Lie groupoids and their orbispaces

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Abstract. This is a concise introduction to the theory of Lie groupoids, with emphasis in their role as models for orbispaces. After some preliminaries, we review the foundations on Lie groupoids, and we carefully study equivalences and proper groupoids.

Orbispaces are geometric objects which have manifolds and orbifolds as special instances, and can be presented as the transverse geometry of a Lie groupoid. Two Lie groupoids are equivalent if they are presenting the same orbispace, and proper groupoids are presentations of separated orbispaces, which by the linearization theorem are locally modeled by linear actions of compact groups. We discuss all these notions in detail.

Our treatment diverges from the expositions already in the literature, looking for a complementary insight over this rich theory that is still in development.

Contents

1. Introduction

Lie groupoids constitute a general framework which has received much attention lately. They generalize group actions, submersions, foliations, pseudogroups and principal bundles, among other construction, providing a new perspective to classic geometric questions and results. Besides, Lie groupoids can be seen as an intermediate step in defining orbispaces, some geometric objects admitting singularities and generalizing both manifolds and orbifolds.

Orbispaces can be defined within the language of stacks, an abstract concept introduced by Grothendieck in his work on algebraic geometry (cf. 4.7.4). Here we avoid that paraphernalia and follow a more concrete approach, according to which an orbispace is what encodes the transversal geometry of a Lie groupoid.

Every Lie groupoid has an underlying orbispace, and two Lie groupoids have the same one if they are equivalent. These equivalences, sometimes called Morita equivalences, can be realized either by principal bibundles or by chains of fully faithful essentially surjective maps. Many properties of Lie groupoids are invariant under equivalences because they are actually properties of their orbispaces.

Proper groupoids constitute an important family of Lie groupoids. It includes manifolds, compact groups, submersions and proper actions, among others. They have a Hausdorff orbit space and their isotropy groups are compact. Moreover, they can be linearized around an orbit, which implies that their underlying orbispaces, called separated, can locally be modeled by linear actions of compact groups.

These notes contain the foundations of Lie groupoids with special emphasis in equivalences and proper groupoids, including the relatively new results on linearization. We pursued a self-contained presentation with a stress in examples, and avoiding when possible technical digressions.

Even though most of the material is already available in the literature, we provide a new perspective over known results, such as new proofs and examples, and we also include new subsidiary results: a description on the differential of the anchor (cf. 3.5.1), a characterization of weak equivalences by means of the normal representations (cf. 4.3.1), a discussion of stable orbits (cf. 5.3.3), and a reduction on Zung's theorem that simplifies the proof (cf. 5.4.3), to mention some of them.

What we omit is talking about Lie algebroids, the infinitesimal counterpart of Lie groupoids, and the interesting theory they play together. This can be found elsewhere, see e.g. [4], [6], [10], [19], [21]. Note that the topics studied here, equivalences and proper groupoids, have not a known infinitesimal version in the interplay between groupoids and algebroids.

To finish, let us mention that the linearization problem is studied here in the spirit of the original works [27] and [28], and the more recent paper [7]. A completely new approach will be presented in [13], where we define compatible metrics with the groupoid structure, and establish the linearization by exponential maps, providing both a stronger version and a simpler proof for this important theorem.

Organization. In Section 2 we recall some preliminaries, in Section 3 we present a brief self-contained introduction to Lie groupoids, we carefully discuss equivalences of Lie groupoids in Section 4, and finally in Section 5 we deal with proper groupoids and linearization. A more detailed description can be found at the beginning of each section.

2. Preliminaries

Throughout this section we collect basic facts that are scattered on the literature and provide alternative formulations for some of them. The topics are proper maps, pullbacks and quotients of smooth manifolds, and the structure of submersions. These results will be needed in the subsequent sections.

We refer to [2] for a detailed exposition on proper maps, and to [9] and [17] for generalities on differential geometry.

2.1. Properness and properness at a point. All our spaces are assumed to be second countable, locally compact and Hausdorff. This includes smooth manifolds and Hausdorff quotients of them.

Let X, Y be two spaces. A continuous map $f : X \to Y$ is *proper* if it satisfies any, and hence all, of the following equivalent conditions:

- for all map $Z \to Y$ the base-change $\tilde{f} : X \times_Y Z \to Z$ of f is closed;

$$
\begin{array}{ccc}
X \times_Y Z & \longrightarrow & X \\
\tilde{f} \downarrow & \text{pb} & \downarrow f \\
Z & \longrightarrow & Y.
\end{array}
$$

- f is closed and has compact fibers;
- $f^{-1}(K) \subset X$ is compact for all $K \subset Y$ compact; and
- every sequence $(x_n) \subset X$ with $f(x_n) \to y$ admits a convergent subsequence $x_{n_k} \to x$.

The proofs of the equivalences are rather standard, see e.g. [2]. Let us remark that the first two formulations remain equivalent when working with any topological spaces, and they are equivalent to the other two only under our hypothesis.

Example 2.1.1. An inclusion $A \subset X$ is proper if and only if A is a closed subspace of X. A projection $F \times X \to X$ is proper if and only if the fiber F is compact.

Proper maps form a *nice class* of maps, namely (1) every homeomorphism is proper, (2) composition of proper maps is proper, and (3) the base-change of a proper map is proper.

The notion of properness admits a punctual version. The map $f : X \to Y$ is *proper at y* if any sequence $(x_n) \subset X$ such that $f(x_n) \to y$ has a convergent subsequence $x_{n_k} \to x$ (cf. [11]). Clearly f is proper if and only if it is proper at every point of Y. It turns out that properness is an open condition, namely the points at which f is proper is an open of Y.

Proposition 2.1.2. *If $f : X \to Y$ is proper at y then there exists an open $y \in V$ such that $f|_V : f^{-1}(V) \to V$ is proper.*

Proof. Take a sequence of open subsets $(V_n) \subset Y$ such that $V_n \searrow y$, and a sequence of compact subsets $(K_n) \subset X$ such that $\text{int } K_n \nearrow X$. If for some n we have $f^{-1}(V_n) \subset K_n$ then $f|_{V_n}$ satisfies that preimages of compact sets are compact

and hence is proper. If for all n we can take $x_n \in f^{-1}(V_n)\backslash K_n$ then $f(x_n) \to y$ and (x_n) has no convergent subsequence, which contradicts the hypothesis. $\qquad\square$

To better understand the notion of properness at a point let us introduce the following natural definition. Given $f : X \to Y$, $y \in Y$, $F = f^{-1}(y)$, we say that f satisfies the *tube principle* at y if for every open U, $F \subset U \subset X$, there exists an open V, $y \in V \subset Y$, such that $f^{-1}(V) \subset U$. In other words, any open containing the fiber must also contain an open tube around it.

Proposition 2.1.3. *A map $f : X \to Y$ is proper at y if and only if the fiber F is compact and f satisfies the tube principle at y.*

Proof. If $f : X \to Y$ is proper at y then we have seen that there is a tube on which f is proper. Then we can assume that f is proper, and therefore closed. Now, if U is an open containing the fiber F, we can take $V = Y\backslash\left(f(X\backslash U)\right)$.

Conversely, suppose that (x_n) is such that $f(x_n) \to y$ but (x_n) has no convergent subsequence. If F is compact, then x_n will belong to F at most finitely many times. Dropping the first terms of the sequence we can assume that $x_n \notin F$ for any n, and then $U = X\backslash\{x_n\}$ is an open around F which does not contain any tube. $\qquad\square$

Example 2.1.4. Next examples show the necessity of the two conditions. The projection $S^1\backslash\{i\} \to \mathbb{R}$, $\exp(it) \mapsto \cos(t)$, has compact fiber at 0 but it does not satisfy the tube principle. The smooth map $\phi : \mathbb{R} \to \mathbb{R}$, $\phi(x) = 0$ for $x \leq 0$, $\phi(x) = \exp(-1/x)$ for $x > 0$, satisfies the tube principle at 0 but its fiber is not compact.

2.2. Good pullbacks of manifolds. The pullback of two maps in the category of smooth manifolds, if it exists, may behave badly with respect to the underlying topologies and also to the construction of tangent spaces. Let us illustrate this with examples.

Example 2.2.1. The next square is a pullback of manifolds, but the induced diagram between the tangent spaces at 0 is not a pullback.

$$
\begin{array}{ccc}
0 & \longrightarrow & \mathbb{R} \\
\downarrow & \text{pb} & \downarrow{\scriptstyle g} \\
\mathbb{R} & \xrightarrow{\ f\ } & \mathbb{R}^2
\end{array}
\qquad
\begin{array}{l}
f(t) = (t,0), \\
g(t) = (t,t^2).
\end{array}
$$

The intersection between the two curves is something more than the point, it contains some extra infinitesimal data.

Example 2.2.2. Let α be irrational and let $D \subset \mathbb{R} \times \mathbb{R}$ be the set-theoretic pullback

$$
\begin{array}{ccc}
D & \longrightarrow & \mathbb{R} \\
\downarrow & \text{pb} & \downarrow g \\
\mathbb{R} & \xrightarrow{\ f\ } & S^1 \times S^1
\end{array}
\quad,\qquad
\begin{aligned}
f(t) &= (e^{i\alpha t}, e^{it}),\\
g(t) &= (e^{it}, e^{i\alpha t}),
\end{aligned}
$$

viewed as a discrete manifold. The square is not a topological pullback, for the intersection of the two dense curves on the torus has a non-trivial topology. However, it is a pullback of manifolds, for a smooth map $M \to S^1 \times S^1$ whose image lies in the intersection of the two curves has to be constant.

We say that a pullback of smooth manifolds is a *good pullback* if

$$
\begin{array}{ccc}
M_1 \times_M M_2 & \xrightarrow{\ \tilde{f}_1\ } & M_2 \\
\tilde{f}_2 \downarrow & \text{pb} & \downarrow f_2 \\
M_1 & \xrightarrow{\ f_1\ } & M.
\end{array}
$$

(1) It is a pullback of the underlying topological spaces, and

(2) It induces pullbacks between the tangent spaces, say for each x_1, x_2, x such that $f_1(x_1) = x = f_2(x_2)$ the following sequence is exact.

$$
0 \to T_{(x_1,x_2)}(M_1 \times_M M_2) \to T_{x_1}M_1 \times T_{x_2}M_2 \to T_xM.
$$

The last arrow is given by $(v, w) \mapsto d_{x_1}f_1(v) - d_{x_2}f_2(w)$.

In other words, the pullback is good if the map $M_1 \times_M M_2 \to M_1 \times M_2$ is a closed embedding with the expected tangent space.

The standard criterion for the existence of pullbacks is by means of transversality. Recall that two smooth maps $f_1 : M_1 \to M$, $f_2 : M_2 \to M$ are *transverse* if $d_{x_1}f_1(T_{x_1}M_1) + d_{x_2}f_2(T_{x_2}M_2) = T_xM$ for all x_1, x_2, x making sense.

Proposition 2.2.3. *If $f_1 : M_1 \to M$ and $f_2 : M_2 \to M$ are transverse, then their pullback $M_1 \times_M M_2$ exists and it is a good pullback.*

For a proof see e.g. [17].

Remark 2.2.4. A submersion is transverse to any other map, thus the pullback between a submersion and any other map always exists and it is good.

The base-change of a submersion is always a submersion. As a partial converse, with the notations above, if $\tilde{f}_1$ is a submersion and f_2 is a surjective

submersion, then f_1 has to be a submersion as well, as it follows from the induced squares of tangent vector spaces.

2.3. Quotients of manifolds. Given M a smooth manifold and $R \subset M \times M$ an equivalence relation on it, it is natural to ask whether if the quotient set M/R can be regarded as a new manifold. Of course, this is not the case in general.

Example 2.3.1. The action by rotations on the plane $S^1 \curvearrowright \mathbb{R}^2$ leads to a quotient $\mathbb{R}^2/S^1$ which is not a manifold for it is not locally euclidean. We do not allow manifolds to have border.

Example 2.3.2. The foliation F on $\mathbb{R}^2 \backslash \{(0,0)\}$ by horizontal lines defines an equivalence relation on which the quotient $\big(\mathbb{R}^2 \backslash \{(0,0)\}\big)/F$ is locally euclidean, but it is not Hausdorff.

Given M and R, if the quotient M/R admits a manifold structure and the projection $M \to M/R$ is a submersion, then the following square happens to be a good pullback:

$$
\begin{array}{ccc}
R & \longrightarrow & M \\
\downarrow & \text{pb} & \downarrow \\
M & \longrightarrow & M/R.
\end{array}
$$

Then $R \subset M \times M$ has to be a closed embedded submanifold and the projections $\pi_1|_R, \pi_2|_R : R \to M$ have to be submersions. It turns out that these conditions are sufficient to define a manifold structure on the quotient.

Proposition 2.3.3 (Godement criterion). *If an equivalence relation $R \subset M \times M$ is a closed embedded submanifold and $\pi_1|_R, \pi_2|_R : R \to M$ are submersions, then M/R inherits a unique manifold structure that makes the projection $M \to M/R$ a submersion.*

Note that if one of the projections is a submersion then so is the other. For the construction of such a manifold structure on M/R we refer to [12], see also [25].

Given M and $R \subset M \times M$ as in 2.3.3, we can identify the smooth maps $M/R \to Z$ with those maps $M \to Z$ which are constant over the classes defined by R.

$$
C^\infty(M/R, Z) \cong C_R^\infty(M, Z) \subset C^\infty(M, Z).
$$

In fact, since $M \to M/R$ is a surjective submersion then (1) it is open and hence a topological quotient, and (2) it admits local sections, hence a continuous map

$M/R \to Z$ is smooth if and only if the composition $M \to M/R \to Z$ is so. This proves in particular the uniqueness in 2.3.3.

The following corollary is probably better known than the criterion itself. Let G be a Lie group and consider an action $G \curvearrowright M$, $(g, x) \mapsto g \cdot x$, over a manifold M. Recall that the action is *proper* if the map $G \times M \to M \times M$, $(g, x) \mapsto (g \cdot x, x)$ is proper.

Corollary 2.3.4. *If $G \curvearrowright M$ is a free proper action of a Lie group on a manifold, then the quotient M/G inherits a unique manifold structure that makes the projection $M \to M/G$ a submersion.*

Sketch of proof. Given $x \in M$, consider the map $G \to M$, $g \mapsto g.x$. If $v \in T_1 G$ is a nonzero vector in the kernel of its differential, then the 1-parameter group it generates is included in the isotropy of x. This proves that there is no such a v. The same argument shows that $G \times M \to M \times M$, $(g, x) \mapsto (gx, x)$ is an injective immersion. Since it is also proper it turns out to be a closed embedding. The composition $G \times M \to M \times M \overset{\pi_2}{\to} M$ is clearly a submersion and we can apply Godement criterion 2.3.3. $\square$

For alternative approaches see [11] and [26].

2.4. The structure of submersions.
The constant rank theorem implies that, in a neighborhood of a point, a submersion looks as a projection. When moving along the fiber this leads to the following description of the structure of submersions.

Proposition 2.4.1. *Let $f : M \to N$ be a submersion, $y \in N$, $F = f^{-1}(y)$. There are opens $U \supset F$ and $V \ni y$, and an open embedding $i_U : U \to F \times V$ extending the obvious inclusion $F \to F \times y$ and satisfying $f|_U = \mathrm{pr} \circ i_U$.*

$$
\begin{array}{ccc}
M \supset & U \overset{i_U}{\to} & F \times V \\
\downarrow & \downarrow & \downarrow \\
N \supset & V = & V.
\end{array}
$$

Note that this is a local statement around y, thus we may change V by any smaller neighborhood. In particular we may take $V \cong \mathbb{R}^n$ a ball-like open and this way compare f with the projection $F \times \mathbb{R}^n \to \mathbb{R}^n$.

