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Manin–Drinfeld cycles and derivatives of *L*-functions

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Abstract. We study algebraic cycles in the moduli space of PGL₂-shtukas, arising from the diagonal torus. Our main result shows that their intersection pairing with the Heegner–Drinfeld cycle is the product of the *r*-th central derivative of an automorphic *L*-function $L(\pi, s)$ and Waldspurger's toric period integral. When $L(\pi, 1/2) \neq 0$, this gives a new geometric interpretation for the Taylor series expansion. When $L(\pi, 1/2) = 0$, the pairing vanishes, suggesting higher order analogues of the vanishing of cusps in the modular Jacobian, as well as other new phenomena.

Our proof sheds new light on the algebraic correspondence introduced by Yun and Zhang, which is the geometric incarnation of "differentiating the *L*-function". We realize it as the Lie algebra action of $e + f \in \mathfrak{sl}_2$ on $(\mathbb{Q}_{\ell}^2)^{\otimes 2d}$. The comparison of relative trace formulas needed to prove our formula is then a consequence of Schur–Weyl duality.

Keywords. L-functions, shtukas, Gross-Zagier formula, Waldspurger formula

1. Introduction

Let K/F be a quadratic extension of global function fields, corresponding to a double cover $\nu : Y \to X$ of smooth, projective, geometrically connected curves over $\mathbf{k} = \mathbb{F}_q$. We consider cuspidal automorphic representations π on $G = \text{PGL}_{2,F}$. Let T be the torus K^{\times}/F^{\times} over F. For simplicity, we assume both π and K/F are everywhere unramified.

1.1. Summary

Let Sht_G^r be the moduli stack over k parameterizing G-shtukas with r legs. Yun and Zhang define Heegner–Drinfeld cycles $[\operatorname{Sht}_T^r]_{\pi} \in \operatorname{Ch}_c^r(\operatorname{Sht}_G^r)$ generalizing CM divisors on (Drinfeld) modular curves. In [9, Cor. 1.4], they relate the self-intersection of $[\operatorname{Sht}_T^r]_{\pi}$ to the product of the r-th central derivative of the normalized L-function $\mathscr{L}(\pi, s)$ and the central value of the twisted L-function $\mathscr{L}(\pi \otimes \eta, s)$:

$$\mathscr{L}^{(r)}(\pi, 1/2)\mathscr{L}(\pi \otimes \eta, 1/2) \doteq \langle [\operatorname{Sht}_{T}^{r}]_{\pi}, [\operatorname{Sht}_{T}^{r}]_{\pi} \rangle_{\operatorname{Sht}_{C}^{r}}.$$
 (1.1)

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Here, η is the quadratic character associated to K. This is Waldspurger's formula [7] when r = 0, and a Gross–Zagier type formula when r = 1.

In this paper, we define *Manin–Drinfeld cycles* $[\text{Sht}_A^r]_{\pi} \in \text{Ch}_c^r(\text{Sht}_G^r)$ coming from *A*-shtukas, where $A \hookrightarrow G$ is the diagonal torus. They are generalizations of cuspidal divisors on the (Drinfeld) modular curve. Our main result (Theorem 1) has the following shape:

$$\mathscr{L}^{(r)}(\pi, 1/2) \int_{[T]} \phi(t) dt \doteq \langle [\operatorname{Sht}_{A}^{r}]_{\pi}, [\operatorname{Sht}_{T}^{r}]_{\pi} \rangle_{\operatorname{Sht}_{G}^{r}},$$
(1.2)

for an appropriate spherical vector $\phi \in \pi$. When r = 0, this formula amounts to the statement that $\mathscr{L}(\pi, s)$ can be written as a Mellin transform. When r = 1, it is related to the function field version of the Manin–Drinfeld theorem [2, 6], that the cusps on the modular Jacobian are torsion. For $r \ge 2$, our formula has no known analogue over number fields. If $\mathscr{L}(\pi_K, 1/2) \neq 0$, it shows that the Manin–Drinfeld cycles are non-vanishing and our formula gives a new expression for the Taylor series expansion of $\mathscr{L}(\pi, s)$. If $\mathscr{L}(\pi_K, 1/2) = 0$, then we see that the Manin–Drinfeld cycle has trivial intersection with the Heegner–Drinfeld cycle, leading to an "alternative": either $[\text{Sht}_A^r]_{\pi}$ is torsion, or $[\text{Sht}_A^r]_{\pi}$ and $[\text{Sht}_T^r]_{\pi}$ are linearly independent.

1.2. Precise statement of results

Let $Y_0 = X \amalg X \to X$ be the split double cover. The *F*-algebra of rational functions on Y_0 is $K_0 = F \oplus F$.

There are natural closed immersions

of group schemes over X. Let $T \subset G_1$ and $A \subset G$ be the quotients by the central \mathbb{G}_m . Then G_1 is Zariski-locally isomorphic to PGL₂ and $G = PGL_2$ over X. The group scheme T is a non-split torus, while A is the split diagonal torus in PGL₂. On F-points, we have $T(F) = K^{\times}/F^{\times}$ and $A(F) \simeq F^{\times}$.

Let A be the adele ring of F, and \mathbb{O} the subring of integral elements. Define $U = G(\mathbb{O})$ and $U_1 = G_1(\mathbb{O})$. There is an isomorphism of spaces of cuspidal automorphic forms

$$\mathcal{A}_{\text{cusp}}(G_1)^{U_1} \cong \mathcal{A}_{\text{cusp}}(G)^U.$$

These are finite-dimensional \mathbb{C} -vector spaces, and the space on the right carries a natural action of the Hecke algebra \mathcal{H} of \mathbb{Q} -valued compactly supported *U*-bi-invariant functions on $G(\mathbb{A})$.

