

## Multiple points, scheme rank and symmetric tensor rank

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**Abstract.** In this paper we prove some upper bounds for the symmetric tensor rank of a symmetric tensor (or a homogeneous polynomial) in terms of integers associated to any zero-dimensional scheme evincing the scheme rank of the homogeneous polynomial.

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### 1. Introduction

Fix an algebraically closed base field  $\mathbb{K}$  such that  $\text{char}(\mathbb{K}) = 0$ . For all positive integers  $m, d$  let  $\mathbb{K}[x_0, \dots, x_m]_d$  denote the  $\mathbb{K}$ -vector space of all homogenous polynomials with degree  $d$  in the variables  $x_0, \dots, x_m$ . We have  $\dim(\mathbb{K}[x_0, \dots, x_m]_d) = \binom{m+d}{m}$ . For each  $f \in \mathbb{K}[x_0, \dots, x_m]_d \setminus \{0\}$  its *symmetric tensor rank*  $\text{sr}(f)$  is the minimal integer  $s > 0$  such that  $f = \sum_{i=1}^s \ell_i^d$  for some  $\ell_i \in \mathbb{K}[x_0, \dots, x_m]_1$  ([8], [3], [5], [11], [12], [7]). The definition of symmetric tensor rank of a homogeneous polynomial may be translated into the following language.

Set  $r := \binom{m+d}{m} - 1$ . Let  $v_d : \mathbb{P}^m \rightarrow \mathbb{P}^r$  denote the Veronese embedding of  $\mathbb{P}^m$  induced by  $\mathbb{K}[x_0, \dots, x_m]_d$ . For any  $P \in \mathbb{P}^r$  the *symmetric tensor rank*  $\text{sr}_{m,d}(P)$  of  $P$  is the minimal cardinality of some  $A \subset \mathbb{P}^m$  such that  $P \in \langle v_d(A) \rangle$ , where  $\langle \rangle$  denote the linear span. Each  $f \in \mathbb{K}[x_0, \dots, x_m]_d \setminus \{0\}$  corresponds to a unique  $P \in \mathbb{P}^r$  and we have  $\text{sr}(f) = \text{sr}_{m,d}(P)$ . For a fixed  $f$  (or, equivalently, a fixed  $P$ ) it is important to give upper bounds for its symmetric tensor rank in terms of  $m, d$  and invariants associated to  $P$  ([12], Corollary 5.2, [1]). A very interesting and useful invariant is the scheme rank (called scheme length in [10], p. 135), i.e. the minimal degree  $z_{m,d}(P)$  of a zero-dimensional scheme  $Z \subset \mathbb{P}^m$  such that  $P \in \langle v_d(Z) \rangle$  ([4], [2], [1]). The case  $m = 1$  is completely known by a theorem of Sylvester ([6], [11], [12], Theorem 4.1, [3]). Hence from now on we assume  $m \geq 2$ .

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For each  $P \in \mathbb{P}^m$  and any integer  $k > 0$  let  $kP$  be the zero-dimensional subscheme of  $\mathbb{P}^m$  with  $(\mathcal{I}_P)^k$  as its ideal sheaf. We have  $\dim(kP) = 0$ ,  $(kP)_{red} = \{P\}$  and  $\deg(kP) = \binom{m+k-1}{m}$ . Every zero-dimensional scheme evincing the scheme rank  $z_{m,d}(P)$  of some  $P \in \mathbb{P}^r$  is Gorenstein ([4], Lemma 2.4). If  $m \geq 2$  and  $k \neq 1$ , then  $kP$  is not Gorenstein. However, a connected Gorenstein scheme  $Z_i$  may be contained in some  $w_i P_i$  and if  $Z = Z_1 \sqcup \dots \sqcup Z_s$  with  $Z_i \subseteq w_i P_i$ , then  $Z \subseteq w_1 P_1 \sqcup \dots \sqcup w_s P_s$ . Let  $Z \subset \mathbb{P}^m$  be a connected zero-dimensional scheme. The width  $w(Z)$  of  $Z$  is the minimal integer  $k > 0$  such that  $Z \subseteq kP$ , where  $\{P\} := Z_{red}$ . Let  $Z \subset \mathbb{P}^m$  any zero-dimensional scheme. Call  $Z_1, \dots, Z_s$  the connected components of  $Z$ . The width  $w(Z)$  of  $Z$  is the integer  $\max\{w(Z_1), \dots, w(Z_s)\}$ ; this integer is not a good estimate of the complexity of  $Z$ , unless we also prescribe the integer  $s := \#(Z_{red})$ . Now we fix an order  $Z_1, \dots, Z_s$  of the connected components of  $Z$ .