Proposition 2.4.1 admits rather elementary proofs. We propose the following using Riemannian geometry, maybe more sophisticated, but with interesting generalizations (see the proof of 5.5.1, and also [13]).

Sketch of proof. We can assume $N = \mathbb{R}^n$. Endow M and N with Riemannian metrics for which f is a Riemannian submersion, that is, such that $df_x|_{(\ker df_x)^{\perp}}$ is an isometry for all x. Such metrics can be easily constructed.

The normal bundle NF of the fiber F is trivial. We identify it with the vectors orthogonal to TF. The geodesics associated to these vectors are preserved by f, thus the exponential maps of the metrics yield a commutative diagram

$$
\begin{array}{ccccc}
F \times \mathbb{R}^n & \cong & NF & \xrightarrow{\text{exp}} & M \\
\downarrow & & \downarrow & & \downarrow \\
\mathbb{R}^n & \cong & T_y N & \xrightarrow{\text{exp}} & N.
\end{array}
$$

Since the map $F \times \mathbb{R}^n \cong NF \xrightarrow{\text{exp}} M$ is injective over $F \times 0$ and its differential is invertible over $F \times 0$, it follows from a standard metric argument that it is still injective in an open around $F \times 0$, hence an open embedding, and we can take i_U as its inverse. $\qquad \square$

As a straightforward application we obtain the well-known Ehresmann Theorem.

Proposition 2.4.2 (Ehresmann Theorem). *Let $f : M \to N$ be a submersion, $y \in N$, $F = f^{-1}(y)$. The following are equivalent:*

(1) *f is locally trivial at y and F is compact;*

(2) *f is proper at y; and*

(3) *f satisfies the tube principle at y.*

Proof. The implications $(1) \Rightarrow (2) \Rightarrow (3)$ are obvious (cf. 2.1.3).

To prove $(3) \Rightarrow (2)$ we need to show that the fiber is compact (cf. 2.1.3). Because of 2.4.1 it is enough to study the case $U \subset F \times \mathbb{R}^n \to \mathbb{R}^n$. Given $(x_n) \subset F$, we will see that it admits a convergent subsequence. If not, we can take $v_n \in \mathbb{R}^n$ such that $0 < \|v_n\| < 1/n$ and $(x_n, v_n) \in U$, then $U \backslash \{(x_n, v_n)\}_n$ is an open around F not containing any tube, which contradicts (3).

Finally, assume (2) and let us prove (1). Since f is proper at y, the fiber F is compact, and f satisfies the tube principle at y. Then, in 2.4.1, by shrinking U, we can assume it is saturated. Since the projection $F \times V \to V$ is also proper we can shrink U again so as to make $i_U(U)$ saturated, and U will remain saturated as well, proving local triviality. $\qquad \square$

Ehresmann theorem admits an interesting particular case on which the hypothesis rely only on the topology of the fibers.

Corollary 2.4.3. *Let $f : M \to N$ be a submersion, $y \in N$, $F = f^{-1}(y)$. If F is compact and the nearby fibers are connected then f is proper at y.*

Proof. Let U, V and $i_U : U \to F \times V$ be as in 2.4.1. Since F is compact the projection $F \times V \to V$ is proper, and by the tube principle we may shrink U so as to make $i_U(U)$ saturated. We may suppose that U intersects only connected fibers F'. For each of these fibers F' we have that $U \cap F' \cong i_U(U \cap F') \cong F$ is compact, hence closed and open on F'. This proves $F' \subset U$ and that U is saturated as well. $\square$

3. Lie groupoids

This section starts with definitions and examples on Lie groupoids. Then we discuss groupoid actions and linear representations, with special emphasis in the normal representation, which encodes the linear infinitesimal information around an orbit. We describe then the differential of the anchor map, and use this to characterize two important families: submersion groupoids and transitive groupoids. Finally we discuss principal groupoid-bundles.

We suggest [4], [6], [10], [19], [21] as standard references for this section.

3.1. Definitions and basic facts. A *smooth graph* $G \rightrightarrows M$ consists of a manifold M of objects, a manifold G of arrows, and two submersions $s, t : G \to M$ indicating the *source* and *target* of an arrow. We often write the arrows from right to left, thus by $y \xleftarrow{g} x$ we mean $x, y \in M$, $g \in G$, $s(g) = x$ and $t(g) = y$. We call M the *base* of the graph.

A *Lie groupoid* consists of a smooth graph $G \rightrightarrows M$ endowed with a smooth associative *multiplication* m,

$$m : G \times_M G \to G, \qquad (z \xleftarrow{g_2} y, y \xleftarrow{g_1} x) \mapsto (z \xleftarrow{g_2 g_1} x),$$

where $G \times_M G = \{(g_2, g_1) \mid s(g_2) = t(g_1)\} \subset G \times G$ is the submanifold of composable arrows. This multiplication is required to have a *unit* u and an *inverse* i, which are smooth maps

$$u : M \to G, \qquad x \mapsto (x \xleftarrow{1_x} x), \qquad i : G \to G, \qquad (y \xleftarrow{g} x) \mapsto (x \xleftarrow{g^{-1}} y),$$

satisfying the usual axioms $gg^{-1} = 1_y$, $g^{-1}g = 1_x$, $g1_x = g$ and $1_y g = g$ for all $y \xleftarrow{g} x$. We refer to s, t, m, u, i as the *structural maps* of the Lie groupoid. By an abuse of notation, we denote $(G \rightrightarrows M, m)$ just by $G \rightrightarrows M$, or even G.

Given $G \rightrightarrows M$ a smooth graph, a *bisection* $B \subset G$ is an embedded submanifold such that the restrictions $s_B, t_B : B \to M$ of the source and target are open embeddings. Naming $U = s(B)$ and $V = t(B)$, the maps $s_B : B \to U$ and $t_B : B \to V$ are diffeomorphisms, and the composition $f_B = t_B s_B^{-1} : U \to V$ is

called the *underlying map* to B. We can visualize B as a bunch of arrows from U to V. Given any $g \in G$, it is easy to see that there always exists a bisection B containing g.

When $G \rightrightarrows M$ is a Lie groupoid, the bisections can be composed, inverted, and every open $U \subset M$ has a unitary bisection. The underlying maps to bisections of G define hence a pseudogroup on M, the *characteristic pseudogroup* of $G \rightrightarrows M$. There are local *translation* and *conjugation* maps associated to a bisection B.

$$L_B : G(U, -) \to G(V, -), \qquad (y \overset{h}{\leftarrow} x) \mapsto \left(f_B(y) \overset{s_B^{-1}(y)h}{\longleftarrow} x \right),$$

$$C_B : (G_U \rightrightarrows U) \to (G_V \rightrightarrows V), \qquad (y \overset{h}{\leftarrow} x) \mapsto \left(f_B(y) \overset{s_B^{-1}(y)hs_B^{-1}(x)^{-1}}{\longleftarrow} f_B(x) \right).$$

Here we are using the notations $G(A, -) = t^{-1}(A)$ and $G_A = s^{-1}(A) \cap t^{-1}(A)$.

Given $G \rightrightarrows M$ a Lie groupoid and $x \in M$, the *s-fiber* at x is defined by $G(-, x) = s^{-1}(x) \subset G$, the *isotropy group* at x is $G_x = s^{-1}(x) \cap t^{-1}(x) \subset G$, and the *orbit* of x is the set $O_x = \{ y \mid \exists y \overset{g}{\leftarrow} x \} = t(G(-, x))$.

Proposition 3.1.1. *Given $G \rightrightarrows M$ and $x, y \in M$, the subset $G(y, x) \subset G$ is an embedded submanifold. In particular G_x is a Lie group. The orbit $O_x \subset M$ is a (maybe not embedded) submanifold in a canonical way.*

Proof. Denote by $t_x : G(-, x) \to M$ the restriction of the target map to the *s*-fiber. Given $g, g' \in G(-, x)$ and a bisection B containing $g'g^{-1}$, we can locally write $t_x L_B = f_B t_x$ in a neighborhood of g, and since L_B and f_B are invertible, the rank of t_x at the points g and $L_B(g) = g'$ agree. This shows that t_x has constant rank, hence its fibers $G(y, x)$ are embedded submanifolds. In particular G_x, with the operations induced by those of G, becomes a Lie group. This group acts freely and properly on $G(-, x)$ by the formula

$$G(-, x) \times G_x \to G(-, x), \qquad (y \overset{g'}{\leftarrow} x, x \overset{g}{\leftarrow} x) \mapsto (y \overset{g'g}{\leftarrow} x).$$

We can identify the quotient $G(-, x)/G_x$ with the orbit $O_x \subset M$, and regard it as a submanifold in a canonical way (cf. 2.3.4). □

The *orbit space* M/G is the set of orbits with the quotient topology. The quotient map $q : M \to M/G$ is open, as it follows from a simple argument on bisections. The partition of M into the connected components of the orbits is a singular foliation, called the *characteristic foliation* of G.

The space M/G is not a smooth manifold in general. We can think of the Lie groupoid $G \rightrightarrows M$ as a way to describe certain smooth singular data on it (cf. 4.7).

A *map of graphs* $\phi : (G \rightrightarrows M) \to (G' \rightrightarrows M')$ is a pair of smooth maps

$$\phi^{ar} : G \to G', \qquad \phi^{ob} : M \to M'$$

that preserves the source and the target. We usually denote ϕ^{ar} and ϕ^{ob} simply by ϕ. A *map of Lie groupoids* is a map between the underlying graphs that also preserves the multiplicative structure, that is, commutes with m, and therefore with u and i. We denote the category of Lie groupoids and maps between them by

$$\{\text{Lie groupoids}\}$$

Out of a Lie groupoid $G \rightrightarrows M$ we have constructed a family of Lie groups $\{G_x\}_{x \in M}$ and a quotient map $M \to M/G$. These constructions are functorial, a map $\phi : (G \rightrightarrows M) \to (G' \rightrightarrows M')$ induces Lie group homomorphisms $\phi_* : G_x \to G_{\phi(x)}$ and a continuous map between the orbit spaces $\phi_* : M/G \to M'/G'$.

3.2. Some examples. Lie groupoids constitute a common framework to work with several geometric structures. We give here some of the fundamental examples. We do not include the examples of foliations and pseudogroups, for in these cases, the manifold G may not be second countable nor Hausdorff, as we require. These and other important examples can be found in [19] and [21].

Example 3.2.1 (Manifolds and Lie groups). A manifold M gives rise to the *unit groupoid* with only unit arrows, where the five structural maps are identities, the isotropy is trivial and the orbits are just the points. As other extremal case, a Lie group G can be seen as a Lie groupoid with a single object.

$$M \rightsquigarrow M \rightrightarrows M, \qquad G \rightsquigarrow G \rightrightarrows * .$$

These constructions preserve maps. We will identify manifolds and Lie groups with their associated Lie groupoids.

Example 3.2.2 (Group actions). If $G \curvearrowright M$ is a Lie group acting over a manifold, the *action groupoid* $G \ltimes M = (G \times M \rightrightarrows M)$ is defined with source the projection, target the action, and multiplication, unit and inverse maps induced by those of G.

$$G \curvearrowright M \rightsquigarrow G \times M \rightrightarrows M.$$

A typical arrow in the action groupoid has the form $g \cdot x \xleftarrow{(g,x)} x$. Orbits and isotropy correspond to the usual notions for actions. A map of actions induce a map

between the corresponding action groupoids, but in general there are more maps than these.

Action groupoids $G \ltimes M$ are fundamental examples. Not every Lie groupoid is of this form, but we will see that every *proper* groupoid is locally *equivalent* to one of these (cf. 5.5.1).

Example 3.2.3 (Submersions). A submersion $q : M \to N$ yields a *submersion groupoid* $M \times_N M \rightrightarrows M$ with one arrow between two points if they belong to the same fiber.

$$M \to N \rightsquigarrow M \times_N M \rightrightarrows M.$$

The isotropy of a submersion groupoid is trivial and the orbits are the fibers of q. A map between submersion groupoids $(M \times_N M \rightrightarrows M) \to (M' \times_{N'} M' \rightrightarrows M')$ is the same as a commutative square of smooth maps. We will characterize later the groupoids arising from submersions (cf. 3.5.2).

Given a manifold M, the submersion groupoid of the identity $\mathrm{id}_M : M \to M$ yields the unit groupoid, and the submersion groupoid of the projection $\pi_M : M \to *$ is the *pair groupoid* $M \times M \rightrightarrows M$, which has exactly one arrow between any two objects. Other interesting case arise from an open cover $\mathscr{U} = \{U_i\}_i$ of M. The several inclusions $U_i \to M$ yield a surjective submersion $\coprod_i U_i \to M$, and this yields a *covering groupoid* $\coprod_{i,j} U_i \cap U_j \rightrightarrows \coprod_i U_i$, also called *Čech groupoid*.

Roughly speaking, every Lie groupoid emerges from a submersion $M \to S$ over a space S, which in this case is the manifold N, but in general it may be something singular, namely an orbispace. Later we will see how to formalize this idea (cf. 4.7.3).

Example 3.2.4 (Principal group-bundles). Let G be a Lie group, N a manifold, and let $G \curvearrowright P \to N$ be a smooth principal bundle. This is essentially the same as a free proper action $G \curvearrowright P$, for the surjective submersion $P \to N$ can be recovered as the quotient map $P \to P/G$ (see eg. [26], App. E).

The *gauge groupoid* $P \times^G P \rightrightarrows N$ consists of the equivariant isomorphisms between fibers of the principal bundle. It can be constructed as the quotient of the pair groupoid $P \times P \rightrightarrows P$ by the action of G.

$$G \curvearrowright P \to N \rightsquigarrow P \times^G P \rightrightarrows N.$$

Here we are considering the diagonal action $G \curvearrowright P \times P$, which is also free and proper, we are writing $P \times^G P = (P \times P)/G$, and identifying $P/G \cong N$. The structural maps of the pair groupoid are equivariant and that is why they induce a Lie groupoid structure in the quotient (cf. 2.3.4).

A gauge groupoid is *transitive*, namely it has a single orbit, and its isotropy at any point is isomorphic to G. A map between principal bundles leads to a map between their gauge groupoids, but this assignment is not injective in general.

Example 3.2.5 (Linear groupoids). The automorphisms $GL(V)$ of a vector space V constitute the fundamental example of a Lie group. In a similar fashion, given $E \to M$ a smooth vector bundle, we can consider the *general linear groupoid*

$$E \to M \rightsquigarrow GL(E) \rightrightarrows M$$

whose objects are the fibers of the vector bundle, and whose arrows are the linear isomorphisms between them. It can be defined as the gauge groupoid of the *frame bundle* of E.

This construction admits the usual variants. For instance, if the vector bundle is endowed with a metric we can define the *orthogonal linear groupoid* $O(E) \rightrightarrows M$, which consists of the isometries between the fibers.

Example 3.2.6 (Orbifolds). Orbifolds are spaces locally modeled by quotients of euclidean spaces by finite group actions. We refer to [21] for a detailed treatment. During these notes we briefly discuss how orbifolds can be framed into the theory of Lie groupoids and orbispaces.

Recall that an orbifold chart (U, G, ϕ) on a space O consists of a connected open $U \subset \mathbb{R}^d$ in some euclidean space, a finite group of $G \subset \text{Diff}(U)$, and an open embedding $\phi : U/G \to O$. An orbifold consists of a space O endowed with an orbifold atlas, that is, a collection $\mathscr{U} = \{(U_i, G_i, \phi_i)\}_i$ of compatible orbifold charts. Two atlases define the same orbifold if they are compatible, namely if their union is again an atlas.

