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## **Diophantische Approximationen**

Organised by Yann Bugeaud, Strasbourg Yuri V. Nesterenko, Moscow

April 22nd – April 28th, 2012

ABSTRACT. This Number Theoretic conference was focused on the following subjects: the Littlewood conjecture, simultaneous homogeneous and inhomogeneous Diophantine approximation, geometry of numbers, irrationality, Diophantine approximation in function fields, counting questions in number fields, effective methods for resolution of Diophantine equations

Mathematics Subject Classification (2000): 11-06.

## Introduction by the Organisers

The workshop *Diophantische Approximationen* (Diophantine approximations), organised by Yann Bugeaud (Strasbourg) and Yuri V. Nesterenko (Moscow) was held April 22nd – April 28th, 2012. There have been 26 participants with broad geographic representation. This workshop gathered researchers with various backgrounds. Below we briefly recall the topics discussed, thus outlining some of the modern lines of investigation in Diophantine approximation. We refer the reader to the abstracts for more details.

Loosely speaking Diophantine approximation is a branch of Number Theory that can be described as the study of the solvability of inequalities in integers, though this main theme of the subject is often unbelievably generalized. As an example, one can be interested in rational approximation to irrational numbers. A celebrated open problem in this direction is the Littlewood conjecture, which asserts that, for every real numbers  $\alpha, \beta$  and every positive  $\varepsilon$ , there exists a positive integer q such that

 $q \cdot \|q\alpha\| \cdot \|q\beta\| < \varepsilon.$ 

Here,  $\|\cdot\|$  denotes the distance to the nearest integer. Talks of Badziahin and Harrap were concerned with this problem and some of its variants. Also, Roy, Moshchevitin, Laurent, Beresnevich and German have presented new results on simultaneous homogeneous and inhomogeneous Diophantine approximation.

Another topic of current interest in Diophantine approximation are irrationality and transcendence statements. Major open questions include the status of the Riemann zeta function evaluated at odd integers and of the values of polylogarithms. New advances on these and related problems were presented by Viola, Marcovecchio, Zudilin and Hirata-Kohno.

Diophantine approximation in function fields was presented by Corvaja (estimates for greatest common divisors) and Adamczewski (diagonal of algebraic power series).

Various questions on number fields have been discussed by Widmer (counting algebraic numbers with bounded height and degree), Stewart (counting exceptional units) and Habegger (Northcott's property). Amoroso considered overdetermined systems of lacunary equations from an algorithmic point of view.

Diophantine equations remain a subject of constant interest. Fuchs considered equations of the form f(x) = g(y). Evertse presented new effective upper bounds for the size of solutions to classical Diophantine equations over finitely generated domains. Kovács was interested in fifth powers and almost fifth powers in arithmetic progressions, a problem motivated by a celebrated result of Erdős and Selfridge. Bennett explained how a variety of techniques ranging from Diophantine approximation to modular methods allows one to solve certain families of equations of the form  $f(x, y) = z^p$  in all four variables, where f(x, y) is an homogeneous integer polynomial of degree 3, 4, 6 or 12.

# Workshop: Diophantische Approximationen

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## Abstracts

## Separated-variables equations and related questions CLEMENS FUCHS

In various contexts equations of separated-variables type turn out to be relatively easy to solve and thus it is reasonable to expect that one can say more than in the general case also from the Diophantine point of view, i.e. when looking at  $f(x) - g(y) = 0, f, g \in \mathbb{Z}[X]$ , with  $x, y \in \mathbb{Z}$ . Examples for equations of this type include integral points on elliptic, hyper- and superelliptic equations, but also equations of the form  $\binom{x}{a} = y^b$  for given integers a > 1, b > 1, etc. Observe that the decidability of the finiteness of the number of solutions was solved by Siegel in his famous paper.

It is well-known that one has the following explicit criterion to check if a given equation of separated-variables type has finitely many solutions or not; this statement is known as the [Bilu - Tichy] *criterion*: Let  $f, g \in \mathbb{Q}[X] \setminus \mathbb{Q}$ . The following statements are equivalent:

- f(x) = g(y) has infinitely many integral solutions.
- $\exists \varphi \in \mathbb{Q}[X], \lambda, \mu \in \mathbb{Q}[X]$  linear,  $f_1, g_1 \in \mathbb{Q}[X]$  s.t.
  - 1.  $f = \varphi \circ f_1 \circ \lambda, g = \varphi \circ g_1 \circ \mu,$ 
    - 2.  $f_1(x) = g_1(y)$  has infinitely many integral solutions,

3.  $(f_1, g_1)$  or  $(g_1, f_1)$  is one of the following Type | Explicit form of  $(f_1, f_2)$ 

Ί	ype	Explicit form of $(f, g)$
1.		$(X^q, \alpha X^r v(X)^q)$
2.		$(X^2, (\alpha X^2 + \beta)v(X)^2)$
3.		$(D_s(X, \alpha^t), D_t(X, \alpha^s))$
4.		$(\alpha^{-s/2}D_s(X,\alpha), -\beta^{-t/2}D_t(X,\beta))$
5.		$((\alpha X^2 - 1)^3, 3X^4 - 4X^3)$
$D_{-}(X+)$	$\alpha / X$	$(\alpha) = X^n + (\alpha/X)^n$ are the Dickson r

where  $D_n(X + \alpha/X, \alpha) = X^n + (\alpha/X)^n$  are the Dickson polynomials. In these cases we indeed have infinitely many solutions.

(Very rough) Sketch of the proof: " $\Leftarrow$ ": trivial; " $\Rightarrow$ ":  $\exists E(x,y) | f(x) - g(y)$  irreducible s.t. E(x,y) = 0 has infinitely many solutions  $\Rightarrow E$  is necessarily absolutely irreducible. By Siegel's theorem it follows that E(x,y) = 0 defines a curve with genus 0 and at most two points at infinity. By Fried:  $f = f_1 \circ f_2, g = g_1 \circ g_2$ , deg  $f_1 = \deg g_1$  and  $\exists e$  absolutely irreducible s.t.  $e(x,y)|f_1(x) - g_1(y)$  and  $E(x,y) = e(f_2(x), g_2(y))$ . It follows that  $\deg e \leq 2$  and hence by Bilu  $f_1 = \varphi_1 \circ f_3, g_1 = \varphi_1 \circ g_3$  and either  $e(x,y) = f_3(x) - g_3(y)$ , which then is done by Ritt's 2nd theorem, or  $e(x,y)|f_3(x) - g_3(y)$  and  $f_3(x) = D_n(x + b, a), g_3(y) = -D_n((cx + d) \cos(2\pi/n), a)$ , which then is done by direct arguments. //

Observe that [Fried]'s input to the proof brings a crucial new idea and that it is geometric in nature; it says that one should look at the curve f(x) - g(y) = 0as given by the fiber product of the two covers of  $\mathbb{P}^1$  defined by f(x) - z = 0 and g(y) - z = 0, respectively. In this way one turns the problem into questions in combinatorics and group theory.

We turn to the following question: Is it true that all but finitely many of the solutions satisfy  $f_1(\lambda(x)) = g_1(\mu(y))$ ? In general the answer is no! However, we have:

Theorem ([Bilu - F. - Luca - Pinter]). Yes, unless

- 1.  $(f_1, g_1)$  is of type 1 or 3,  $\varphi(X) = \varphi(-X)$  and almost all solutions satisfy  $f_1(\lambda(x)) = \pm g_1(\mu(y))$ .
- 2.  $(f_1, g_1)$  is of type 1,  $\varphi(X) = \varphi(a X)$  for some  $a \in \mathbb{Q}^*$  and all but finitely many solutions satisfy  $f_1(\lambda(x)) = g_1(\mu(y))$  or  $f_1(\lambda(x)) + g_1(\mu(y)) = a$ . Moreover, n is odd and  $(f_1, g_1) = (X^2, (a/4)D_n(X - 2, 1) + (a/2))$ .

Sketch of the proof: Use Siegel's theorem to prove that almost all solutions of  $\varphi(x) = \varphi(y)$  satisfy x = y or x + y = a (then  $\varphi(X) = \varphi(a - X)$ ). Then use Fried's genus formula and Siegel's theorem to prove that

$$f_1(x) + g_1(y) = a$$

with  $(f_1, g_1)$  a standard pair s.t.  $f_1(x) = g_1(y)$  has infinitely many solutions (and  $a \neq 0, \deg f_1, \deg g_1 \geq 3$  if  $(f_1, g_1)$  is of 1. or 3. kind) has only finitely many solutions. Finally consider cases and use properties of Dickson-polynomials. //

This additional information to the Bilu-Tichy criterion turns out to be useful in applications when one has to exclude standard pairs. In [Bilu - F. - Luca - Pinter] we have applied it to show that certain combinatorial Diophantine equation involving Stirling numbers have only finitely many solutions in integers.

Another question that arises when applying the Bilu-Tichy criterion is the following: Is there a method (i.e. an algorithm) to find for a given polynomial f all decompositions? More generally: Is it possible to describe all composite f's and all their decompositions algorithmically?

Here an example to see what one can expect: Given  $f = X^6 + a_1 X^5 + a_2 X^4 + a_3 X^3 + a_4 X^2 + a_5 X + a_6 \in \mathbb{C}[a_1, \ldots, a_6][X]$  with  $f = g \circ h$ . Clearly, the degree d of h is a divisor of 6. Let e.g. d = 3. We need g, Q, R with  $f = g \circ Q + R$  s.t.  $\deg(f - Q^2) < \deg f/2 = 3$  (such g, Q, R always exist and there is a unique choice). To get a decomposition we must have R = 0 and then all decompositions with h = Q are of degree 3! Ansatz for  $Q = X^3 + b_1 X^2 + b_2 X + b_3 \in \mathbb{C}[b_1, b_2, b_3][X]$ . The condition on the degree gives  $2b_1 = a_1, 2b_2 = a_2 - b_1^2, 2b_3 = a_3 - 2b_1b_2$ . Thus  $R = (a_4 - 2b_1b_3 - b_2^2)X^2 + (a_5 - 2b_2b_3)X, g = X^2 + a_6 - b_3^2$ . R = 0 gives  $a_4 - 2b_1b_3 - b_2^2 = 0, a_5 - 2b_2b_3 = 0$ . In summary we have (this is a reformulation of a result of [Bodin]): Given n. There exist (everything effectively computable)  $J \in \mathbb{N}$  and for all  $1 \leq j \leq J$ :  $\mathcal{V}_j \subseteq \mathbb{A}^{t_j + n/t_j}/\mathbb{Q}$  with  $t_j | n$  and  $F_j, G_j, H_j \in \mathbb{Q}[\mathcal{V}_j][X]$  with  $F_j = G_j \circ H_j$  and  $\deg F_j = n$  s.t.: If  $f = g \circ h \in \mathbb{C}[X]$  with  $\deg f = n$ , then there is a j and  $P \in \mathcal{V}_j(\mathbb{C})$  with  $g(X) = G_j(P, X), h(X) = H_j(P, X)$ , and  $f(x) = F_j(P, X)$ .

The question arises if more is true, e.g. an analogue to polynomials that are lacunary in the sense that its number of terms is fixed. A positive answer along the above lines was given by [Zannier]. So does the same hold for rational functions? A first result in this direction is the following:

**Theorem (**[F. - Zannier]). Given  $\ell$  and f(X) = g(h(X)) = P(X)/Q(X) with  $g, h \in \mathbb{C}(X), P, Q \in \mathbb{C}[X]$  not necessarily coprime and having  $\ell$  terms. If  $h(X) \neq \lambda(aX^n + bX^{-n}), \lambda \in \mathrm{PGL}_2(\mathbb{C}), a, b \in \mathbb{C}, n \in \mathbb{N}$ , then  $\deg g \leq 2016 \cdot 5^{\ell}$ .

Sketch of the proof: We take a "suitable" conjugate  $y \neq x$  of x over  $\mathbb{C}(h)$ , i.e. h(x) = h(y). Since  $f = g(h) \in \mathbb{C}(h)$ , we have f(x) = f(y). It follows P(x)Q(y) - P(y)Q(x) = 0. This is a S-unit equation over  $K = \mathbb{C}(x, y)$  with "few" terms. After normalizing we get for  $z = x^m y^n$ :

$$\frac{2\delta \deg f}{63 \cdot 3^{\ell}} \le [K:\mathbb{C}(z)] \le \binom{\ell^2 - 1}{2} (|S| + 2g_K - 2) \le 2\binom{\ell^2 - 1}{2} \delta^2$$

with  $\delta = [K : \mathbb{C}(x)]$ ; the lower bound follows by the "suitability" of y (the existence is proved by using the theory of function fields, Puiseux-expansion, group and Galois-theory). It follows deg  $f = \deg g \deg h \ll_{\ell} \deg h$  and thus deg  $g \ll_{\ell} 1.//$ 

The last theorem says that if  $f = g \circ h$  with deg g large and h not "special", then f necessarily has many terms; it is a first step toward a classification that we expect also to hold for composite rational functions.

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## On the coefficients of linear forms in polylogarithms CARLO VIOLA

If an *n*-dimensional integral  $(n \ge 1)$  of a rational function over the product of *n* paths having distinct endpoints in  $\mathbb{C}$  represents a linear form in zeta-values or in polylogarithms, then the *n*-fold contour integral of the same rational function around the poles yields the leading coefficient of the linear form. Several special

instances of this principle are known, though we miss a general proof of it. We quote e.g. the following, partially proved in [4], Theorem 3.1:

For any  $n \ge 2$  and any non-negative integers  $h_1, \ldots, h_n; j_1, \ldots, j_n; k$  such that  $h_r + k - h_1 \ge 0$   $(r = 2, \ldots, n - 1)$  we have

(1) 
$$\int_{0}^{1} \cdots \int_{0}^{1} \frac{x_{1}^{h_{1}}(1-x_{1})^{j_{1}} \cdots x_{n}^{h_{n}}(1-x_{n})^{j_{n}}}{\left(1-(1-x_{1}\cdots x_{n-1})x_{n}\right)^{j_{n}+h_{1}-k+1}} \, \mathrm{d}x_{1}\cdots \mathrm{d}x_{n}$$
$$= a_{1}+a_{2}\zeta(2)+\cdots+a_{n-1}\zeta(n-1)+a_{n}(n-1)\zeta(n),$$

where  $a_1, a_2, \ldots, a_{n-1} \in \mathbb{Q}$  with controlled denominators and  $a_n \in \mathbb{Z}$  (if  $n \geq 3$  and  $h_n + j_n \leq j_1 + \cdots + j_{n-1} + n - 3$  then  $a_2 = 0$ ). Moreover the integer  $a_n$  has the following *n*-fold contour integral representation:

(2) 
$$a_n = \frac{1}{(2\pi i)^n} \oint_{|x_1|=\varrho_1} \cdots \oint_{|x_{n-2}|=\varrho_{n-2}} \oint_{|x_{n-1}-\frac{1}{x_1\cdots x_{n-2}}|=\varrho_{n-1}} \oint_{|x_n-\frac{1}{1-x_1\cdots x_{n-1}}|=\varrho_n} \frac{x_1^{h_1}(1-x_1)^{j_1}\cdots x_n^{h_n}(1-x_n)^{j_n}}{(1-(1-x_1)\cdots x_{n-1})x_n)^{j_n+h_1-k+1}} dx_1\cdots dx_n$$

for any  $\rho_1, \ldots, \rho_n > 0$ .

In order to prove the irrationality of  $\zeta(n)$ , suitable Q-linear combinations only of 1 and  $\zeta(n)$  are required. Therefore it is desirable to have further information on the coefficients of  $\zeta(2), \ldots, \zeta(n-1)$  in linear forms such as (1). A difficult and interesting problem is the search for integral representations of such intermediate coefficients. This appears to be related with some simultaneous Padé approximation problems to polylogarithms  $\operatorname{Li}_j(1/t)$  for  $t \to \infty$ , and to powers of log t for  $t \to 1$ . In [1] Beukers proves the existence, for any  $d \ge 0$ , of polynomials P(t), Q(t) and R(t) of degrees  $\le d$  such that

(3) 
$$\begin{cases} P(t) + Q(t)\operatorname{Li}_1(1/t) + R(t)\operatorname{Li}_2(1/t) = O(t^{-d-1}) & (t \to \infty) \\ -Q(t) & + R(t)\log t & = O((t-1)^{d+1}) & (t \to 1). \end{cases}$$

Beukers' result was extended in [2] by Fischler and Rivoal, who prove the existence, for any integers  $n \ge 2$  and  $d \ge 0$ , of polynomials  $P_0(t), P_1(t), \ldots, P_n(t) \in \mathbb{Q}[t]$  of degrees  $\le d$  satisfying

(4) 
$$\begin{cases} P_0(t) + \sum_{j=1}^n P_j(t) \operatorname{Li}_j(1/t) = O(t^{-d-1}) & (t \to \infty) \\ \sum_{j=1}^n (-1)^{j-1} P_j(t) \frac{\log^{j-1} t}{(j-1)!} = O((t-1)^{(n-1)(d+1)}) & (t \to 1). \end{cases}$$

I recently found explicit integral representations for linear forms in polylogarithms  $\text{Li}_j(1/t)$ , for polynomials in log t and for their coefficients, related to Padétype approximation problems similar to the above. An example connected with 1 1

Beukers' problem (3) is the following, which can partially be found in [3], Theorem 2.1.

