, we can assume that  $F_1 = \mathbb{Q}$ , where  $\mathbb{Q}$  is the field of rational numbers.

In what follows, let

$$\mathscr{S} = \{F_n[x_1, \dots, x_n] \mid F_n \text{ is a field and } F_n[x_1, \dots, x_n]$$
  
is not a homomorphic image of  $F_m[x_1, \dots, x_m]$  for any  $m \neq n\}$ 

and  $\mathscr{F}_{\mathscr{S}} = \mathfrak{L}(\mathfrak{U}\mathscr{F}^1 \cup \mathscr{S})$ , where  $\mathscr{F}^1$  is the class of all fields. We are now in a position to prove the following result.

**Theorem 22.** Let  $F_1 = \mathbb{Q}$ ,  $F_2, \ldots, F_n, \ldots$  be fields such that  $|F_i| < |F_{i+1}|$  for each  $i = 1, 2, \ldots$ . If  $\gamma$  is any radical such that

$$\mathscr{S} \subseteq \gamma \subseteq \mathscr{F}_{\mathscr{S}},$$

then  $\gamma = \gamma_{(1)} \supset \gamma_{(2)} \supset \cdots \supset \gamma_{(n)} \supset \cdots$ .

*Proof.* By assumption, we have  $F_n \in \mathfrak{Q}(\mathscr{S})_{(n)} \subseteq \gamma_{(n)} \subseteq (\mathscr{F}_{\mathscr{S}})_{(n)}$ . We claim that  $F_n \notin \gamma_{(n+1)}$ . It is sufficient to show that  $F_n[x_1, \ldots, x_n, x_{n+1}] \notin \mathscr{F}_{\mathscr{S}}$ . Suppose that  $F_n[x_1, \ldots, x_n, x_{n+1}] \in \mathscr{F}_{\mathscr{S}}$ . Clearly,  $F_n[x_1, \ldots, x_n, x_{n+1}]$  is a commutative reduced ring with unity and no other non-zero idemotents. By an argument similar to the one used in the proof of Proposition 19,  $F_n[x_1, \ldots, x_n, x_{n+1}]$  is a homomorphic image of some  $B_S$  in S. Let  $B_S = F_s[x_1, \ldots, x_s]$  and  $F_n[x_1, \ldots, x_n, x_{n+1}] \cong B_S/I$ . Then n = s. Indeed, if  $n \neq s$ , then we have, by Lemma 20,  $|F_n[x_1, \ldots, x_n, x_{n+1}]| = |F_n|$  and  $|F_s| = |F_s[x_1, \ldots, x_s]/I| = |B_S/I|$ . Since  $F_n[x_1, \ldots, x_n, x_{n+1}] \cong B_S/I$ , it follows that  $|F_n[x_1, \ldots, x_n, x_{n+1}]| = |B_s/I|$ . But  $|F_n| \neq |F_s|$ , a contradiction. Thus n = s and  $F_n[x_1, \ldots, x_n, x_{n+1}]$  is a homomorphic image of  $F_n[x_1, \ldots, x_n, x_{n+1}]| = |B_s/I|$ . But  $|F_n| \neq |F_s|$ , and so  $\gamma_{(n)} \neq \gamma_{(n+1)}$ .

We notice that Theorem 22 is true for any  $\mathscr{S}$ . For example, take  $F_i = \mathbb{Z}_{p(i)}$ , where p(i) is a prime number such that  $p(i) \neq p(j)$  for  $i \neq j$ .

**Remark 23.** Recall that a radical  $\gamma$  is said to be subidempotent if  $\gamma$  consists of idempotent rings. Clearly,  $A = F_n[x_1, \dots, x_n]$ , where *n* is a positive integer, is an idempotent ring and hence the radical  $\mathfrak{L}(\mathcal{S})$  is subidempotent.