Given O an orbifold and $\mathscr{U}$ a numerable atlas, we can define a Lie groupoid as follows.

$$O + \mathscr{U} \rightsquigarrow G \rightrightarrows M.$$

The manifold of objects is $M = \coprod_i U_i$. The manifold G consists of germs of compositions of maps in some G_i, endowed with the sheaf-like manifold structure. While the construction of this groupoid relies on the choice of an atlas, we will see that compatible atlases lead to *equivalent* Lie groupoids.

3.3. Groupoid actions and representations. Let $G \rightrightarrows M$ be a Lie groupoid. Given $p : A \to M$ a smooth map, we can consider the good pullback $G \times_M A = \{(g, a) \mid s(g) = p(a)\}$. A *left groupoid action*

$$\theta : (G \rightrightarrows M) \curvearrowright (A \to M)$$

is a smooth map $\theta : G \times_M A \to A$, $(g, a) \mapsto \theta_g(a)$, such that $p(\theta_g(a)) = t(g)$, $\theta_{1_x} = \mathrm{id}_{A_x}$ and $\theta_g \theta_h = \theta_{gh}$ when g, h are composable. Right actions are defined analogously. The map $p : A \to M$ is sometimes called the *moment map* of the action. An action θ realizes the arrows of the groupoid $G \rightrightarrows M$ as symmetries of the family of fibers of the moment map, namely for each arrow $y \overset{g}{\leftarrow} x$ we have a diffeomorphism $\theta_g : A_x \to A_y$.

Example 3.3.1. Actions of manifolds $(M \rightrightarrows M) \curvearrowright (A \to M)$ are trivial. Actions of Lie groups $(G \rightrightarrows *) \curvearrowright (A \to *)$ are the usual ones.

An action $(G \ltimes M \rightrightarrows M) \curvearrowright (A \to M)$ of an action groupoid is the same as an action $G \curvearrowright A$ and an equivariant map $A \to M$.

For a submersion groupoid, an action $(M \times_N M \rightrightarrows M) \curvearrowright (A \to M)$ is the same as an equivalence relation R on A inducing a pullback square as bellow (cf. 3.6.3):

$$(M \times_N M \rightrightarrows M) \curvearrowright (A \to M) \leftrightarrow \quad \begin{array}{ccc} A & \longrightarrow & A/R \\ \downarrow & \text{pb} & \downarrow \\ M & \longrightarrow & N. \end{array}$$

Given a groupoid action $G \curvearrowright A$ we can construct the *action groupoid* $G \ltimes A = (G \times_M A \rightrightarrows A)$, on which the source is the projection, the target is the action, and composition, inverses and identities are induced by those of G. This generalizes the example 3.2.2, and admits an obvious version for right actions. We say that the action $G \curvearrowright A$ is *free* if the action groupoid has no isotropy, and that the action is *proper* if the map $G \times_M A \to A \times A$, $(g, a) \mapsto (\theta_g(a), a)$ is so. By Godement criterion 2.3.3, the orbit space of a free proper groupoid action inherits the structure of a manifold. This statement is in fact equivalent both to 2.3.3 and to 3.5.2.

We can identify actions of G with groupoid maps $\tilde{G} \to G$ of a special kind. Given an action $(G \rightrightarrows M) \curvearrowright (A \to M)$, its moment map $A \to M$ and the projection $G \times_M A \to G$ define a groupoid map

$$p : (G \times_M A \rightrightarrows A) \to (G \rightrightarrows M)$$

inducing a pullback between the source maps. A map satisfying this property is called an *action map*. Conversely, given any action map $p : (\tilde{G} \rightrightarrows A) \to (G \rightrightarrows M)$, the composition $G \times_M A \cong \tilde{G} \overset{t}{\to} A$ becomes a left action, we call it the *underlying action*. It is straightforward to check that these constructions are mutually inverse.

Proposition 3.3.2. *There is a* 1-1 *correspondence between left actions and action maps.*

$$(G \rightrightarrows M) \curvearrowright (A \to M) \quad \leftrightarrow \quad (\tilde{G} \rightrightarrows A) \to (G \rightrightarrows M).$$

A map of groupoids is an action map if and only if the associated target square is a pullback, thus we can also identify action maps with right actions.

We study now a particular type of actions. Given a Lie groupoid $G \rightrightarrows M$ and a vector bundle $E \to M$, a *linear representation* $(G \rightrightarrows M) \curvearrowright (E \to M)$ is an action $\theta : G \times_M E \to E$ such that for all $y \overset{g}{\leftarrow} x$ in G the map $\theta_g : E_x \to E_y$ is linear.

Example 3.3.3. Representations of manifolds $(M \rightrightarrows M) \curvearrowright (E \to M)$ are trivial. Representations of Lie groups $(G \rightrightarrows *) \curvearrowright (E \to *)$ are the usual ones.

Representations of an action groupoid $(G \ltimes M \rightrightarrows M) \curvearrowright (E \to M)$ are equivariant vector bundles.

Given $q : M \to N$ a surjective submersion, a representation $(M \times_N M \rightrightarrows M) \curvearrowright (E \to M)$ is the same as a vector bundle $\tilde{E} \to N$ such that $q^* \tilde{E} = E$ (cf. 3.6.3).

Using an exponential law argument it can be proved that representations are in 1-1 correspondence with maps on the general linear groupoid.

$$(G \rightrightarrows M) \curvearrowright (E \to M) \quad \leftrightarrow \quad (G \rightrightarrows M) \to \big(\mathrm{GL}(E) \rightrightarrows M\big).$$

See [19], Prop. 1.7.2 for the transitive case. The general case is proved analogously.

The action map of a representation can be regarded as a compatible diagram of Lie groupoids and vector bundles. More precisely, a *VB-groupoid*

$$
\begin{array}{ccc}
\Gamma & \rightrightarrows & E \\
\downarrow & & \downarrow \\
G & \rightrightarrows & M
\end{array}
$$

is a groupoid map $(\Gamma \rightrightarrows E) \to (G \rightrightarrows M)$ such that $\Gamma \to G$ and $E \to M$ are vector bundles, and the structural maps of $\Gamma \rightrightarrows E$ are vector bundle maps. The *core* $C \to M$ is defined by $C = \ker(s : \Gamma \to E)|_M$, where we are identifying $M = u(M)$.

Example 3.3.4. Given $G \rightrightarrows M$ we can construct a new Lie groupoid $TG \rightrightarrows TM$ whose structural maps are the differentials of those of G. The canonical projections define the *tangent VB-groupoid*.

$$(TG \rightrightarrows TM) \to (G \rightrightarrows M).$$

The core of this VB-groupoid is the *Lie algebroid* A_G associated to G (cf. [19], [21]).

When the core is trivial, namely $C = 0_M \to M$, then the ranks of $\Gamma \to G$ and $E \to M$ agree and we can identify the total space Γ with the pullback of E along the source map, $\Gamma \cong G \times_M E$. Thus 3.3.2 provides the following.

Proposition 3.3.5. *There is a* 1-1 *correspondence between representations of* $G \rightrightarrows M$ *and VB-groupoids* $(\Gamma \rightrightarrows E) \rightarrow (G \rightrightarrows M)$ *with trivial core.*

VB-groupoids are something more general than representations. Actually, they admit a nice interpretation in the theory of *representations up to homotopy* (cf. [15]). For more on VB-groupoids and their infinitesimal counterpart we refer to [3].

3.4. The normal representation. Given $G \rightrightarrows M$ a Lie groupoid and $O \subset M$ an orbit, the *normal representation* is a representation of the restriction $G_O \rightrightarrows O$ over the normal bundle $NO \rightarrow O$. It encodes the linear infinitesimal information around the orbit and plays a fundamental role in the theory. We present it here after a short digression on restrictions.

Given $G \rightrightarrows M$ a Lie groupoid and $A \subset M$ a submanifold, the subset $G_A \subset G$ may not be a submanifold in general, and even if that is the case, $G_A \rightrightarrows A$ may not be a Lie groupoid.

Example 3.4.1. Let $G \rightrightarrows M$ be the Lie groupoid arising from the projection $S^1 \times \mathbb{R} \rightarrow S^1$ (cf. 3.2.3). Let $A = \{(e^{it^2}, t) : t \in \mathbb{R}\}$ and $B = \{(e^{it^3}, t) : t \in \mathbb{R}\}$. Then $A, B \subset M$ are embedded submanifolds, but $G_A \subset G$ is not a submanifold, and even when $G_B \subset G$ is embedded, the restriction of the source map $G_B \rightarrow B$ is not a submersion and hence $G_B \rightrightarrows B$ is not a Lie groupoid.

We say that the restriction $G_A \rightrightarrows A$ is *well defined* when $G_A \subset G$ is a submanifold, $G_A \rightrightarrows A$ is a Lie groupoid, and the following is a good pullback of manifolds.

$$\begin{array}{ccc} G_A & \longrightarrow & G \\ \downarrow & \text{pb} & \downarrow \\ A \times A & \longrightarrow & M \times M. \end{array}$$

For instance, given $U \subset M$ open, the restriction $G_U \rightrightarrows U$ is clearly well defined.

Proposition 3.4.2. *Given* $G \rightrightarrows M$ *a Lie groupoid and* $O \subset M$ *an orbit, the restriction* $G_O \rightrightarrows O$ *is well defined.*

Proof. The key point here is that an orbit $O \subset M$ is an *initial submanifold*, namely every smooth map $Z \rightarrow M$ whose image lies in O restricts to a smooth map $Z \rightarrow O$. This is because $t_x : G(-, x) \rightarrow M$ has constant rank, hence a map $Z \rightarrow M$ with image included in O can be locally lifted to a map $Z \rightarrow G(-, x)$, proving that the co-restriction $Z \rightarrow O$ is also smooth.

Now, since $G_O = s^{-1}(O) = t^{-1}(O)$, we can lift the manifold structure in $O \subset M$ to one in $G_O \subset G$ that makes it an initial submanifold of the same

codimension. The left square below is a good pullback by construction. It easily follows from this that the right square is a good pullback as well.

$$
\begin{array}{ccc}
G_O \xrightarrow{\ s\ } G & \qquad & G_O \longrightarrow G \\
\Big\downarrow \quad \text{pb} \quad \Big\downarrow & & \Big\downarrow \quad \text{pb} \quad \Big\downarrow \\
O \xrightarrow[\ s\ ]{} M, & & O \times O \longrightarrow M \times M.
\end{array}
\qquad \square
$$

Given $G \rightrightarrows M$ and $O \subset M$, we can construct a sequence of VB-groupoids

$$(TG_O \rightrightarrows TO) \to (TG|_{G_O} \rightrightarrows TM|_O) \to \big(N(G_O) \rightrightarrows NO\big),$$

where the first one is the tangent of G_O, the second one is the *restriction* of the tangent of G to G_O, and the third one, the *normal bundle*, is defined by the quotient vector bundles, with the induced structural maps.

The submanifolds $G_O \subset G$ and $O \subset M$ have the same codimension, then the ranks of the vector bundles $N(G_O)$ and NO agree, the core of $N(G_O) \rightrightarrows NO$ is trivial and there is an underlying groupoid representation (cf. 3.3.5).

$$\eta : (G_O \rightrightarrows O) \curvearrowright (NO \to O)$$

This is called the *normal representation* of G at the orbit O.

Unraveling this construction, the normal representation can be geometrically described as follows: if γ is a curve on M whose velocity at 0 represents $v \in N_xO$, and $\tilde\gamma$ is a curve on G such that $\tilde\gamma(0) = g$ and $s \circ \tilde\gamma = \gamma$, then $\eta_g(v) \in N_yO$ is defined by the velocity at 0 of $t \circ \tilde\gamma$.

Fixed $x \in M$, the normal representation can be restricted to the isotropy group, say $\eta_x : G_x \curvearrowright N_xO$. For some purposes, the restriction η_x manages to encode the necessary information of η. Note that if x, y belong to the same orbit, then an arrow $y \xleftarrow{g} x$ yields an isomorphism of group representations $(G_x \curvearrowright N_xO) \cong (G_y \curvearrowright N_yO)$.

Remark 3.4.3. The normal representation is functorial. If $\phi : (G \rightrightarrows M) \to (G' \rightrightarrows M')$ is a map sending an orbit $O \subset M$ to $O' \subset M'$, then we have a naturally induced morphism of VB-groupoids and of representations $\phi_* : (G_O \curvearrowright NO) \to (G'_{O'} \curvearrowright NO')$. In particular, for each $x \in O$, there is a morphism of Lie group representations $\phi_* : (G_x \curvearrowright N_xO) \to (G_{\phi(x)} \curvearrowright N_{\phi(x)}O')$.

3.5. The anchor map. Given $G \rightrightarrows M$ a Lie groupoid, its *anchor* $\rho_G = (t, s) : G \to M \times M$ is the map whose components are the source and the target, namely $\rho(y \xleftarrow{g} x) = (y, x)$. The image of ρ_G is the equivalence relation on M defining the orbit space M/G, and its fiber over a diagonal point (x, x) is the isotropy group G_x.

Proposition 3.5.1. *Given* $y \xleftarrow{g} x$ *in* G, *the differential of the anchor* $d_g\rho : T_gG \to T_yM \times T_xM$ *has kernel and image given by*

$$\ker(d_g\rho) = T_gG(y,x), \quad \mathrm{im}(d_g\rho) = \{(v,w) \,|\, [v] = \eta_g[w]\}.$$

Proof. The description of the kernel follows from the fact that $t : G(-,x) \to M$ has constant rank and fiber $G(y,x)$. Regarding the image, note that the map $d_g\rho$ yields a commutative square

$$
\begin{array}{ccc}
T_gG & \longrightarrow & T_yM \times T_xM \\
\downarrow & & \downarrow \\
N_gG_O & \longrightarrow & N_yO \times N_xO
\end{array}
$$

from which any vector in $\mathrm{Im}(d_g\rho)$ satisfies the equation involving the normal representation. The other inclusion follows by an argument on the dimensions: the fibration $G(y,x) \to G(-,x) \to O_x$ implies that

$$\dim\ker(d_g\rho) = \dim G(y,x) = \dim G - \dim M - \dim O.$$

Then we conclude

$$\mathrm{codim}\,\mathrm{im}(d_g\rho) = 2\dim M - \dim G + \dim\ker(d_g\rho) = \dim M - \dim O. \qquad \square$$

Previous proposition plays a role in many results. An immediate corollary is that the anchor is injective if and only if it is an injective immersion, and it is surjective if and only if it is a surjective submersion. Next we provide characterizations both for submersion groupoids and for gauge groupoids.