Let  $t \in \mathbb{R}$ , t > 1, and let  $h, j, k, l, m \ge 0$  be integers. Define four double integrals (depending on h, j, k, l, m) as follows:

$$\begin{split} I_t^{(0,0)} &= t^{-l-m} \int_0^1 \int_0^1 \frac{x^j (1-x)^h y^k (1-y)^l}{\left(x(1-y)+yt\right)^{j+k-m+1}} \, \mathrm{d}x \, \mathrm{d}y, \\ I_t^{(0,1)} &= t^{-l-m} \int_0^1 \left(\frac{1}{2\pi i} \oint_{\substack{|y-\frac{x}{x-t}|=\varrho}} \frac{x^j (1-x)^h y^k (1-y)^l}{\left(x(1-y)+yt\right)^{j+k-m+1}} \, \mathrm{d}y\right) \, \mathrm{d}x, \\ I_t^{(1,0)} &= t^{-l-m} \frac{1}{2\pi i} \oint_{\substack{|x-t|=\sigma}} \left(\int_0^1 \frac{x^j (1-x)^h y^k (1-y)^l}{\left(x(1-y)+yt\right)^{j+k-m+1}} \, \mathrm{d}y\right) \, \mathrm{d}x, \\ I_t^{(1,1)} &= t^{-l-m} \frac{1}{2\pi i} \oint_{\substack{|x-t|=\sigma}} \left(\frac{1}{2\pi i} \oint_{\substack{|y-\frac{x}{x-t}|=\varrho}} \frac{x^j (1-x)^h y^k (1-y)^l}{\left(x(1-y)+yt\right)^{j+k-m+1}} \, \mathrm{d}y\right) \, \mathrm{d}x, \end{split}$$

and let  $\alpha = \max\{j + k, k + l, l + m\}, \beta = \max\{0, k + l - h\}$ . With the above integrals one can associate the following linear polynomials in log t:

$$I_t^{(0)} = I_t^{(0,0)} - I_t^{(0,1)} \log t, \qquad I_t^{(1)} = I_t^{(1,0)} - I_t^{(1,1)} \log t.$$

Then we have

(5) 
$$t^{\alpha}(t-1)^{\beta}I_{t}^{(0)} = P(t) + R(t)\operatorname{Li}_{2}(1/t),$$

where  $P(t), R(t) \in \mathbb{Q}[t]$  are polynomials with controlled degrees and denominators. Moreover

$$I_t^{(1,0)} = 0, \qquad R(t) = -t^{\alpha}(t-1)^{\beta}I_t^{(1,1)},$$

so that the leading coefficient R(t) of the linear form (5) has the expected representation as a double contour integral. Also

$$\begin{cases} t^{\alpha}(t-1)^{\beta}I_{t}^{(0)} = P(t) + Q(t)\operatorname{Li}_{1}(1/t) + R(t)\operatorname{Li}_{2}(1/t) \\ t^{\alpha}(t-1)^{\beta}I_{t}^{(1)} = -Q(t) + R(t)\log t, \end{cases}$$

with Q(t) = 0, is a solution to a Padé-type approximation problem similar to (3). By estimating  $I_t^{(0)}$  and  $I_t^{(1,1)}$ , and hence the linear form (5) and its leading coefficient R(t), one gets the best known irrationality measures of  $\text{Li}_2(1/t)$  for rational t (see [3]).

The above construction can be extended to polylogarithms. For simplicity I state it for trilogarithms, with the following theorem.

Let  $t \in \mathbb{R}$ , t > 1, and let  $h, j, k, l, m, p, q, r, s, w \ge 0$  be integers satisfying j + s = m + r. Similarly to the above two-dimensional case, define eight triple

integrals:

$$I_t^{(\delta,\varepsilon,\eta)} = t^{-l-q-s} \\ \times \int_{[\delta]} \left( \int_{[\varepsilon]} \left( \int_{[\eta]} \frac{x^j (1-x)^h y^k (1-y)^l z^p (1-z)^q (t-x)^{w+1}}{\left(x(1-y)+yt\right)^{j+k-m+1} \left(x(1-z)+zt\right)^{j+p-r+1}} \, \mathrm{d}z \right) \mathrm{d}y \right) \mathrm{d}x,$$

where  $\delta, \varepsilon, \eta$  are either 0 or 1, and where  $\int_{[0]} \text{means } \int_0^1$ , and  $\int_{[1]} \cdots dx$  (resp. dy, dz) means  $\frac{1}{2\pi i} \oint_{|x-t|=\sigma} \cdots dx$  (resp.  $\frac{1}{2\pi i} \oint_{|y-x/(x-t)|=\varrho} \cdots dy$ ,  $\frac{1}{2\pi i} \oint_{|z-x/(x-t)|=\tau} \cdots dz$ ), for any small  $\varrho, \sigma, \tau > 0$ .

Let  $\alpha = \max\{k+q+r, l+q+s, j+k+p, l+m+p, k+l+p+q-w\}, \beta = \max\{0, k+l+p+q-w-h\}, \text{ and let }$ 

$$I_t^{(0)} = I_t^{(0,0,0)} - \left(I_t^{(0,0,1)} + I_t^{(0,1,0)}\right)\log t + I_t^{(0,1,1)}\log^2 t,$$
  

$$I_t^{(1)} = I_t^{(1,0,0)} - \left(I_t^{(1,0,1)} + I_t^{(1,1,0)}\right)\log t + I_t^{(1,1,1)}\log^2 t.$$

Then

$$t^{\alpha}(t-1)^{\beta}I_{t}^{(0)} = P(t) + R(t)\operatorname{Li}_{2}(1/t) + S(t)\operatorname{2Li}_{3}(1/t),$$

with  $P(t), R(t), S(t) \in \mathbb{Q}[t]$  polynomials with controlled degrees and denominators. Moreover

$$I_t^{(1,0,0)} = 0, \quad R(t) = -t^{\alpha}(t-1)^{\beta} \left( I_t^{(1,0,1)} + I_t^{(1,1,0)} \right), \quad S(t) = -t^{\alpha}(t-1)^{\beta} I_t^{(1,1,1)},$$

so that

$$\begin{cases} t^{\alpha}(t-1)^{\beta}I_{t}^{(0)} = P(t) + Q(t)\operatorname{Li}_{1}(1/t) + R(t)\operatorname{Li}_{2}(1/t) + S(t)\operatorname{2Li}_{3}(1/t) \\ t^{\alpha}(t-1)^{\beta}I_{t}^{(1)} = -Q(t) + R(t)\log t - S(t)\log^{2}t, \end{cases}$$

with Q(t) = 0, is a solution to a Padé-type approximation problem similar to (4) for n = 3.

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# Rational approximation to real points on plane algebraic curves DAMIEN ROY

There are many ways in which one can measure how well a real point  $\underline{\xi} = (\xi_1, \ldots, \xi_n) \in \mathbb{R}^n$  can be approximated by rational points from  $\mathbb{Q}^n$ . In this report, we deal with the quantity  $\lambda(\underline{\xi})$  defined as the supremum of all  $\lambda \geq 0$  such that the system of inequalities

 $|x_0| \le X$ ,  $|x_0\xi_1 - x_1| \le X^{-\lambda}$ , ...,  $|x_0\xi_n - x_n| \le X^{-\lambda}$ 

admits a non-zero solution  $\mathbf{x} = (x_0, x_1, \ldots, x_n) \in \mathbb{Z}^{n+1}$  for each sufficiently large X > 1. For such a solution with  $x_0 \neq 0$ , the point  $(x_1/x_0, \ldots, x_n/x_0) \in \mathbb{Q}^n$  is indeed an approximation to  $\underline{\xi}$ . An application of Dirichlet box principle yields  $\lambda(\underline{\xi}) \geq 1/n$  for any  $\underline{\xi} \in \mathbb{R}^n$ , while metrical arguments show that  $\lambda(\underline{\xi}) = 1/n$  for all  $\underline{\xi} \in \mathbb{R}^n$  outside of a set of Lebesgue measure zero. So, the points  $\underline{\xi} \in \mathbb{R}^n$  with  $\lambda(\underline{\xi}) > 1/n$  are somewhat exceptional. They are those for which one can do much better than predicted by the box principle in approximating  $\underline{\xi}$  in the above sense. A trivial situation in which this happens is when  $1, \xi_1, \ldots, \xi_n$  are linearly dependent over  $\mathbb{Q}$ . Then, upon denoting by s the dimension of the vector subspace of  $\mathbb{R}$  spanned over  $\mathbb{Q}$  by these numbers, we find that  $\lambda(\underline{\xi}) \geq 1/(s-1)$ . Finally, the exponent  $\lambda(\underline{\xi})$  is interesting only when  $n \geq 2$  because, in the case n = 1, we have  $\lambda(\underline{\xi}) = 1$  for each irrational real number  $\underline{\xi}$ .

Our work is motivated by the following result [1] where  $\gamma := (1 + \sqrt{5})/2 = 1.618...$  stands for the Golden ratio.

**Theorem 1** (Davenport and Schmidt, 1969). Let  $\xi \in \mathbb{R}$  with  $1, \xi, \xi^2, \ldots, \xi^n$  linearly independent over  $\mathbb{Q}$ . Then

$$\lambda(\xi, \xi^2, \dots, \xi^n) \le \lambda_n := \begin{cases} 1/\gamma \cong 0.618 & \text{if } n = 2, \\ 1/2 & \text{if } n = 3, \\ 1/\lfloor n/2 \rfloor & \text{if } n \ge 4. \end{cases}$$

In [2], M. Laurent showed that this estimate remains true with  $\lambda_n = 1/\lceil n/2 \rceil$ for each  $n \geq 3$ . In the case n = 3, the best known estimate up to now is  $\lambda_3 \leq (1 + 2\gamma - \sqrt{1 + 4\gamma^2})/2 \approx 0.4245$  (see [7]). A consequence of Theorem 1 and the starting point of the paper [1] of Davenport and Schmidt is the fact that, for any  $\xi$  as in Theorem 1 and any  $\tau < 1 + (1/\lambda_n)$ , there are infinitely many algebraic integers  $\alpha$  of degree  $\leq n + 1$  such that  $|\xi - \alpha| \leq H(\alpha)^{-\tau}$  where  $H(\alpha)$  stands for the naive height of  $\alpha$  namely the largest absolute value of the coefficients of its irreducible polynomial over  $\mathbb{Z}$ . Thus, if one could prove for example that the optimal value for  $\lambda_n$  is close to 1/n, then the above would hold with  $\tau$  close to n and this would represent a major progress towards the problem of Wirsing. However, at present, we only know that, for n = 2, the value  $\lambda_2 = 1/\gamma$  is optimal and that, in the corresponding result of approximation by cubic algebraic integers, the condition  $\tau < 1 + \gamma$  cannot be strengthened [4, 5, 6].

The points  $(\xi, \xi^2, \ldots, \xi^n)$  with  $\xi \in \mathbb{R}$  form an irreducible closed algebraic subset  $\mathcal{C}$  of  $\mathbb{R}^n$  of dimension one defined over  $\mathbb{Q}$ . The optimal value for  $\lambda_n$  which we are

looking for is simply the supremum of  $\lambda(\xi, \ldots, \xi^n)$  over all points  $(\xi, \ldots, \xi^n)$  of  $\mathcal{C}$  whose coordinates together with 1 are linearly independent over  $\mathbb{Q}$ . Thus it is natural to extend the problem to all algebraic curves of that sort, in the hope that, in the process, we can gain new ideas that will help solve the initial question. Since it is easier to work with projective curves, we first recall that the above notion of exponent of approximation extends naturally to points of the projective space  $\mathbb{P}^n(\mathbb{R})$ . For such a point  $\Xi = (\xi_0 : \xi_1 : \cdots : \xi_n)$ , the exponent  $\lambda(\Xi)$  is defined as the supremum of all  $\lambda \geq 0$  such that

$$\|\mathbf{x}\| \le X, \qquad \|\mathbf{x} \land (\xi_0, \dots, \xi_n)\| \le X^{-\lambda}$$

has a solution  $\mathbf{x} \in \mathbb{Z}^{n+1} \setminus \{0\}$  for each sufficiently large  $X \ge 1$ . Then, for any  $(\xi_1, \ldots, \xi_n) \in \mathbb{R}^n$ , we have

$$\lambda(1:\xi_1:\cdots:\xi_n)=\lambda(\xi_1,\ldots,\xi_n)$$

Definition. Let  $\mathcal{C}$  be a closed algebraic subset of  $\mathbb{P}^n(\mathbb{R})$  of dimension 1, defined over  $\mathbb{Q}$  and irreducible over  $\mathbb{Q}$ . Suppose that  $\mathcal{C}$  is not contained in a proper linear subspace of  $\mathbb{P}^n(\mathbb{R})$  defined over  $\mathbb{Q}$ , and let  $\mathcal{C}^{li}$  denote the set of points  $\Xi$  in  $\mathcal{C}$  which admit a set of  $\mathbb{Q}$ -linearly independent homogeneous coordinates. Then, we set

$$\lambda(\mathcal{C}) := \sup\{\lambda(\Xi) ; \Xi \in \mathcal{C}^{li}\}.$$

The first result on which we want to report is the fact that  $\lambda(\mathcal{C}) = 1/\gamma$  for each conic  $\mathcal{C}$  in  $\mathbb{P}^2(\mathbb{R})$  that is defined and irreducible over  $\mathbb{Q}$ . More precisely, we have the following statement [8].

**Theorem 2.** Let  $\varphi \in \mathbb{Q}[x_0, x_1, x_2]$  be irreducible and homogeneous of degree 2. Suppose that the set  $\mathcal{C}$  of zeros of  $\varphi$  in  $\mathbb{P}^2(\mathbb{R})$  is infinite. Then:

- (a)  $\lambda(\Xi) \leq 1/\gamma$  for any  $\Xi \in \mathcal{C}^{li}$ ,
- (b)  $\{\Xi \in \mathcal{C}^{li}; \lambda(\Xi) = 1/\gamma\}$  is a countably infinite set.

For example, for  $\varphi = x_0 x_2 - x_1^2$ , we find  $\mathcal{C} = \{(1:\xi:\xi^2); \xi \in \mathbb{R}\} \cup \{(0:0:1)\}$ . Thus

$$\mathcal{C}^{li} = \{ (1:\xi:\xi^2) ; \xi \in \mathbb{R}, \ [\mathbb{Q}(\xi):\mathbb{Q}] > 2 \}$$

and we recover in (a) the result of Davenport and Schmidt mentioned above for the case n = 2, while (b) is essentially the main result of [6].

Another example is provided by the zero set C of  $\varphi = 2x_0^2 - x_1^2$ , for which

$$\mathcal{C}^{li} = \{ (1:\pm\sqrt{2}:\xi) \, ; \, \xi \in \mathbb{R} \setminus \mathbb{Q}(\sqrt{2}) \}.$$

According to Theorem 2, we have  $\lambda(\mathcal{C}) = 1/\gamma$ . However, the main result of [7] is slightly more precise than Theorem 2 and yields:

(a) For any  $\xi \in \mathbb{R} \setminus \mathbb{Q}(\sqrt{2})$ , there exists  $c = c_1(\xi) > 0$  such that the inequalities

(1) 
$$|x_0| \le X, |x_0\sqrt{2} - x_1| \le cX^{-1/\gamma}, |x_0\xi - x_2| \le cX^{-1/\gamma}$$

have no solution  $(x_0, x_1, \underline{x}_2) \in \mathbb{Z}^3 \setminus \{0\}$  for arbitrarily large values of X.

(b) There exists  $\xi \in \mathbb{R} \setminus \mathbb{Q}(\sqrt{2})$  and  $c = c_2(\xi) > 0$  such that (1) have a solution for each  $X \ge 1$ . The set of these numbers  $\xi$  is countably infinite.

Theorem 2 exhausts the set of all curves C for which we are able to compute  $\lambda(C)$  at present. However, the last example suggests the problem of determining

$$\sup\{\lambda(\omega_1:\cdots:\omega_n:\xi)\,;\,\xi\in\mathbb{R}\setminus K\}$$

where K is a number field of degree n over  $\mathbb{Q}$  and  $(\omega_1, \ldots, \omega_n)$  is a basis of K over  $\mathbb{Q}$ . In joint work with Stéphane Lozier [3], we prove the following estimate.

**Theorem 3** (with S. Lozier). For any  $\xi \in \mathbb{R}$  such that  $1, \xi, \xi^3$  are linearly independent over  $\mathbb{Q}$ , we have

$$\lambda(1:\xi:\xi^3) \le \frac{2(9+\sqrt{11})}{35} = 0.7038\dots$$

*i.e.* the cubic  $\mathcal{C}: x_0^2 x_2 - x_1^3 = 0$  in  $\mathbb{P}^2(\mathbb{R})$  has  $\lambda(\mathcal{C}) \leq 0.7038...$ 

The upper bound for  $\lambda(1:\xi:\xi^3)$  in the above result is not optimal and the method that we describe in [3] allows to reduce it, possibly down to  $\lambda(1:\xi:\xi^3) \leq (1+3\sqrt{5})/2 \approx 0.7007$  but we have not been able to go this far.

The proof of Theorem 2 is based on ideas of [1, 6]. A conic as in the statement of this theorem contains either infinitely many points of  $\mathbb{P}^2(\mathbb{Q})$ , or at most one such point. In the first case, it can be transformed into the conic with equation  $x_0x_2 - x_1^2 = 0$  by a linear automorphism of  $\mathbb{P}^2(\mathbb{R})$  defined over  $\mathbb{Q}$ . As the exponent  $\lambda$  is invariant under such transformation, the conclusion of the theorem follows immediately from [1, 6] in that case. In the complementary case, some simplifications occur in the proof of Part (a) due to the finiteness of the set of rational points on the conic  $\mathcal{C}$ . However, the construction of "extremal" points for Part (b) requires additional arguments with respect to [6].