**Definition 1.1.** The width-vector  $\underline{w}(Z)$  of  $Z$  is the  $s$ -ple  $(w(Z_1), \dots, w(Z_s))$ .

If  $s > 1$  the width-vector of  $Z$  is well-defined only if we fix an ordering of the connected components of  $Z$ . We may always find an ordering, say  $Z_{i_1}, \dots, Z_{i_s}$  of the connected components of  $Z$  such that  $w(Z_{i_j}) \geq w(Z_{i_h})$  for all  $i \leq h$ . For this ordering the width-vector of  $Z$ , say  $(w_1, \dots, w_s)$ , has non-decreasing entries, and the  $s$ -ple  $(w_1, \dots, w_s)$  is uniquely determined by  $Z$ .

Write  $\{P_i\} := (Z_i)_{red}$  and set  $W := \bigcup_{i=1}^s w_i P_i$ , where  $w_i := w(Z_i)$ .  $W$  is the minimal fat-point scheme containing  $Z$ . If  $P \in \langle v_d(Z) \rangle$ , then  $P \in \langle v_d(W) \rangle$ . In this paper we prove the following upper bound for  $sr_{m,d}(P)$  in terms of  $m, d$  and the width-vector of  $Z$ .

**Theorem 1.2.** Fix  $P \in \mathbb{P}^r$ ,  $r := \binom{d+m}{m} - 1$ , and any zero-dimensional scheme  $Z \subset \mathbb{P}^m$  such that  $P \in \langle v_d(Z) \rangle$ . Let  $\underline{w}(Z) = (w_1, \dots, w_s)$  be the width-vector of  $Z$  with, say,  $w_1 \geq \dots \geq w_s$ . Let  $x$  be the maximal integer  $\leq s$  such that  $w_x \geq 2$ . Assume  $w_1 \leq d + 1$ . Then

$$sr_{m,d}(P) \leq s - 2x + \sum_{i=1}^x (d - w_i + 1) \binom{m + w_i - 2}{m - 1} + \sum_{i=1}^x \binom{m + w_i - 1}{m}.$$

As an easy corollary we state the following result.

**Corollary 1.3.** Fix  $P \in \mathbb{P}^r$ ,  $r := \binom{d+m}{m} - 1$ , and any zero-dimensional scheme  $Z \subset \mathbb{P}^m$ , say with width-vector  $\underline{w}(Z) = (w_1, \dots, w_s)$ , such that  $P \in \langle v_d(Z) \rangle$ . Then  $sr(P) \leq \sum_{i=1}^s d \binom{m+w_i-2}{m-1}$ .

If  $m \geq 2$  and  $w$  is not too small, then the integer  $\deg(wP)$  is huge with respect to the degree of  $P$  among Gorenstein subschemes of  $wP$ . Hence, when Theorem 1.2

may be applied it often gives upper bounds far better than the one,  $\text{sr}_{m,d}(P) \leq (z_{m,d}(P) - 1)d + 2 - z_{m,d}(P)$ , conjectured in [1], Conjecture 2.

In the case  $m = 2$  we may prove the following stronger result.

**Theorem 1.4.** *Take  $m = 2$  and fix  $P \in \mathbb{P}^r$ ,  $r := \binom{d+2}{2} - 1$ , and any zero-dimensional scheme  $Z \subset \mathbb{P}^2$  such that  $P \in \langle v_d(Z) \rangle$ . Let  $\underline{w}(Z) = (w_1, \dots, w_s)$  be the width-vector of  $Z$  with, say,  $w_1 \geq \dots \geq w_s$ . Let  $x$  be the maximal integer  $\leq s$  such that  $w_x \geq 2$ . Set  $\theta := w_1 + \dots + w_x - x + 1$  and assume  $\theta \leq d$ . Then*