We now consider the following well-known radicals:

- The Baer radical  $\beta$ . This is the upper radical determined by the class of all prime rings.
- The locally nilpotent radical  $\mathscr{L}$ . This is the radical class of all locally nilpotent rings.
- The Brown-McCoy radical *G*. This is the upper radical determined by the class of all simple rings with unity.
- The nil radical  $\mathcal{N}$ . This is the radical class of all nil rings.
- Let  $\mathscr{W}$  be the class of all rings A such that for each element  $a \in A$ , there exist elements  $a_1, \ldots, a_n, b_1, \ldots, b_n \in A$  and  $m \in \mathscr{N}$  with  $a^m + \sum_{i=1}^n a_i[a, b_i] = 0$ . In [7], Tumurbat and Wiegandt proved that  $\mathscr{W}$  is a radical class and that  $\mathscr{W}$  coincides with  $\mathscr{G}_{(1)}$ .

We notice that  $\beta \subset L \subset N \subset W \subset C \subset \mathfrak{U} \mathscr{F}^1$ .

**Corollary 24.** (i) If  $\gamma$  is one of the radicals

 $\mathfrak{L}(\beta \cup S), \qquad \mathfrak{L}(\mathscr{L} \cup \mathscr{S}), \qquad \mathfrak{L}(\mathcal{N} \cup \mathscr{S}),$ 

or  $\gamma$  is any radical in the interval  $[\mathfrak{L}(\mathscr{E}_{\ell}(0) \cup \mathscr{S}), \mathscr{F}_{\mathscr{S}}]$ , then

$$\gamma_{(1)} \supset \gamma_{(2)} \supset \cdots \supset \gamma_{(n)} \supset \cdots$$

(ii) There exists a radical  $\gamma$  such that  $\gamma_{(n)} \neq \gamma_{(n+1)}$ . Moreover,  $\mathscr{L} \subseteq \bigcap \gamma_{(n)}$ .

Let A be a ring. We denote by M(A) the ring of all infinite matrices over A having only finitely many non-zero elements. We show that the polynomial ring  $M(A)[X] \in \bigcap \mathscr{G}_{(n)}$  for any set X of commuting or noncommuting indeterminates. First, however, we need some preliminary results.

**Lemma 25.** Let A be a ring with unity and I an ideal of M(A). Then there exists an ideal K of A such that I = M(K).

*Proof.* Consider a matrix  $B = (b_{ij}) \in I$  and let  $(A)_{uv}$  denote the subset of M(A) having non-zero elements only at the (u, v)-entry. For arbitrary indices k and l, we have

$$(A)_{ki}B(A)_{jl} = \begin{pmatrix} 0 & \cdots & 0 & \cdots & 0 \\ \vdots & & & \vdots & \vdots \\ 0 & \cdots & Ab_{ij}A & \cdots & 0 \\ \vdots & & \vdots & & \vdots \\ 0 & \cdots & 0 & \cdots & 0 \end{pmatrix} \subseteq (A)_{kl} \cap I.$$

Since A has a unity,

$$k \begin{pmatrix} 0 & \cdots & 0 & \cdots & 0 \\ \vdots & & & & \vdots \\ 0 & \cdots & b_{ij} & \cdots & 0 \\ \vdots & & & & \vdots \\ 0 & \cdots & 0 & \cdots & 0 \end{pmatrix} \in I$$

Clearly,  $K = \{a \in A \mid a = b_{ij}, (b_{ij}) \in I\} \lhd A$  and  $I \subseteq M(K)$ . Since

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k	0	 a	 0	$\in I$
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we have  $M(K) \subseteq I$ . Therefore M(K) = I.

**Corollary 26.** Let A be an arbitrary ring and I an ideal of M(A). Then there exist ideals K and J of A such that  $M(K) \subseteq I \subseteq M(J)$  and  $M(J)^3 \subseteq M(K)$ .

*Proof.* We denote by  $A^1$  the ring A with an identity adjoined. Clearly,  $I \lhd M(A) \lhd M(A^1)$ . Let  $\langle I \rangle$  be the ideal of  $M(A^1)$  generated by I. By Lemma 25, there exists and ideal J of  $A^1$  such that  $\langle I \rangle = M(J)$ . By Andrunakievich's Lemma,  $M(J)^3 \subseteq I$ . Clearly,  $J \subseteq A$ . Since  $M(J)^3 \lhd M(A^1)$ ,  $M(J)^3 = M(K)$ , where  $K \lhd A^1$  and also  $K \lhd A$ .

**Corollary 27.** Let A be an arbitrary ring and let I be a semiprime ideal of M(A). Then there exists an ideal K of A such that I = M(K).