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# Effective results for Diophantine equations over finitely generated domains

JAN-HENDRIK EVERTSE

(joint work with Attila Bérczes, Kálmán Győry)

Let  $A = \mathbb{Z}[z_1, \ldots, z_q] \supset \mathbb{Z}$  be an integral domain which is finitely generated over  $\mathbb{Z}$ . Then

$$A \cong \mathbb{Z}[X_1, \dots, X_r]/(f_1, \dots, f_s),$$

where  $f_1, \ldots, f_s$  is a system of generators for the ideal of  $f \in \mathbb{Z}[X_1, \ldots, X_r]$  with  $f(z_1, \ldots, z_r) = 0$ . We want to give effective finiteness results for certain classes of Diophantine equations with unknowns taken from the domain A.

To state our results, we need some terminology. Given  $a \in A$ , we call  $\tilde{a} \in \mathbb{Z}[X_1, \ldots, X_r]$  a representative for a if  $\tilde{a}(z_1, \ldots, z_s) = a$ . There exist algorithms with which one can decide for given  $f, f_1, \ldots, f_s \in \mathbb{Z}[X_1, \ldots, X_r]$  whether  $f \in (f_1, \ldots, f_s)$  (see Simmons [13, 1970], Aschenbrenner [1, 2004]). With the help of this, one can decide effectively whether two polynomials  $f, g \in \mathbb{Z}[x_1, \ldots, X_r]$  represent the same element of A.

For  $f \in \mathbb{Z}[X_1, \ldots, X_r]$ , let deg f denote its total degree and h(f) its logarithmic height (i.e., the maximum of the logarithms of the absolute values of its coefficients), and define its size  $s(f) := \max(1, \deg f, h(f))$ . Then we define the size of  $x \in A$  by the minimum of the quantities  $s(\tilde{x})$ , taken over all representatives  $\tilde{x} \in \mathbb{Z}[X_1, \ldots, X_r]$  for x.

Notice that if  $F \in A[Y_1, \ldots, Y_t]$  is a polynomial with coefficients in A, and we are given  $\widetilde{F} \in \mathbb{Z}[X_1, \ldots, X_r][Y_1, \ldots, Y_t]$  whose coefficients represent those of F, then in order to determine effectively all solutions of the equation  $(*) \quad F(y_1, \ldots, y_t) = 0$  in  $y_1, \ldots, y_t \in A$ , it suffices to give a number C such that  $\max_i s(y_i) \leq C$  for all solutions  $(y_1, \ldots, y_t)$  of (\*). Indeed, one simply needs to check for all polynomials  $\widetilde{y_1}, \ldots, \widetilde{y_t} \in \mathbb{Z}[X_1, \ldots, X_r]$  of size  $\leq C$  whether  $\widetilde{F}(\widetilde{y_1}, \ldots, \widetilde{y_t}) \in (f_1, \ldots, f_s)$ .

Recently, Győry and the author [8, 2011] proved the following result on unit equations over A in two unknowns:

Let a, b, c be non-zero elements of A and let be given representatives  $\tilde{a}, \tilde{b}, \tilde{c}$  for a, b, c. Suppose that  $f_1, \ldots, f_s$  and  $\tilde{a}, \tilde{b}, \tilde{c}$  have total degrees at most d and logarithmic heights at most h where  $d, h \geq 1$ . Then for the solutions x, y of

$$ax + by = c$$
 in  $x, y \in A^*$ 

we have

$$s(x), s(x^{-1}), s(y), s(y^{-1}) \le \exp\left\{(2d)^{\kappa^{r}}(h+1)\right\}$$

where  $\kappa$  is an effectively computable absolute constant.

The method of proof of this result can be applied to other classes of Diophantine equations as well. To illustrate this, we give some effective results for Thue equations and hyper- and superelliptic equations over A, obtained jointly with Bérczes and Győry. We always use  $\kappa$  to denote an effectively computable absolute constant, but at each occurrence, its value may be different. Let  $F(X,Y) = a_0 X^n + a_1 X^{n-1} Y + \dots + a_0 Y^n \in A[X,Y]$  be a binary form of degree  $n \ge 3$  without multiple factors, and let  $b \in A \setminus \{0\}$ . Consider the equation

(1) 
$$F(x,y) = b \text{ in } x, y \in A.$$

Baker [2, 1968] gave in the case  $A = \mathbb{Z}$  an effective proof that (1) has only finitely many solutions. This was extended by Coates [7, 1968/69] to the case  $A = \mathbb{Z}[(p_1 \cdots p_t)^{-1}]$  where the  $p_i$  are distinct primes and by Kotov and Sprindzhuk [10, 1973] to the case that A is the ring of S-integers in a number field. Győry [9, 1983] extended this effective finiteness result further to integral domains of the special shape  $\mathbb{Z}[z_1, \ldots, z_q, w, g^{-1}]$ , where  $z_1, \ldots, z_q$  are algebraically independent, w is integral over  $A_0 := \mathbb{Z}[z_1, \ldots, z_q]$ , and  $g \in A_0$ . In his proof, Győry developed a specialization method, which we managed to extend to arbitrary finitely generated domains. This led to the following general result for Thue equations. As before, A is an integral domain containing  $\mathbb{Z}$ , isomorphic to  $\mathbb{Z}[X_1, \ldots, X_r]/(f_1, \ldots, f_s)$ .

**Theorem 1 (Bérczes, E., Győry).** Let  $\tilde{a_0}, \ldots, \tilde{a_n}, \tilde{b}$  be representatives for the coefficients  $a_0, \ldots, a_n$  of F and of b, and assume that these representatives, as well as  $f_1, \ldots, f_s$ , have total degrees  $\leq d$  and logarithmic heights at most h. Then for the solutions of (1) we have

$$s(x), s(y) \le \exp\left\{(n!)^3 n^5 (2d)^{\kappa^r} (h+1)\right\}.$$

Now let  $F(X) = a_0 X^n + a_1 X^{n-1} + \dots + a_n \in A[X], b \in A \setminus \{0\}, m \in \mathbb{Z}_{\geq 2}$  and consider the hyper-/superelliptic equation

(2) 
$$by^m = F(x) \text{ in } x, y \in A.$$

Assume that F has no multiple roots, and that F has degree  $n \geq 3$  if m = 2and degree  $n \geq 2$  if  $m \geq 3$ . Again Baker[3, 1969] was the first to give an effective finiteness proof for the set of solutions of (2), in the case  $A = \mathbb{Z}$ . This was extended by Brindza [4, 1984] to the case that A is the ring of S-integers of a number field, and further[6, 1989] to the special class of finitely generated domains mentioned above considered by Győry. In the case  $A = \mathbb{Z}$ , Schinzel and Tijdeman [12, 1976] proved that if (2) has a solution  $x, y \in \mathbb{Z}$  with  $y \neq 0, \pm 1$ , then m is bounded above by an effectively computable number depending only on F and b. Brindza [5, 1987] extended this to the case that A is the ring of S-integers in a number field, and Végső [14, 1994] to the class of domains considered by Győry.

**Theorem 2 (Bérczes, E., Győry).** Let  $\tilde{a_0}, \ldots, \tilde{a_n}, \tilde{b}$  be representatives for the coefficients  $a_0, \ldots, a_n$  of F and of b, and assume that these representatives, as well as  $f_1, \ldots, f_s$ , have total degrees  $\leq d$  and logarithmic heights at most h. Then for the solutions of (2) we have

$$s(x), s(y) \le \exp\left\{m^2 n^5 (2d)^{\kappa^r} (h+1)\right\}.$$

Further, if (2) has a solution  $x, y \in A$  with y not equal to 0 or to a root of unity, then

$$m \le \exp\left\{n^5 (2d)^{\kappa^r} (h+1)\right\}$$

We sketch the proof of Theorem 1; the proof of Theorem 2 is essentially similar. Let as before  $A = \mathbb{Z}[z_1, \ldots, z_r] \supset \mathbb{Z}$  be an integral domain. Assume that  $z_1, \ldots, z_q$  are linearly independent, and that  $z_{q+1}, \ldots, z_r$  are algebraic over  $K_0 := \mathbb{Q}(z_1, \ldots, z_q)$ . Choose  $w \in A$  integral over  $A_0 := \mathbb{Z}[z_1, \ldots, z_q]$  and choose  $g \in A_0$  such that  $A \subseteq B := \mathbb{Z}[z_1, \ldots, z_q, w, g^{-1}]$ . Assume that w has degree D over  $K_0$ . Given  $\mathbf{u} = (u_1, \ldots, u_q) \in \mathbb{Z}^q$  with  $g(\mathbf{u}) \neq 0$ , we can define a specialization homomorphism  $\varphi_{\mathbf{u}} : B \to \mathbb{Q}$  by mapping  $z_i$  to  $u_i$  for  $i = 1, \ldots, q$ . Then  $\varphi_{\mathbf{u}}$  maps the Thue equation (1) over A to a Thue equation  $(\mathbf{1}_{\mathbf{u}})$  over the ring of  $S_{\mathbf{u}}$ -integers  $O_{S_{\mathbf{u}}}$  in a number field  $K_{\mathbf{u}}$ , where both the number field  $K_{\mathbf{u}}$  and the set of places  $S_{\mathbf{u}}$  may depend on  $\mathbf{u}$ .

Now let  $x, y \in A$  be a solution of (1). We can express x as  $\sum_{i=0}^{D-1} P_i w^i / Q$ , where  $P_0, \ldots, P_{D-1}, Q \in \mathbb{Z}[z_1, \ldots, z_q]$ . Using Mason's effective result for Thue equations over function fields [11, 1984] one can estimate the degrees of  $P_0, \ldots, P_{D-1}, Q$ . By applying Baker's method to the Thue equations  $(1_{\mathbf{u}})$  for 'many'  $\mathbf{u} \in \mathbb{Z}^q$ , and then using linear algebra, one can estimate the coefficients of the  $P_i$  and Q. Up to this point, this outlines Győry's specialization method mentioned above. Using a recent effective result by Aschenbrenner [1, 2004] for systems of inhomogeneous linear equations over polynomial rings over  $\mathbb{Z}$ , one can estimate the size s(x) of x in terms of the total degrees and heights of the  $P_i$  and Q. The size s(y) of the other unknown is estimated in the same way.

The above method of proof can by applied to various other classes of Diophantine equations. we would like to finish with an open problem. Consider the Thue-Mahler equation over an arbitrary finitely generated domain A,

(3) 
$$F(x,y) \in A^* \text{ in } x, y \in A,$$

where  $F \in A[X, Y]$  is a binary form of degree  $\geq 3$  without multiple factors. One can show that (3) has finitely many solutions  $(x_1, y_1), \ldots, (x_l, y_l)$ , such that every other solution of (3) is expressable in the form  $u(x_i, y_i)$  with  $u \in A^*$ ,  $i \in \{1, \ldots, l\}$ . Given an arbitrary finitely generated domain A, can one determine such  $(x_i, y_i)$ effectively?

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# On three problems in Diophantine approximation

NIKOLAY MOSHCHEVITIN

We discuss three classes of problems in Diophantine approximation.

The first one is related to W.M. Schmidt's question concerning Diophantine approximation with positive integers. Recently we constructed a counterexample to a conjecture by W.M. Schmidt by proving that there exist two algebraically independent real numbers  $\theta_1, \theta_2$  such that

$$\inf_{m_1,m_2 \in \mathbb{Z}_+} \max(m_1,m_2)^{\sigma} \cdot ||m_1\theta_1 + m_2\theta_2|| > 0$$

with  $\sigma = 1.94696^+$ . There are different open questions concerning various inequalities involving Diophantine exponents for ordinary and uniform Diophantine approximations and for approximations with positive integers.

The second class of problems deals with Jarník's inequalities for uniform and ordinary Diophantine exponents  $\hat{\omega}$  and  $\omega$ . Recently W.M. Schmidt and L. Summerer got an important result in Geometry of Numbers. This result enables one to improve old Jarník's theorem in the general case. We have better results in the case of simultaneous approximations to three real numbers and in the case of one linear form in three variables.

The third class of problems deals with Minkowski question mark function ?(x). The simplest problem is as follows. *How many solutions has the equation* ?(x) = x?

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#### Inhomogeneous approximation and lattice orbits

MICHEL LAURENT

(joint work with Arnaldo Nogueira)

Our starting point is the celebrated Minkowski Theorem on inhomogeneous approximation.

**Theorem 1** (Minkowski). Let  $\xi$  be an irrational real number  $\xi$  and let y be a real number not belonging to  $\mathbb{Z}\xi + \mathbb{Z}$ . There exist infinitely many pairs of integers p, q such that

$$|q\xi + p - y| \le \frac{1}{4|q|}.$$

Minkowski Theorem holds for every real point  $(\xi, y)$  as above. An other classical related result is the following metrical statement due to Cassels which is valid only for almost every point  $(\xi, y)$ . Note that no monotony condition is assumed for the approximating function  $\psi$ .

**Theorem 2** (Cassels). Let  $\psi : \mathbb{N} \mapsto [0, 1/2]$  be a function such that

$$\sum_{\ell \ge 1} \psi(\ell) = +\infty.$$

Then, for almost all pairs  $(\xi, y)$  of real numbers there exist infinitely many integer points (p, q) such that

(1) 
$$|q\xi + p - y| \le \psi(|q|).$$

The goal of the talk is to discuss similar results where we moreover require that the pairs of integers p, q be coprime. In this direction, Chalk and Erdős [1] have proved the following statement:

**Theorem 3** (Chalk & Erdős). Let  $\xi$  be an irrational real number and let y be a real number. There exists an absolute constant c such that the inequality

(2) 
$$|q\xi + p - y| \le \frac{c(\log|q|)^2}{|q|(\log\log|q|)^2}$$

holds for infinitely many pairs of coprime integers (p, q).

The optimality of the Chalk-Erdős Theorem remains unclear. We address the following

**Problem.** Can we replace the approximating function  $\psi(\ell) = c(\log \ell)^2 / \ell(\log \log \ell)^2$ occurring in (2) by a smaller one, possibly  $\psi(\ell) = c \ell^{-1}$ ?

Putting now  $\psi(\ell) = c\ell^{-1/2}$  and using our results [2] on effective density for  $SL(2,\mathbb{Z})$ -orbits in  $\mathbb{R}^2$ , we construct in [3] pairs of solutions of (1) forming a matrix of determinant one.

**Theorem 4.** Let  $\xi$  be an irrational real number and let y be a real number. There exist infinitely many integer quadruples  $(p_1, q_1, p_2, q_2)$  satisfying

$$q_1 p_2 - p_1 q_2 = 1$$

and

(3) 
$$|q_i\xi + p_i - y| \le \frac{c}{\max(|q_1|, |q_2|)^{1/2}} \le \frac{c}{\sqrt{|q_i|}}, \quad (i = 1, 2),$$

with  $c = 2\sqrt{3} \max(1, |\xi|)^{1/2} |y|^{1/2}$ .

The estimate (3) is best possible, up to the value of the constant c.

Concerning metrical results analogue to Theorem 2, we obtain in [3] the following two theorems.

**Theorem 5.** Let  $\psi : \mathbb{N} \to \mathbb{R}^+$  be a function. Assume that  $\psi$  is non-increasing, tends to 0 at infinity and that for every positive integer c there exists a positive real number  $c_1$  satisfying

$$\psi(c\,\ell) \ge c_1\psi(\ell), \quad \forall \ell \ge 1.$$

Furthermore assume that

$$\sum_{\ell \ge 1} \psi(\ell) = +\infty.$$

Then, for almost all pairs  $(\xi, y)$  of real numbers there exist infinitely many primitive points (p, q) such that

$$|q\xi + p - y| \le \psi(|q|).$$

It should be interesting to weaken the hypotheses on the approximation function  $\psi$  occurring in Theorem 5. A question which naturally arises in view of Theorem 5 is to understand what happens on each fiber when we fix either  $\xi$  or y. In this direction, here is a partial result:

**Theorem 6.** Let  $\xi$  be an irrational number and let  $(p_k/q_k)_{k\geq 0}$  be the sequence of its convergents. Assume that the series

$$\sum_{k \ge 0} \frac{1}{\max(1, \log q_k)}$$

diverges. Then for almost every real number y there exist infinitely many primitive points (p,q) satisfying

$$|q\xi + p - y| \le \frac{2}{|q|}.$$

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## Symmetry in Legendre-type polynomials and Diophantine approximation of logarithms

RAFFAELE MARCOVECCHIO

This research is devoted to the study of Diophantine approximation of numbers of the form  $\log(1 + \frac{1}{k})$ , where  $k \geq 1$  is an integer. Legendre polynomials are Padé approximations to the function  $\log(1 - z)$  at z = 0, so that they are naturally related to the Diophantine properties of  $\log(1 + \frac{1}{k})$ . For this reason simple integrals involving Legendre and Legendre-type polynomials have been used by several authors (Alladi-Robinson [1], Rukhadze [9], Hata [3], ...) in order to find new irrationality measures of  $\log(1 + \frac{1}{k})$ . We recall that  $\mu$  is an irrationality measure of the irrational  $\alpha$  if for any  $\varepsilon > 0$  there exists a positive integer  $q(\varepsilon)$  such that

$$\left|\alpha - \frac{p}{q}\right| > q^{-\mu - \varepsilon}$$

for all integers  $q \ge q(\varepsilon)$  and for all  $p \in \mathbb{Z}$ .