Proposition 3.5.2. *The submersion groupoid construction* (*cf.* 3.2.3) *provides a* 1-1 *correspondence between surjective submersions and Lie groupoids* $G \rightrightarrows M$ *with anchor closed and injective.*

$$
\begin{array}{ccc}
G \rightrightarrows M & \mapsto & M \to M/G, \\
M \times_N M \rightrightarrows M & \hookleftarrow & M \to N.
\end{array}
$$

Proof. Given a surjective submersion $q : M \to N$, its submersion groupoid $M \times_N M \rightrightarrows M$ has trivial isotropy and Hausdorff orbit space, hence its anchor is injective and closed. Conversely, given $G \rightrightarrows M$ whose anchor is closed and injective, it follows from 3.5.1 that the anchor is also an immersion, hence a closed embedding, and we can use Godement criterion 2.3.3 to endow the quotient M/G with a manifold structure. These constructions are mutually inverse up to obvious isomorphisms. $\qquad \square$

Proposition 3.5.3. *The gauge construction presented in* 3.2.4 *provides a* 1-1 *correspondence between transitive Lie groupoids* $G \rightrightarrows M$ *and principal group-bundles* $G \curvearrowright P \to M$.

$$
\begin{aligned}
G \rightrightarrows M &\quad \mapsto \quad G_x \curvearrowright G(-, x) \to M, \\
P \times^H P \rightrightarrows M &\quad \longleftarrow \quad\quad H \curvearrowright P \to M.
\end{aligned}
$$

Proof. To every principal bundle $G \curvearrowright P \to M$ we can associate its gauge groupoid $P \times^G P \rightrightarrows P$, which is clearly transitive. Conversely, given $G \rightrightarrows M$ a transitive Lie groupoid, by fixing some $x \in M$ we can associate to it the principal bundle $G_x \curvearrowright G(-, x) \xrightarrow{t_x} M$. Note that since the anchor is a submersion by 3.5.1, the map $t_x : G(-, x) \to M$ also is a submersion and both manifold structures on M, the original and that of the orbit, agree. It is easy to check that these constructions are mutually inverse up to isomorphism. $\qquad\square$

Remark 3.5.4. In 3.5.2 the 1-1 correspondence extends to maps. This may be understood as a reformulation of Godement criterion. On the other hand, the correspondence in 3.5.3 does not preserves maps. In order to get a principal bundle out of a transitive groupoid we need to pick an arbitrary object, and general maps need not to respect this choice.

3.6. Principal groupoid-bundles.
Groupoid-bundles are a natural generalization of group-bundles, on which much of the theory can be reconstructed. In this subsection we give the definition and the basic properties.

Let $G \rightrightarrows M$ be a Lie groupoid and let N be a manifold. A *left G-bundle* $G \curvearrowright P \to N$ consists of a left action $\theta : G \curvearrowright P$ and a surjective submersion $q : P \to N$ such that the fibers of q are invariant by θ, namely $q(\theta_g(x)) = q(x)$ for all $(g, x) \in G \times_M P$. There is a canonical map from the action groupoid to the submersion groupoid,

$$
\xi : (G \times_M P \rightrightarrows P) \to (P \times_N P \rightrightarrows P), \qquad \left(\theta_g(x) \overset{(g,x)}{\longleftarrow} x\right) \mapsto \left(\theta_g(x), x\right).
$$

A bundle $G \curvearrowright P \to N$ is called *principal* if the action is free and the orbits are exactly the fibers of the submersion. Note that, in view of 3.5.2, the bundle is principal if and only if ξ is an isomorphism.

Right bundles $N \leftarrow P \curvearrowleft G$, as well as the corresponding notions, are defined analogously.

Example 3.6.1. Principal $(G \rightrightarrows *)$-bundles are the usual principal group-bundles.

A $(M \rightrightarrows M)$-bundle is the same as a pair of maps $M \leftarrow P \to N$ where the second leg is a surjective submersion. It is principal if and only if $P \to N$ is a diffeomorphism.

Given a group action $G \curvearrowright M$, a principal $G \ltimes M$-bundle is the same as a principal G-bundle $G \curvearrowright P \to N$ and an equivariant map $P \to M$.

In a principal bundle $G \curvearrowright P \to N$ the action θ is free and proper. Conversely, given a free proper action $G \curvearrowright P$, it can be seen that the action groupoid $G \ltimes P$ has a smooth quotient P/G (cf. 3.5.2) and therefore $G \curvearrowright P \to P/G$ is a principal bundle. In other words, the submersion q is implicit in the action θ, as it happens in the group case (cf. [26], App. E). Thus we have

Proposition 3.6.2. *There is a* 1-1 *correspondence between principal G-bundles and free proper actions of G.*

A *map of bundles* $\phi : (G \curvearrowright P' \to N') \to (G \curvearrowright P \to N)$ is a smooth map $\phi : P' \to P$ compatible with the actions and the submersions.

Given $G \curvearrowright P \to N$ a principal bundle and $N' \to N$ a smooth map, we define the *pullback bundle* by

$$G \curvearrowright (P \times_N N') \to N', \qquad \theta'_g(x, y) = \big(\theta_g(x), y\big), \qquad q'(x, y) = y,$$

which is also principal. It is easy to see that the canonical projection $P \times_N N' \to P$ is a map of bundles. Conversely, every map of principal bundles turns out to be a pullback.

Proposition 3.6.3. *A map* $\phi : (G \curvearrowright P' \to N') \to (G \curvearrowright P \to N)$ *of principal bundles induces an isomorphism of bundles*

$$(G \curvearrowright P' \to N') \cong \big(G \curvearrowright (P \times_N N') \to N'\big).$$

Proof. Again we can imitate the Lie group case. It is enough to show that ϕ gives diffeomorphisms between the fibers $P'_{a'} \xrightarrow{\cong} P_a$, where $a' \in N'$ and $\phi(a') = a \in N$. Choosing $u' \in P'_{a'}$ and calling $u = \phi(u') \in P_a$, we can identify

$$G(-, x) \cong P'_{a'}, \quad g \mapsto \theta'_g(u'), \qquad \text{and} \qquad G(-, x) \cong P_a, \quad g \mapsto \theta_g(u),$$

and under these identifications, the map is just the identity, from where the result is clear. $\qquad\square$

Given $G \rightrightarrows M$ a Lie groupoid, the *unit principal bundle* $G \curvearrowright G \to M$ is defined by the action $\theta_g(g') = gg'$ and the quotient map $q(g) = s(g)$. We say that a principal bundle $G \curvearrowright P \to N$ is *trivial* if it is the pullback of the unit bundle along some map $N \to M$. Note that a trivial principal bundle need not to be a trivial map.

Any principal bundle $G \curvearrowright P \to N$ is locally trivial. In fact, if $U \subset N$ is a small open and $\sigma : U \to P$ is a local section of q, then there is an isomorphism between the restriction to U and the trivial bundle induce by $t\sigma$.

$$(G \curvearrowright G \times_M U \to U) \cong (G \curvearrowright q^{-1}(U) \to U), \qquad (g, u) \mapsto g\sigma(u).$$

This leads to a cocycle description of principal bundles, completely analog to the group case (see 4.5.4). In particular, a principal bundle is trivial if and only if it admits a global section.

Remark 3.6.4. Given $G \curvearrowright P \to N$ a principal bundle such that $P \to M$ is a submersion, we can define the diagonal action $(G \rightrightarrows M) \curvearrowright (P \times_M P \to M)$, which is also free and proper. The structural maps of the submersion groupoid $P \times_M P \rightrightarrows P$ are equivariant, thus they induce maps in the quotients $P \times_M^G P = (P \times_M P)/G$ and $P/G = N$, defining a new Lie groupoid, the *gauge groupoid*.

$$G \curvearrowright P \to N \rightsquigarrow (P \times_M^G P \rightrightarrows N).$$

This construction generalizes both the one presented in 3.2.3 and that in 3.2.4.

4. Equivalences

We start this section by discussing isomorphisms between Lie groupoid maps. Then we deal with weak equivalences and provide an original characterization for them. After that we make a short digression on homotopy pullbacks, which play an important role hereafter. We define equivalent groupoids and generalized maps by using weak equivalences, and explain the relation of this approach to that of principal bibundles. Finally, we introduce orbispaces, showing that a Lie groupoid is essentially the same as a presentation for such an orbispace.

Some references for this material are [21] and [22].

4.1. Isomorphisms of maps. The category of Lie groupoids and maps can be enriched over groupoids, namely there are isomorphisms between maps, and many times it is worth identifying isomorphic maps, and considering diagrams that commute up to isomorphisms.

Given $\phi_1, \phi_2 : (G \rightrightarrows M) \to (G' \rightrightarrows M')$ maps of Lie groupoids, an *isomorphism* $\alpha : \phi_1 \cong \phi_2$ consists of a smooth map $\alpha : M \to G'$ assigning to each object x in M an arrow $\phi_2(x) \xleftarrow{\alpha(x)} \phi_1(x)$ in G' such that $\alpha(y)\phi_1(g) = \phi_2(g)\alpha(x)$ for all $y \xleftarrow{g} x$.

Example 4.1.1. Two maps between manifolds are isomorphic if and only if they are equal.

Two maps between Lie groups $\phi_1, \phi_2 : (G \rightrightarrows *) \to (G' \rightrightarrows *)$ are isomorphic if and only if they differ by an inner automorphisms of G'.

Two maps between submersion groupoids are isomorphic if and only if they induce the same map in the orbit manifolds (cf. 3.5.2).

$$\phi_1 \cong \phi_2 : (G \rightrightarrows M) \to (G' \rightrightarrows M') \iff (\phi_1)_* = (\phi_2)_* : M/G \to M'/G'.$$

For the sake of simplicity we will identify two maps if they are isomorphic, and do not pay attention to the automorphisms of a given map. We denote the set of isomorphism classes of maps $G \to G'$ by $\mathrm{Maps}(G, G')/_{\cong}$ and the category of Lie groupoids and isomorphism classes of maps by

$$\{\text{Lie Groupoids}\}/_{\simeq}.$$

A map which is invertible up to isomorphism is called a *categorical equivalence*, and an inverse up to isomorphism is called a *quasi-inverse*. We use the notation $\simeq$ for categorical equivalences and keep $\cong$ for the isomorphisms.

Given $G \rightrightarrows M$, its *groupoid of arrows* $G^I = (G \times_M G \times_M G \rightrightarrows G)$ is the Lie groupoid whose objects are the arrows of G and whose arrows are commutative squares, or equivalently chains of three composable arrows.

$$
\begin{array}{c}
y \xleftarrow{\;g\;} x \\
{\scriptstyle g'h^{-1}}\big\downarrow \quad {}^{h}\diagdown\; \big\downarrow {\scriptstyle h^{-1}g} \\
y' \xleftarrow[\;g'\;]{} x'
\end{array}
\quad\longleftrightarrow\quad (y' \xleftarrow{g'} x') \xleftarrow{(g'h^{-1},h,h^{-1}g)} (y \xleftarrow{g} x).
$$

With these definitions we can regard the unit, source and target of G as Lie groupoid maps $u : G \to G^I$ and $s, t : G^I \to G$. There is a tautological isomorphism $s \cong t$ given by the identity $G \to G$, which is *universal*: An isomorphism $\alpha : \phi_1 \cong \phi_2 : G' \to G$ turns out to be the same as a map $\tilde{\alpha} : G' \to G^I$ such that $\phi_1 = s\tilde{\alpha}$ and $\phi_2 = t\tilde{\alpha}$.

We have associated to a Lie groupoid $G \rightrightarrows M$ its orbit space M/G and its normal representations $G_x \curvearrowright N_x O$, $x \in M$. These constructions are functorial, and behave well with respect to isomorphisms of maps.

Proposition 4.1.2. *If $\alpha : \phi_1 \cong \phi_2 : (G \rightrightarrows M) \to (G' \rightrightarrows M')$ then ϕ_1, ϕ_2 induce the same map $(\phi_1)_* = (\phi_2)_* : M/G \to M'/G'$ between the orbit spaces, and there are commutative triangles between the normal representations*

$$
\begin{array}{ccc}
 & G_x \curvearrowright N_x O & \\
{\scriptstyle (\phi_1)_*}\swarrow & & \searrow{\scriptstyle (\phi_2)_*} \\
G'_{\phi_1(x)} \curvearrowright N_{\phi_1(x)} O' & \xrightarrow[\eta'_{\alpha(x)}]{} & G'_{\phi_2(x)} \curvearrowright N_{\phi_2(x)} O'.
\end{array}
$$

The proof is straightforward. Note that it is enough to prove it for the universal isomorphism $s \cong t : G^I \to G$.

4.2. Weak equivalences. A Lie groupoid $G \rightrightarrows M$ can be regarded as a presentation for an orbispace, which we will denote by $[M/G]$. In order to formalize this we use *weak equivalences*. Intuitively, a weak equivalence is a map of groupoids inducing isomorphisms between the underlying orbispaces.

Let $\phi : (G \rightrightarrows M) \to (G' \rightrightarrows M')$ be a map between Lie groupoids. Then ϕ is *fully faithful* if it induces a good pullback of manifolds between the anchors,

$$
\begin{array}{ccc}
G & \xrightarrow{\ \ \phi\ \ } & G' \\
\rho \downarrow & \text{pb} & \downarrow \rho' \\
M \times M & \xrightarrow{\ \phi \times \phi\ } & M' \times M'
\end{array}
$$

and ϕ is *essentially surjective* if the following map of manifolds is a surjective submersion:

$$
t \, \mathrm{pr}_1 : G' \times_{M'} M \to M', \qquad \left(x' \xleftarrow{\ g'\ } \phi(x), x \right) \mapsto x'.
$$

We say that ϕ is a *weak equivalence* if it is both fully faithful and essentially surjective. We use the notation $\sim$ for weak equivalences.

Example 4.2.1. A map between manifolds is fully faithful if and only if it is an injective immersion, and it is essentially surjective if and only if it is a surjective submersion. Thus, in this case, a weak equivalence is the same as a diffeomorphism.

If G is transitive, then any map $G' \to G$ is essentially surjective.

Given $G \rightrightarrows M$ and $A \subset M$ such that the restriction $G_A \rightrightarrows A$ is well defined (cf. 3.4), the inclusion $(G_A \rightrightarrows A) \to (G \rightrightarrows M)$ is fully faithful, and it is essentially surjective if and only if A intersects transversally every orbit.

If O is an orbifold and $\mathscr{U}$, $\mathscr{U}'$ are numerable atlases of O such that $\mathscr{U}$ refines $\mathscr{U}'$, then a choice of inclusions leads to a Lie groupoid map $(G \rightrightarrows M) \to (G' \rightrightarrows M')$ between the induced Lie groupoids (cf. 3.2.6). This map is a weak equivalence (cf. 4.3.1, see also [21]).

Every isomorphism is a categorical equivalences, and every categorical equivalence is a weak equivalence. Actually, if two maps are isomorphic, then one of them is a weak equivalence if and only if the other is so. This can be proved directly from the definitions or can be obtained as a corollary of 4.1.2 and 4.3.1. Next example shows that the three notions are in fact different.

Example 4.2.2. Let $q : M \to N$ be a submersion and let ϕ be the induced map

$$\phi : (M \times_N M \rightrightarrows M) \to (N \rightrightarrows N)$$

Then ϕ is a weak equivalence if and only if q is surjective, ϕ is a categorical equivalence if and only if q admits a global section, and ϕ is an isomorphism if and only if q is a diffeomorphism.

Remark 4.2.3. When working up to isomorphisms, a fully faithful map $\phi : G \to G'$ is a *categorical monomorphism*, namely for any H it induces an injective map

$$\mathrm{Maps}(H, G)/_{\cong} \to \mathrm{Maps}(H, G')/_{\cong}, \qquad \psi \mapsto \phi\psi.$$

In fact, given $\psi_1, \psi_2 : H \to G$, an isomorphism $\alpha : \phi\psi_1 \cong \phi\psi_2$ can be lifted to another $\tilde{\alpha} : \psi_1 \cong \psi_2$ by the universal property of the pullback determined by the anchors.

Remark 4.2.4. Our definition of fully faithful maps slightly differs from the one in the literature (cf. eg. [21]), for we are asking for the pullback to be good. As an example, the map $\mathbb{R} \to \mathbb{R}$, $x \mapsto x^3$, is not fully faithful for us, while it is for the other definition. Nevertheless, when the map is essentially surjective, then ρ' and $\phi \times \phi$ are transverse and both definitions for weak equivalences agree.