We adopt the usual notation

$$[T] = T(F) \setminus T(\mathbb{A}), \quad [A] = A(F) \setminus A(\mathbb{A}).$$

For any $\phi \in \mathcal{A}_{cusp}(G)^U$, consider the toric period integrals

$$\mathscr{P}_A(\phi,s) = \int_{[A]} \phi(a) |a|^{2s} \, da, \quad \mathscr{P}_T(\phi) = \int_{[T]} \phi(t) \, dt.$$

The Haar measures are chosen so that the volume of $A(\mathbb{O})$ and $T(\mathbb{O})$ is 1.

To precisely define the geometric side of (1.2), recall from [8] the stack Sht_T^r of T-shtukas with r modifications, and the 2r-dimensional k-stack Sht_G^r of PGL₂-shtukas with r modifications. The former parameterizes shtukas of line bundles on Y, while the latter parameterizes shtukas of rank 2 vector bundles on X. The k-stack Sht^r is proper of dimension r, and ν_* induces a finite morphism $\theta_T^r : \operatorname{Sht}_T^r \to \operatorname{Sht}_G^r$. Pushing forward the fundamental class along θ_T^r gives a class $[Sht_T^r] \in Ch_c^r(Sht_G^r)$ in the Chow group of compactly supported cycles.

Remark 1.1. The definitions above require a choice of $\mu = (\mu_i) \in \{\pm 1\}^r$ satisfying $\sum_{i=1}^{r} \mu_i = 0$; in particular we assume that r is even. We suppress the choice of μ in the introduction.

Analogously, we define in Section 3 a stack Sht_A^r parameterizing A-shtukas with r modifications. It is not of finite type over k, but can be written as a union

$$\operatorname{Sht}_{A}^{r} = \bigcup_{d \ge 0} \operatorname{Sht}_{A}^{r, \le d}$$

of stacks $\operatorname{Sht}_{A}^{r,\leq d}$ which are proper over k, and which admit finite maps

$$\operatorname{Sht}_A^{r,\leq d} \to \operatorname{Sht}_G^r$$

Define $[\operatorname{Sht}_A^{r,\leq d}] \in \operatorname{Ch}_{c,r}(\operatorname{Sht}_G^r)$ as the pushforward of the fundamental class. Fix $d \geq 0$, and denote by \widetilde{W}_A^d , $\widetilde{W}_T \subset \operatorname{Ch}_{c,r}(\operatorname{Sht}_G^r)$ the \mathscr{H} -submodules generated by the classes $[\text{Sht}_A^{r,\leq d}]$, $[\text{Sht}_T^r]$ respectively. Restricting the intersection pairing on the Chow group defines a pairing $\langle \cdot, \cdot \rangle : \tilde{W}_A^d \times \tilde{W}_T \to \mathbb{Q}$. If we define

$$W_A^d = \widetilde{W}_A / \{ c \in \widetilde{W}_A^d : \langle c, \widetilde{W}_T \rangle = 0 \},$$

$$W_T^d = \widetilde{W}_T / \{ c \in \widetilde{W}_T : \langle c, \widetilde{W}_A^d \rangle = 0 \},$$

this pairing descends to $W_A^d \times W_T^d$, and we extend it to an \mathbb{R} -bilinear pairing

$$\langle , \rangle : W^d_A(\mathbb{R}) \times W^d_T(\mathbb{R}) \to \mathbb{R}.$$

We show in §4 that for $d \gg 0$, the space W_T^d is independent of d, and for each $* \in \{A, T\}$, there is a decomposition into isotypic components

$$W^d_*(\mathbb{R}) = W^d_{*,\mathrm{Eis}} \oplus \bigoplus_{\pi} W^d_{*,\pi},$$

where the sum is over all unramified cuspidal π , and \mathscr{H} acts on $W^d_{*,\pi}$ via $\lambda_{\pi} : \mathscr{H} \to \mathbb{R}$.

Let

$$[\operatorname{Sht}_{A}^{r,\leq d}]_{\pi} \in W^{d}_{A,\pi}$$
 and $[\operatorname{Sht}_{T}^{r}]_{\pi} \in W^{d}_{T,\pi}$

be the projections of $[\operatorname{Sht}_{A}^{r,\leq d}] \in W_{A}^{d}(\mathbb{R})$ and $[\operatorname{Sht}_{T}^{r}] \in W_{T}^{d}(\mathbb{R})$. These are the *Manin–Drinfeld* and *Heegner–Drinfeld* classes, respectively.

Our main result is the following intersection formula. Write $\mathscr{P}_A^{(r)}(\phi, s)$ for the *r*-th derivative of $\mathscr{P}_A(\phi, s)$. We assume $d \gg 0$, so that the Manin–Drinfeld cycles are independent of *d*, and write $[\operatorname{Sht}_A^r]_{\pi}$ instead of $[\operatorname{Sht}_A^{r,\leq d}]_{\pi}$.

Theorem 1. Let $\phi \in \pi^U$ be non-zero and let $r \ge 0$ be even. Then

$$\frac{\mathscr{P}_{A}^{(r)}(\phi,0)\mathscr{P}_{T}(\bar{\phi})}{(\log q)^{r}\langle\phi,\phi\rangle_{\text{Pet}}} = \langle [\text{Sht}_{A}^{r}]_{\pi}, [\text{Sht}_{T}^{r}]_{\pi}\rangle_{\text{Sht}_{G}^{r}}.$$

Remark 1.2. The left side is independent of the choice of ϕ .