An alternative approach was introduced by Viola [10], making use of Euler's integral representation of the hypergeometric function (note that  $_2F_1(1,1;2;z) = -\frac{\log(1-z)}{z}$ ). Since the integrand is a rational function, this representation, together with a change of variable in this (simple real) integral, induces a permutation group acting on the integer exponents appearing in this rational function. This method was extended by Amoroso-Viola [2] to find new approximation measures of logarithms of algebraic numbers.

In connection with new non-quadraticity measures of  $\log(1 + \frac{1}{k})$ , Hata [4] introduced a family of double complex integrals, again involving Legendre-type polynomials. We recall that  $\nu$  is a non-quadraticity measure of the non-quadratic number  $\beta$  if for any  $\varepsilon > 0$  there exists a positive integer  $H_0(\varepsilon)$  such that

$$|\beta - Q| > H(Q)^{-\nu - \varepsilon}$$

for all quadratic numbers Q whose height H(Q) is at least  $H_0(\varepsilon)$ .

In [5] I proposed a family of double complex integrals somehow related to Hata's. These integrals, however, do not involve Legendre-type polynomials, but instead are equipped with a permutation group acting on the parameters appearing in the rational function at the integrand. Just as in Viola's and Rhin-Viola's papers some generators of this permutation group are induced by suitable changes of variables, some other generators are induced by Euler's integral representation of the hyper-geometric function and its symmetry properties, so that the permutation group has two different kinds of generators. Each permutations associated with a certain quotient of factorials. The sets of permutations associated with the same quotient are exactly the left cosets of the whole permutation group with respect to the subgroup generated by permutations induced by changes of variables only. For instance, I proved that 3.57455390... is an irrationality measure of log 2, and 15.65142024... is a non-quadraticity measure of log 2. Recently, Viola and myself [6] extended this method to logarithms of algebraic numbers, thus improving some results by Amoroso-Viola [2].

In 2010 Nesterenko [7] gave a considerably simplified proof of the above irrationality measure of log 2. His method makes use of integrals of Mellin-Barnes's type, as in Nesterenko's proof in 1996 of Apèry's theorem on the irrationality of  $\zeta(3)$ . Along the same lines Polyanskii [8] gave a similar proof of the above non-quadraticity measure of log 2.

The present research intends to introduce a third approach to the construction of a sequence of rational approximations to  $\log(1 + \frac{1}{k})$  yielding the above irrationality measure of log 2. This new construction involves a family of Legendre-type polynomials with suitable symmetry properties.

Let  $(\mathbf{p}; \mathbf{q}) = (p_1, \ldots, p_n; q_1, \ldots, q_n)$ , where  $p_i, q_i \ge 0$  are integers. Let  $\mathcal{L}_n(\mathbf{p}; \mathbf{q}; z)$  be the polynomial recursively defined by  $\mathcal{L}_0(z) = 1$  and

$$\mathcal{L}_{n+1}(\boldsymbol{p}, p_{n+1}; \boldsymbol{q}, q_{n+1}; z) = z^{q_{n+1}} (1-z)^{p_{n+1}} D_{p_{n+1}+q_{n+1}} \left( z^{p_{n+1}} (1-z)^{q_{n+1}} \mathcal{L}_n(\boldsymbol{p}; \boldsymbol{q}; z) \right)$$

where  $D_m = \frac{1}{m!} (\frac{\mathrm{d}}{\mathrm{d}z})^m$ . For example

$$\mathcal{L}_1(p_1; q_1; z) = (-z)^{q_1} (1-z)^{p_1}.$$

The polynomials

$$\mathcal{L}_2(p_1, p_2; q_1, q_2; z) = (-1)^{q_1} z^{q_2} (1-z)^{p_2} D_{p_2+q_2} \left( z^{p_2+q_1} (1-z)^{p_1+q_2} \right)$$

have the property that their coefficients have a large common divisor when  $p_1, p_2$ ,  $q_1, q_2$  are not all equal, and for this reason they were used in Rukhadze's and Hata's papers. It is not difficult to see that the polynomial

$$\mathcal{L}_n(p_1,\ldots,p_n;q_1,\ldots,q_n;z)\prod_{1\leq i\leq n}(p_i+q_i)!$$

is a bisymmetric function of  $p_1, \ldots, p_n$  and of  $q_1, \ldots, q_n$ . In particular,  $\mathcal{L}_n(\mathbf{p}; \mathbf{q}; z)$  is a symmetric function of  $(p_1, q_1), \ldots, (p_n, q_n)$ .

In my talk I discuss how to use the polynomials

$$\mathcal{L}_{3}(p_{1}, p_{2}, p_{3}; q_{1}, q_{2}, q_{3}; z)$$
  
=  $(-1)^{q_{1}} z^{q_{3}} (1-z)^{p_{3}} D_{p_{3}+q_{3}} \left( z^{p_{3}+q_{2}} (1-z)^{p_{2}+q_{3}} D_{p_{2}+q_{2}} \left( z^{p_{2}+q_{1}} (1-z)^{p_{1}+q_{2}} \right) \right)$ 

to construct the same approximations to logarithms as in my paper [5].

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### Almost fifth powers in arithmetic progressions

## Tünde Kovács

## (joint work with Lajos Hajdu)

A celebrated theorem of Erdős and Selfridge [2] states that the product of consecutive positive integers is never a perfect power. A natural generalization is the Diophantine equation

(1) 
$$x(x+d)...(x+(k-1)d) = by^n$$

in non-zero integers x, d, k, b, y, n with  $gcd(x, d) = 1, d \ge 1, k \ge 3, n \ge 2$  and  $P(b) \le k$ . Here P(u) stands for the largest prime divisor of a non-zero integer u, with the convention  $P(\pm 1) = 1$ .

By a conjecture of Erdős, equation (1) has no solutions in positive integers when k > 3 and b = 1. In other words, the product of k consecutive terms of a primitive positive arithmetic progression with k > 3 is never a perfect power. The conjecture of Erdős has recently been verified for certain values of k in a more general form; see the papers [3], [4], [1], [5].

To explain why the case n = 5 in equation (1) is special, we need to give some insight into the method of solving (1) for fixed k, in the general case  $n \ge 2$ . One of the most important tools is the modular method, developed by Wiles. However, the modular technique works effectively only for "large" exponents, typically for  $n \geq 7$ . Thus the "small" exponents n = 2, 3, 5 must be handled separately. In fact these cases are considered in distinct sections, or are covered by separate theorems in the above mentioned papers. Further, the exponents n = 2, 3 has already been considered in separate papers. For n = 2 and positive x, equation (1) has been completely solved (up to a few exceptional cases) by Hirata-Kohno, Laishram, Shorey and Tijdeman [8] for  $k \leq 100$ , and in case of b = 1, even for  $k \leq 109$ . Their main tools were elliptic curves and quadratic residues. Later, the exceptional remaining cases have been handled by Tengely [9], by the help of the Chabauty method. When n = 3, working mainly with cubic residues, however making use of elliptic curves and the Chabauty method as well, Hajdu, Tengely and Tijdeman [7] obtained all solutions to equation (1) with k < 32 such that  $P(b) \le k$  if  $4 \le k \le 12$ and P(b) < k if k = 3 or  $k \ge 13$ . Further, if b = 1 then they could solve (1) for k < 39. The case n = 5 has not yet been closely investigated. In this case (in the above mentioned papers considering equation (1) for general exponent n) mainly classical methods were used, due to Dirichlet and Lebesgue. Apparently, for n = 5elliptic curves are not applicable. In [6] together with Lajos Hajdu we show that in this case the Chabauty method (both the classical and the elliptic version) can be applied very efficiently. As we mentioned, the Chabauty method has been already used for the cases n = 2, 3 in [1], [9], [7]. However, it has been applied only for some particular cases and equations. In our results we solve a large number of genus 2 equations by the Chabauty method, and then build a kind of sieve system based upon them. The theorems that are proved in our paper are the following ones.

**Theorem 1.** The product of k consecutive non-zero terms in a primitive arithmetic progression with  $3 \le k \le 54$  is never a fifth power.

**Theorem 2.** Equation (1) with n = 5,  $3 \le k \le 24$  and  $P(b) \le P_k$  has only "small" solutions (that can be listed explicitly) where the values of  $P_k$  are given by

k	3	4	5	6	7,8
$P_k$	3	5	7	11	13
k	9, 10, 11, 12	13, 14, 15	16, 17	18, 19, 20, 21, 22, 23	24
$P_k$	17	19	23	29	31

As a simple and immediate corollary of Theorem 2 we get the following statement, concerning the case  $P(b) \leq k$ . We mention that already this result yields considerable improvement, in particular with respect to the bound for P(b).

**Corollary 1.** For n = 5 and  $3 \le k \le 36$  all nontrivial solutions of equation (1) with  $P(b) \le k$  are given by

$$(k, d) = (3, 7), x \in \{-16, -8, -6, 2\};$$
  
 $(k, d) = (5, 7), x \in \{-16, -12\}.$ 

**Theorem 3.** Let  $4 \le t \le 8$  and  $z_0 < z_1 < \ldots < z_{t-1}$  be a non-trivial primitive arithmetic progression. Suppose that

$$z_0 = b_0 x_0^5, z_{i_1} = b_{i_1} x_{i_1}^5, z_{i_2} = b_{i_2} x_{i_2}^5, z_{t-1} = b_{t-1} x_{t-1}^5,$$

with some indices  $0 < i_1 < i_2 < t-1$  such that  $P(b_0b_{i_1}b_{i_2}b_{t-1}) \leq 5$ . Then the initial term  $z_0$  and common difference  $z_1 - z_0$  of the arithmetic progression  $z_0, \ldots, z_{t-1}$  for the separate values of  $t = 4, \ldots, 8$  are "small" and can be listed explicitly.

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## Badly approximable points on a plane and generalized Cantor sets DZMITRY A. BADZIAHIN

In the talk we consider the sets of badly approximable points on the plane:

$$\mathbf{Bad}(i,j) := \{(\alpha,\beta) \in \mathbb{R}^2 \mid \liminf_{q \to \infty} q \cdot \max\{||q\alpha||^{1/i}, ||q\beta||^{1/j}\} > 0\}$$

where  $i, j \ge 0, i + j = 1$ . One can look at them as the sets of points which coordinates are approximated by rationals in the worst possible way. The parameters i and j reflect the fact that different coordinates are approximated with different speed.

The sets Bad(i, j) have quite complicated structure. In the talk we present known results about it.

1. The "size" of Bad(i, j). We can describe it in terms of Lebesgues measure and more deeply in terms of Hausdorff dimension. It is described by the following classical theorems.

**Theorem.** For each pair  $i, j \ge 0, i + j = 1$ , |Bad(i, j)| = 0 where |X| denotes the Lebesgue's measure of X.

**Theorem (Pollington, Velani,** [5]). For the same pairs i, j, dim(Bad(i, j)) = 2 = FULL where dim(X) denotes the Hausdorff dimension of X.

Thus shows that sets  $\mathbf{Bad}(i, j)$  are quite small but not too much small.

2. Relation between sets. There is no straightforward relation between sets Bad(i, j) for different pairs (i, j). In particular it was not even known until recently that any two of them have nonempty intersection. This problem was firstly posed by Schmidt in 1980's in the following way:

**Problem (Schmidt).** The set  $\operatorname{Bad}(1/3, 2/3) \cap \operatorname{Bad}(2/3, 1/3)$  is nonempty.

Later this problem was generalised for an arbitrary pair of parameters  $(i_1, j_1)$ and  $(i_2, j_2)$ . It remained open until 2010 when it was proven in full by D., Pollington and Velani [1]:

**Theorem (D., Pollington, Velani).** Let  $(i_t, j_t)$  be a countable number of pairs of real numbers satisfying  $i_t, j_t \ge 0, i_t + j_t = 1$  and let  $i := \sup\{i_t : t \in \mathbb{N}\}$ . Suppose

that

(1) 
$$\liminf_{t \to \infty} \min\{i_t, j_t\} > 0$$

Then, for any  $\theta \in \mathbf{Bad}(i)$  we have that

dim 
$$\left(\bigcap_{t=1}^{\infty} \mathbf{Bad}(i_t, j_t) \cap L_{\theta}\right) = 1$$
.

Later in 2012 J. An by developing the ideas of the paper managed to remove the technical condition (1).

It is worth mentioning that Schmidt's problem is closely related to another famous conjecture in Diophantine approximation posed by Littlewood:

**Conjecture (Littlewood).** For each point  $(x, y) \in \mathbb{R}^2$ 

$$\liminf_{q\to\infty} q||q\alpha||\cdot||q\beta|| = 0$$

where ||x|| means the distance to the nearest integer.

One can check that any potential counterexample to Littlewood conjecture must be in every set  $\mathbf{Bad}(i, j)$ . Therefore if someone could find the intersection of  $\mathbf{Bad}(i, j)$  which is empty it would have proven the conjecture.

**3.** The structure of Bad(i, j) on planar curves. The first problem in this direction was posed by Davenport in 1960's [4].

**Problem (Davenport).** there are uncountably many points from Bad(1/2, 1/2) on the parabola  $(x, x^2)$ .

One countable family of such points on a parabola can be achieved from of Cassels and Swinnerton-Dyer [3]. They showed that if  $1, \alpha, \beta$  are linearly independent elements from the same cubic field then  $(\alpha, \beta) \in \mathbf{Bad}(1/2, 1/2)$ .

In full generality Davenport problem was proven in 2012 by D. and Velani [2] I = I = I = I

**Theorem (D., Velani).** Let C be two time continuously differentiable curve such that its curvature is non-zero at least in one point. Then

$$\dim(\mathbf{Bad}(i,j)\cap \mathcal{C}) = 1 = \mathrm{FULL}$$

If  $\mathcal{C}$  is a straight line that the result is not true in general. One can find such a line  $\mathcal{L}$  that the intersection  $\operatorname{Bad}(i, j) \cap \mathcal{L}$  is empty. However it can be proven for lines  $\mathcal{L}$  with some additional conditions on their coefficients:

**Theorem (D., Velani).** Let  $\mathcal{L} : y = \alpha x + \gamma$  be a line such that  $\exists \epsilon > 0$  which satisfies

$$\liminf_{q \to \infty} q^{\max\{1/i, 1/j\} - \epsilon} ||q\alpha|| > 0.$$

Then

$$\dim(\mathbf{Bad}(i,j) \cap \mathcal{C}) = 1 = \mathrm{FULL}.$$

4. Winning property. Recently J. An managed to prove that the set Bad(i, j) is  $\alpha$  winning for some positive number  $\alpha$ . This quite powerful property of the

sets was firstly introduced by Schmidt [6]. In particular winning sets have the full Hausdorff dimension and a countable intersection of winning sets is again winning.

All the mentioned results of the speaker and Velani are achieved with help of generalised Cantor-type sets. They are constructed similarly to the middle-third Cantor set but in much more general way. It appears that they satisfy some very nice properties. Firstly one can estimate their Hausdorff dimension (especially its lower bound). And the intersection of two Cantor-type sets can often be considered as another Cantor-type set which helps to estimate the "size" of their intersection. For more information on generalized Cantor sets see [2].

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#### A generalization of Schanuel's Theorem

### MARTIN WIDMER

### (joint work with Christopher Frei)

Let k be a number field, let  $\theta$  be a nonzero algebraic number, let  $H(\cdot)$  denote the usual multiplicative absolute Weil height on the algebraic numbers, and write  $N(\theta k, X)$  for the number of  $\alpha \in k$  with  $H(\theta \alpha) \leq X$ . For  $\theta = 1$  (or what is the same for  $\theta \in k$ ) the quantity  $N(\theta k, X)$  is fairly well understood. For instance, a classical result due to Schanuel [3] gives the asymptotics

$$N(k, X) = S_k X^{2d} + O(X^{2d-1} \log X),$$

as X tends to infinity. Here d is the degree of k, and  $S_k$  is defined as

$$S_k = \frac{h_k R_k}{w_k \zeta_k(2)} \left(\frac{2^{r_k} (2\pi)^{s_k}}{\sqrt{|\Delta_k|}}\right)^2 2^{r_k + s_k - 1},$$

where  $h_k$  is the class number,  $R_k$  the regulator,  $w_k$  the number of roots of unity in k,  $\zeta_k$  the Dedekind zeta-function of k,  $\Delta_k$  the discriminant,  $r_k$  is the number of real embeddings of k, and  $s_k$  is the number of pairs of distinct complex conjugate embeddings of k.

Evertse was the first to consider the general quantity  $N(\theta k, X)$ . The proof of his celebrated uniform upper bounds [1] for the solutions of S-unit equations over k involves a uniform upper bound for  $N(\theta k, X)$ . The latter was refined by Schmidt [4], and further improved by Loher and Masser [2], who showed

(1) 
$$N(\theta k, X) \le 68(d \log d) X^{2d},$$

provided d > 1, and  $N(\theta \mathbb{Q}, X) \leq 17X^2$ .

All the proofs of these upper bounds rely in an essential way on the box-principle which works well for upper bounds but seems inappropriate to produce asymptotic results. This may have motivated Loher and Masser's following statement [2, p.279] regarding their bound on  $N(\theta k, X)$ : "It would be interesting to know if there are asymptotic formulae like Schanuel's for the cardinalities here, at least for fixed  $\theta$  not in k." Our first theorem responds to this problem. But first we require to introduce some notation.