4.3. A characterization of weak equivalences. The orbispace $[M/G]$ associated to a Lie groupoid $G \rightrightarrows M$ consists of the orbit space M/G endowed with some smooth data, encoded in the normal representations $G_x \curvearrowright N_x O$. These representations play the role of tangent spaces of $[M/G]$. Next criterion can be seen as a formulation of this idea.

Theorem 4.3.1. *A map $\phi : (G \rightrightarrows M) \to (G' \rightrightarrows M')$ is a weak equivalence if and only if it yields a homeomorphism between the orbit spaces and isomorphisms between the normal representations.*

$$\phi : (G \rightrightarrows M) \xrightarrow{\sim} (G' \rightrightarrows M') \Longleftrightarrow \begin{cases} \phi_* : M/G \xrightarrow{\cong} M'/G', \\ \phi_x : (G_x \curvearrowright N_x O) \xrightarrow{\cong} (G'_{x'} \curvearrowright N_{x'} O') \text{ for all } x. \end{cases}$$

Proof. Let us write $x' = \phi(x)$, $g' = \phi(g)$, and so on.

First step: Assume that ϕ is fully faithful. The pullback involving the anchors induces diffeomorphisms between their fibers, namely

$$G(y, x) \xrightarrow{\cong} G'(y', x') \qquad \text{for all } x, y \in M.$$

This implies that we have isomorphisms on the isotropy groups $G_x \xrightarrow{\cong} G'_{x'}$, and that the induced map $\phi_* : M/G \to M'/G'$ between the orbit spaces is injective. Moreover, the map $\phi_* : N_x O \to N_{x'} O'$ is a monomorphism for all x. In fact, if $v \in T_x M$ and $d_x\phi(v) \in T_{x'} O'$, then $(d_x\phi(v), 0) \in \mathrm{Im}(d_{u(x)}\rho')$ (cf. 3.5.1), and since the following is a pullback of vector spaces,

$$
\begin{array}{ccc}
T_{u(x)} G & \longrightarrow & T_{u(x')} G' \\
\downarrow{\scriptstyle d_{u(x)}\rho} & \text{pb} & \downarrow{\scriptstyle d_{u(x')}\rho'} \\
T_x M \times T_x M & \longrightarrow & T_{x'} M' \times T_{x'} M',
\end{array}
$$

it turns out that $(v, 0) \in \mathrm{Im}(d_{u(x)}\rho)$, hence $v \in T_x O$.

Second step: It is easy to see that $t\,\mathrm{pr}_1 : G' \times_{M'} M \to M'$ is surjective if and only if $\phi_* : M/G \to M/G'$ is so. Now consider the diagram of vector spaces

$$
\begin{array}{ccccc}
T_{g'}(G' \times_{M'} M) & \longrightarrow & T_{g'} G' & \xrightarrow{dt} & T_{y'} M' \\
\downarrow & \text{pb} & \downarrow{\scriptstyle ds} & & \downarrow{\scriptstyle \eta_{g'^{-1}}\pi_{y'}} \\
T_x M & \longrightarrow & T_{x'} M' & \xrightarrow{\pi_{x'}} & N_{x'} O'.
\end{array}
$$

It follows by diagram chasing and 3.5.1 that the upper composition is an epimorphism if and only if the lower one is so. We can conclude that $t\,\mathrm{pr}_1$ is a submersion if and only if $\phi_* : N_x O \to N_{x'} O'$ is an epimorphism for all x.

Note that if ϕ is essentially surjective, then $\phi_* : M/G \to M'/G'$ has to be open, for the top and the right arrow in next commutative square are so:

$$
\begin{array}{ccc}
G' \times_{M'} M & \xrightarrow{t\,\mathrm{pr}_1} & M' \\
\downarrow{\scriptstyle q\,\mathrm{pr}_2} & & \downarrow{\scriptstyle q'} \\
M/G & \xrightarrow[\phi_*]{} & M'/G'.
\end{array}
$$

Third step: It only remains to show that the criterion implies that ϕ is fully faithful. From the isomorphisms $G_x \xrightarrow{\cong} G'_{x'}$ and the homeomorphism $M/G \xrightarrow{\cong} M'/G'$ it follows that the anchor maps define a set-theoretical pullback, and that the maps $G(y, x) \xrightarrow{\cong} G'(y', x')$ are diffeomorphisms. Since the maps $N_x O \to N_{x'} O'$ are onto, the following are transverse (cf. 3.5.1).

$$
\phi \times \phi : M \times M \to M' \times M', \qquad \rho' : G' \to M' \times M'.
$$

Thus their pullback exists and it is good, and we get a map $G \to (M \times M) \times_{M' \times M'} G'$. It is bijective for both are set-theoretical pullbacks. Its

differential at each point is invertible as can be proved by diagram chasing be-tween the following two exact sequences (cf. 3.5.1):

$$
\begin{array}{ccccccccc}
0 & \longrightarrow & T_g G(y,x) & \longrightarrow & T_g G & \longrightarrow & T_y M \times T_x M & \longrightarrow & N_y O & \longrightarrow & 0 \\
& & \Big\downarrow{\cong} & & \Big\downarrow & & \Big\downarrow & & \Big\downarrow{\cong} & & \\
0 & \longrightarrow & T_{g'} G'(y',x') & \longrightarrow & T_{g'} G' & \longrightarrow & T_{y'} M' \times T_{x'} M' & \longrightarrow & N_{y'} O' & \longrightarrow & 0.
\end{array}
$$

We conclude that $G \cong (M \times M) \times_{M' \times M'} G'$ and hence ϕ is fully faithful. $\qquad\square$

By using this characterization we can easily get the following saturation prop-erties of the class of weak equivalences.

Corollary 4.3.2. *If two maps are isomorphic and one of them is a weak equivalence, then so does the other* (cf. 4.1.2).

In a commutative triangle of Lie groupoid maps, if two out of the three maps are weak equivalences, then the third also is.

If ϕ is such that there exist ψ_1, ψ_2 such that $\phi\psi_1$ and $\psi_2\phi$ are weak equivalences, then ϕ is a weak equivalence as well.

4.4. Homotopy pullbacks. The pullback of two Lie groupoid maps $\phi_1 : (G_1 \rightrightarrows M_1) \to (G \rightrightarrows M)$ and $\phi_2 : (G_2 \rightrightarrows M_2) \to (G \rightrightarrows M)$, if it exists, consists of the Lie groupoid whose objects and arrows

$$
G_1 \times_G G_2 \rightrightarrows M_1 \times_M M_2
$$

are the corresponding pullbacks of manifolds, and whose structural maps are induced by those of G_1 and G_2. We say that ϕ_1 and ϕ_2 are *transverse* if they are so on objects and on arrows.

Proposition 4.4.1. *If ϕ_1 and ϕ_2 are transverse then their pullback exists.*

Proof. The pullbacks of manifolds $G' = G_1 \times_G G_2$ and $M' = M_1 \times_M M_2$ exist and are good (cf. 2.2.3). The source, target and unit maps of G_1 and G_2 induce new maps $s', t' : G' \to M'$ and $u' : M' \to G'$ by the obvious formulas. The key point is to show that $s' : G' \to M'$ is a submersion. Since $s'u' = \mathrm{id}_{M'}$ the differen-tial of s' is surjective near $u'(M')$. We just need to prove that the dimension of

$$
\ker d_{g'} s' = (T_{g'_1} G_1 \times_{T_g G} T_{g'_2} G_2) \cap \ker d_{g'}(s_1, s_2) \subset T_{g'_1} G_1 \times T_{g'_2} G_2
$$

does not depend on $g' = (g'_1, g'_2)$. Given $(y'_1, y'_2) \xleftarrow{(h'_1, h'_2)} (x'_1, x'_2)$ in G', we have a diffeomorphism $G_1(-, y'_1) \times G_2(-, y'_2) \cong G_1(-, x'_1) \times G_2(-, x'_2)$ defined by right multiplication by h'. It is easy to see that its differential maps $\ker d_{g'} s'$ inside

$\ker d_{g'h's'}$. Since g' and h' are arbitrary we conclude that $\ker d_{g's'}$ has constant dimension and hence s' is a submersion. The rest is routine. $\qquad\square$

Remark 4.4.2. A summary in pullbacks of Lie groupoids and algebroids will appear in [3]. Result 4.4.1 is stated in [21], §5.3 without a proof. In [19], Prop. 2.4.14 it is proved that the pullback between a *fibration* and any map exists. This can be seen as a corollary of 4.4.1, for it is immediate that a fibration is a surjective submersion on objects and arrows, and then it is transverse to any other map.

Homotopy pullbacks of Lie groupoids are an alternative for usual pullbacks that take consideration of the isomorphisms between maps. They play a relevant role in defining and composing generalized map of Lie groupoids. Next we provide their definitions and basic properties. We suggest [21] as an alternative reference, where homotopy pullbacks appear with the name of *weak fibred products*.

Given ϕ_1 and ϕ_2 as above, their *homotopy pullback* $G_1 \tilde{\times}_G G_2$ is defined as the pullback between the Lie groupoid maps $(s,t) : G^I \to G \times G$ and $\phi_1 \times \phi_2 : G_1 \times G_2 \to G \times G$. Its objects are triples $\big(x_1, \phi_1(x_1) \overset{g}{\leftarrow} \phi_2(x_2), x_2\big)$, and its arrows are triples (k_1, k_2, k_3) as below:

$$
\begin{array}{ccccccc}
x_1 & & \phi_1(x_1) & \overset{g}{\longleftarrow} & \phi_2(x_2) & & x_2 \\
\downarrow{\scriptstyle k_1} & & \downarrow{\scriptstyle \phi_1(k_1)} & \searrow{\scriptstyle k_2} & \downarrow{\scriptstyle \phi_2(k_3)} & & \downarrow{\scriptstyle k_3} \\
y_1 & & \phi_1(y_1) & \underset{h}{\longleftarrow} & \phi_2(y_2) & & y_2.
\end{array}
$$

Since the groupoid of arrows G^I classifies isomorphisms of maps, the homotopy pullback $G_1 \tilde{\times}_G G_2$ fits into a universal commutative square up to isomorphism.

$$
\begin{array}{ccc}
G_1 \tilde{\times}_G G_2 & \longrightarrow & G_1 \times G_2 \\
\downarrow & \text{pb} & \downarrow{\scriptstyle \phi_1 \times \phi_2} \\
G^I & \underset{(s,t)}{\longrightarrow} & G \times G
\end{array}
\qquad \longleftrightarrow \qquad
\begin{array}{ccc}
G_1 \tilde{\times}_G G_2 & \overset{\tilde{\phi}_1}{\longrightarrow} & G_2 \\
\downarrow{\scriptstyle \tilde{\phi}_2} & \text{hpb} & \downarrow{\scriptstyle \phi_2} \\
G_1 & \underset{\phi_1}{\longrightarrow} & G.
\end{array}
$$

The universal property of the pullback translates into the following.

Remark 4.4.3. Given $\psi_1 : H \to G_1$, $\psi_2 : H \to G_2$ and an isomorphism $\phi_1 \psi_1 \cong \phi_2 \psi_2$, there is a unique map $\psi : H \to G_1 \tilde{\times}_G G_2$ such that $\psi_1 = \tilde{\phi}_2 \psi$, $\psi_2 = \tilde{\phi}_1 \psi$ and the isomorphism is naturally induced by ψ.

In particular we have that $G_1 \tilde{\times}_G G_2$ is a pullback in $\{\text{Lie Groupoids}\}/_{\cong}$, the category of groupoids and isomorphism classes of maps. We say that $\tilde{\phi}_1$, $\tilde{\phi}_2$ are the *base-changes* of ϕ_1, ϕ_2 respectively.

We discuss finally the behavior of homotopy pullbacks with respect to weak equivalences. We say that $\phi : (G \rightrightarrows M) \to (G' \rightrightarrows M')$ is *surjective* if $\phi : M \to M'$ is a surjective submersion. This implies essentially surjective, as it follows from the composition

$$M \cong M' \times_{M'} M \xrightarrow{(u, \mathrm{id})} G' \times_{M'} M \xrightarrow{t\,\mathrm{pr}_1} M'.$$

A *surjective equivalence* is a map both surjective and fully faithful. In a surjective equivalence the map on arrows $G \to G'$ is also a surjective submersion.

Proposition 4.4.4. *Given ϕ_1 and ϕ_2 as above, if ϕ_1 is a weak equivalence then the homotopy pullback $G_1 \tilde{\times}_G G_2$ exists and the base-change $\tilde{\phi}_1$ is a surjective equivalence.*

Sketch of proof. The differential of ϕ_1 is surjective in the direction normal to the orbits (cf. 4.3.1). Then the map $\phi_1^{ob} \times \phi_2^{ob} : M_1 \times M_2 \to M \times M$ is transverse to the anchor $\rho_G : G \to M \times M$ (cf. 3.5.1). It follows from here that $\phi_1 \times \phi_2$ is transverse to (s, t) and hence the homotopy pullback $G_1 \tilde{\times}_G G_2$ exists (cf. 4.4.1).

The map $\tilde{\phi}_1$ is surjective because in the pullback of manifolds

$$
\begin{array}{ccc}
M_1 \times_M G \times_M M_2 & \xrightarrow{\tilde{\phi}_1} & M_2 \\
{\scriptstyle \mathrm{pr}} \downarrow & {\scriptstyle \mathrm{pb}} & \downarrow {\scriptstyle \phi_2} \\
M_1 \times_M G & \xrightarrow[s\,\mathrm{pr}_2]{} & M
\end{array}
$$

the bottom arrow is a surjective submersion and therefore the upper one also is. It remains to prove that $\tilde{\phi}_1$ is fully faithful. The pullback manifold between the anchor ρ_2 and the map $\tilde{\phi}_1 \times \tilde{\phi}_1$ is

$$\big((M_1 \times_M G \times_M M_2) \times (M_1 \times_M G \times_M M_2)\big) \times_{M_2} G_2.$$

By rearranging the coordinates and using the multiplication of G this manifold is diffeomorphic to

$$\big((M_1 \times M_1) \times_M G\big) \times_M G \times_M G_2.$$

Since ϕ_1 is fully faithful we can replace $(M_1 \times M_1) \times_M G \cong G_1$ and conclude that $\tilde{\phi}_1$ is fully faithful as well. $\qquad\square$

4.5. Equivalent groupoids and generalized maps. Two Lie groupoids G, G' are *equivalent*, notation $G \sim G'$, if there is a third groupoid H and weak equivalences $H \xrightarrow{\sim} G$, $H \xrightarrow{\sim} G'$. Equivalent groupoids have homeomorphic orbit spaces $M/G \cong M'/G'$, and for every pair of points $x \in M$, $x' \in M'$, whose classes

are related by this homeomorphism, the corresponding normal representations $(G_x \curvearrowright N_x O) \cong (G'_{x'} \curvearrowright N_{x'} O')$ are isomorphic (cf. 4.3.1).

Example 4.5.1. A Lie groupoid $G \rightrightarrows M$ is equivalent to a manifold $N \rightrightarrows N$ if and only if G is a submersion groupoid with quotient $M/G \cong N$.

A Lie groupoid $G \rightrightarrows M$ is equivalent to a Lie group $H \rightrightarrows *$ if and only if G is transitive and the isotropy at a point is H.

Given O an orbifold and $\mathcal{U}_1$, $\mathcal{U}_2$ two numerable atlases, by picking a common refinement $\mathcal{U}$ we can see that the induced groupoids $G_1 \rightrightarrows M_1$ and $G_2 \rightrightarrows M_2$ are equivalent (cf. 4.2.1). Thus, to an orbifold O we can associate a Lie groupoid $G(O) = (G \rightrightarrows M)$, which is determined up to canonical equivalence.