When r = 0, the formula is seen to be a tautology after unwinding the definition of the right hand side. When r > 0, it is helpful to interpret the formula in terms of *L*-functions. Let *g* be the genus of *X*. Then the normalized *L*-function

$$\mathscr{L}(\pi, s) := q^{2(g-1)(s-1/2)} L(\pi, s)$$

satisfies $\mathscr{L}(\pi, 1-s) = \mathscr{L}(\pi, s)$. Moreover, for a suitably scaled $\phi \in \pi^U$, we have

$$\mathscr{L}(\pi, 2s+1/2) = \mathscr{P}_A(\phi, s).$$

The kind of information we learn from Theorem 1 depends on whether the base change *L*-function $\mathscr{L}(\pi_K, 1/2)$ vanishes at s = 1/2 or not. Recall

$$\mathscr{L}(\pi_K, s) = \mathscr{L}(\pi, s)\mathscr{L}(\pi \otimes \eta, s).$$

By Waldspurger's formula [8, Rem. 1.3], $\mathscr{L}(\pi_K, 1/2) = 0$ if and only if $\int_{[T]} \bar{\phi} dt = 0$.

Thus, if $\mathscr{L}(\pi_K, 1/2) \neq 0$, Theorem 1 gives a geometric interpretation for the nonleading Taylor series coefficients of $\mathscr{L}(\pi, s)$, after dividing by the non-zero toric period integral. To formulate this better, we consider the ratio with the leading term:

Theorem 2. If $\mathscr{L}(\pi_K, 1/2) \neq 0$, then for even $r \geq 0$, we have $[\operatorname{Sht}_A^r]_{\pi} \neq 0$, and

$$\frac{\mathscr{L}^{(r)}(\pi, 1/2)}{\mathscr{L}(\pi, 1/2)} = 2^{-r} (\log q)^r \frac{\langle [\operatorname{Sht}^r_A]_{\pi}, [\operatorname{Sht}^r_T]_{\pi} \rangle_{\operatorname{Sht}^r_G}}{\langle [\operatorname{Sht}^0_A]_{\pi}, [\operatorname{Sht}^0_T]_{\pi} \rangle_{\operatorname{Sht}^0_G}}$$

Remark 1.3. That $[\operatorname{Sht}_{A}^{r}]_{\pi} \neq 0$ follows from the positivity of $\mathscr{L}^{(r)}(\pi, 1/2)$ [8, Thm. B.2].

Remark 1.4. The precise version of (1.1) implies

$$\frac{\mathscr{L}^{(r)}(\pi, 1/2)}{\mathscr{L}(\pi, 1/2)} = (\log q)^r \frac{\langle [\operatorname{Sht}_T^r]_{\pi}, [\operatorname{Sht}_T^r]_{\pi} \rangle_{\operatorname{Sht}_G^r}}{\langle [\operatorname{Sht}_T^0]_{\pi}, [\operatorname{Sht}_D^0]_{\pi} \rangle_{\operatorname{Sht}_G^0}}$$

This suggests that when $\mathscr{L}(\pi_K, 1/2) \neq 0$, we have $[\operatorname{Sht}_A^{r,\leq d}]_{\pi} = 2^r c_{\pi,K} [\operatorname{Sht}_T^r]_{\pi}$, where $c_{\pi,K}$ is an explicit non-zero ratio of period integrals.

If $\mathscr{L}(\pi_K, 1/2) = 0$, Theorem 1 says nothing about $\mathscr{L}^{(r)}(\pi, 1/2)$, but we still learn interesting information about algebraic cycles:

Theorem 3. If $\mathscr{L}(\pi_K, 1/2) = 0$, then for even $r \ge 0$, we have

 $\langle [\operatorname{Sht}_{A}^{r}]_{\pi}, [\operatorname{Sht}_{T}^{r}]_{\pi} \rangle_{\operatorname{Sht}_{G}^{r}} = 0.$

Write $r(\pi)$ for the order of vanishing of $\mathscr{L}(\pi, s)$ at s = 1/2. If $r \ge r(\pi) \ge 0$ and $r(\pi \otimes \eta) = 0$, then $[\operatorname{Sht}_T^r]_{\pi} \ne 0$ by (1.1). As a consequence of Theorem 3, we have

Corollary 1.5. If $r \ge r(\pi) > 0$ and $r(\pi \otimes \eta) = 0$, then either

- (i) $[Sht_{A}^{r}]_{\pi} = 0$, or
- (ii) any lifts of $[\operatorname{Sht}_{A}^{r}]_{\pi}$ and $[\operatorname{Sht}_{T}^{r}]_{\pi}$ to the group $\operatorname{Ch}_{c,r}(\operatorname{Sht}_{G}^{r})_{\mathbb{R}}$ are linearly independent.

Remark 1.6. There is a similar corollary, without any mention of lifts, for the cycle classes $[\operatorname{Sht}_{A}^{r,\leq d}]_{\pi,\lambda}$ and $[\operatorname{Sht}_{T}^{r}]_{\pi,\lambda}$ in $H_{c}^{2r}(\operatorname{Sht}_{G}^{r}\otimes_{k}\bar{k}, \mathbb{Q}_{\ell}(r))_{\pi,\lambda}$, as in [8, §1.5].

1.3. Questions

Which of (i) or (ii) actually holds? Our expectation is that $[\text{Sht}_A^r]_{\pi} \neq 0$ precisely when $r \geq 2r(\pi)$. Indeed, our approach suggests a special value formula for the *self-intersection* of the Manin–Drinfeld cycle $[\text{Sht}_A^r]_{\pi}$ in terms of the *r*-th derivative of the *square* $\mathscr{L}(\pi, s)^2$, which would indeed imply $[\text{Sht}_A^r]_{\pi} \neq 0$ for $r \geq 2r(\pi)$. If true, it would suggest an approach to the conjecture of Birch and Swinnerton-Dyer, for elliptic curves over function fields, that avoids the use of Heegner points or indeed any mention of the quadratic extension *K*.

When the cycles $[\text{Sht}_A^r]_{\pi} do$ vanish, one must wonder whether there is a related Euler system. Indeed, Kato's Euler system is constructed from Siegel units which witness the torsion of the cuspidal divisors in the modular Jacobian. Are there similar such functions for Manin–Drinfeld cycles?