Let  $K = k(\theta)$ . For each Archimedean place v of k (or w of K) we choose the unique absolute value  $|\cdot|_v$  on k (or  $|\cdot|_w$  on K) that extends the usual Euclidean absolute value on  $\mathbb{Q}$ . We also fix a completion  $k_v$  of k at v, and we define a set of points  $(z_0, z_1) \in k_v^2$  by

$$\prod_{w|v} \max\{|\theta|_w |z_0|_v, |z_1|_v\}^{[K_w:k_v]} < 1,$$

where the product runs over all places w of K extending the Archimedean place v of k. These sets are measurable and have a finite volume which we denote by  $V_v$ . We put

$$V = V(\theta, k) = (2^{r_k} \pi^{s_k})^{-2} \prod_{v \mid \infty} V_v.$$

Let  $\mu_k$  be the Möbius function on k, and write  $\mathcal{O}_k$  for the ring of integers of k. For a fractional ideal B of k let  ${}^{u}B$  be the smallest fractional ideal of K containing B. Finally, we use  $\mathfrak{N}_k(\cdot)$  for the norm map on the fractional ideals of k.

Note that  $N(\theta k, X) = N(\alpha \theta k, X)$  for any nonzero  $\alpha \in k$ . Thus without loss of generality we may and will assume  $\theta$  be integral. Let  $\mathfrak{D} = \theta \mathcal{O}_K$ , and  $D = \mathfrak{D} \cap \mathcal{O}_k$ . We define

(2) 
$$g_k(\theta) = V \sum_{B|D} \frac{\mathfrak{N}_K(\mathfrak{D}, {}^uB)^{\frac{2}{[K:k]}}}{\mathfrak{N}_k B} \sum_{A|B^{-1}D} \frac{\mu_k(A)}{\mathfrak{N}_k A} \prod_{P|AB} \frac{\mathfrak{N}_k P}{\mathfrak{N}_k P + 1}.$$

**Theorem 1.** Let  $\theta$  be a nonzero algebraic integer, let k be a number field and denote its degree by d. Then, as  $X \ge 1$  tends to infinity, we have

$$N(\theta k, X) = g_k(\theta) S_k X^{2d} + O(X^{2d-1}\mathfrak{L}),$$

where  $\mathfrak{L} = \log(X+1)$  if d = 1 and  $\mathfrak{L} = 1$  otherwise. The implicit constant in the O-term depends on  $\theta$  and on k.

The standard inequalities  $H(\alpha)/H(\theta) \leq H(\alpha\theta) \leq H(\alpha)H(\theta)$ , combined with Schanuel's result, imply

$$H(\theta)^{-2d} \le g_k(\theta) \le H(\theta)^{2d}.$$

One then may ask if there are uniform (in k or in  $\theta$  or even in k and  $\theta$ ) lower and upper bounds for  $g_k(\theta)$ . For the lower bound we consider the example  $\theta = \sqrt{p}$ with p a rational prime, inert in k. Then from (2) we get

$$g_k(\theta) = g_k(\sqrt{p}) = \frac{2p^{d/2}}{p^d + 1}.$$

Fixing k and letting p tend to infinity we see that there is no lower bound for  $g_k(\theta)$  that is uniform in  $\theta$ . Likewise, fixing p and letting d tend to infinity shows that there is no lower bound, uniform in d.

On the other hand, from (1) we conclude (for d > 1)

$$g_k(\theta) \le \frac{68d \log d}{S_k},$$

and thus there is also an upper bound that is uniform in  $\theta$ . But for fixed  $\theta$  one would expect that for "most"  $\alpha \in k$  one has  $H(\alpha \theta) \geq H(\alpha)$ , and thus one might even conjecture  $g_k(\theta) \leq 1$ . Indeed, using a more appropriate representation of  $g_k(\theta)$  as an Euler-product we have shown that this "conjecture" holds true.

Theorem 2. We have

$$g_k(\theta) \le 1.$$

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## Exceptional units and cyclic resultants

CAMERON L. STEWART

Let  $\alpha$  be a non-zero algebraic integer of degree d over  $\mathbb{Q}$ . Put  $K = \mathbb{Q}(\alpha)$  and let  $\mathcal{O}_K$  denote the ring of algebraic integers of K. Let  $E(\alpha)$  be the number of positive integers n for which  $\alpha^n - 1$  is a unit in  $\mathcal{O}_K$ . If  $\alpha - 1$  is not a unit define  $E_0(\alpha)$  to be 0 and otherwise define  $E_0(\alpha)$  to be the largest integer n such that  $\alpha^j - 1$  is a unit for  $1 \leq j \leq n$ . Let  $\Phi_n(x)$  be the n-th cyclotomic polynomial. Define  $U(\alpha)$  to be the number of positive integers n for which  $\Phi_n(\alpha)$  is a unit.

We discussed estimates for  $E_0(\alpha)$ ,  $E(\alpha)$  and  $U(\alpha)$ . Certainly  $E_0(\alpha) \leq E(\alpha) \leq U(\alpha)$ . We have, for example, that there is an effectively computable positive number c such that if  $\alpha$  is a non-zero algebraic integer of degree d over the rationals then

$$E_0(\alpha) < cd \frac{(\log(d+1))^4}{(\log\log(d+2))^3}.$$

For any positive integer d let us define e(d) by  $e(d) = \max\{E_0(\alpha) | \alpha \text{ an algebraic} integer of degree d\}$ . We showed that e(d) = d for  $d = 1, \ldots, 6, e(7) < 7$  and  $e(8) \ge 7$ . We conjectured that e(d) < d for  $d \ge 7$ .

## Greatest Common Divisors of u - 1, v - 1 in positive characteristic and rational points on curves over finite fields

PIETRO CORVAJA (joint work with Umberto Zannier)

This is a report on a joint work with U. Zannier which will be published on the Journal of the European Mathematical Society.

In the work [2] an upper bound was proved for the gcd(u-1, v-1), for S-units u, v of a function field in characteristic zero. Namely, we proved

**Theorem 1.** Let  $\kappa$  be an algebraically closed field of characteristic zero, X be a smooth projective curve over  $\kappa$ ,  $u, v \in \kappa(X)$  non-constant multiplicatively independent rational functions,  $S \subset X(\kappa)$  its set of zeros and poles. Then

(1) 
$$\sum_{\nu \in X(\kappa) \setminus S} \min\{\nu(1-u), \nu(1-v)\} \le 3\sqrt[3]{2} (\deg(u) \deg(v)\chi)^{1/3}.$$

In the above inequality, as in the sequel,  $\nu$  also stands for the valuation canonically associated to the point  $\nu$  of a curve. The left-hand side is the function field analogue of the (logarithmic) Greatest Common Divisor of the regular functions u - 1, v - 1.

This generalized an analogous bound holding over number fields, proved in [1]. As pointed out by Silverman [5], the exact analogue does not work for function fields in positive characteristic. Actually, if an affine curve is given by an equation of the form f(x, y) = 0 over the finite field  $\mathbb{F}_q$ , then letting  $u = x^{q^n-1}$ ,  $v = y^{q^n-1}$  it turns out that the left-hand side above is at least the number of  $q^n$ -rational points on the curve. Hence, by Weil's estimates, it tends to infinity asymptotically as  $q^n$ , which, up to a constant, is the degree of u and v.

I shall present a possible extension in the direction of positive characteristic; it turns out that under suitable assumptions some of the results still hold. For instance we proved Theorems 2 and 3 below, from which we deduce in particular a new proof of Weil's bound for the number of rational points on a curve over finite fields. When the genus of the curve is large compared to the characteristic, we can even go beyond it.

What seems a new feature is the analogy with the characteristic zero case, which admitted applications to apparently distant problems.

**Theorem 2.** Let X be a smooth projective absolutely irreducible curve over a field  $\kappa$  of characteristic p. Let  $u, v \in \kappa(X)$  be rational functions, multiplicatively

independent modulo  $\kappa^*$ , and with non-zero differentials; let S be the set of their zeros and poles,  $\chi = |S| + 2g - 2$  be the Euler characteristic of  $X \setminus S$ . Then

$$\sum_{\nu \in X(\overline{\kappa}) \setminus S} \min\{\nu(1-u), \nu(1-v)\} \le \max\left(3\sqrt[3]{2}(\deg u \deg v \chi)^{1/3}, 12\frac{\deg u \deg v}{p}\right).$$

Observe that we recover the same bound of Theorem 1 when

 $32(\deg u \deg v)^2 \le p^3 \chi.$ 

The above theorem admits the following corollary, which can also be deduced by recent work of Heath-Brown and Konyagin [4]

**Corollary 1.** Let  $X \subset \mathbf{G}_m^2$  be an absolutely irreducible plane curve of Euler characteristic  $\chi$ , not the translate of a subtorus. Suppose it is defined by an equation f(x, y) = 0 of bidegree  $(d_1, d_2)$ . Denote by  $\mu_m$  the group of m-th roots of unity in  $\mathbb{F}_{\mu}^*$ .

Then

$$|X \cap (\mu_{m_1} \times \mu_{m_2})| \le \max\left(3\sqrt[3]{2}(m_1m_2d_1d_2\chi)^{1/3}, 12\frac{m_1m_2d_1d_2}{p}\right)$$

The following general result enables us to deduce an estimate for the number of rational points over  $\mathbb{F}_{q^2}$  of a curve defined over  $\mathbb{F}_q$  which turns out to be sufficient to recover Weil's theorem:

**Theorem 3.** Let  $\kappa \subset \mathbb{F}_q$ , L be a 1-dimensional function field over  $\kappa$ . Let x, y be separating elements in L. Let f(x, y) = 0 be the minimal relation between x and y, with coefficient in  $\kappa$ , where  $f(X,Y) \in \kappa[X,Y]$  is supposed to be absolutely irreducible. Let C be a smooth projective model of the function field L and let  $S \subset C$  be a finite set containing all the zeros and poles of x, y; we denote by  $\chi$  the Euler characteristic of  $C \setminus S$ . Let  $a = \deg_X f, b = \deg_Y f$ .

Let h, k be positive integers with

Put  $u = xz^q$ ,  $v = yw^q$  for some S-units  $z, w \in L^*$ . Then at least one of the two alternatives holds:

(1) 
$$a \le k \text{ and } b \le h$$
,  
or  
(2)  $\sum_{\nu \notin S} \min\{\nu(1-u), \nu(1-v)\} \le \frac{q+k-hk}{q} \deg(v) + \frac{k}{q} \deg(u) + \frac{q-1}{2}\chi$ .

To deduce an upper bound for the number of  $\mathbb{F}_{q^2}$ -rational points just take  $z = x^{-q}, w = y^{-q}$ . Then u, v take the value 1 precisely on the  $\mathbb{F}_{q^2}$ -rational points. From this fact we deduce a best-possible (up to a constant) upper bound for the number of rational points over any finite field of type  $\mathbb{F}_{q^{2n}}$ . It is well known that this implies Weil's theorem (i.e. Riemann hypothesis for the field L over  $\mathbb{F}_q$ ).

While our proof in [2] used Wronskian, in the positive characteristic case we are forced to use the so-called hyper-Wronskian, associated to the hyper-derivative operators, as in works of Garcia and Voloch [3].

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## Simultaneous approximation with polynomials and their derivatives

## VICTOR BERESNEVICH

## (joint work with G. A. Margulis)

Let  $n \in \mathbb{N}$ . Given a polynomial  $P(x) = a_n x^n + \cdots + a_0$  with integer coefficients, let  $H(P) = \max_{0 \le i \le n} |a_i|$  denote the height of P. It is a well known consequence of Minkowski's theorem that for every  $x \in \mathbb{R}$  with  $|x| \le 1/2$  there are infinitely many  $P \in \mathbb{Z}[x]$  with deg  $P \le n$  such that  $|P(x)| < H(P)^{-n}$ . Motivated by a classification of transcendental numbers, in 1932 Mahler [12] conjectured that for any  $\varepsilon > 0$  for almost all real x there are only finitely many  $P \in \mathbb{Z}[x]$  with deg  $P \le n$ such that

$$|P(x)| < H(P)^{-n-\varepsilon}$$

Mahler himself proved such a statement with  $\varepsilon > 3n$ . Various partial results were obtained over a period of 30 years and the conjecture was eventually established by Sprindžuk in 1965 – see [13] for a full account. Subsequent developments include Diophantine approximation on manifolds and the Khintchine-Groshev type results see [1, 2, 7, 8, 9, 10, 11, 14]. The crux of establishing the Khintchine-Groshev type results was the study of systems of Diophantine inequalities that involved both linear forms and their derivatives. The idea was introduced by Bernik [9] in the context of polynomials who proved that for any  $n \in \mathbb{N}$  and any  $\varepsilon > 0$  for almost all  $x \in \mathbb{R}$  there are only finitely many P  $in\mathbb{Z}[x]$  with deg  $P \leq n$  satisfying

(1) 
$$\begin{cases} |P(x)| < H(P)^{-n}, \\ |P'(x)| < H(P)^{1-\varepsilon} \end{cases}$$

Indeed, while establishing Khintchine-Groshev type results, eliminating instances of approximation with small derivatives such as in (1) leads to a linearizable problem that is generally deal with much easier.

In recent years, there has also been a growing interest in results that involve more general systems of inequalities that include derivatives of higher orders. In particular, the motivation comes from the study of rational points near manifolds [3], close conjugate algebraic pairs [5] and the distribution of discriminants and resultants [4, 6]. The following general results regarding systems of linear forms that involve all the derivatives of a polynomial of degree n have been recently obtained in collaboration with Margulis.

**Theorem 1.** Let  $n \in \mathbb{N}$  and  $\varepsilon > 0$ . Then, for almost all  $x \in \mathbb{R}$  there are only finitely many  $P \in \mathbb{Z}[x]$  with deg P = n such that

(2) 
$$\prod_{i=0}^{n} |P^{(i)}(x)| < H(P)^{-\varepsilon}.$$

The condition on  $\varepsilon$  is clearly optimal. This theorem can also be restated in the following equivalent form.

**Theorem 1'.** Let  $n \in \mathbb{N}$  and  $v_0, \ldots, v_n \in \mathbb{R}$  satisfy  $v_0 + \cdots + v_n > 0$ . Then, for almost all  $x \in \mathbb{R}$  there are only finitely many  $P \in \mathbb{Z}[x]$  with deg P = n satisfying

(3) 
$$|P^{(i)}(x)| < H(P)^{-v_i}$$
  $(0 \le i \le n).$ 

Mahler's conjecture essentially corresponds to the case  $v_1 = \cdots = v_n = -1$ . The case  $v_2 = \cdots = v_n = -1$  is proved in [8, §8.3]. Yet another case when  $v_0, \ldots, v_{m-1} \ge 0$  and  $v_m, \ldots, v_n \le 0$  for some m, was considered in [5, Theorem 4].

Theorem 1 is deduced from the following effective result.

**Theorem 2.** Let  $n \ge 1$ ,  $J \subset \mathbb{R}$  be any interval of length 1,  $\theta_0, \ldots, \theta_n > 0$  and

$$A_n^*(J;\theta_0,\ldots,\theta_n) = \left\{ x \in J : \begin{array}{l} \exists \ P \in \mathbb{Z}[x] \setminus \{0\} \ such \ that \ \deg P = n \ and \\ |P^{(i)}(x)| \le \theta_i \quad for \ all \ i \in \{0,\ldots,n\} \end{array} \right\}.$$

Then

$$\lambda \left( A_n^* (J; \theta_0, \dots, \theta_n) \right) \le 6^n (n+1)^5 \left( \theta_0 \dots \theta_n \right)^{4(n+1)^{-3}}$$

where  $\lambda$  denotes Lebesgue measure in  $\mathbb{R}$ .

The term  $H(P)^{-\varepsilon}$  can be replaced with  $(\log H(P))^{-\frac{1}{4}(n+1)^3-\varepsilon}$ . Other more general forms of Theorems 1 and 2 obtained involve results for lacunary polynomials. The proofs make use of a theorem of Kleinbock and Margulis [11] and the calculous of binomial determinants.

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## Overdetermined systems of lacunary equations

FRANCESCO AMOROSO

(joint work with Louis Leroux, Martín Sombra)

Let  $f, g \in \mathbb{Z}[x]$  be polynomials of degree  $\leq d$ , of bounded height and having a bounded number of non zero coefficients. Assuming that at least one of f and g does not vanish at any roots of unity, Filaseta, Granville and Schinzel [2] proved that there exists an algorithm which computes the greatest common divisor of f and g in  $O(\log d)$  arithmetic operations.

This result heavily relies on a work of Bombieri and Zannier on the intersection of a subvariety of  $\mathbb{G}_{m}^{n}$  of codimension  $\geq 2$  with subgroups of dimension 1. This work appeared for the first time as an appendix of a book of Schinzel [3] by Zannier and later, in a refined form, in a joint paper of Bombieri, Masser and Zannier [1]. It is a special case of the following open conjecture of Zilber.

**Conjecture 1.** Let W be an algebraic subset of  $\mathbb{G}_m^N$ . Then there exists a finite collection  $\mathcal{U}_W$  of codimension 1 torsion cosets (= translates of subtori by torsion points) of  $\mathbb{G}_m^N$  satisfying the following property. Let  $T_0 \subset \mathbb{G}_m^N$  be a torsion coset and let Y be an irreducible component of  $\overline{W} \cap T_0$  of dimension

 $\dim Y > \dim W - \operatorname{codim} T_0 \ .$ 

Then there exists  $T \in \mathcal{U}_W$  such that  $Y \subseteq W \cap T$ .