A pair of equivalences $H \xrightarrow{\sim} G$, $H \xrightarrow{\sim} G'$ is an example of a generalized map. Given G, G' Lie groupoids, a *generalized map* $\psi/\phi : G \dashrightarrow G'$ is defined by two maps $\phi : H \xrightarrow{\sim} G$ and $\psi : H \to G'$ where the first is a weak equivalence:

$$G \xleftarrow[\sim]{\phi} H \xrightarrow{\psi} G'.$$

Two pairs define the same generalized map, $\psi_1/\phi_1 = \psi_2/\phi_2$, if there is a third pair ψ_3/ϕ_3 and they all fit into a diagram commutative up to isomorphisms:

$$
\begin{array}{ccccc}
G & \xleftarrow[\sim]{\phi_1} & H_1 & \xrightarrow{\psi_1} & G' \\
\| & & \uparrow & & \| \\
G & \xleftarrow[\sim]{\phi_3} & H_3 & \xrightarrow{\psi_3} & G' \\
\| & & \downarrow & & \| \\
G & \xleftarrow[\sim]{\phi_2} & H_1 & \xrightarrow{\psi_2} & G'.
\end{array}
$$

This is an equivalence relation on pairs (ϕ, ψ) as it can be proved by using homotopy pullbacks (cf. 4.4.4). We denote by $H^1(G, G')$ the set of generalized maps $G \dashrightarrow G'$:

$$H^1(G, G') = \{\psi/\phi : G \dashrightarrow G'\}.$$

It is easy to see that, after the identifications, this is in fact a set and not a proper class.

Example 4.5.2. Generalized maps between manifolds $M \dashrightarrow M'$ are the same as usual smooth maps:

$$H^1(M, M') = \mathrm{Maps}(M, M').$$

Generalized maps between Lie groups $G \dashrightarrow G'$ are usual maps modulo inner automorphisms of G':

$$H^1(G, G') = \mathrm{Maps}(G, G')/_{\cong}.$$

Given a principal groupoid-bundle $G \curvearrowright P \to N$, we can construct a generalized map $N \dashrightarrow G$ as follows (cf. 3.6):

$$(N \rightrightarrows N) \overset{\sim}{\leftarrow} (P \times_N P \rightrightarrows P) \overset{\xi}{\underset{\cong}{\cong}} (G \times_M P \rightrightarrows P) \to (G \rightrightarrows M).$$

This construction sets a 1-1 correspondence (cf. 4.6.3):

$$H^1(N, G) \cong \{\text{principal } G\text{-bundles over } N\}.$$

We can identify orbifold maps $O \to O'$ and generalized maps between the induced groupoids (cf. [21]):

$$H^1\big(G(O), G(O')\big) = \mathrm{Maps}(O, O').$$

Remark 4.5.3. By playing with homotopy pullbacks (cf. 4.4.4) it is easy to see that every generalized map can be presented as a fraction ψ/ϕ where $\phi : H \overset{\sim}{\to} G$ is a surjective equivalence (see eg. [21]). The same argument shows that an equivalence $G \sim G'$ can always be realized by two surjective equivalences $H \overset{\sim}{\to} G$, $H \overset{\sim}{\to} G'$.

Generalized maps also admit a cocycle description. Given a Lie groupoid $G \rightrightarrows M$ and a numerable open covering $\mathscr{U} = \{U_i\}_i$ of M, denote $M_{\mathscr{U}} = \coprod_i U_i$ and $G_{\mathscr{U}} = \coprod_{i,j} G(U_i, U_j)$. The structure of G induce a new Lie groupoid $G_{\mathscr{U}} \rightrightarrows M_{\mathscr{U}}$ and a surjective equivalence

$$\phi_{\mathscr{U}} : (G_{\mathscr{U}} \rightrightarrows M_{\mathscr{U}}) \overset{\sim}{\to} (G \rightrightarrows M).$$

If $\mathscr{U}, \mathscr{U}'$ are open coverings and $\mathscr{U}$ refines $\mathscr{U}'$, then $\phi_{\mathscr{U}}$ clearly factors through $\phi_{\mathscr{U}'}$, and two such factorizations must be isomorphic.

Proposition 4.5.4. *Every generalized map $G \dashrightarrow G'$ can be realized as a fraction $\psi/\phi_{\mathscr{U}}$ for some numerable open covering $\mathscr{U}$ of M, and two fractions agree if, when expressed over the same covering $\mathscr{U}$, their numerators are isomorphic:*

$$H^1(G, G') = \varinjlim_{\mathscr{U}} \mathrm{Maps}(G_{\mathscr{U}}, G')/_{\cong}.$$

This action is free, proper and compatible with that of G, yielding a principal bibundle

$$
\begin{array}{ccccc}
G & \curvearrowright & P & \curvearrowleft & P \times_M^G P \\
\Downarrow & \swarrow & & \searrow & \Downarrow \\
M & & & & P/G.
\end{array}
$$

It turns out that every principal bibundle arises in this way.

Proposition 4.6.2. *Given a principal bibundle $G \curvearrowright P \curvearrowleft G'$, there is a canonical isomorphism $G' \cong (P \times_M^G P)$ compatible with the actions.*

Proof. Since $M \leftarrow P \curvearrowleft G'$ is also a principal bundle we have an isomorphism

$$
(P \times_M P \rightrightarrows P) \cong (P \times_{M'} G' \rightrightarrows P)
$$

that identifies the orbits of the actions $G \curvearrowright P$ and $G \curvearrowright P \times_M P$ with the fibers of the action map $(P \times_{M'} G' \rightrightarrows P) \to (G' \rightrightarrows M')$. The result now follows. $\qquad\square$

Theorem 4.6.3. *There is a 1-1 correspondence between generalized maps and isomorphism classes of right principal bibundles.*

$$
H^1(G, G') \cong \{\text{right principal bibundles } G \curvearrowright P \curvearrowleft G'\}.
$$

Under this correspondence, equivalences corresponds to principal bibundles.

Proof. Given a bibundle $G \curvearrowright P \curvearrowleft G'$, we can construct an action groupoid of the simultaneous action

$$
G \ltimes P \rtimes G' = (G \times_M P \times_{M'} G' \rightrightarrows P)
$$

with source $(g, a, g') \mapsto a$, target $(g, a, g') \mapsto gag'$, and unit, multiplication and inverses induced by those of G and G'. There are obvious projections $\pi_1 : G \ltimes P \rtimes G' \to G$, $\pi_2 : G \ltimes P \rtimes G' \to G'$, and it is easy to see that π_1 (resp. π_2) is a weak equivalence if and only if the bibundle is right (resp. left) principal:

$$
(G \curvearrowright P \curvearrowleft G') \overset{\alpha}{\mapsto} (G \leftarrow G \ltimes P \rtimes G' \to G').
$$

On the other hand, given a fraction of groupoid maps $(G \overset{\phi}{\underset{\sim}{\leftarrow}} H \overset{\psi}{\to} G')$, we can construct a bibundle as follows. Consider the following groupoid action:

$$
(H \rightrightarrows N) \curvearrowright (G \times_M N \times_{M'} G' \overset{\mathrm{pr}_2}{\longrightarrow} N), \quad h \cdot (g, a, g') = \big(g\phi(h)^{-1}, t(h), \psi(h)g'\big).
$$

This action is free and proper because ϕ is fully faithful. The groupoids G, G' act on the quotient manifold $(G \times_M N \times_{M'} G')/H$ by $\tilde{g} \cdot [g, n, g'] \cdot \tilde{g}' = [\tilde{g}g, n, g'\tilde{g}']$. This bibundle is right principal, and it is left principal if and only if ψ is a weak equivalence as well:

$$\left(G \curvearrowright \frac{(G \times_M N \times_{M'} G')}{H} \curvearrowleft G' \right) \overset{\beta}{\leftrightarrow} (G \leftarrow H \to G').$$

There is a natural isomorphism $\beta\alpha(G \curvearrowright P \curvearrowleft G') \overset{\cong}{\Rightarrow} (G \curvearrowright P \curvearrowleft G')$ defined by $[g, a, g'] \mapsto gag'$. Regarding the other composition there is a natural map

$$(H \rightrightarrows N) \to \alpha\beta(H \rightrightarrows N), \quad n \mapsto [1, n, 1], \quad h \mapsto \big(\phi(h)^{-1}, \psi(h)\big),$$

that establishes an identity of generalized maps.

$$
\begin{array}{ccccc}
G & \xleftarrow{\quad\sim\quad} & H & \xrightarrow{\quad\quad} & G' \\
\| & & \downarrow & & \| \\
G & \xleftarrow{\;\sim\;} & G \ltimes \frac{(G \times_M N \times_{M'} G')}{H} \rtimes G' & \xrightarrow{\quad\quad} & G'.
\end{array}
\qquad \square
$$

Under the above correspondence, a right principal bibundle $G \curvearrowright P \curvearrowleft G'$ is associated to a map $f : G \to G'$ if and only if its right underlying bundle is trivial. In fact, if we denote by $P(\psi/\phi)$ the bibundle associated to the fraction ψ/ϕ, we have $P = P(f/1) = \frac{(G \times_M M \times_{M'} G')}{G} = M \times_{M'} G'$. In particular, a principal bibundle corresponds to a weak equivalence if and only if it admits a global section.

Remark 4.6.4. A right principal bibundle $G \curvearrowright P \curvearrowleft G'$ can be thought of as a principal G'-bundle with base G. In fact, the moment map of the let action

$$(G \times_M P \rightrightarrows P) \to (G \rightrightarrows M)$$

is a compatible diagram of Lie groupoids and principal G'-bundles, and every such diagram comes from a right principal bundle (cf. 3.3.2, 3.6.3). From this point of view, proposition 4.6.3 is saying that each G-bundle over G' is the pullback along a unique generalized map of the universal bundle $G \curvearrowright G^I \overset{s}{\to} G$.

4.7. Orbispaces. Every Lie groupoid has an underlying orbispace. A generalized map between Lie groupoids is a map between their orbispaces, and two Lie groupoids are equivalent if and only if their orbispaces are isomorphic. In this section we formalize these ideas.

Given two generalized maps $\psi/\phi : G \dashrightarrow G'$, $\psi'/\phi' : G' \dashrightarrow G''$, their *composition* is defined as $\psi'\psi''/\phi''\phi$, where $\phi'' : K \xrightarrow{\sim} H$ and $\psi' : K \to H'$ are such that the square below commutes up to isomorphism:

$$
\begin{array}{ccccc}
 & & K & & \\
 & \overset{\phi''}{\underset{\sim}{\swarrow}} & & \overset{\psi''}{\searrow} & \\
 & H & & H' & \\
 \overset{\phi}{\underset{\sim}{\swarrow}} & & \overset{\psi}{\searrow} \quad \overset{\phi'}{\underset{\sim}{\swarrow}} & & \overset{\psi'}{\searrow} \\
G & & G' & & G''.
\end{array}
$$

We can take as K the homotopy pullback $H \tilde{\times}_{G'} H'$, and any other choice will lead to an equivalent fraction (cf. 4.4.3, 4.4.4). With this composition we get a well defined category of Lie groupoids and generalized maps. Given a Lie groupoid $G \rightrightarrows M$, we define its underlying *orbispace* $[M/G]$ as the object it defines in this category.

$$\{\text{Orbispaces}\} = \{\text{Lie Groupoids}\}/_{\sim}.$$

Maps of orbispaces $[M/G] \to [M'/G']$ are, by definition, generalized maps of Lie groupoids $G \dashrightarrow G'$. Such a map induces a map between the orbit spaces and maps between the normal representations (cf. 4.3.1). We can think of $[M/G]$ as the topological space M/G with some smooth data attached.

Example 4.7.1. We can identify manifolds with their unit groupoids and their underlying orbispaces. This way we can see the category of orbispaces as an extension of that of manifolds (cf. 4.5.2). The same happens with orbifolds. We can actually define orbifolds as the underlying orbispaces to certain Lie groupoids (proper with finite isotropy).

Remark 4.7.2. The construction of the category of orbispaces from that of Lie groupoids can be framed into the theory of localization and calculus of fractions (see eg. [16], §7.1). The category $\{\text{Orbispaces}\}$ is obtained from $\{\text{Lie Groupoids}\}/_{\sim}$ by formally inverting the weak equivalences. The description of the maps as fractions ψ/ϕ is a consequence of the general theory, once it is proved that the class of maps we are inverting is a left multiplicative system (cf. 4.3.2 and 4.4.4).

According to 4.3.2 weak equivalences are *saturated* (cf. [16], §7.1), and thus a generalized map ψ/ϕ is invertible if and only if ψ is a weak equivalence. In other words, two Lie groupoids are equivalent $G \sim G'$ if and only if their orbispaces are isomorphic $[M/G] \cong [M'/G']$. Of course, this can also be proved directly.

Given $G \rightrightarrows M$ a Lie groupoid, the canonical inclusion $(M \rightrightarrows M) \to (G \rightrightarrows M)$ induces a map $\pi : M \to [M/G]$ of orbispaces, which we call the *presentation* of $[M/G]$ induced by $G \rightrightarrows M$.

$$G \rightrightarrows M \rightsquigarrow M \to [M/G].$$

It turns out that a Lie groupoid is essentially encoded in this presentation.

Theorem 4.7.3. *There is a* 1-1 *correspondence between isomorphism classes of maps* $(G \rightrightarrows M) \to (G' \rightrightarrows M')$ *and commutative squares in* {*Orbispaces*} *between the induced presentations:*

$$(G \rightrightarrows M) \xrightarrow{\theta} (G' \rightrightarrows M') \quad \longleftrightarrow \quad \begin{array}{ccc} M & \xrightarrow{\ f\ } & M' \\ \downarrow & & \downarrow \\ [M/G] & \xrightarrow{\ \psi/\phi\ } & [M'/G']. \end{array}$$

Proof. It is clear that a map θ induces one of these commutative squares of orbispaces. Let us prove the converse.

Let $f : M \to M'$ and $\psi/\phi : [M/G] \to [M'/G']$ be such that $\pi'f = (\psi/\phi)\pi$. We can assume that ϕ is a surjective equivalence. Write $j : (K \rightrightarrows N) \subset (H \rightrightarrows N)$ for the *kernel* of ϕ, say the Lie groupoid of arrows that are mapped by ϕ into identities. In the following diagram of Lie groupoids

$$\begin{array}{ccccc} & & \overset{f}{\frown} & & \\ M & \xleftarrow{\ \sim\ }{\phi|_K} & K & & M' \\ \pi \downarrow & & \downarrow j & & \downarrow \pi' \\ G & \xleftarrow[\phi]{\ \sim\ } & H & \xrightarrow{\ \psi\ } & G' \end{array}$$

the left square commutes on the nose, hence $(\psi/\phi)\pi = (\psi j)/\phi|_K$. From the identity $(\psi j)/\phi|_K = \pi'f$ we deduce that there is an isomorphism of Lie groupoid maps

$$\alpha : \psi j \cong \pi' f \phi|_K : K \to G', \qquad f(\phi(n)) \xleftarrow{\alpha(n)} \psi(n) \qquad \text{for all } n \in N.$$

Now we use α to *twist* the map ψ. More precisely, we define $\tilde{\psi} : H \to G'$ by

$$\tilde{\psi}(n' \xleftarrow{h} n) = \left(f\phi(n') \xleftarrow{\alpha(n')\psi(h)\alpha(n)^{-1}} f\phi(n)\right).$$

The map α gives an isomorphism $\tilde{\psi} \cong \psi$, and $\tilde{\psi}$ is constant along the fibers of $\phi : H \to G$, hence it induces a map $\theta : G \to G'$ as required. $\qquad \square$

Remark 4.7.4. The category of orbispaces can alternatively be constructed by using stacks. Roughly speaking, stacks are sheaves of groupoids, they extend the notion of spaces, and have proved to be a useful tool especially in moduli problems. Within that framework, what we called an orbispace is just a stack over the category of manifolds which can be presented as a quotient of a manifold, and a Lie groupoid is one of such presentations. We refer to [1] and [18] for an introduction to stacks in general and smooth stacks in particular. See also [14].