1.4. Methods

Yun and Zhang's proof of (1.1) proceeds by *geometrizing* Jacquet's relative trace formula (RTF) comparison approach to Waldspurger's formula [4]. One side of this comparison involves traces of Frobenius on $\beta_* \mathbb{Q}_\ell$, where $\beta : M_d \to A_d$ is a version of the Hitchin fibration (one for each integer $d \ge 0$). A crucial insight in [8] is that one can extend this approach to the case r > 0, using a certain natural correspondence



which we call the Yun-Zhang correspondence. The induced operator

$$[\mathrm{YZ}_d]:\beta_*\mathbb{Q}_\ell\to\beta_*\mathbb{Q}_\ell$$

plays the role of differentiation on the geometric side of the RTF comparison. When r > 0, Yun and Zhang show that the traces of the operator $[YZ_d]^r \circ Frob$ match up with the *r*-th derivative of certain traces on the *L*-function side.

Our proof of (1.2) makes use of this RTF approach as extended in our work with Howard [3]. In fact, one can view (1.2) as a degenerate version of our formula in [3] for the intersection of Heegner–Drinfeld cycles coming from *distinct* quadratic field extensions of *F*. In this work we suppose one of the quadratic *F*-algebras is split. The RTF comparison becomes a tautology when r = 0, but to prove (1.2) for r > 0, we must dig deeper into the representation theory of the Hitchin fibration. The key insight is a representation-theoretic interpretation of the operator $[YZ_d]$. In our setting, the local system $\beta_*\mathbb{Q}_\ell$ comes from the representation $(\mathbb{Q}_\ell^2)^{\otimes 2d}$ of the symmetric group S_{2d} . This is also a representation of \mathfrak{sl}_2 , and the Yun–Zhang operator is given by the action of the element $e + f = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \in \mathfrak{sl}_2$. Using Schur–Weyl duality and some computations in representation theory, this allows us to compare the two RTF's and prove (1.2).

1.5. Outline

In Section 2, we define an analytic distribution on \mathcal{H} . Following [3, 8], we relate it to *L*-functions on the one hand, and weighted traces of Frobenius along the Hitchin fibration, on the other. One new input here is Lemma 2.6. The Manin–Drinfeld cycles are defined in Section 3. We then use intersection pairings to define a geometric distribution, and relate it to traces of Frobenius along *the same* Hitchin fibration. In Section 4, we work out the representation theory of this particular Hitchin fibration and relate it to the Yun–Zhang correspondence. A computation shows that the analytic and geometric distributions agree, and the main theorem follows quickly from this.

1.6. Notation

|X| is the set of closed points of X. The absolute value

$$|\cdot| = \prod_{x \in |X|} |\cdot|_x : \mathbb{A}^{\times} \to \mathbb{Q}^{\times}$$

sends the uniformizer $\pi_x \in F_x$ with residue field k_x to $q^{-[k_x:k]}$. If H is an algebraic group, Haar measure on $H(\mathbb{A})$ is normalized so that $H(\mathbb{O})$ has volume 1.

2. Analytic distribution

2.1. Automorphic forms

We recall some notation from [3]. Denote by $\mathcal{A}(G)$ the space of automorphic forms [1, §5] on $G(\mathbb{A})$, and by $\mathcal{A}_{cusp}(G) \subset \mathcal{A}(G)$ the subspace of cuspidal automorphic forms.

The subspace of unramified (U-invariant) cusp forms is finite-dimensional, and admits a decomposition

$$\mathcal{A}_{\mathrm{cusp}}(G)^U = \bigoplus_{\mathrm{unr.\, cusp.\,}\pi} \pi^U$$

as a direct sum of lines, where the sum is over the unramified cuspidal automorphic representations $\pi \subset \mathcal{A}_{cusp}(G)$.

Denote by \mathscr{H} the Hecke algebra of compactly supported functions $f: U \setminus G(\mathbb{A})/U \to \mathbb{Q}$. The \mathscr{H} -module of compactly supported unramified \mathbb{Q} -valued automorphic forms is denoted

$$\mathscr{A} = C_c^{\infty}(G(F) \setminus G(\mathbb{A}) / U, \mathbb{Q}).$$

We let $\mathscr{A}_{\mathbb{C}} = \mathscr{A} \otimes \mathbb{C}$ denote the corresponding complex space, so that

$$\mathcal{A}_{\rm cusp}(G)^U \subset \mathscr{A}_{\mathbb{C}} \subset \mathcal{A}(G)^U.$$
(2.1)

Following [8, §4.1], we view the Satake transform as a \mathbb{Q} -algebra surjection a_{Eis} : $\mathscr{H} \to \mathbb{Q}[\operatorname{Pic}_X(k)]^{\iota_{\operatorname{Pic}}}$, for a particular involution $\iota_{\operatorname{Pic}}$ of $\mathbb{Q}[\operatorname{Pic}_X(k)]$. The Eisenstein ideal is

$$\mathcal{H}^{\text{Eis}} := \ker(a_{\text{Eis}} : \mathscr{H} \to \mathbb{Q}[\operatorname{Pic}_X(\boldsymbol{k})]^{\iota_{\text{Pic}}}).$$
(2.2)

As in [8, $\S7.3$], define \mathbb{Q} -algebras

$$\mathcal{H}_{\text{aut}} = \text{Image}(\mathcal{H} \to \text{End}_{\mathbb{Q}}(\mathcal{A}) \times \mathbb{Q}[\text{Pic}_{X}(\boldsymbol{k})]^{l_{\text{Pic}}}),$$
$$\mathcal{H}_{\text{cusp}} = \text{Image}(\mathcal{H} \to \text{End}_{\mathbb{C}}(\mathcal{A}_{\text{cusp}}(G)^{U})).$$

The quotient map $\mathscr{H} \to \mathscr{H}_{cusp}$ factors through \mathscr{H}_{aut} , and the resulting map

$$\mathscr{H}_{\text{aut}} \to \mathscr{H}_{\text{cusp}} \times \mathbb{Q}[\operatorname{Pic}_X(k)]^{\iota_{\operatorname{Pic}}}$$
(2.3)

is an isomorphism [8, Lem. 7.16].