Assuming this conjecture, we generalize the result of Filaseta-Granville-Schinzel to overdetermined systems of lacunary equations. **Theorem 2.** Let us assume Zilber conjecture. Let  $V \subset \mathbb{G}^n_m$  be a subvariety defined over a number field K by a bounded number of equations of degree  $\leq d$  of bounded height and supported by a bounded number of monomials. Then we can find in at most

$$O(\log d)$$

arithmetic operations a finite collection  $\Gamma$  whose elements are sequences

$$(P_1,\ldots,P_L,Q)$$
 with  $L \leq n$ 

of Laurent polynomials, such that

$$V = \bigcup_{\Gamma} (Z(P_1, \ldots, P_L) \setminus Z(Q)) .$$

Moreover, every irreducible component

$$X \subseteq Z(P_1, \ldots, P_L) \setminus Z(Q)$$

has codimension L.

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#### Diagonalization and rationalization

#### Boris Adamczewski

## (joint work with Jason P. Bell)

Given a field K and a multivariate power series

$$f(x_1, \dots, x_n) := \sum_{(i_1, \dots, i_n) \in \mathbb{N}^n} a(i_1, \dots, i_n) x_1^{i_1} \cdots x_n^{i_n}$$

with coefficients in K, we define the diagonal  $\Delta(f)$  of f as the one variable power series

$$\Delta(f)(t) := \sum_{n=0}^{+\infty} a(n,\ldots,n)t^n \in K[[t]].$$

In the case where  $K = \mathbb{C}$ , diagonalization may be nicely visualized thanks to Deligne's formula via contour integration over a vanishing cycle. Formalizing this in terms of the Gauss–Manin connection and De Rham cohomology groups, and using a deep result of Grothendieck, one can prove that the diagonal of any algebraic power series with algebraic coefficients is a Siegel *G*-function that comes from geometry, that is, one which satisfies the Picard–Fuchs type equation associated with some one-parameter family of algebraic varieties. As claimed by the Bombieri–Dwork conjecture, this is a picture expected for all *G*-functions. Diagonals of algebraic power series with coefficients in  $\overline{\mathbb{Q}}$  thus appear to be a distinguished class of *G*-functions.

When K is a field of positive characteristic, the situation is completely different as shown the following nice result due to Furstenberg and Deligne: the diagonal of an algebraic power series in  $K[[x_1, \ldots, x_n]]$  is algebraic. Given a prime number pand a power series  $f(x) := \sum_{n=0}^{+\infty} a(n)x^n \in \mathbb{Z}[[x]]$ , we denote by  $f_{|p}$  the reduction of f modulo p, that is

$$f_{|p}(x) := \sum_{n=0}^{+\infty} (a(n) \mod p) x^n \in \mathbb{F}_p[[x]].$$

The Furstenberg–Deligne theorem implies that if  $f(x_1, \ldots, x_n) \in \mathbb{Z}[[x_1, \ldots, x_n]]$  is algebraic over  $\mathbb{Q}(x_1, \ldots, x_n)$ , then  $\Delta(f)|_p$  is algebraic over  $\mathbb{F}_p(t)$  for every prime p. It now becomes very natural to ask how the complexity of the algebraic function  $\Delta(f)|_p$  may increase when p run along the primes. Deligne obtained a first result in this direction by proving that if  $f(x, y) \in \mathbb{Z}[[x, y]]$  is algebraic, then, for all but finitely many primes  $p, \Delta(f)|_p$  is an algebraic power series of degree at most  $Ap^B$ , where A and B do not depend on p but only on explicit geometric quantities associated with f. He also suggested that a similar bound should hold for the diagonal of algebraic power series in  $\mathbb{Z}[[x_1, \ldots, x_n]]$ . In this talk, I will discuss the following answer to the question raised by Deligne.

**Theorem.** Let  $f(x_1, \ldots, x_n) \in \mathbb{Z}[[x_1, \ldots, x_n]]$  be an algebraic power series with degree at most d and height at most h. Then there exists an effective constant A := A(n, d, h) depending only on n, d and h, such that  $\Delta(f)|_p$  has degree at most  $p^A$  and height at most  $A^2p^{A+1}$ , for every prime number p.

# Problems surrounding the mixed Littlewood conjecture for pseudo-absolute values

STEPHEN HARRAP (joint work with Alan Haynes)

For  $x \in \mathbb{R}$  let ||x|| denote the distance from x to the nearest integer. The Littlewood Conjecture is the assertion that for every  $x_1, x_2 \in \mathbb{R}$ ,

(1) 
$$\inf_{q \in \mathbb{N}} q \|qx_1\| \|qx_2\| = 0.$$

This conjecture has come to light recently because of its connection to measure rigidity problems for diagonal actions on the space of unimodular lattices. This connection was exploited by Einsiedler, Katok, and Lindenstrauss [3] to show the set of pairs  $(x_1, x_2) \in \mathbb{R}^2$  which do not satisfy (1) has Hausdorff dimension zero.

More recently de Mathan and Teuliè [8] have proposed a problem which is closely related to the Littlewood Conjecture. Let  $\mathcal{D} = \{n_k\}_{k\geq 0}$  be an increasing sequence of positive integers with  $n_0 = 1$  and  $n_k | n_{k+1}$  for all k. We refer to such a sequence as a *pseudo-absolute value sequence*, and we define the  $\mathcal{D}$ -adic *pseudo-absolute value*  $|\cdot|_{\mathcal{D}} : \mathbb{N} \to \{n_k^{-1} : k \geq 0\}$  by

$$q|_{\mathcal{D}} = \min\{n_k^{-1} : q \in n_k\mathbb{Z}\}.$$

In the case when  $\mathcal{D} = \{a^k\}_{k=0}^{\infty}$  for some integer  $a \geq 2$  we also write  $|\cdot|_{\mathcal{D}} = |\cdot|_a$ . If p is a prime then  $|\cdot|_p$  is the usual p-adic absolute value.

The de Mathan and Teuliè Conjecture, which we will refer to as the Mixed Littlewood Conjecture, is the assertion that for any  $\mathcal{D}$  and for every  $x \in \mathbb{R}$ ,

(2) 
$$\inf_{q \in \mathbb{N}} q \left| q \right|_{\mathcal{D}} \left\| q x \right\| = 0$$

By employing connections with measure rigidity results in this setting Einsiedler and Kleinbock [4] proved that when  $|\cdot|_{\mathcal{D}} = |\cdot|_a$  the set of  $x \in \mathbb{R}$  which do not satisfy (2) has Hausdorff dimension zero.

The case of the Mixed Littlewood Conjecture with more than one pseudoabsolute value has also been a topic of recent interest. If  $\mathcal{D}_1$  and  $\mathcal{D}_2$  are two pseudo-absolute value sequences it is reasonable to conjecture that for any  $x \in \mathbb{R}$ ,

(3) 
$$\inf_{q \in \mathbb{N}} q|q|_{\mathcal{D}_1} |q|_{\mathcal{D}_2} ||qx|| = 0.$$

Remarkably, it is shown in [4] that the Furstenberg Orbit Closure Theorem [5, Theorem IV.1] implies that (3) is true whenever  $\mathcal{D}_1 = \{a^k\}$  and  $\mathcal{D}_2 = \{b^k\}$  for two multiplicatively independent integers a and b. This result was strengthened by Bourgain, Lindenstrauss, Michel, and Venkatesh [1] who proved a result which implies (see [2, Section 4.6]) that there is a constant  $\kappa > 0$  such that for all  $x \in \mathbb{R}$ ,

$$\inf_{q \in \mathbb{N}} q(\log \log \log q)^{\kappa} |q|_{a} |q|_{b} ||qx|| = 0.$$

Their results provide a contrast to the situation of the original Littlewood Conjecture, where nothing seems to be gained by adding more real variables.

It was pointed out by Einsiedler and Kleinbock in [4] that the dynamical machinery used to study these problems does not readily extend to the case of more general pseudo-absolute values. Our first result demonstrates how recent measure rigidity theorems can be combined with bounds for linear forms in logarithms to obtain more general results.

**Theorem 1** ([7]). Suppose that  $a \ge 2$  is an integer and that  $\mathcal{D} = \{n_k\}$  is a pseudo-absolute value sequence all of whose elements are divisible by finitely many fixed primes coprime to a. If there is a  $\delta \ge 0$  with

(4) 
$$\log n_k \le k^{\delta} \text{ for all } k \ge 2,$$

then for any  $x \in \mathbb{R}$  we have that

(5) 
$$\inf_{q \in \mathbb{N}} q|q|_a |q|_{\mathcal{D}} ||qx|| = 0$$
Of particular interest is the case when consecutive elements of the sequence  $\mathcal{D}$  have bounded ratios (cf. [4, 8]), and we will say that  $\mathcal{D}$  and  $|\cdot|_{\mathcal{D}}$  have bounded ratios in this case. For the bounded ratios case our theorem gives a quite satisfactory answer to the problem at hand.

**Corollary 1** ([7]). Suppose that  $a \ge 2$  is an integer and that  $\mathcal{D}$  is a pseudoabsolute value sequence with bounded ratios, all of whose elements are coprime to a. Then for any  $x \in \mathbb{R}$  we have that

$$\inf_{q\in\mathbb{N}} q|q|_a |q|_{\mathcal{D}} ||qx|| = 0.$$

After establishing Theorem 1 we turn to the problem of determining the almost everywhere behavior of the quantities on the left hand side of (2). The analogue of this problem for the Littlewood Conjecture was established by Gallagher [6]. He proved that if  $\psi : \mathbb{N} \to \mathbb{R}$  is any non-negative decreasing function for which

(6) 
$$\sum_{r \in \mathbb{N}} \log(r)\psi(r) = \infty$$

then for almost every  $(x_1, x_2) \in \mathbb{R}^2$ 

(7)  $||qx_1|| ||qx_2|| \le \psi(q)$  for infinitely many  $q \in \mathbb{N}$ .

For example this shows that for almost every  $(x_1, x_2) \in \mathbb{R}^2$  we can improve (1) to

 $\inf_{q \in \mathbb{N}} q(\log q)^2 (\log \log q) \, \|qx_1\| \, \|qx_2\| = 0.$ 

Although Gallagher's method does not readily apply to the mixed problems that we are considering, it has recently been shown using other techniques [2] that if p is a prime, if  $\psi$  is as above, and if (6) holds then for almost every  $x \in \mathbb{R}$ ,

 $|q|_p ||qx|| \leq \psi(q)$  for infinitely many  $q \in \mathbb{N}$ .

Here we will show how this result can be extended to non p-adic pseudo-absolute values  $|\cdot|_{\mathcal{D}}$ . The quality of approximation that we obtain will necessarily depend on the rate at which the sequence  $\mathcal{D}$  grows. For this reason, given a pseudo-absolute value sequence  $\mathcal{D}$  we define  $\mathcal{M} : \mathbb{N} \to \mathbb{N} \cup \{0\}$  by  $\mathcal{M}(N) = \max\{k : n_k \leq N\}$ .

**Theorem 2** ([7]). Suppose that  $\psi : \mathbb{N} \to \mathbb{R}$  is non-negative and decreasing and that  $\mathcal{D} = \{n_k\}$  is a pseudo-absolute value sequence satisfying

(8) 
$$\sum_{k=1}^{\mathcal{M}(N)} \frac{\varphi(n_k)}{n_k} \gg \mathcal{M}(N) \text{ for all } N \in \mathbb{N},$$

where  $\varphi$  denotes the Euler phi function. Then for almost all  $x \in \mathbb{R}$  the inequality

$$\left|q\right|_{\mathcal{D}} \left\|qx\right\| \le \psi(q)$$

has infinitely (resp. finitely) many solutions  $q \in \mathbb{N}$  if the sum

(10) 
$$\sum_{r=1}^{\infty} \mathcal{M}(r)\psi(r)$$

diverges (resp. converges).

(9)

We also note that when (10) converges the inequality (9) always has finitely many solutions. When  $|\cdot|_D = |\cdot|_p$  for some prime p we have that  $\mathcal{M}(N) \simeq \log N$ , and Theorem 2 reduces in this case to the result from [2]. To see what Theorem 2 means in terms of the infima type expressions that occur in the Mixed Littlewood Conjecture, if  $\mathcal{D}$  satisfies (8) then for almost every  $x \in \mathbb{R}$  we have that

$$\inf_{q \to \infty} q \mathcal{M}(q) (\log q) (\log \log q) |q|_{\mathcal{D}} ||qx|| = 0,$$

while on the other hand for any  $\epsilon > 0$  and for almost every  $x \in \mathbb{R}$ ,

$$\inf_{q \to \infty} q \mathcal{M}(q) (\log q) (\log \log q)^{1+\epsilon} |q|_{\mathcal{D}} ||qx|| > 0.$$

Furthermore the hypothesis on  $\mathcal{D}$  in Theorem 2 is not that restrictive in practice. Although it is possible to choose  $\mathcal{D}$  so that (8) does not hold, any reasonably chosen pseudo-absolute value sequence should satisfy the condition. Examples include sequences  $\mathcal{D}$  with bounded ratios or those whose elements of  $\mathcal{D}$  are divisible only by some finite collection of primes.

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## Arithmetic applications of Hankel determinants

WADIM ZUDILIN (joint work with Christian Krattenthaler, Tapani Matala-aho, Ville Merilä,

Igor Rochev and Keijo Väänänen)

The second constant, after  $\sqrt{2}$ , we usually learn to be irrational is

$$e = \sum_{n=0}^{\infty} \frac{1}{n!},$$

Euler's constant. And the trick there is using the "obvious" rational approximations  $p_n/q_n = \sum_{k=0}^{n-1} 1/k!$  and the fact

$$0 < q_n \left( e - \frac{p_n}{q_n} \right) < \frac{2}{n} \to 0 \quad \text{as } n \to \infty.$$

Later we realise we can do better with the Padé approximations for  $e^z$ , producing a sharp irrationality measure not only for e but also for  $e^r$ ,  $r \in \mathbb{Q} \setminus \{0\}$ .

It comes as no surprise that the truncations and Padé approximations work well for a similar series

$$E_q(z) := \sum_{n=0}^{\infty} \frac{z^n}{(q-1)(q^2-1)\cdots(q^n-1)},$$

where for simplicity we assume  $q \in \mathbb{Z}$ , q > 2. Probably more surprising is that the "obvious" tail approximations lead one to an even stronger conclusion about the arithmetic of the values of  $E_q(z)$ —their nonquadraticity—thanks to an original method of J.-P. Bézivin [2]. This is a consequence of our recent joint result [3] with C. Krattenthaler, I. Rochev and K. Väänänen; below I indicate some details of the construction taking z = 1 to avoid technicalities.

Introduce the normalised sequence of tails to  $E_q(1)$ ,

$$v_n(x) := (q-1)(q^2-1)\cdots(q^n-1)\cdot\left(x-\sum_{k=0}^{n-1}\frac{1}{(q-1)(q^2-1)\cdots(q^k-1)}\right)$$

for  $n = 0, 1, 2, \ldots$ , and the related Hankel determinant

$$V_n(x) := \det_{0 \le i,j \le n} \left( v_{i+j}(x) \right) \in \mathbb{Z}[x],$$

which is a polynomial of degree at most n + 1 in x. Then one expects  $V_n(E_q(1))$  to be small, and indeed it can be shown that

$$\frac{\log|V_n(E_q(1))|}{\log q} \le -\frac{1}{3}n^3 + o(n^3) \quad \text{as } n \to \infty.$$

Note that  $V_n(x)$  has a huge common factor of its coefficients of the form  $q^{n(n^2-1)/6} \times \prod_{l < n/2} (q^l - 1)^{2(n-2l)}$ , where the sharp form of the cyclotomic part in this expression is due to I. Rochev alone [9]. In other words,

$$\widehat{V}_n(x) := \frac{V_n(x)}{q^{n(n^2-1)/6} \prod_{l < n/2} (q^l - 1)^{2(n-2l)}} \in \mathbb{Z}[x],$$

and an explicit information about the height of the polynomials together with their nonvanishing, at  $x = E_q(1)$ , for infinitely many indices n imply

**Theorem 1** ([3]).  $E_q(1)$  is neither rational nor a quadratic irrationality.

What is special here about dealing with (Hankel) determinants? First of all, the determinants are highly structured: the extra powers of q and the cyclotomic part are extracted using *different* elementary transformations of both rows *and* columns. Secondly, the nonvanishing (infinitely often) of the sequence  $V_n(\lambda)$  for  $\lambda \in \mathbb{R}$  is a consequence of Kronecker's rationality criterion: the property is equivalent to the rationality of the power series  $\sum_{n=0}^{\infty} v_n(\lambda) z^n$ .

The above construction works, although differently, for e and  $e^z$  (and even more general entire functions) but the arithmetic results in those cases are already known.

In our joint project [6] with T. Matala-aho and V. Merilä we extend Bézivin's method to the arithmetic study of the *p*-adic constants  $\gamma = \gamma_p := \sum_{n=0}^{\infty} n!$  and related functions. The expected irrationality of  $\gamma$  is tied up with Wilf's conjecture [7] and Kurepa's conjecture [4] (a nonfixable gap in the proof given in [1] was recently observed by Yu. Nesterenko [8]).

In the newer settings, we let

$$v_n(x) := \frac{1}{n!} \cdot \left( x - \sum_{k=0}^{n-1} k! \right) \text{ for } n = 0, 1, 2, \dots,$$

and normalise the related Hankel determinant  $V_n(x) := \det_{0 \le i,j \le n} (v_{i+j}(x))$  as follows:  $\widehat{V}_n(x) := V_n(x)/\Lambda_n = x^{n+1} + \cdots \in \mathbb{Z}[x]$ , where

$$\Lambda_n := \det_{0 \le i, j \le n} \left( \frac{1}{(i+j)!} \right) = (-1)^{n(n+1)/2} \frac{(n-1) \$ n \$}{(2n) \$}$$

by means of the superfactorial notation n :=  $\prod_{k=1}^{n} k!$ . Then the height of the polynomial  $\widehat{V}_n(x)$  is bounded above by n (n + 1). Because all

$$v_n(\gamma) = \sum_{k=0}^{\infty} (n+1)(n+2)\cdots(n+k)$$

are *p*-integral, we have  $\operatorname{ord}_p V_n(\gamma) \geq 0$ ; a careful examination of the Hankel determinant produces a stronger conclusion:  $\operatorname{ord}_p V_n(\gamma) \geq 2 \operatorname{ord}_p n$ \$. Gathering all this information and the nonvanishing of  $V_n(\gamma)$  infinitely often, we are able to show the following partial arithmetic result.