5. Proper groupoids

This section deals with proper groupoids and the geometry of their underlying orbispaces. Along the several subsections we include: definitions and examples; properties of orbits and slices; a discussion on stability; Zung's Theorem; and an overview on linearization.

We use [21], [27] as general references for this section, and we especially follow [7] for Zung's theorem and the linearization discussion. As we explained in the introduction, a completely new approach to the topic will be presented in [13].

5.1. Proper groupoids. A Lie groupoid $G \rightrightarrows M$ is said to be *proper* if its anchor $\rho = (t, s) : G \to M \times M$ is a proper map (cf. §2.1). Equivalently, a groupoid is proper if given compact sets $K, K' \subset M$ the set of arrows between them $G(K, K')$ is compact as well.

Since a proper map is closed with compact fibers, in a proper groupoid the isotropy groups G_x are compact, the relation $\rho(G) \subset M \times M$ is closed, and therefore the orbit space M/G is Hausdorff.

Example 5.1.1. Given M a manifold, its unit groupoid $M \rightrightarrows M$ and its pair groupoid $M \times M \rightrightarrows M$ are proper. More generally, a submersion groupoid $M \times_N M \rightrightarrows M$ is the same as a proper groupoid without isotropy (cf. 3.5.2).

A Lie group $G \rightrightarrows *$ is proper if and only if it is compact. More generally, a transitive groupoid is proper if and only if its isotropy at a point is compact. For instance, the general linear groupoid $GL(E)$ of a vector bundle E is not proper, but the orthogonal groupoid $O(E)$ is so (cf. 3.2.5).

By definition, an action $(G \rightrightarrows M) \curvearrowright (A \to M)$ is proper if the action groupoid $G \times_M A \rightrightarrows A$ is so (cf. 3.3, see also [11] for the group case).

The Lie groupoid arising from a covering of an orbifold is proper (cf. [21]).

There is a local version for the notion of properness. A Lie groupoid $G \rightrightarrows M$ is *proper at* x if its anchor map ρ is proper at (x, x). A proper groupoid is proper at every point, but the converse is not true.

Example 5.1.2. Let $G \rightrightarrows M$ be the groupoid without isotropy whose objects are the non-zero points in the plane, and whose orbits are the leaves of the foliation by horizontal lines. This groupoid is proper at every point, but it is not proper, for M/G is not Hausdorff.

Proposition 5.1.3 (Compare with [11], 2.5). *A groupoid $G \rightrightarrows M$ is proper if and only if it is proper at every point and the orbit space M/G is Hausdorff.*

Proof. Let $G \rightrightarrows M$ be such that M/G is Hausdorff and the anchor ρ is proper at (x, x) for all x. We have to show that ρ is proper at any point (y, x). Since M/G is Hausdorff the relation $\rho(G) \subset M \times M$ is closed and the anchor is obviously proper at points $(y, x) \notin \rho(G)$. On the other hand, if there is an arrow $y \xleftarrow{g} x$ in G, the translation by a bisection through g shows that the anchor over (y, x) behaves as over (x, x), and thus the result. $\square$

Remark 5.1.4. Given any Lie groupoid $G \rightrightarrows M$, the points $U \subset M$ at which it is proper is open and saturated. It is open because of the local nature of properness (cf. 2.1.2) and it is saturated because of the argument with bisections used in the proof above. It follows that a groupoid $G \rightrightarrows M$ is proper at a point $x \in M$ if and only if there exists a saturated open $x \in V \subset M$ such that the restriction $G_V \rightrightarrows V$ is proper: we can take $x \in U \subset M$ small so as to make $G_U \rightrightarrows U$ proper, and then take V as its saturation.

Properness is invariant under equivalences, it is a property of the underlying orbispace rather than the Lie groupoid itself.

Proposition 5.1.5. *If two Lie groupoids are equivalent and one of them is proper, then so does the other.*

Proof. Equivalent groupoids can always be linked by surjective equivalences. Thus, let $\phi : (G \rightrightarrows M) \to (G' \rightrightarrows M')$ be a surjective equivalence. Since ϕ is fully faithful the square

$$
\begin{array}{ccc}
G & \longrightarrow & G' \\
\rho \downarrow & \text{pb} & \downarrow \rho' \\
M \times M & \longrightarrow & M' \times M'
\end{array}
$$

is a pullback, and thus ρ is a base-change of ρ'. On the other hand, since ϕ is surjective, we can locally express ρ' as a base-change of ρ by using local sections of $M \times M \to M' \times M'$. Since properness of maps is stable under base-change the result follows. $\square$

Previous proposition admits a punctual version: If $[M/G] \to [M'/G']$ is an isomorphism of orbispaces mapping $[x]$ to $[x']$, then $G \rightrightarrows M$ is proper at x if and only if $G' \rightrightarrows M'$ is proper at x'. This can be seen by restricting the equivalence to suitable saturated open subsets $V \subset M$ and $V' \subset M'$.

We say that an orbispace is *separated* if it is associated to a proper groupoid.

5.2. Orbits and slices.
Given $G \rightrightarrows M$ a Lie groupoid and $x \in M$, the source-fiber $G(-, x) \subset G$ is an embedded submanifold, the isotropy acts $G(-, x) \curvearrowleft G_x$ freely and properly, and the orbit $G(-, x)/G_x \cong O_x$, whose manifold structure is that of the quotient, is included as a submanifold $O_x \subset M$. It may be the case that the orbit is not embedded.

Example 5.2.1. The foliation on the torus $T = S^1 \times S^1 = \mathbb{R}^2/\mathbb{Z}^2$ induced by the parallel lines on $\mathbb{R}^2$ of some irrational slope is called *Kronecker foliation*. We can define a Lie groupoid without isotropy, with an arrow between two points if they belong to the same leaf. The orbits on this Lie groupoid are exactly the leaves, which are not embedded submanifolds.

Proposition 5.2.2. *If $G \rightrightarrows M$ is proper at x then $O_x \subset M$ is closed and embedded.*

Proof. By restricting to a neighborhood we can assume that G is proper (cf. 5.1.4). The orbit is closed because it is a fiber of the quotient map $M \to M/G$ and M/G is Hausdorff. Write $\tilde{O}_x \subset M$ for the orbit endowed with the subspace topology, an consider the following topological pullback:

$$
\begin{array}{ccc}
G(-, x) & \longrightarrow & G \\
\downarrow & \text{pb} & \downarrow \rho \\
\tilde{O}_x \times x & \longrightarrow & M \times M.
\end{array}
$$

Since the right map is proper, the left one is closed and hence it is a topological quotient. We conclude that both the quotient and the subspace topologies on the orbit agree, namely $O_x = \tilde{O}_x$, and we are done. $\qquad\square$

Given $G \rightrightarrows M$ and $x \in M$, a *slice* of G at x is an embedded submanifold $x \in S \subset M$ such that (1) S is transverse to the orbits, and (2) S intersects O_x only at x. This notion is close to those of slices for Lie group actions, and transverse sections to foliations. However, note that a slice for an action groupoid need not to be a slice for the corresponding group action (cf. [11]).

Slices may not exist in general (see eg. 5.2.1) but do exist for proper groupoids.

Proposition 5.2.3. *If $G \rightrightarrows M$ is proper at x then there is a slice S at x.*

Proof. Since G is proper at x the orbit $O_x \subset M$ is an embedded submanifold (cf. 5.2.2). Then we can take a manifold chart

$$\phi : \mathbb{R}^p \times \mathbb{R}^q \to U \subset M$$

such that $\phi(0,0) = x$ and $\phi^{-1}(O_x \cap U) = \mathbb{R}^p \times \{0\}$. Consider $S' = \phi(\{0\} \times \mathbb{R}^q)$ $\subset M$, which is an embedded submanifold that intersects O_x only at x. We can take as a slice the open subset $S \subset S'$,

$$S = \{y \mid T_y O_y + T_y S' = T_y M\},$$

which is open for it is the locus on which some matrices have maximum rank. $\qquad\square$

As we explained in 3.4, the restriction of a Lie groupoid $G \rightrightarrows M$ to a submanifold $A \subset M$ may not be well defined, even if A is embedded. Next we use what we know on the differential of the anchor to show that we can restrict a Lie groupoid to a slice.

Proposition 5.2.4. *Given $G \rightrightarrows M$ a Lie groupoid and S a slice at x, the restriction $G_S \rightrightarrows S$ is a well-defined Lie groupoid.*

Proof. The map $S \times S \to M \times M$ is transverse to the anchor (cf. 3.5.1), thus we have a good pullback

$$
\begin{array}{ccc}
G_S & \longrightarrow & G \\
\downarrow & \text{pb} & \downarrow \\
S \times S & \longrightarrow & M \times M
\end{array}
$$

and $G_S \subset G$ is an embedded submanifold. In order to prove that $G_S \rightrightarrows S$ with the induced structure is a Lie groupoid we just need to show that the source restricts to a submersion $s : G_S \to S$. Given $y \overset{g}{\leftarrow} x$ an arrow in G_S and $v \in T_x S$, we look for a vector $\tilde{v} \in T_g G_S$ such that $ds(\tilde{v}) = v$. From the pullback of vector spaces

$$
\begin{array}{ccc}
T_g G_S & \longrightarrow & T_g G \\
\downarrow & \text{pb} & \downarrow \\
T_y S \times T_x S & \longrightarrow & T_y M \times T_x M
\end{array}
$$

we deduce that such a $\tilde{v}$ exists if and only if there is some $w \in T_y S$ with $(w, v) \in \mathrm{Im}(d_g \rho)$. Since S and O_y are transverse, the composition $T_y S \to T_y M \to N_y O_y$ is surjective, from where there always exists such a w (cf. 3.5.1). $\qquad\square$

Note that if $G \rightrightarrows M$ is proper, then the restriction $G_S \rightrightarrows S$ also is.

Remark 5.2.5. A slice S of G at x allows us to describe the geometry of the orbispace $[M/G]$ in a neighborhood of $[x]$. In fact, the inclusion $G_S \to G$ is fully faithful, and if we write U for the saturation of S, then U is open and we have an equivalence $G_S \sim G_U$, which is the same as an isomorphism between the underlying orbispaces $[S/G_S] \cong [U/G_U]$.

5.3. Stable orbits. Orbits of Lie groupoids play the role of the fibers of a submersion, the leaves of a foliations, or the orbits of group actions. We can export from there the notion of stability. Given $G \rightrightarrows M$ a Lie groupoid, an orbit $O_x \subset M$ is called *stable* if it admits arbitrary small invariant neighborhoods, namely for every open U, $O_x \subset U \subset M$, there exists a saturated open V such that $O_x \subset V \subset U$.

Example 5.3.1. Let $M \times_N M \rightrightarrows M$ be the Lie groupoid arising from a submersion $q : M \to N$. An orbit O_x is stable if and only if q satisfies the tube principle at $q(x)$, i.e. if it is proper at $q(x)$ (cf. 2.4.2). In particular, a stable orbit has to be compact.

As in previous example, compactness is always a necessary condition for stability.

Proposition 5.3.2. *A stable orbit O_x of a Lie groupoid $G \rightrightarrows M$ is compact.*

Proof. Let d be a distance defining the topology of M. If O_x is not compact then it contains an infinite discrete set $\{x_n\} \subset O_x$. Let B_n be the d-ball centered at x of radius $1/n$, and let $\langle B_n \rangle$ be its saturation. For each n we can take a point $y_n \in \langle B_n \rangle \backslash O_x$ such that $d(x_n, y_n) < 1/n$. Then $M \backslash \{y_n\}$ is open and does not contain any invariant neighborhood, hence the orbit is not stable. $\square$

Let $G \rightrightarrows M$ be a Lie groupoid and let $x \in M$. We say that G is *s-proper* at x if the source map $s : G \to M$ is proper at x. Note that s-proper at x implies proper at x.

Proposition 5.3.3. *The following are equivalent:*

(1) *G is s-proper at x;*

(2) *G is proper at x and O_x is stable;*

(3) *G is s-locally trivial at x and G_x and O_x are compact.*

Proof. The s-fiber $G(-, x)$ is compact if and only if the isotropy G_x and the orbit O_x are so, for these three fit into the isotropy bundle $G_x \curvearrowright G(-, x) \to O_x$. This, together with Ehresmann theorem 2.4.2, give the equivalence (1) $\Leftrightarrow$ (3).

To prove (1) $\Rightarrow$ (2), suppose that $s : G \to M$ is proper at x. Given an open U containing the orbit O_x, since $s^{-1}(O_x) \subset t^{-1}(U)$, by the tube principle (cf. 2.1.3), we can take an open V, $x \in V \subset M$, such that $s^{-1}(V) \subset t^{-1}(U)$. Then $t(s^{-1}(V))$ is open invariant with $O_x \subset t(s^{-1}(V)) \subset U$.

Finally, to prove (2) $\Rightarrow$ (1), assume that $G \rightrightarrows M$ is proper at x and O_x is stable, and thus compact. Let $(y_n \xleftarrow{g_n} x_n) \subset G$ be such that x_n converges to x. We may assume either that infinitely many y_n belong to O_x, or that $y_n \notin O_x$ for any n. In the first case, since the orbit is compact, there is a subsequence (g_{n_k}) whose source and target converge, and since G is proper (g_{n_k}) has to have a convergent subsequence. In the second case, $M \backslash \{y_n\}$ contains O_x but does not contain any saturated open set. It follows that $M \backslash \{y_n\}$ is not open and then $\{y_n\}$ has to have a convergent subsequence and we can conclude as before. $\square$

Particular cases and other versions of the previous result can be found in the literature (cf. [7], 4.4, [7], 4.10, [27], 3.3).

5.4. Zung's Theorem and the local structure of separated orbispaces.

Given a Lie groupoid $G \rightrightarrows M$, we should think of the normal representation $G_x \curvearrowright N_x O$ as the tangent space of the orbispace $[M/G]$ at $[x]$, for it encodes the infinitesimal linear information around the point:

$$T_{[x]}[M/G] \cong G_x \curvearrowright N_x O.$$

If $G \rightrightarrows M$ is proper, it turns out that a neighborhood of $[x]$ in $[M/G]$ can be reconstructed as the orbispace underlying the action $G_x \curvearrowright N_x O$. Note that, by the existence of slices, it is enough to study neighborhoods of fixed points (cf. 5.2.3, 5.2.5). In this sense we have Zung's Theorem, which is both a particular case of the Linearization Theorem 5.5.1, and the key step in proving it.

Theorem 5.4.1 (Zung). *Let $G \rightrightarrows M$ be a Lie groupoid and let $x \in M$ be a fixed point. If G is proper at x then there is an open $x \in U \subset M$ and an isomorphism between the restriction and the action groupoid of the normal representation at x:*

$$(G_U \rightrightarrows U) \cong (G_x \ltimes T_x M \rightrightarrows T_x M).$$

As explained above, the following is obtained as an immediate corollary.

Corollary 5.4.2. *A separated orbispace $[M/G]$ is locally isomorphic to an orbispace underlying a linear action of a compact group.*

The first proof of Zung's Theorem appeared in [28], see also [10]. In the remaining of this section we overview the proof presented in [7]. To begin with, we establish the following reduction, which is a strengthened version of [7], 2.2.