**Theorem 28.** Let A be an arbitrary ring. If  $B \in M(A)$ , then there exist  $B_1, B_2, \ldots, B_n, A_1, A_2, \ldots, A_n \in M(A)$  and a positive integer m such that

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$$B^m + \sum_{i=1}^n B_i[B, A_i] = 0$$

and so  $M(A) \in \mathcal{W}$ .

*Proof.* First, we shall show that if M(A) is a semiprime ring, then M(A) has zero center. Suppose that  $0 \neq B \in Z(M(A))$ , where, for a ring T, Z(T) denotes the center of T and

$$B = \begin{pmatrix} b_{11} & \cdots & b_{1n} & 0 & \cdots & 0\\ \vdots & & \vdots & & & \\ b_{n1} & & b_{nn} & 0 & \cdots & 0\\ 0 & & 0 & 0 & & 0\\ \vdots & & \vdots & \vdots & & \vdots\\ 0 & & 0 & 0 & & 0 \end{pmatrix}.$$

Then  $b_{ij} \neq 0$  for some *i*, *j*. Let *x* be an arbitrary element of *A* and let

$$\overline{X} = \begin{pmatrix} 0 & \cdots & \cdots & 0 \\ \vdots & & & \vdots \\ 0 & \cdots & x & \cdots & 0 \\ \vdots & & & & \vdots \\ 0 & \cdots & & \cdots & 0 \end{pmatrix} n + 1.$$

Clearly,  $B\overline{X} = 0$ . Since  $B \in Z(M(A))$ ,  $\overline{X}B = 0$  and thus  $xb_{ij} = 0$ . Since M(A) is semiprime, A is a semiprime ring. But  $Ab_{ij} = 0$ , a contradiction. Now we show that every non-zero prime homomorphic image of M(A) has zero center. Let M(A)/I be a non-zero prime homomorphic image of M(A). By Corollary 27, I = M(K) for an ideal K of A and so  $M(A)/I = M(A)/M(K) \cong M(A/K)$ . Since M(A)/I is a prime ring, Z(M(A)/I) = 0. Thus, by [5],  $M(A) \in \mathcal{G}_{(1)}$  and, by [7], for arbitrary  $B \in M(A)$ , there exist  $B_1, B_2, \ldots, B_n, A_1, A_2, \ldots, A_n \in M(A)$  and a positive integer m such that  $B^m + \sum_{i=1}^n B_i[B, A_i] = 0$ .

**Corollary 29.** Let A be an arbitrary ring. Then  $M(A)[x_1, ..., x_n] \in \mathcal{W}$  for any positive integer n.

*Proof.* In view of  $M(A)[x_1] \cong M(A[x_1]) \in \mathcal{W}$ , it follows by induction that  $M(A)[x_1, \ldots, x_n] \in \mathcal{W}$ .

**Theorem 30.** Let A be an arbitrary ring. Then  $M(A)[X] \in \bigcap \mathscr{G}_{(n)}$ , for any set X of commuting or noncommuting indeterminates.

*Proof.* This follows from Corollary 29 and [8], Corollary 2.18(ii).  $\Box$ 

**Corollary 31.** If  $\gamma_{\infty} = \bigcap \gamma$  for a radical  $\gamma$ , then we have:

(i) 
$$\mathcal{N} \subset \mathcal{W}_{\infty} \subset \mathfrak{L}(\mathcal{W} \cup \mathcal{G})_{\infty};$$

(ii)  $\beta = \beta_{\infty} \subseteq \mathscr{W}_{\infty} \subseteq \mathscr{W} \subseteq \mathscr{G}.$ 

**Remark 32.** (i) All the known examples of radicals  $\gamma$  with  $\gamma_{(n)} \neq \gamma_{(n+1)}$  for any positive integer *n* are not hereditary. We do not know, however, whether for all hereditary radicals the chain terminates.

(ii) We have  $\gamma_{(n)} = \gamma_{(n+1)}$  if and only if  $\gamma^{(n)} = \gamma^{(n+1)}$ ; hence there exist many radicals such that  $\gamma^{(n+1)} \subset \gamma^{(n)}$  for any positive integer *n*.

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