**Theorem 2** ([6]). Let  $\mathcal{P}$  be a subset of primes such that

$$\limsup_{n \to \infty} n \$^2 \prod_{p \in \mathcal{P}} |(2n)\$|_p < 1.$$

Given a rational number  $r, \gamma$  is not equal to r for at least one  $p \in \mathcal{P}$ .

Here  $|\lambda|_p := p^{-\operatorname{ord}_p \lambda}$  denotes the *p*-adic absolute value of  $\lambda \in \mathbb{Q}$ . Note that the hypothesis of the theorem is roughly implied by  $\limsup_{n\to\infty} n! \prod_{p\in\mathcal{P}} |(2n)!|_p = 0$ 

which can be then compared with the condition  $\limsup_{n\to\infty} 4^n n! \prod_{p\in\mathcal{P}} |n!^2|_p < 1$ ; the latter may be obtained on using the Padé approximation technique [5].

We also observe (without proof) in [6] that the complex conjugate numbers

 $0.6971748832... \pm i \, 1.1557273498...$ 

are accumulation points of some zeroes of the polynomials  $V_n(x)$  as  $n \to \infty$ , which make them plausible archimedean reincarnations of  $\gamma$ , although the literature lacks of values for the divergent series  $\sum_{n=0}^{\infty} n!$ . In contrast, the series  $\sum_{n=0}^{\infty} (-1)^n n! =$ 0.596347362... was already summed by Euler, while the explicit expression is due to Hardy:

$$\sum_{n=0}^{\infty} (-1)^n n! = \int_0^{\infty} \frac{e^{-t} \, \mathrm{d}t}{1+t} = e \bigg( -\gamma - \sum_{n=1}^{\infty} \frac{(-1)^n}{n \cdot n!} \bigg).$$

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## Arithmetic properties of *p*-adic elliptic polylogarithms and irrationality NORIKO HIRATA-KOHNO

#### 1. INTRODUCTION

In this report, we introduce a *p*-adic elliptic polylogarithmic function to give a lower bound for the dimension of the linear space over the rationals spanned by 1 and values of the function. Our proof uses Padé approximation following the argument of T. Rivoal [10] and a new criterion due to Yu. V. Nesterenko [7]. We also show an example of the linear space of dimension  $\geq 3$  over  $\mathbb{Q}$  generated by 1 and usual polylogarithms, by adapting a new linear independence criterion obtained by S. Fischler and W. Zudilin [2].

Let us recall the polylogarithmic function  $Li_s(z)$  (s = 1, 2, ..., ) defined by

$$\mathrm{Li}_{s}(z) = \sum_{k=1}^{\infty} \frac{z^{k}}{k^{s}}, z \in \mathbb{C}, |z| \le 1 \ (z \ne 1 \text{ if } s = 1).$$

The function satisfies  $\operatorname{Li}_1(z) = -\log(1-z)$  and  $\operatorname{Li}_{s+1}(z) = \int_0^z \frac{\operatorname{Li}_s(t)}{t} dt$ . Let  $\overline{\mathbb{Q}}$  be the algebraic closure of  $\mathbb{Q}$  in  $\mathbb{C}$ , K be a number field of finite degree over  $\mathbb{Q}$ . Denote the ring of integers in K by  $\mathcal{D} = \mathcal{D}_K$ . We take a prime  $p \in \mathbb{Q}$ . For an Archimedean  $v | \infty$ , denote  $| \cdot |_{\infty} = | \cdot |_v$ , and for a finite place v of K over p, denote by  $| \cdot |_v$  the normalized valuation such that  $|x|_v = p^{-\operatorname{ord}_p(x)}$  for  $x \in \mathbb{Q}$ . Put  $\mathbb{Q}_p$  the completion of  $\mathbb{Q}$  by v | p and  $K_v$  be the completion of K by  $v (v | p \text{ or } v | \infty)$ . Write  $n_v = [K_v : \mathbb{Q}_v]$  the local degree for  $v (v | p \text{ or } v | \infty)$ . Finally set  $\mathbb{C}_p$  the completion of the algebraic closure of  $K_v$  by v | p. We denote again by  $| \cdot |_v$ , the extension of  $| \cdot |_v$  on  $\mathbb{C}_p$  for v | p.

Let  $\mathcal{E}$  be an elliptic curve defined by  $y^2 = 4x^3 - g_2x - g_3$   $(g_2, g_3 \in K)$ . Putting  $X = x, Y = y/2, V = g_2/4, W = g_3/4, \mathcal{E}$  is defined by  $Y^2 = X^3 - VX - W$ . We may suppose  $V, W \in \mathfrak{O}$ ; if either V or  $W \notin \mathfrak{O}$ , then there exists an integer  $c \in \mathfrak{O}$  such that the elliptic curve  $\mathcal{E}' : Y^2 = X^3 - V'X - W'$  with  $V' = c^4V \in \mathfrak{O}$ ,  $W' = c^6W \in \mathfrak{O}$  is isomorphic to  $\mathcal{E}$ , since the *j*-invariant remains equal. Denote by  $h = h(\mathcal{E}) := \max\{1, h(1, V, W)\}$  the height of  $\mathcal{E}$ .

Let  $\wp$  (resp.  $\sigma$ ) be the Weierstraß elliptic function (resp. sigma function), associated with the period lattice  $\Lambda = \omega_1 \mathbb{Z} + \omega_2 \mathbb{Z}$  of  $\mathcal{E}$ . An elliptic logarithm of a point  $P \in \mathcal{E} \hookrightarrow \mathbb{P}_2$  is a complex number u such that  $P = (\sigma^3(u) : \wp(u)\sigma^3(u) : \wp'(u)\sigma^3(u))$ .

**Definition 1.** For a point  $P = (X, Y, 1) \in \mathcal{E}$ , introduce the local parameter at the origin:  $t = t(P) = -X/Y, \omega(t) = -1/Y$ . Define  $\Omega(t) = dx/y = \wp'(z)dz/\wp'(z) = dz$  and  $z = z(t) = \int \Omega(t)$ . Then, z(t) is viewed as a local reversed function of  $t = -2\wp(z)/\wp'(z)$ . We call  $\log_{\mathcal{E}}(t) = z(t)$  an elliptic logarithmic function.

Now we consider elliptic polylogarithmic function by doing a formal integral as follows.

**Definition 2.** Let  $t \in \mathbb{C}$  with  $|t|_{\infty} < 1$ . Define an s-th elliptic polylogarithmic function by  $\operatorname{Li}_{\mathcal{E},1}(t) = \log_{\mathcal{E}}(t)$  and by

$$\operatorname{Li}_{\mathcal{E},s}(t) = \int_0^t \frac{\operatorname{Li}_{\mathcal{E},s-1}(t)}{t} dt \ (s = 2, 3, \ldots).$$

The Taylor expansion concerning with these functions is estimated as follows:

**Lemma 1.** At the origin, the Taylor expansion of  $Li_{\mathcal{E},s}(t)$  (s = 1, 2, 3, ...) is given by

$$\mathrm{L}i_{\mathcal{E},s}(t) = \sum_{k \ge 1} \frac{B_k}{k^s} t^k$$

where 
$$B_1 = 1, B_k = \frac{C_k}{2}, C_k = \sum_{4\lambda + 6\mu = k - 1, \lambda, \mu \ge 0} b_{\lambda,\mu}^{(k)} V^{\lambda} W^{\mu} \quad (k \ge 1), b_{\lambda,\mu}^{(k)} \in$$

and

$$|b_{\lambda,\mu}^{(k)}|_{\infty} \le \frac{(2^5 \cdot 3 \cdot 5^2)^k}{(k+2)^3(\lambda+1)^3(\mu+1)^3} \quad (k \ge 1).$$

The height is bounded by  $h(C_k) \leq 8.8k + (k-1)h$ .

Let us now recall the Lutz-Weil *p*-adic elliptic function which corresponds to the *p*-adic version of the Weierstraß elliptic function  $\wp$ .

Put  $\lambda_p = 1/(p-1)$  if  $p \neq 2$ , and  $\lambda_2 = 3$ . We set  $\mathcal{C}_p := \{z \in \mathbb{C}_p : |z|_v < p^{-\lambda_p}\}$ . There exist two solutions  $\varphi$  and  $-\varphi$  to the differential equation  $(\varphi')^2 = 1 - V\varphi^4 - W\varphi^6$  with  $\varphi(0) = 0$ , defined and analytic in  $\mathcal{C}_p$ . The function  $\varphi(z)$  is called the Lutz-Weil *p*-adic elliptic function.

We then introduce a reversed function.

**Definition 3.** By writing X, Y in terms of t and  $\omega(t)$ , consider the differential form  $\Omega(t) = dX/2Y$ , viewed as a formal power series in t. Define a p-adic elliptic logarithmic function by  $\log_{p,\mathcal{E}}(t) = z(t) = \int \Omega(t)$ .

It is indeed a reversed function of  $\exp_p(z) = (1/\varphi^2(z), -\varphi'(z)/\varphi^3(z), 1) = (t, -1, \omega(t)).$ 

The p-adic elliptic polylogarithmic function is also defined by a formal integral as follows.

**Definition 4.** Let  $t \in \mathbb{C}_p$  with  $|t|_v < 1$ . Define an s-th p-adic elliptic polylogarithmic function by  $\operatorname{Li}_{p,\mathcal{E},1}(t) = \log_{p,\mathcal{E}}(t)$  and by

$$\operatorname{L}_{i_{p,\mathcal{E},s}(t)} = \int_{0}^{t} \frac{\operatorname{L}_{i_{p,\mathcal{E},s-1}(t)}}{t} dt \ (s = 2, 3, \ldots).$$

We obtain exactly the same estimates as in the Archimedean case for the Taylor coefficients.

### 2. Linear independence of *p*-adic elliptic polylogarithms

We recall latest results concerning with irrationality of the values of (exponential) polylogarithmic function.

E. M. Nikišin [8] and M. Hata [3] investigated sufficient conditions such that for a rational number  $\alpha$ , the values of polylogarithmic functions  $Li_1(\alpha), Li_2(\alpha), \ldots, Li_s(\alpha)$  and 1 are linearly independent over  $\mathbb{Q}$ .

In 2003, T. Rivoal [10] proved the following result.

.

**Theorem A** (Rivoal). Let s be an integer  $\geq 2$ . Let  $\alpha = a/b \in \mathbb{Q}$  with  $a, b \in \mathbb{Z}$ , gcd(a,b) = 1 and  $0 < |\alpha| < 1$ . For any  $\varepsilon > 0$ , there exists an integer  $A(\varepsilon, a, b) \geq 1$  satisfying the following property. If  $s \geq A(\varepsilon, a, b)$ , we have

$$\dim_{\mathbb{Q}} \left\{ \mathbb{Q} + \mathbb{Q}Li_1(\alpha) + \dots + \mathbb{Q}Li_s(\alpha) \right\} \ge \frac{1-\varepsilon}{1+\log\left(2\right)}\log\left(s\right).$$

 $\mathbb{Z}$ 

Rivoal proved Theorem A by using Nesterenko's linear independence criterion [6]. R. Marcovecchio [5] generalized Rivoal's result in the case of algebraic number field.

Now we suppose all the following conditions.

**Assumptions:** Let  $K = \mathbb{Q}$  and v = p. Let  $\beta = a/b, a, b \in \mathbb{Z}$ , gcd(a, b) = 1. Suppose  $|\beta|_v < 1$  and  $|V|_v = 1, |W|_v = 1$ . Moreover, in the expression

$$\mathrm{L}i_{p,\mathcal{E},s}(t) = \sum_{k \ge 1} \frac{B_k}{k^s} t^k,$$

assume that we have  $|B_k|_{\infty} = \mathcal{O}(k)$  for all  $B_k(k \ge 1)$ .

**Theorem B** (Nesterenko). Let  $c_1, c_2, \tau_1, \tau_2$  be positive numbers with  $\tau_2 \leq \tau_1$ . Let  $0 \leq \sigma(t)$  be a monotonically increasing function defined for all  $t \geq t_0$  such that

$$\lim_{t \to \infty} \sigma(t) = \infty, \ \limsup_{t \to \infty} \frac{\sigma(t+1)}{\sigma(t)} = 1.$$

Let  $\xi = (\xi_1, \ldots, \xi_m) \in \mathbb{C}_p^m - (0)$ , and let  $L_N(x_1, \ldots, x_m)$  be a sequence of linear forms with coefficients  $\in \mathfrak{O}$  satisfying for all large N, denoting  $|L_N|_{\infty} = \max$  of  $|coefficients|_{\infty}$  of  $L_N$ ;

$$\log |L_N|_{\infty} < \sigma(N), c_1 e^{-\tau_1 \sigma(N)} \le \frac{|L_N(\xi)|_v}{|L_N|_v} \le c_2 e^{-\tau_2 \sigma(N)}.$$

Then  $\dim_{\mathbb{Q}} {\mathbb{Q}}{\xi_1 + \dots + \mathbb{Q}}{\xi_m} \ge \frac{\tau_1}{[K:\mathbb{Q}] + \tau_1 - \tau_2}.$ 

By adapting Theorem B to the *p*-adic elliptic polylogarithmic function, we have:

**Theorem 1.** Suppose all the assumptions above. Then for sufficiently large s, we have

$$\dim_{\mathbb{Q}} \{\mathbb{Q} + \mathbb{Q}Li_{p,\mathcal{E},1}(\beta) + \dots + \mathbb{Q}Li_{p,\mathcal{E},s}(\beta)\} \ge \mathcal{O}_{\mathcal{E},p,\beta}(1) \cdot \log s.$$

The constant  $\mathcal{O}_{\mathcal{E},p,\beta}(1)$  can be explicitly calculated. However, our assumption for the growth of the coefficients of the Taylor expansion of the *p*-adic elliptic polylogarithmic function is indeed very strong.

### 3. Archimedean polylogarithms

We also present here a slight refinement of linear independence result concerning with the usual (exponential) polylogarithmic function, relying on a new linear independence criterion due to S. Fischler and W. Zudilin [2].

**Theorem 2** (with H. Okada). Let  $s \ge 356$ . Then for  $\alpha = a/b \in \mathbb{Q}$ , with  $a, b \in \mathbb{Z}$ , gcd(a, b) = 1,  $0 < |\alpha| < 1$ ,  $1 \le |a| \le 49$ ,  $2 \le |b| \le 50$ , we have

$$\dim_{\mathbb{Q}} \left\{ \mathbb{Q} + \mathbb{Q} \mathrm{L}i_1(\alpha) + \dots + \mathbb{Q} \mathrm{L}i_s(\alpha) \right\} \ge 3.$$

A more general statement is as follows.

**Theorem 3** (with H. Okada). Let s be an integer  $\geq 2$ . Let  $\alpha = a/b \in \mathbb{Q}$  with  $a, b \in \mathbb{Z}$ , gcd(a, b) = 1 and  $0 < |\alpha| < 1$ . Put

$$M = \dim_{\mathbb{Q}} \left\{ \mathbb{Q} + \mathbb{Q} \mathrm{L} i_1(\alpha) + \dots + \mathbb{Q} \mathrm{L} i_s(\alpha) \right\} - 1.$$

Let  $r \in \mathbb{Z}$ ,  $1 \leq r < M$  defined by

$$r = \max\left\{1, \left[\frac{M}{\left(\log\max\left\{3, M\right\}\right)^{\rho}}\right]\right\}$$

where  $\rho > 0$  arbitrarily chosen and fixed, with [x] the largest integer part  $\leq x$  (floor function). Then we have

$$M \ge \frac{\log r + \frac{(M-1)}{2} - \frac{\log|a|}{M} - \frac{r}{M}\log r}{1 + \log 2 + \frac{\log|b|}{M} + (\frac{r+1}{M})\log 2 + \frac{r}{M}\log r} .$$

We should note that the right-hand side of the conclusion of Theorem 3 contains M as in the statement in [2]. Indeed, when we subtract  $\frac{M-1}{2}$  from the numerator of the right-hand side and add this part on the left-hand side, then it gives only an asymptotic formula for M.

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# Infinite Non-Abelian Extensions and Small Heights PHILIPP HABEGGER

Let  $h(\alpha)$  denote the absolute, logarithmic Weil height of  $\alpha \in \overline{\mathbf{Q}}$ , where  $\overline{\mathbf{Q}}$  denotes an algebraic closure of  $\mathbf{Q}$ . If  $P = a_d T^d + \cdots + a_0 \in \mathbf{Z}[X]$  is the unique polynomial of minimal degree such that  $P(\alpha) = 0$ , the  $a_0, \ldots, a_d$  coprime, and  $a_d > 0$ , then

$$h(\alpha) = \frac{1}{d} \log \left( a_d \prod_{P(z)=0} \max\{1, |z|\} \right)$$

where the product runs over all complex roots of P.

field K there exists  $\epsilon = \epsilon_K > 0$  such that if  $\alpha \in K$  then either

For example  $h(2^{1/n}) = (\log 2)/n$  tends to zero as n runs over all positive integers. A theorem often attributed to Northcott implies that any set of algebraic numbers with bounded height and bounded degree is finite. Hence for any number

$$h(\alpha) = 0$$
 or  $h(\alpha) > \epsilon$ .