Proposition 5.4.3. *Given G and x as in 5.4.1, there exists an open $x \in U \subset M$ and a diffeomorphism $G_U \cong G_x \times \mathbb{R}^n$ extending the obvious one $G_x \cong G_x \times 0$, and such that the source corresponds to the projection $G_x \times \mathbb{R}^n \to \mathbb{R}^n$ and the units to $1 \times \mathbb{R}^n$.*

Proof. To start with, by restricting G to a small ball-like open around x, it is clear that we can assume $M = \mathbb{R}^n$ and $x = 0$.

Moreover, we can assume that $G \subset G_0 \times \mathbb{R}^n$, with source the projection. In fact, since 0 is a fixed point we have $s^{-1}(0) = G_0$ and by the structure theorem for submersions (cf. 2.4.1) there is an open V, $G_0 \subset V \subset G$, on which the source looks as a projection. Since the anchor ρ is proper at 0 the open V must contain a tube $G_W = \rho^{-1}(W \times W) \subset V$ (cf. 2.1.3) and we can of course take $W \cong \mathbb{R}^n$.

Since $su = \mathrm{id}$ and s is just the projection, the unit map $u : \mathbb{R}^n \to G_0 \times \mathbb{R}^n$ can be written as $v \mapsto (u_1(v), v)$. Thus, in order to associate the units with the points $(1, v)$, we just need to compose the inclusion $G \subset G_0 \times \mathbb{R}^n$ with the diffeomorphism $G_0 \times \mathbb{R}^n \to G_0 \times \mathbb{R}^n$, $(g, v) \mapsto (gu_1(v)^{-1}, v)$.

To conclude we need to construct an open $x \in U \subset \mathbb{R}^n$ such that: it trivializes the source map, it is saturated, and it is diffeomorphic to $\mathbb{R}^n$. Any small enough open trivializes the source, for $s : G \subset G_0 \times \mathbb{R}^n \to \mathbb{R}^n$ is proper at 0. Moreover, since 0 is a stable orbit (cf. 5.3.3) there are arbitrary small saturated opens. The saturation of a set W can be written as $ts^{-1}(W)$. The problem thus is how to take W so as to get $ts^{-1}(W) \cong \mathbb{R}^n$. Next we provide an argument whose details are left to the reader.

Given W a small open trivializing the source, its saturation can be written as

$$t\big(s^{-1}(W)\big) = t(G_x \times W) = \bigcup_{g \in G_0} t(g \times W)$$

It is well-known that a star-shaped open in $\mathbb{R}^n$ is diffeomorphic to $\mathbb{R}^n$, thus it is enough to show that $t(g \times W)$ is starred at 0 for all g. Fixed g, the formula $x \mapsto t(g, x)$ defines a diffeomorphisms ϕ_g in a neighborhood of 0 with inverse ψ_g. The result now follows from the following lemma:

If a smooth map $\psi : U \to U'$, $U, U' \subset \mathbb{R}^n$, $\psi(0) = 0$ has $D\psi_0$ invertible then it maps small balls centered at 0 to starred sets at 0.

In our case, since G_0 is compact, we can take the same ball for all ψ_g. $\square$

In light of Proposition 5.4.3, in order to prove Zung's theorem we can assume that $M = \mathbb{R}^n$, that $x = 0$ is a fixed point with isotropy G_0, that $G = G_0 \times \mathbb{R}^n$ and the source and unit maps are as follows:

$$(G \rightrightarrows M) = (G_0 \times \mathbb{R}^n \rightrightarrows \mathbb{R}^n), \quad s(g, v) = v, \quad u(v) = (1, v).$$

Out of G we construct a new Lie groupoid $\tilde{G}$, that can be seen as a 1-parameter family containing G and the local model. Its objects, arrows, source and unit maps are given by

$$(\tilde{G} \rightrightarrows \tilde{M}) = (G_0 \times \mathbb{R}^n \times \mathbb{R} \rightrightarrows \mathbb{R}^n \times \mathbb{R}), \quad \tilde{s}(g, v, \varepsilon) = (v, \varepsilon), \quad \tilde{u}(v, \varepsilon) = (1, v, \varepsilon),$$

whereas the other structural maps $\tilde{t}$, $\tilde{m}$, $\tilde{i}$ are defined by canonically deforming t, m, i into their linearization. This is done by means of the following lemma.

Let A be a manifold and let $f : A \times \mathbb{R}^q \to \mathbb{R}$ be smooth and such that $f(x, 0) = 0$ for all x. Then the function $\tilde{f} : A \times \mathbb{R}^q \times \mathbb{R} \to \mathbb{R}$ defined below is smooth:

$$\tilde{f}(x, y, \varepsilon) = \begin{cases} \frac{1}{\varepsilon} f(x, \varepsilon y), & \varepsilon \neq 0, \\ \partial_y f|_{(x, 0)} \cdot y, & \varepsilon = 0. \end{cases}$$

For instance, the target map is defined by $\tilde{t}(g, v, \varepsilon) = \left(\frac{1}{\varepsilon} t(g, \varepsilon v), \varepsilon \right)$ for $\varepsilon \neq 0$ and $\tilde{t}(g, v, 0) = (\partial_v t|_{(g, 0)} \cdot v, 0)$. The multiplication and inverse maps are defined similarly. With these definitions, it is clear that $\tilde{G} \rightrightarrows \tilde{M}$ is a well-defined Lie groupoid, and it is s-proper for G_0 is compact.

Remark 5.4.4. Let us explain how to see $\tilde{G}$ as a 1-parameter family. The projection

$$(\tilde{G} \rightrightarrows \tilde{M}) \to (\mathbb{R} \rightrightarrows \mathbb{R}), \quad (g, v, \varepsilon) \mapsto \varepsilon, \quad (v, \varepsilon) \mapsto \varepsilon,$$

is a surjective map of groupoids, hence for each $\varepsilon \in \mathbb{R}$ the fibers over ε give a new Lie groupoid $\tilde{G}_\varepsilon \rightrightarrows \tilde{M}_\varepsilon$ whose structural maps are induced by those of $\tilde{G}$. For $\varepsilon = 1$ this is isomorphic to G, say $\tilde{G}_1 \cong G$, and for $\varepsilon = 0$ this can be naturally identified with the action groupoid of the normal representation at 0, say $\tilde{G}_0 \cong G_0 \ltimes \mathbb{R}^n$.

The last step in proving 5.4.1 consists of proving that the family $\tilde{G}$ yields a trivial deformation near 0. Such a trivialization is obtained by the flow of a multiplicative vector field. A *vector field* $X = (X_G, X_M)$ on the a Lie groupoid $G \rightrightarrows M$ is just a pair of vector fields X_G, X_M in G, M respectively. Such a vector field is *multiplicative* if it defines a groupoid map

$$(G \rightrightarrows M) \to (TG \rightrightarrows TM).$$

The flow of a multiplicative field is by Lie groupoid morphisms (cf. [20]), namely for each $\varepsilon \in \mathbb{R}$ the ε-flow is a groupoid map $\phi_X^\varepsilon : D \to G$ defined over an open subgroupoid $D \subset G$.

Proposition 5.4.5. *The Lie groupoid* $(\tilde{G} \rightrightarrows \tilde{M})$ *constructed above admits a multiplicative vector field* X *such that* $X \sim_\pi \partial_\varepsilon$ *and* $X(g,0,\varepsilon) = \partial_\varepsilon$ *for all* g, ε.

The proof of this can be consulted in [7]. Roughly, the idea is to lift ∂_ε to the obvious vector field $(g,v,\varepsilon) \mapsto \partial_\varepsilon$ on $\tilde{G}$ and then use an averaging argument to replace it by a multiplicative one $\tilde{X}$.

Once X is constructed, the conclusion of 5.4.1 is routine. First, since the curves $\gamma(t) = (g,0,t)$ are integral curves of X we have that $G_0 \times 0 \times 0$ is contained in the open $D_1 \subset \tilde{G}$ where the 1-flow ϕ_X^1 is defined. By the tube principle if U is small enough then $G_0 \times U \times 0 \subset D_1$, and if in addition U is an invariant ball-like open with respect to some G_0-invariant metric, we have an embedding

$$G_0 \times U \times 0 \overset{\phi_X^1}{\to} G_0 \times \mathbb{R}^n \times 1$$

whose image has to be of the form G_V, yielding an isomorphisms of Lie groupoids

$$(G_0 \ltimes T_0 M \rightrightarrows T_0 M) \cong (G_0 \ltimes U \rightrightarrows U) \cong (G_V \rightrightarrows V).$$

Remark 5.4.6. It is well-known that the orbit space of a proper group action admits a smooth stratification (see eg. [11]). It follow by Zung's Theorem that the orbit space M/G of a proper groupoid is locally given by an action, and thus it locally admits smooth stratifications. A global stratification for the space M/G is studied in [23].

Remark 5.4.7. Corollary 5.4.2 suggests the existence of a chart description for separated orbispaces, similar to those of Chen's orbispaces [8] and Schwarz's Q-manifolds [24]. We believe it would be interesting to investigate this and to better understand the relations among all these concepts. We postpone this question to be treated elsewhere.

5.5. Linearization. The Linearization Theorem 5.5.1 unifies many linearization results such as Ehresmann Theorem for submersions, the Tube Theorem for group actions, and Reeb stability for foliations. It has becomed a milestone of the theory.

Given $G \rightrightarrows M$ a Lie groupoid and $O \subset M$ an orbit, we can see $G_O \rightrightarrows O$ as a subgroupoid of $G \rightrightarrows M$ and also as the zero-section on the normal representation $NG_O \rightrightarrows NO$:

$$(G \rightrightarrows M) \leftarrow (G_O \rightrightarrows O) \rightarrow (NG_O \rightrightarrows NO).$$

The *linearization problem* consists in determine whether if this two groupoids are isomorphic in suitable neighborhoods. More precisely, G is *linearizable* at O if

there are open sets $O \subset U \subset M$ and $O \subset V \subset NO$ and an isomorphism between the restrictions

$$(G_U \rightrightarrows U) \cong \big((NG_O)_V \rightrightarrows V\big).$$

The linearization is called *strict* if both U, V can be taken to be saturated, and *semistrict* if only V can be taken saturated. Within this language, Zung's Theorem 5.4.1 asserts that a proper groupoid can be linearized at a fixed point, and that the linearization is semistrict.

Theorem 5.5.1 (Zung, Weinstein). *If $G \rightrightarrows M$ is proper at $x \in M$ then G is linearizable at the orbit $O = O_x$.*

Proof. We know that the groupoid, restricted to a saturated open $O \subset U \subset M$, is equivalent to the restriction to a slice $G_S \rightrightarrows S$ (cf. 5.2.5). Now, by Zung's Theorem 5.4.1, we can assume that the restrict to the slice is isomorphic to the action groupoid of the normal representation $G_x \curvearrowright N_x O$.

The equivalence $(G_U \rightrightarrows U) \sim (G_x \ltimes N_x O \rightrightarrows O)$ can be realized by a principal bibundle

$$
\begin{array}{ccccc}
G_x \times N_x O & \curvearrowright & P & \curvearrowleft & G_U \\
\downdownarrows & \swarrow & & \searrow & \downdownarrows \\
N_x O & & & & U.
\end{array}
$$

On the other hand, the inclusion $N_x O \to NO$ of the fiber into the vector bundle induces another equivalence $(G_x \ltimes N_x O \rightrightarrows N_x O) \sim (NG_O \rightrightarrows NO)$ and thus we have another principal bibundle

$$
\begin{array}{ccccc}
G_x \times N_x O & \curvearrowright & P' & \curvearrowleft & NG_O \\
\downdownarrows & \swarrow & & \searrow & \downdownarrows \\
N_x O & & & & NO.
\end{array}
$$

It is easy to check that the central fibers P_0, P_0' of the submersions $P \to N_x O$, $P' \to N_x O$ are in fact equal, and furthermore we can identify P' with the product $P_0 \times N_x O$ as G_x-spaces. It follows from 4.6.2 that in order to establish the desired isomorphism it is enough to show that P and P' are isomorphic as $G_x \ltimes N_x O$-bundles in a neighborhood of the central fibers.

Now, a principal $G_x \ltimes N_x O$-bundle is the same as a free proper action $G_x \curvearrowright P$ and an equivariant submersion $P \to N_x O$. Thus the result follows from an equivariant version of 2.4.1. Just pick a G_x-invariant metric on P which makes the submersion $P \to N_x O$ Riemannian. Such a metric can be constructed first by taking

an invariant metric on P, using it to define an invariant *horizontal direction* (the orthogonal to the fibers) and then redefine the metric on the horizontal direction by lifting an invariant one in M. Then the exponential map associated to this metric provides a diffeomorphism $V \cong V'$ between open subsets $P_0 \subset V \subset P$ and $P_0' \subset V' \subset P'$ compatible with the action and the submersion. $\qquad\square$

We can think of this linearization theorem as a variant of theorem 2.4.1 applied to the presentation $M \to [M/G]$. In fact, when $G \rightrightarrows M$ is proper without isotropy we have seen that $[M/G]$ is in fact a manifold, $M \to [M/G]$ is a submersion, and in this case both theorems state the same. From this point of view, it makes sense to ask which would be the corresponding version of Ehresmann's Theorem 2.4.2. It turns out that the properness of the map $M \to [M/G]$ at a point $[x]$ can be expressed as the s-properness of $G \rightrightarrows M$ at x.

Corollary 5.5.2. *If $G \rightrightarrows M$ is s-proper at x, then G is strictly linearizable at O_x.*

Proof. Write $N = N_x O$, and using notations of previous theorem, we have a diagram

$$
\begin{array}{ccccc}
P & \longleftarrow & P \times_N P & \longrightarrow & G_U \\
\downarrow & \text{pb} & \downarrow & \text{pb} & \downarrow \\
N & \longleftarrow & P & \longrightarrow & U
\end{array}
$$

on which the left square is clearly a pullback and, since the right square can be regarded as a principal G_x-bundle map $(P \times_N P \to G_U) \to (P \to U)$, it is a pullback as well.

Properness is stable under base-change and $P \to U$ is a submersion. Thus, the fact of $s : G_U \to U$ being proper at x implies that $P \to N$ is proper at 0. We can finally apply the tube principle (cf. 2.4.2) and shrink a linearizable open $P_0 \subset V \subset P$ provided by 5.5.1 to a saturated one. The result now follows. $\qquad\square$

Example 5.5.3. A nice example to understand the difference between strict and non-strict linearization is the groupoid arising from the projection $M \subset S^1 \times \mathbb{R} \to \mathbb{R}$, where M is obtained by removing a point over 0. The linear model around the orbit over 0 does not perceive that the nearby orbits in the original groupoid are in fact compact.

Remark 5.5.4. In [13] we generalize Theorem 5.5.1 by establishing linearization not only around orbits but around any saturated embedded submanifold. This may be regarded as the existence of tubular neighborhoods for separated orbispaces.

Remark 5.5.5. Given an action $G \curvearrowright M$ of a compact group on a manifold, the action groupoid $G \times M \rightrightarrows M$ is s-locally trivial and thus can be strict linearizable (cf. 5.5.2). This gives a tube around the orbit on which the action can be describe by means of the behavior on the orbit and on a slice. This is the well-known *Tube Theorem* (cf. [11], 2.4.1). The tube theorem remains valid not only for actions of compact groups but for proper actions of Lie groups in general. This shows that condition in 5.5.2 is sufficient but not necessary, and as far as we know a characterization of strict linearizable groupoids is still not known. Our guess is that s-local triviality should be enough.

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