For each unramified cuspidal automorphic representation $\pi \subset \mathcal{A}_{cusp}(G)$, denote by

$$\lambda_{\pi}:\mathscr{H}\to\mathbb{C}$$

the character through which the Hecke algebra acts on the line π^U . As in [8, §7.5.1], the \mathbb{Q} -algebra \mathscr{H}_{cusp} is isomorphic to a finite product of number fields, and the product of all characters λ_{π} induces an isomorphism

$$\mathscr{H}_{\mathrm{cusp}}\otimes\mathbb{C}\cong\bigoplus_{\mathrm{unr.\,cusp.\,}\pi}\mathbb{C}.$$

The above discussion holds word-for-word if G is replaced by G_1 .

Lemma 2.1 ([3, Lem. 3.3]). There is a canonical bijection

$$G(F)\backslash G(\mathbb{A})/U \to G_1(F)\backslash G_1(\mathbb{A})/U_1.$$

It induces an isomorphism $\mathcal{A}(G)^U \cong \mathcal{A}(G_1)^{U_1}$, respecting the subspaces of cusp forms.

2.2. Definition of the distribution

The X-scheme

$$\widetilde{J} = \underline{\mathrm{Iso}}_{\mathcal{O}_X}(\nu_*\mathcal{O}_Y, \nu_{0*}\mathcal{O}_{Y_0})$$

is both a left \tilde{G} -torsor and a right \tilde{G}_1 -torsor. Similarly $J = \tilde{J}/\mathbb{G}_m$ is both a left G-torsor and a right G_1 -torsor. There are canonical identifications

$$A(F)\backslash J(F)/T(F) = \widetilde{A}(F)\backslash \widetilde{J}(F)/\widetilde{T}(F) = K_0^{\times}\backslash \operatorname{Iso}(K, K_0)/K^{\times}.$$

Thus, [3, §2] allows us to define the invariant map

$$A(F) \setminus J(F) / T(F) \xrightarrow{\text{inv}} \{\xi \in K : \operatorname{Tr}_{K/F}(\xi) = 1\}.$$
(2.4)

Since K and K_0 are not isomorphic, the map inv is a bijection.

Remark 2.2. Here is an explicit description of inv when char $k \neq 2$. Choose *F*-algebra embeddings $\alpha_1 : K \hookrightarrow M_2(F)$ and $\alpha_2 : K_0 \hookrightarrow M_2(F)$. Let $e = \alpha_2(1,0)$ and $f = \alpha_2(0,1)$ be the images of the two idempotents. Then $M_2(F) = e\alpha_1(K) + f\alpha_1(K)$. If

$$g \in K_0^{\times} \setminus \operatorname{Iso}(K, K_0) / K^{\times} \simeq A(F) \setminus G(F) / T(F)$$

is represented by $e\alpha + f\beta \in G(F)$, then $inv(g) = 2\alpha \overline{\beta}/Tr(\alpha \overline{\beta})$.

Lemma 2.3 ([3, Lem. 3.4]). There is a canonical homeomorphism

$$U \setminus J(\mathbb{A}) / U_1 \cong U \setminus G(\mathbb{A}) / U.$$
 (2.5)

Now fix $f \in \mathcal{H}$. Use the bijection of Lemma 2.3 to view f as a function

$$f: U \setminus J(\mathbb{A})/U_1 \to \mathbb{Q},$$

and define a function on $G(\mathbb{A}) \times G_1(\mathbb{A})$ by

$$\mathbb{K}_{f}(g,g_{1}) = \sum_{\gamma \in J(F)} f(g^{-1}\gamma g_{1}).$$
(2.6)

Recall the notation $[T] = T(F) \setminus T(\mathbb{A})$ from the introduction, and recall the normalization of Haar measures of §1.6. Define a distribution on \mathcal{H} by

$$\mathbb{J}(f,s) = \int_{[A]\times[T]}^{\operatorname{reg}} \mathbb{K}_f(a,t) |a|^{2s} \, da \, dt.$$
(2.7)

Here, $|\cdot| : A(\mathbb{A}) \to \mathbb{R}^{\times}$ is the homomorphism $|\binom{a_1}{a_2}| = |a_1/a_2|$.

The integral in (2.7) need not converge absolutely, so we regularize it. First define

$$A(\mathbb{A})_n = \{a \in A(\mathbb{A}) : |a| = q^{-n}\}$$

and $[A]_n = A(F) \setminus A(\mathbb{A})_n$, and set

$$\mathbb{J}_{n}(f,s) = \int_{[A]_{n} \times [T]} \mathbb{K}_{f}(a,t) |a|^{2s} \, da \, dt = q^{-2ns} \int_{[A]_{n} \times [T]} \mathbb{K}_{f}(a,t) \, da \, dt.$$
(2.8)

This integral is absolutely convergent, by compactness of $[A]_n$ and [T].

Proposition 2.4. The integral $\mathbb{J}_n(f, s)$ vanishes for |n| sufficiently large.

Proof. As in [3, Prop. 3.7].