Schinzel [7] proved that  $\mathbf{Q}^{\mathrm{mr}}$ , the composite in  $\overline{\mathbf{Q}}$  of all totally real number fields, admits a similar height gap. More precisely, if  $\alpha \in \mathbf{Q}^{\mathrm{mr}}$  with  $\alpha \neq 0, \pm 1$ , then

$$h(\alpha) \ge \frac{1}{2} \log\left(\frac{\sqrt{5}+1}{2}\right)$$

and this inequality is sharp.

We will say that a subfield F of  $\overline{\mathbf{Q}}$  satisfies the Bogomolov property if there exists  $\epsilon > 0$  such that

if 
$$\alpha \in F$$
 then  $h(\alpha) = 0$  or  $h(\alpha) \ge \epsilon$ .

This property was named by Bombieri and Zannier [4]. They showed that if p is a prime, then any Galois extension of  $\mathbf{Q}$  that admits an embedding into a finite extension of the *p*-adics satisfies the Bogomolov property. Their result can thus be view as a *p*-adic version of Schinzel's Theorem.

Instead of imposing a local restriction, Amoroso and Dvornicich [1] proved that the maximal abelian extension  $\mathbf{Q}^{ab}$  of  $\mathbf{Q}$  satisfies the Bogomolov property. They obtained  $(\log 5)/12$  as a lower bound for the gap. In later work, Amoroso and Zannier [2] showed that the maximal abelian extension of an arbitrary number field satisfies the Bogomolov property.

The classical Theorem of Kronecker-Weber states that  $\mathbf{Q}^{ab}$  is generated as a field by all roots of unity. This can be reformulated as stating that  $\mathbf{Q}^{ab}$  is the field generated by the points of finite order of the algebraic group  $\mathbf{G}_m$ .

Starting from this interpretation of  $\mathbf{Q}^{ab}$  it seems natural to consider fields generated by all points of finite order of other commutative algebraic groups. To this extent let E be an elliptic curve given by a Weierstrass equation  $y^2 = x^3 + ax + b$ with rational coefficients a and b. We let  $E_{\text{tors}}$  denote the group of all points in  $E(\overline{\mathbf{Q}})$  of finite order. The problem is thus to determine if the field  $\mathbf{Q}(E_{\text{tors}})$ generated by the x- and y-coordinates of all non-zero elements in  $E_{\text{tors}}$  has the Bogomolov property. We note that  $\mathbf{Q}(E_{\text{tors}})$  is an infinite Galois extension of  $\mathbf{Q}$ . Indeed, properties of the Weil pairing imply that  $\mathbf{Q}(E_{\text{tors}})$  contains  $\mathbf{Q}^{\text{ab}}$ .

If E has potential complex multiplications by an order in an imaginary quadratic number field K, then  $\mathbf{Q}(E_{\text{tors}})$  is in the maximal abelian extension on K. In this case, the result of Amoroso and Zannier implies that  $\mathbf{Q}(E_{\text{tors}})$  satisfies the Bogomolov property.

So suppose that E does not have potential complex multiplications. By Serre's Open Image Theorem [8] the group  $\operatorname{Gal}(\mathbf{Q}(E_{\operatorname{tors}})/\mathbf{Q})$  is isomorphic to an open subgroup of  $\operatorname{GL}_2(\widehat{\mathbf{Z}})$ , with  $\widehat{\mathbf{Z}}$  the Prüfer ring. Amoroso and Zannier's result is not applicable to  $\mathbf{Q}(E_{\operatorname{tors}})$  as  $\operatorname{GL}_2(\widehat{\mathbf{Z}})$  does not contain an open abelian subgroup. Moreover, neither Schinzel's Theorem nor Bombieri and Zannier's *p*-adic analog may be applied. But  $\mathbf{Q}(E_{\operatorname{tors}}) \supset \mathbf{Q}^{\operatorname{ab}}$  and so both fields have unbounded ramification above all primes.

In my talk I presented the following result [6].

## **Theorem 1.** The field $\mathbf{Q}(E_{\text{tors}})$ satisfies the Bogomolov property.

I then gave a short overview of the proof which makes use of the following theorem of Elkies [5]. There exist infinitely many primes where E has supersingular reduction. This remarkable result is currently not known to hold for elliptic curves defined over an arbitrary number field.

In order to show that  $\mathbf{Q}(E_{\text{tors}})$  satisfies the Bogomolov property we must fix one supersingular prime p which is sufficiently large with respect to E. For example, we require the natural modulo p Galois representation attached to E to be surjective. Serre's Theorem guarantees this for all sufficiently large p. The proof of the height lower bound is then based on a local metric argument at places above p using, among other things, Lubin-Tate Theory. The argument makes essential use of supersingularity. Roughly speaking, the product formula can be used to combine the non-Archimedean estimates above p with estimates at Archimedean places coming from Bilu's Equidistribution Theorem [3]. After a descent argument this leads to a proof that  $\mathbf{Q}(E_{\text{tors}})$  satisfies the Bogomolov property.

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## Diophantine exponents and parametric geometry of numbers OLEG N. GERMAN

Let  $\Theta$  be an  $n\times m$  real matrix. The supremum of the real numbers  $\gamma$  such that the inequality

(1) 
$$|\Theta \mathbf{x} - \mathbf{y}| \leq |\mathbf{x}|^{-\gamma}$$

has infinitely many non-zero solutions in  $\mathbf{z} = (\mathbf{x}, \mathbf{y}) \in \mathbb{Z}^m \oplus \mathbb{Z}^n$  is called the *(regular) Diophantine exponent* of  $\Theta$  and is denoted by  $\beta(\Theta)$ .

Substituting (1) by the inequalities

(2) 
$$|\mathbf{x}| \leq t, \quad |\Theta \mathbf{x} - \mathbf{y}| \leq t^{-\gamma}$$

and requiring (2) to have a non-zero solution  $\mathbf{z} = (\mathbf{x}, \mathbf{y}) \in \mathbb{Z}^m \oplus \mathbb{Z}^n$  for all sufficiently large t, gives us the uniform analogue of  $\beta(\Theta)$ , which is called the *uniform* Diophantine exponent of  $\Theta$  and is denoted by  $\alpha(\Theta)$ .

These two quantities measure how well the space

$$\mathcal{L} = \left\{ \mathbf{z} = (\mathbf{x}, \mathbf{y}) \in \mathbb{R}^{m+n} \, \middle| \, \Theta \mathbf{x} = \mathbf{y} \right\}$$

of solutions to the system  $\Theta \mathbf{x} = \mathbf{y}$  can be approximated with one-dimensional rational subspaces of  $\mathbb{R}^{m+n}$ .

Our aim is to discuss two ways of generalizing the quantities  $\beta(\Theta)$ ,  $\alpha(\Theta)$  to the case of approximating  $\mathcal{L}$  by *p*-dimensional rational subspaces. One way is to require (2) to have *p* linearly independent solutions. This immediately gives us exponents  $\beta_p(\Theta)$  and  $\alpha_p(\Theta)$ . Another way is to estimate the order of approximation in terms of the height of the approximating subspace. This approach gives us exponents  $\mathfrak{b}_p(\Theta)$  and  $\mathfrak{a}_p(\Theta)$ . Namely,  $\mathfrak{b}_p(\Theta)$  is the supremum of the real numbers  $\gamma$  such that the inequality

(3) 
$$\max_{\substack{\mathbf{L}\in\wedge^{1+k}(\mathcal{L})\\|\mathbf{L}|=1}} |\mathbf{L}\wedge\mathbf{Z}| \leqslant |\mathbf{Z}|^{-\gamma}$$

has infinitely many nonzero solutions in  $\mathbf{Z} \in \wedge^p(\mathbb{Z}^{m+n})$ , where  $k = \max(0, m-p)$ . The exponent  $\mathfrak{a}_p(\Theta)$  is obtained by substituting (3) with

(4) 
$$|\mathbf{Z}| \leq t, \qquad \max_{\substack{\mathbf{L} \in \wedge^{1+k}(\mathcal{L}) \\ |\mathbf{L}|=1}} |\mathbf{L} \wedge \mathbf{Z}| \leq t^{-\gamma}$$

and requiring (4) to have a non-zero solution  $\mathbf{Z} \in \wedge^p(\mathbb{Z}^{m+n})$  for all t large enough.

It was shown in [1] that  $\mathfrak{b}_1(\Theta) = \beta_1(\Theta) = \beta(\Theta)$  and  $\mathfrak{a}_1(\Theta) = \alpha_1(\Theta) = \alpha(\Theta)$ , so the exponents  $\beta_p(\Theta)$ ,  $\alpha_p(\Theta)$ ,  $\mathfrak{b}_p(\Theta)$ ,  $\mathfrak{a}_p(\Theta)$  are indeed a generalization of  $\beta(\Theta)$ and  $\alpha(\Theta)$ .

A very useful point of view at these phenomena is provided by so called *para*metric geometry of numbers devised lately by W. M. Schmidt and L. Summerer [2], [3]. It connects  $\Theta$  to a certain one-parametric family of parallelepipeds  $\mathcal{B}(s)$  and studies the asymptotic behaviour of their successive minima with respect to an appropriately chosen lattice. In order to describe it let us introduce certain notation.

Let d = m + n, let  $\mathcal{B}_{\infty}^d$  be the unit ball in the sup-norm in  $\mathbb{R}^d$ , let

$$\mathcal{B}(s) = \begin{pmatrix} e^{\tau_1(s)} & 0 & \cdots & 0\\ 0 & e^{\tau_2(s)} & \cdots & 0\\ \vdots & \vdots & \ddots & \vdots\\ 0 & 0 & \cdots & e^{\tau_d(s)} \end{pmatrix} \mathcal{B}_{\infty}^d,$$
  
re  $\tau_1(s) = \ldots = \tau_m(s) = s, \ \tau_{m+1}(s) = \ldots = \tau_d(s) = -ms/n,$  and let  
$$\Lambda = \begin{pmatrix} E_m & 0\\ \Theta & E_n \end{pmatrix}^{-1} \mathbb{Z}^d,$$

where  $E_n$ ,  $E_m$  are corresponding unity matrices.

Schmidt–Summerer's exponents are defined as

$$\underline{\psi}_p(\Theta) = \liminf_{s \to +\infty} \frac{\ln(\lambda_p(\mathcal{B}(s)))}{s}, \qquad \overline{\psi}_p(\Theta) = \limsup_{s \to +\infty} \frac{\ln(\lambda_p(\mathcal{B}(s)))}{s}$$

and

whe

$$\underline{\Psi}_p(\Theta) = \liminf_{s \to +\infty} \frac{\ln\left(\prod_{i=1}^p \lambda_i(\mathcal{B}(s))\right)}{s}, \qquad \overline{\psi}_p(\Theta) = \limsup_{s \to +\infty} \frac{\ln\left(\prod_{i=1}^p \lambda_i(\mathcal{B}(s))\right)}{s},$$

where  $\lambda_i(\mathcal{B}(s))$  is the *i*-th successive minimum of  $\mathcal{B}(s)$  with respect to  $\Lambda$ .

It was shown in [1] that Schmidt–Summerer's exponents are connected to the intermediate Diophantine exponents by the relations

$$(1 + \beta_p(\Theta))(1 + \underline{\psi}_p(\Theta)) = (1 + \alpha_p(\Theta))(1 + \overline{\psi}_p(\Theta)) = 1 + m/n,$$
  
$$(1 + \mathfrak{b}_p(\Theta))(\varkappa_p + \underline{\Psi}_p(\Theta)) = (1 + \mathfrak{a}_p(\Theta))(\varkappa_p + \overline{\psi}_p(\Theta)) = 1 + m/n,$$

where  $\varkappa_p = \min(p, \frac{m}{n}(m+n-p)).$ 

Our ultimate goal is to describe the existing inequalities between the intermediate Diophantine exponents (and hence between Schmidt–Summerer's exponents) obtained by W. M. Schmidt in [4], by M. Laurent, Y. Bugeaud in [5], W. M. Schmidt, L. Summerer in [3] and by the author in [1]. One of the remarkable features of those inequalities is that they refine in many ways well known transference theorems, which connect the Diophantine exponents of  $\Theta$  and  $\Theta^{\intercal}$ .

Among such inequalities are

(5) 
$$(d-p-1)(1+\mathfrak{b}_{p+1}(\Theta)) \ge (d-p)(1+\mathfrak{b}_p(\Theta)), \\ (d-p-1)(1+\mathfrak{a}_{p+1}(\Theta)) \ge (d-p)(1+\mathfrak{a}_p(\Theta))$$

holding for  $p \ge m$ , and

(6) 
$$(d-p-1)(1+\mathfrak{b}_p(\Theta))^{-1} \ge (d-p)(1+\mathfrak{b}_{p+1}(\Theta))^{-1}-n, \\ (d-p-1)(1+\mathfrak{a}_p(\Theta))^{-1} \ge (d-p)(1+\mathfrak{a}_{p+1}(\Theta))^{-1}-n$$

holding for p < m - 1, which refine Dyson's transference inequality

$$\mathfrak{b}_1(\Theta^{\mathsf{T}}) \geqslant \frac{n\mathfrak{b}_1(\Theta) + n - 1}{(m - 1)\mathfrak{b}_1(\Theta) + m}$$

We also mention the inequalities

$$\mathfrak{b}_2(\Theta) \geqslant \frac{\mathfrak{b}_1(\Theta) + \mathfrak{a}_1(\Theta)}{1 - \mathfrak{a}_1(\Theta)}, \qquad \mathfrak{a}_2(\Theta) \geqslant (1 - \mathfrak{a}_1(\Theta))^{-1} - \frac{n-2}{n-1}$$

holding for m = 1 (for the former we must also suppose that  $\mathcal{L} \cap \mathbb{Z}^d$  is not one-dimensional) and

$$\mathfrak{b}_{2}(\Theta) \geqslant \begin{cases} \frac{\mathfrak{a}_{1}(\Theta) - 1}{2 + \mathfrak{b}_{1}(\Theta) - \mathfrak{a}_{1}(\Theta)}, & \text{ if } \mathfrak{a}_{1}(\Theta) \neq \infty, \\ \\ \frac{1 - \mathfrak{a}_{1}(\Theta)^{-1}}{\mathfrak{b}_{1}(\Theta)^{-1} + \mathfrak{a}_{1}(\Theta)^{-1}}, \end{cases}$$

and

$$\mathfrak{a}_{2}(\Theta) \geqslant \begin{cases} \frac{n-1}{-n-(d-2)(1-\mathfrak{a}_{1}(\Theta))^{-1}}, & \text{if } \mathfrak{a}_{1}(\Theta) \leqslant 1, \\ \frac{m-1}{n+(d-2)(\mathfrak{a}_{1}(\Theta)-1)^{-1}}, & \text{if } \mathfrak{a}_{1}(\Theta) \geqslant 1 \end{cases}$$

holding for  $m \ge 2$ . These inequalities combined with (5) and (6) give

$$\mathfrak{b}_{1}(\Theta^{\intercal}) \geqslant \begin{cases} \frac{(n-1)(1+\mathfrak{b}_{1}(\Theta))-(1-\mathfrak{a}_{1}(\Theta))}{(m-1)(1+\mathfrak{b}_{1}(\Theta))+(1-\mathfrak{a}_{1}(\Theta))}, & \text{if } \mathfrak{a}_{1}(\Theta) \neq \infty, \\ \\ \frac{(n-1)(1+\mathfrak{b}_{1}(\Theta)^{-1})-(\mathfrak{a}_{1}(\Theta)^{-1}-1)}{(m-1)(1+\mathfrak{b}_{1}(\Theta)^{-1})+(\mathfrak{a}_{1}(\Theta)^{-1}-1)} \end{cases}$$

and

$$\mathfrak{a}_{1}(\Theta^{\mathsf{T}}) \geqslant \begin{cases} \frac{n-1}{m-\mathfrak{a}_{1}(\Theta)}, & \text{ if } \mathfrak{a}_{1}(\Theta) \leqslant 1, \\ \frac{n-\mathfrak{a}_{1}(\Theta)^{-1}}{m-1}, & \text{ if } \mathfrak{a}_{1}(\Theta) \geqslant 1. \end{cases}$$

Finally, we turn to Schmidt and Summerer's inequalities

$$\alpha_p \leqslant \frac{\beta_p}{1+\beta_1-\beta_p}$$
 and  $\beta_p \geqslant \frac{\alpha_p}{1+\alpha_d-\alpha_p}$ .

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## The generalized superelliptic equation

MICHAEL A. BENNETT

## (joint work with Sander Dahmen)

If  $F(x, y) \in \mathbb{Z}[x, y]$  is an irreducible binary form of degree  $k \geq 3$  then a theorem of Darmon and Granville implies that the generalized superelliptic equation

$$F(x,y) = z^{i}$$

has, given an integer  $l \ge \max\{2, 7-k\}$ , at most finitely many solutions in coprime integers x, y and z. In our talk, we describe how this result can be extended to the case where the parameter l is now taken to be variable, for large classes of cubic forms (and certain forms of higher degree). In the case of irreducible cubic forms, this provides the first examples where such a conclusion has been proven. The method of proof combines classical invariant theory, modular Galois representations, and properties of elliptic curves with isomorphic mod n Galois representations. In the course of constructing an infinite family of cubic forms with this property, we are led to explicitly solve an infinite family of Thue-Mahler equations.

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