$$\text{sr}_{2,d}(P) \leq \binom{d+2}{2} - \binom{d-\theta+2}{2} - 1 + s - x.$$

## 2. The proofs

Recall that  $r := \binom{m+d}{m} - 1$ . For any reduced projective set  $Y \subset \mathbb{P}^n$  and any  $P \in \langle Y \rangle$  let  $r_Y(P)$  be the minimal cardinality of a finite set  $S \subset Y$  such that  $P \in \langle S \rangle$ . If  $Y \subseteq W \subset \mathbb{P}^n$ , then  $r_W(P) \leq r_Y(P)$ . The definition of symmetric tensor rank gives  $\text{sr}_{m,d}(P) = r_{v_d(\mathbb{P}^m)}(P)$  for all  $P \in \mathbb{P}^r$ . For all schemes  $E \subset W \subseteq \mathbb{P}^n$  let  $\mathcal{I}_{E,W}$  be the ideal sheaf of  $E$  in  $W$ . For any  $t \in \mathbb{Z}$  let  $H^i(W, \mathcal{I}_{E,W}(t))$  be the  $i$ -th cohomology group of the sheaf  $\mathcal{I}_{E,W}(t)$ . Set  $h^i(W, \mathcal{I}_{E,W}(t)) := \dim_{\mathbb{K}} H^i(W, \mathcal{I}_{E,W}(t))$ . If  $W = \mathbb{P}^n$  we often write  $\mathcal{I}_E, H^i(\mathcal{I}_E(t))$  and  $h^i(\mathcal{I}_E(t))$  instead of  $\mathcal{I}_{E,W}, H^i(W, \mathcal{I}_{E,W}(t))$  and  $h^i(W, \mathcal{I}_{E,W}(t))$ .

We recall that the one-dimensional case of [12], Proposition 5.1, holds for any connected curve, not just for integral ones (see [5], Lemma 8.1). Hence we will use it in the following form.

**Lemma 2.1.** *Let  $Y \subset \mathbb{P}^n$  be a reduced and connected curve spanning  $\mathbb{P}^n$ . Then  $r_Y(P) \leq n$  for all  $P \in \mathbb{P}^n$ .*

**Lemma 2.2.** *Fix integers  $d \geq w - 1 \geq 0$  and  $m \geq 2$ . Let  $H \subset \mathbb{P}^m$  be a hyperplane. Fix  $O \in \mathbb{P}^m \setminus H$  and a set  $E \subset H$  such that  $\#(E) = \binom{m+w-2}{m-1}$  and  $h^0(H, \mathcal{I}_{E,H}(w-1)) = 0$  (e.g., take as  $E$  a general subset of  $H$  with cardinality  $\binom{m+w-2}{m-1}$ ). Let  $D \subset \mathbb{P}^m$  be the union of all lines spanned by  $P$  and a point of  $E$ . Then  $\dim(\langle v_d(D) \rangle) = -1 + (d-w+1)\binom{m+w-2}{m-1} + \binom{m+w-1}{m}$ .*

*Proof.* The cone  $D$  is the one considered in [9], Proposition 4. The cone  $D$  is reduced and connected. Let  $p_a(D)$  be the arithmetic genus of  $D$ , i.e.  $p_a(D) = h^1(D, \mathcal{O}_D)$ . We have  $\deg(v_d(D)) = d \cdot \#(E) = d \binom{m+w-2}{m-1}$ . Since  $\dim(D) = 1$ , the exact sequence

$$0 \rightarrow \mathcal{I}_D(t) \rightarrow \mathcal{O}_{\mathbb{P}^m}(t) \rightarrow \mathcal{O}_D(t) \rightarrow 0$$

gives  $h^1(D, \mathcal{O}_D(t)) = h^2(\mathcal{I}_D(t))$  for all  $t \geq 0$ . Since  $\#(E) = \binom{m+w-2}{m-1}$  and  $h^0(H, \mathcal{I}_E(w-1)) = 0$ , we have  $h^1(H, \mathcal{I}_{E,H}(w-1)) = 0$ . Castelnuovo-Mumford's lemma gives  $h^1(H, \mathcal{I}_{E,H}(t)) = 0$  for all  $t \geq w$ . Since  $E$  is zero-dimensional, we have  $h^2(H, \mathcal{I}_{E,H}(t)) = 0$  for all  $t \geq 0$ . Since  $H \cap D = E$ , for each integer  $t$  we have an exact sequence

$$0 \rightarrow \mathcal{I}_D(t-1) \rightarrow \mathcal{I}_D(t) \rightarrow \mathcal{I}_{E,H}(t) \rightarrow 0 \tag{1}$$