Using Proposition 2.4, the regularized integral (2.7) is defined as

$$\mathbb{J}(f,s) = \sum_{n \in \mathbb{Z}} \mathbb{J}_n(f,s).$$

This is a Laurent polynomial in q^s . Define

$$\mathbb{J}_{n}(\gamma, f, s) = \int_{[A]_{n} \times [T]} \mathbb{K}_{f,\gamma}(a, t) |a|^{2s} da dt,$$

$$\mathbb{J}(\gamma, f, s) = \sum_{n \in \mathbb{Z}} \mathbb{J}_{n}(\gamma, f, s),$$
(2.9)

so that there are decompositions

$$\mathbb{J}(f,s) = \sum_{\gamma \in A(F) \setminus J(F)/T(F)} \mathbb{J}(\gamma, f, s) = \sum_{\substack{\xi \in K \\ \operatorname{Tr}_{K/F}(\xi) = 1}} \mathbb{J}(\xi, f, s).$$
(2.10)

In the final expression, we have used (2.4) to define

$$\mathbb{J}(\xi, f, s) = \mathbb{J}(\gamma, f, s)$$

for the unique double coset $\gamma \in A(F) \setminus J(F) / T(F)$ satisfying $inv(\gamma) = \xi$.

2.3. Spectral decomposition

Define, for any $\phi \in \mathcal{A}_{cusp}(G)^U$, the period integral

$$\mathscr{P}_A(\phi,s) = \int_{[A]} \phi(a) |a|^{2s} \, da.$$

This integral is absolutely convergent for all $s \in \mathbb{C}$. Using Lemma 2.3 to view $\phi \in \mathcal{A}_{cusp}(G_1)^{U_1}$, define another period integral

$$\mathscr{P}_T(\phi) = \int_{[T]} \phi(t) \, dt.$$

As [T] is compact, this integral is also absolutely convergent.

Recall the Eisenstein ideal $\mathcal{J}^{\text{Eis}} \subset \mathcal{H}$ of (2.2).

Proposition 2.5. Every $f \in J^{\text{Eis}}$ satisfies

$$\mathbb{J}(f,s) = \sum_{\text{unr. cusp. }\pi} \lambda_{\pi}(f) \frac{\mathscr{P}_{A}(\phi,s)\mathscr{P}_{T}(\overline{\phi})}{\langle \phi, \phi \rangle}, \qquad (2.11)$$

where the sum is over all unramified cuspidal automorphic representations $\pi \subset \mathcal{A}_{cusp}(G)$, and $\phi \in \pi^U$ is any non-zero vector. Moreover, $\mathbb{J}(f, s)$ only depends on the image of funder the quotient map $\mathcal{H} \to \mathcal{H}_{aut}$.

Proof. View (2.6) as a function on $G(\mathbb{A}) \times G(\mathbb{A})$, and invoke the decomposition

$$\mathbb{K}_{f}(x, y) = \mathbb{K}_{f, \text{cusp}}(x, y) + \mathbb{K}_{f, \text{sp}}(x, y)$$

of [8, Thm. 4.3], to convert all three terms back into functions on $G(\mathbb{A}) \times G_1(\mathbb{A})$. The result is a decomposition

$$\mathbb{K}_{f}(g,g_{1}) = \sum_{\text{unr. cusp. }\pi} \lambda_{\pi}(f) \cdot \frac{\phi(g)\phi(g_{1})}{\langle \phi, \phi \rangle} + \sum_{\text{unr. quad. }\chi} \lambda_{\chi}(f) \cdot \chi(\det(G)) \cdot \chi(\det(g_{1})).$$

The first sum is over all unramified cuspidal representations π , and $\phi \in \pi^U$ is any non-zero vector. The second sum is over all unramified quadratic characters

$$\operatorname{Pic}(X) \cong F^{\times} \backslash \mathbb{A}^{\times} / \mathbb{O}^{\times} \xrightarrow{\chi} \{\pm 1\},\$$

and

$$\lambda_{\chi}(f) = \int_{G(\mathbb{A})} f(g)\chi(\det(g)) \, dg$$

The distribution (2.8) now decomposes as

$$\mathbb{J}_n(f,s) = \sum_{\text{unr. cusp. }\pi} \mathbb{J}_n^{\pi}(f,s) + \sum_{\text{unr. quad. }\chi} \mathbb{J}_n^{\chi}(f,s),$$

where we have set

$$\mathbb{J}_{n}^{\pi}(f,s) = \frac{\lambda_{\pi}(f)}{\langle \phi, \phi \rangle} \left(\int_{[A]_{n}} \phi(a) |a|^{2s} da \right) \left(\int_{[T]} \overline{\phi(t)} dt \right),$$

$$\mathbb{J}_{n}^{\chi}(f,s) = \lambda_{\chi}(f) \left(\int_{[A]_{n}} \chi(\det(a)) |a|^{2s} da \right) \left(\int_{[T]} \chi(\det(t)) dt \right).$$
(2.12)

Next we show that $\mathbb{J}_n^{\chi}(f, s) = 0$ for all such χ . Note that when $\chi = 1$, *both* toric integrals in (2.12) are non-zero, so the proof from [3] does not carry over. The vanishing in all cases follows from

Lemma 2.6. If $f \in J^{\text{Eis}}$ and χ is unramified, then $\lambda_{\chi}(f) = 0$.

Proof. Let $B \subset G$ be the Borel subgroup of upper triangular matrices. Following [8, §4], we consider the right translation representation ρ_{χ} of $G(\mathbb{A})$ on the space V_{χ} of functions

$$\phi: G(\mathbb{A}) \to \mathbb{C}$$

such that $\phi(bg) = \chi(b)\phi(g)$ for all $b \in B(\mathbb{A})$ and $g \in G(\mathbb{A})$. The space V_{χ} is canonically identified (by restriction) with a space of functions on *U*. The latter space carries an inner product

$$(\phi, \phi') = \int_U \phi(u) \overline{\phi'}(y) \, du.$$

Now let $\phi = \mathbf{1}_U$. Since $f \in \mathcal{J}^{\text{Eis}}$, we have [8, §4]

$$(\rho_{\chi}(f)\phi,\phi) = \operatorname{tr} \rho_{\chi}(f) = \chi(a_{\operatorname{Eis}}(f)) = 0.$$

On the other hand, we compute

$$(\rho_{\chi}(f)\phi,\phi) = \int_{U} \int_{g=bu'\in G(\mathbb{A})} f(u^{-1}g)\chi(\det b) \, dg \, du$$
$$= \int_{G(\mathbb{A})} f(g)\chi(\det g) \, dg = \lambda_{\chi}(f).$$

We have used the facts that χ is unramified, f is spherical, and U has volume 1. We conclude that $\lambda_{\chi}(f) = 0$.

We therefore have

$$\mathbb{J}_n(f,s) = \sum_{\text{unr. cusp. } \pi} \mathbb{J}_n^{\pi}(f,s),$$

and (2.11) follows by summing both sides over *n*.

For the final claim, suppose f has trivial image under $\mathscr{H} \to \mathscr{H}_{aut}$. This implies that f annihilates $\mathscr{A}_{\mathbb{C}}$, and lies in \mathscr{J}^{Eis} . The first inclusion in (2.1) implies that $\lambda_{\pi}(f) = 0$ for all π , and so $\mathbb{J}(f,s) = 0$ by (2.11).

2.4. Geometric expression

Fix $d \ge 0$, and let *i* be an integer in the range $0 \le i \le 2d$. Recall from [3, §3] the commutative diagram of *k*-schemes

$$N_{(i,2d-i)} \xrightarrow{\delta} \Sigma_{i,2d-i}(Y)$$

$$\beta_i \downarrow \qquad \qquad \qquad \downarrow \otimes$$

$$A_d \xrightarrow{\nu^{\sharp}} \Sigma_{2d}(Y)$$

$$Tr \downarrow$$

$$\Sigma_d(X)$$

$$(2.13)$$

in which the square is cartesian. We briefly recall the definitions of these schemes and maps in terms of their *S*-points, for any scheme *S*.

First, $\Sigma_d(X)(S)$ is the set of isomorphism classes of pairs (Δ, ζ) of

- a line bundle Δ on $X_S = X \times_k S$ of degree d,
- a non-zero section $\zeta \in H^0(X_S, \Delta)$.

We have a canonical isomorphism

$$\Sigma_d(X) \cong \operatorname{Sym}^d(X) \cong S_d \setminus X^d,$$
 (2.14)

and $\Sigma_d(X)$ is a smooth projective *k*-scheme. We also set

$$\Sigma_{i,2d-i}(Y) = \Sigma_i(Y) \times_{\boldsymbol{k}} \Sigma_{2d-i}(Y),$$

parameterizing effective divisors of bidegree (i, 2d - i) on Y \amalg Y.

Next, $A_d(S)$ is the set of isomorphism classes of pairs (Δ, ξ) consisting of

• a line bundle Δ on X_S of degree d,

• a section $\xi \in H^0(Y_S, \nu^* \Delta)$ with non-zero trace

$$\operatorname{Tr}_{Y/X}(\xi) = \xi + \xi^{\sigma_1} \in H^0(X_S, \Delta).$$

The arrows in (2.13) emanating from A_d are

$$\operatorname{Tr}(\Delta,\xi) = (\Delta, \operatorname{Tr}_{Y/X}(\xi)) \text{ and } \nu^{\sharp}(\Delta,\xi) = (\nu^*\Delta,\xi),$$

and A_d is a quasi-projective k-scheme.

Finally, $N_{(i,2d-i)}(S)$ is the groupoid of triples $(\mathcal{M}, \mathcal{L}, \phi)$ consisting of

• line bundles $\mathcal{M} = (\mathcal{M}', \mathcal{M}'') \in \operatorname{Pic}(Y_{0S})$ and $\mathcal{L} \in \operatorname{Pic}(Y_S)$ satisfying

$$2\deg(\mathcal{M}') - i = \deg(\mathcal{L}) = 2\deg(\mathcal{M}'') - (2d - i),$$

a morphism φ : v_{*} L → M' ⊕ M" of rank 2 vector bundles on X_S with non-zero determinant.

The Picard group $Pic(X_S)$ acts on $\tilde{N}_{i,2d-i}(S)$ by simultaneous twisting, and the quotient

$$N_{(i,2d-i)} = \widetilde{N}_{(i,2d-i)} / \operatorname{Pic}_X$$

is a scheme by [3, Prop. 3.12]. The map δ sends $(\mathcal{M}, \mathcal{L}, \phi)$ to $(\operatorname{div}(\boldsymbol{a}), \operatorname{div}(\boldsymbol{d}))$, where \boldsymbol{a} and \boldsymbol{d} are the diagonal matrix entries in the map $v^*\phi : \mathcal{L} \oplus \mathcal{L}^{\sigma} \to v^*\mathcal{M}' \oplus v^*\mathcal{M}''$.

Proposition 2.7 ([3, Prop. 3.13]). Let g and g_1 be the genera of X and Y, respectively.

- (1) The morphisms β_i and \otimes in (2.13) are finite.
- (2) If $d \ge 2g_1 1$ then $N_{(i,2d-i)}$ is smooth over k of dimension 2d g + 1.