Since  $D$  is a cone with  $E$  as a basis, the cone with vertex  $O$  of any hypersurface of  $H$  containing  $E$  is a hypersurface of  $\mathbb{P}^m$  containing  $D$ . Hence for every  $t \in \mathbb{Z}$  the restriction map  $H^0(\mathcal{I}_D(t)) \rightarrow H^0(H, \mathcal{I}_{E,H}(t))$  is surjective. From (1) we get that  $h^1(\mathcal{I}_D(t-1)) \leq h^1(\mathcal{I}_D(t))$  for all  $t \in \mathbb{Z}$ . Since  $h^1(\mathcal{I}_D(t)) = 0$  if  $t \gg 0$ , we get  $h^1(\mathcal{I}_D(t)) = 0$  for all  $t \in \mathbb{Z}$ . From (1) we get  $h^2(\mathcal{I}_D(t-1)) \leq h^2(\mathcal{I}_D(t))$  for all  $t \geq w-1$ . Since  $h^2(\mathcal{I}_D(t)) = 0$  if  $t \gg 0$ , we get  $h^2(\mathcal{I}_D(w-2)) = 0$ . Since  $h^1(D, \mathcal{O}_D(w-2)) = 0$ , we have  $h^1(D, \mathcal{O}_D(w-1)) = 0$ . Since  $d \geq w-2$ , we get  $h^1(D, \mathcal{O}_D(d)) = 0$ . Hence  $h^0(D, \mathcal{O}_D(d)) = d \binom{m+w-2}{m-1} + 1 - p_a(D)$  and  $h^0(D, \mathcal{O}_D(w-1)) = (w-1) \binom{m+w-2}{m-1} + 1 - p_a(D)$  (Riemann-Roch). Since  $h^0(\mathcal{I}_D(w-1)) = h^1(\mathcal{I}_D(w-1)) = 0$ , we have  $h^0(D, \mathcal{O}_D(w-1)) = \binom{m+w-1}{m}$ . Hence  $1 - p_a(D) = \binom{m+w-1}{m} - (w-1) \binom{m+w-2}{m-1}$ . Hence  $h^0(D, \mathcal{O}_D(d)) = (d-w+1) \binom{m+w-2}{m-1} + \binom{m+w-1}{m}$ . Since  $h^1(\mathcal{I}_D(d)) = 0$ , we get  $\dim(\langle v_d(D) \rangle) = -1 + (d-w+1) \binom{m+w-2}{m-1} + \binom{m+w-1}{m}$ .  $\square$

**Lemma 2.3.** Fix  $O \in \mathbb{P}^m$  and an integer  $w > 0$ . Let  $D \subset \mathbb{P}^m$  be a reduced union of finitely many lines, each of them containing  $O$ . Fix a hyperplane  $H \subset \mathbb{P}^m$  such that  $O \notin H$  and set  $E := H \cap D$ . We have  $h^0(H, \mathcal{I}_{E,H}(w-1)) = 0$  if and only if  $wO \subset D$ .

*Proof.* The algebraic set  $D$  is the scheme-theoretic intersection of cones with vertex containing  $O$ . For any such cone  $T$  we have  $H \not\subset T$  and  $T$  contains  $D$  if and only if  $E \subseteq T \cap H$ . Hence  $h^0(H, \mathcal{I}_{E,H}(w-1)) = 0$  if and only if every cone with vertex  $O$  containing  $D$  has multiplicity at least  $w$  at  $O$ , i.e. if and only if  $wO \subset D$ .  $\square$

**Lemma 2.4.** Fix  $O \in \mathbb{P}^2$  and an integer  $w > 0$ . Let  $H \subset \mathbb{P}^2$  be a line such that  $O \notin H$ . Let  $D \subset \mathbb{P}^2$  be a union of finitely many lines through  $O$ . Set  $t := \deg(D)$  and assume  $t \leq d$ . Then  $\text{sr}_{2,d}(P) \leq \binom{d+2}{2} - \binom{d-t+2}{2} - 1$  for any  $P \in \langle v_d(D) \rangle$ .

*Proof.* Since  $h^1(\mathcal{O}_{\mathbb{P}^2}(d-t)) = 0$ , we have  $\dim(\langle v_d(D) \rangle) = \binom{d+2}{2} - \binom{d-t+2}{2} - 1$ . Since  $D$  is connected, it is sufficient to apply Lemma 2.1.  $\square$

*Proof of Theorem 1.2.* First assume  $s = x$ . Write  $Z = Z_1 \sqcup \dots \sqcup Z_s$  with each  $Z_i$  connected. Set  $\{P_i\} := (Z_i)_{\text{red}}$ ,  $W_i := w_i P_i$  and  $W := \bigsqcup_{i=1}^s W_i$ . Since  $Z \subseteq W$ ,

we have  $P \in \langle v_d(W) \rangle$ . Hence there is  $O_i \in \langle W_i \rangle$  such that  $P \in \langle \{O_1, \dots, O_s\} \rangle$ . Hence it is sufficient to prove that  $\text{sr}(O_i) \leq (d - w_i + 1) \binom{m+w_i-2}{m-1} + \binom{m+w_i-1}{m} - 1$  for all  $i$ . Apply Lemma 2.2 to the integer  $w := w_i$  to get a union,  $D_i$ , of lines through  $P_i$ . Lemma 2.4 gives  $w_i P_i \subset D_i$ . Then apply Lemma 2.1 to the connected curve  $D_i$ . Notice that this construction works even if  $D_i \cap D_j$  contains a line for some  $i \neq j$ , because we apply Lemmas 2.1 and 2.2 separately to each  $P_i$ .

Now assume  $s > x$ . Hence  $Z_i = \{P_i\}$  for all  $i > x$ . Set  $A := \{P_{x+1}, \dots, P_s\}$  and  $Z' := Z_1 \sqcup \dots \sqcup Z_x$ . Since  $Z = Z' \sqcup A$  and  $P \in \langle v_d(Z) \rangle$ , there is  $O \in \langle v_d(Z') \rangle$  such that  $P \in \langle v_d(A) \cup \{O\} \rangle$ . The case  $s = x$  just proved gives the existence of a set  $B \subset \mathbb{P}^m$  such that  $\#(B) \leq -x + \sum_{i=1}^x (d - w_i + 1) \binom{m+w_i-2}{m-1} + \sum_{i=1}^x \binom{m+w_i-1}{m}$  and  $O \in \langle v_d(A) \rangle$ . Since  $\#(A) = s - x$  and  $P \in \langle v_d(A \cup B) \rangle$ , we have  $\text{sr}_{m,d}(P) \leq s - 2x + \sum_{i=1}^x (d - w_i + 1) \binom{m+w_i-2}{m-1} + \sum_{i=1}^x \binom{m+w_i-1}{m}$ .  $\square$

*Proof of Corollary 1.4.* Take  $D$  as in Lemma 2.2. Since  $D$  is a reduced and connected curve, we have  $h^0(D, \mathcal{O}_D(d)) \leq 1 + \deg(\mathcal{O}_D(d)) = 1 + d \binom{m+w-2}{m-1}$ . Apply this weaker inequality instead of Lemma 2.2 to the curves  $D_i$  constructed in the proof of Theorem 1.2.  $\square$

*Proof of Theorem 1.4.* As in the second part of the proof of Theorem 1.2 we reduce to the case  $x = s$ , i.e. to the case in which  $w_i \geq 2$  for all  $i$ . Hence from now on we assume  $w_i \geq 2$  for all  $i$ . Set  $\{P_1, \dots, P_s\} := Z_{\text{red}}$ . In the case  $s = 1$  we take a union of  $w_1$  distinct lines through  $P_1$ . Then we apply Lemmas 2.2 and 2.1.

Hence we may assume  $s \geq 2$ . Write  $Z = Z_1 \sqcup \dots \sqcup Z_s$  with  $Z_i$  connected and  $w(Z_i) = w_i$ . Set  $\{P_i\} := (Z_i)_{\text{red}}$ ,  $W_i := w_i P_i$  and  $W := W_1 \sqcup \dots \sqcup W_s$ . Since  $Z \subseteq W$ , we have  $P \in \langle v_d(W) \rangle$ . Let  $D_1 \subset \mathbb{P}^2$  be any union of  $w_1$  lines through  $P_1$  with the only restriction that  $D_1 \supset \langle \{P_1, P_2\} \rangle$  and either each line of  $D_1$  contains at least one point of  $\{P_2, \dots, P_s\}$  or  $\{P_2, \dots, P_s\} \subset D_1$ . Fix an integer  $i \in \{2, \dots, s\}$  and suppose to have defined the reduced union  $D_j$ ,  $1 \leq j \leq i-1$ , of finitely many lines through  $P_j$  (we allow the case  $D_j = \emptyset$  for some  $j$ ) so that  $D_j \cap D_h$  contains no line if  $j \neq h$ . For each  $h \in \{i, \dots, s\}$  let  $e_h \geq 0$  be the number of lines of  $D_1 \cup \dots \cup D_{i-1}$  containing  $P_i$ . If  $e_i \geq w_i$ , then we set  $D_i = \emptyset$ . Now assume  $0 \leq e_i < w_i$ . We will take as  $D_i$  a union of  $w_i - e_i$  distinct lines through  $P_i$  with the following further restrictions. Set  $E := \{P_j \in \{P_{i+1}, \dots, P_s\} : w_j P_j \not\subseteq D_1 \cup \dots \cup D_{i-1} \text{ and } \langle \{P_i, P_j\} \rangle \not\subseteq D_1 \cup \dots \cup D_{i-1}\}$ . If  $E = \emptyset$  (this is always the case if  $i = s$ ), then we take as  $D_i$  any  $w_i - e_i$  lines through  $P_i$ , but different from the lines in  $D_1 \cup \dots \cup D_{i-1}$ . Now assume  $E \neq \emptyset$ . We take  $\langle \{P_i, P_j\} \rangle$  as the first line of  $D_i$ . If  $w_i - e_i = 1$ , then we set  $D_i := \langle \{P_i, P_j\} \rangle$ . Notice that the line  $\langle \{P_i, P_j\} \rangle$  may contain some  $P_h$  with  $j < h \leq s$ . Now assume  $w_i - e_i \geq 2$ . Set  $E_1 := \{P_h \in \{P_{j+1}, \dots, P_s\} : e_h < w_h \text{ and } \langle \{P_i, P_h\} \rangle \not\subseteq D_1 \cup \dots \cup D_{i-1} \cup \langle \{P_i, P_j\} \rangle\}$ . If  $E_1 = \emptyset$ , then take as  $D_i$  the union of  $\langle \{P_i, P_j\} \rangle$  and any  $w_i - e_i - 1$  lines through  $P_i$  different from the lines of  $D_1 \cup \dots \cup D_{i-1} \cup \langle \{P_i, P_j\} \rangle$ . Now assume  $E_1 \neq \emptyset$  and let  $k$  be the minimal integer such that

$P_k \in E_1$ . We take  $\langle\{P_i, P_j\}\rangle \cup \langle\{P_i, P_k\}\rangle \subseteq D_i$ , with equality if  $w_i - e_i = 2$ . Now assume  $w_i - e_i \geq 3$ . Set  $E_2 := \{P_h \in \{P_{k+1}, \dots, P_s\} : e_h < w_h \text{ and } \langle\{P_i, P_h\}\rangle \notin D_1 \cup \dots \cup D_{i-1} \cup \langle\{P_i, P_j\}\rangle \cup \langle\{P_i, P_k\}\rangle\}$ . If  $E_2 = \emptyset$ , then we take as  $D_i$  the union of  $\langle\{P_i, P_j\}\rangle \cup \langle\{P_i, P_k\}\rangle$  and  $w_i - e_i - 2$  lines through  $P_i$  and different from the lines of  $D_1 \cup \dots \cup D_{i-1} \cup \langle\{P_i, P_j\}\rangle \cup \langle\{P_i, P_k\}\rangle$ . If  $E_2 \neq \emptyset$ , then we work as above. And so on (defining if necessary  $E_3, \dots$ ). We point out that at each step  $i - 1 \Rightarrow i$  we make this construction, so that for all  $j \in \{2, \dots, s\}$  the curve  $D_j$  satisfies all the properties obtained in the construction of  $D_i$  starting with any given  $D_1 \cup \dots \cup D_{i-1}$ .

*Claim.* For each  $i \in \{1, \dots, s\}$  we have  $\deg(D_1 \cup \dots \cup D_i) \leq w_1 + \dots + w_i - i + 1$ .

*Proof of the Claim.* We have  $\deg(D_1) = w_1$ . It is easy to check that  $\deg(D_2) = w_2 - 1$ . Hence we may assume that  $i \geq 3$ , that  $\deg(D_1 \cup \dots \cup D_{i-2}) \leq w_1 + \dots + w_{i-2} - i + 3$  and that  $\deg(D_1 \cup \dots \cup D_{i-1}) \leq w_1 + \dots + w_{i-1} - i + 2$ . The last inequality shows that the Claim is true for the integer  $i$  if  $\deg(D_i) \leq w_i - 1$ . If  $D_{i-1} \neq \emptyset$ , then  $\deg(D_i) \leq w_i - 1$ , because by construction either  $D_1 \cup \dots \cup D_{i-2}$  contains a line through  $P_i$  or  $D_{i-1}$  contains the line  $\langle\{P_{i-1}, P_i\}\rangle$ . Now assume  $D_{i-1} = \emptyset$ . Since  $\deg(D_i) \leq w_i$ , we have  $\deg(D_1 \cup \dots \cup D_i) \leq w_1 + \dots + w_{i-2} + w_i - i + 3$ . Since  $w_{i-1} \geq 2$ , the Claim is proved even in this case.

By the Claim there is a union  $D$  of  $t \leq w_1 + \dots + w_s - s + 1 = \theta$  lines such that  $W \subset D$ . Since  $Z \subseteq D$  we have  $P \in \langle v_d(D) \rangle$ . Lemma 2.4 gives  $\dim(\langle v_d(D) \rangle) = \binom{d+2}{2} - \binom{d-t+2}{2} - 1 \leq \binom{d+2}{2} - \binom{d-\theta+2}{2} - 1$ . Apply Lemma 2.1 to the reduced and connected curve  $D$ . □

**Example 2.5.** Fix integers  $s \geq 2, w_i \geq 2, 1 \leq i \leq s$ , and  $s$  collinear points  $P_i \in \mathbb{P}^2, 1 \leq i \leq s$ . Let  $L := \langle\{P_1, P_2\}\rangle$  be the line containing each  $P_i$ . Let  $t$  be the minimal degree of a finite union  $D \subset \mathbb{P}^2$  of distinct lines such that  $w_i P_i \subset D$  for all  $i$ . It is easy to check that  $t = w_1 + \dots + w_s - s + 1$  and that any  $D$  with that degree is the union of  $L$  and, for each  $i \in \{1, \dots, s\}$ ,  $w_i - 1$  lines through  $P_i$  and  $\neq L$ .

### 3. A mild generalization

For any connected zero-dimensional scheme  $Z \subset \mathbb{P}^m$  set  $\varepsilon(Z) := \dim(\langle Z \rangle)$ , i.e. let  $\varepsilon(Z)$  be the dimension of the minimal linear subspace of  $\mathbb{P}^m$  spanned by  $Z$ . If  $Z$  has  $s$  connected components and we fix an ordering  $Z_1, \dots, Z_s$  of them, set  $\underline{\varepsilon}(Z) := (\varepsilon(Z_1), \dots, \varepsilon(Z_s))$  (the  $\varepsilon$ -vector of  $Z$ ). When  $\varepsilon(Z) \neq (m, \dots, m)$  we may improve Theorem 1.2 and prove the following result.

**Theorem 3.1.** Fix  $P \in \mathbb{P}^r, r := \binom{d+m}{m} - 1$ , and any zero-dimensional scheme  $Z \subset \mathbb{P}^m$ , say with width-vector  $\underline{w}(Z) = (w_1, \dots, w_s)$  and  $\varepsilon$ -vector  $\underline{\varepsilon}(Z) = (e_1, \dots,$

$e_s$ ), such that  $P \in \langle v_d(Z) \rangle$  with, say,  $e_i \geq 2$  if and only if  $1 \leq i \leq y$  and  $e_i = 1$  if and only if  $y + 1 \leq i \leq x$ . Then

$$\text{sr}(P) \leq s - x - y + d(x - y) + \sum_{i=1}^y (d - w_i + 1) \binom{e_i + w_i - 2}{e_i - 1} + \sum_{i=1}^y \binom{e_i + w_i - 1}{e_i}.$$

*Proof.* Use the proof of Theorem 1.2 with the following modifications. First assume  $e_i \geq 2$ . The union of lines  $D_i$  through  $P_i$  is contained in an  $e_i$ -dimensional linear subspace  $M_i$  of  $\mathbb{P}^m$ . Apply Lemma 2.2 to  $M_i$  instead of  $\mathbb{P}^m$ . In the case  $e_i = 1$  just use that  $r_{D_i}(O_i) \leq d$  by Lemma 2.1.  $\square$

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