Now let *D* be an effective divisor on *X* of degree *d*. The constant function 1 defines a global section of $\mathcal{O}_X(D)$, and hence a point $(\mathcal{O}_X(D), 1) \in \Sigma_d(X)(k)$. Define A_D as the fiber product

$$A_D \xrightarrow{A_d} \downarrow \qquad \qquad \downarrow$$

Spec(k) $\xrightarrow{(\mathcal{O}_X(D),1)} \Sigma_d(X)$

Then there is a canonical bijection

$$A_D(\mathbf{k}) \cong \{\xi \in K : \operatorname{Tr}_{K/F}(\xi) = 1, \operatorname{div}(\xi) + \nu^* D \ge 0\}.$$
 (2.15)

The Hecke algebra \mathscr{H} has a \mathbb{Q} -basis $\{f_D\}$ indexed by the effective divisors $D \in \text{Div}(X)$, and defined as follows (see also [8, §3.1]). Let S_D be the image of the set

$$\{M \in \operatorname{Mat}_2(\mathbb{O}) : \operatorname{div}(\det M) = D\}$$

in PGL₂(\mathbb{A}) = $G(\mathbb{A})$. Then $f_D : U \setminus G(\mathbb{A})/U \to \mathbb{Q}$ is the characteristic function of S_D .

We are now ready to give a geometric interpretation of the orbital integral $\mathbb{J}(\xi, f_D, s)$ appearing in (2.10). Using Lemma 2.3, we regard f_D as a compactly supported function

$$f_D: U \setminus J(\mathbb{A})/U_1 \to \mathbb{Q}.$$
 (2.16)

Let ℓ be any prime different from the characteristic of k. The following theorem is proved exactly as in [3, Prop. 3.17], this time with a trivial local system.

Theorem 2.8. Fix $\xi \in K$ with $\operatorname{Tr}_{K/F}(\xi) = 1$, and view $A_D(k)$ as a subset of K via (2.15). (1) If $\xi \notin A_D(k)$ then $\mathbb{J}(\xi, f_D, s) = 0$.

(2) If $\xi \in A_D(\mathbf{k})$ then

$$\mathbb{J}(\xi, f_D, s) = \sum_{i=0}^{2d} q^{2(i-d)s} \cdot \operatorname{Tr}(\operatorname{Frob}_{\xi}; (\beta_{i*}\mathbb{Q}_{\ell})_{\bar{\xi}}),$$

where $\bar{\xi}$ is a geometric point above ξ : Spec $(\mathbf{k}) \to A_D \hookrightarrow A_d$.

3. Geometric distribution

Fix an integer $r \ge 0$, and an *r*-tuple $\mu = (\mu_1, \dots, \mu_r) \in \{\pm 1\}^r$ satisfying the parity condition $\sum_{i=1}^r \mu_i = 0$. In particular, *r* is even.

3.1. Heegner–Drinfeld and Manin–Drinfeld cycles

We recall some notation from [3,8]. Recall that $G = PGL_2$ over X.

Let Bun_G be the algebraic stack parameterizing G-torsors on X, and let Hk_G^{μ} be the Hecke stack parameterizing G-torsors on X with r modifications of type μ . It comes equipped with morphisms

$$p_0,\ldots,p_r:\operatorname{Hk}_G^{\mu}\to\operatorname{Bun}_G$$

and $p_X : \operatorname{Hk}_G^{\mu} \to X^r$. For the definitions, see [8, §5.2].

The moduli stack $\operatorname{Sht}_{G}^{\mu}$ of G-shtukas of type μ sits in the cartesian diagram



It is a Deligne–Mumford stack, locally of finite type over k, and the morphism

$$\pi_G: \operatorname{Sht}_G^\mu \to X^\mu$$

induced by p_X is separated and smooth of relative dimension r.

The étale double covers $v_0 : Y_0 \to X$ and $v : Y \to X$ determine tori A and T, both rank 1 over X. Let Bun_T be the moduli stack of T-torsors on X. Denote by Hk_T^{μ} the Hecke stack parameterizing T-torsors with r modifications of type μ . It comes with morphisms

$$p_1,\ldots,p_r:\operatorname{Hk}^{\mu}_T\to\operatorname{Bun}_T$$

and $p_Y : \operatorname{Hk}_T^{\mu} \to Y^r$. See [8, §5.4] for the definitions.

The stack of T-shtukas of type μ is defined by the cartesian square

$$\begin{array}{cccc}
\operatorname{Sht}_{T}^{\mu} & \longrightarrow \operatorname{Hk}_{T}^{\mu} \\
\downarrow & & \downarrow^{(p_{0},p_{r})} \\
\operatorname{Bun}_{T} & & & \operatorname{Bun}_{T} \times \operatorname{Bun}_{T}
\end{array} (3.1)$$

It is Deligne–Mumford over k, and the morphism

$$\pi_T: \operatorname{Sht}^{\mu}_T \to Y^r$$

induced by p_Y is finite étale. Thus, $\operatorname{Sht}_T^{\mu}$ is smooth and proper over k, and of dimension r.

For the diagonal torus A, we similarly define the stack $\operatorname{Sht}_{A}^{\mu}$ by the cartesian square

$$\begin{array}{cccc}
\operatorname{Sht}_{A}^{\mu} & \longrightarrow \operatorname{Hk}_{A}^{\mu} \\
\downarrow & & \downarrow^{(p_{0},p_{r})} \\
\operatorname{Bun}_{A} & \xrightarrow{(\operatorname{id},\operatorname{Fr})} & \operatorname{Bun}_{A} \times \operatorname{Bun}_{A}
\end{array} (3.2)$$

Here, Bun_A is the stack of A-torsors, whose S-points parameterize line bundles $\mathcal{M} = (\mathcal{L}_1, \mathcal{L}_2)$ on Y_{0S} , modulo simultaneous twisting by $\mathcal{L} \in \operatorname{Pic}_{X_S}$. More concretely, let $\widetilde{\operatorname{Sht}}_A^\mu$ be the stack whose S-points form the groupoid $(\mathcal{M}, y_1, \ldots, y_r, \iota)$, where $\mathcal{M} = (\mathcal{L}_1, \mathcal{L}_2)$ is a line bundle on Y_{0S} , the $y_i : S \to Y_0$ are S-points of Y_0 , and ι is an isomorphism

$$\iota: \mathcal{M}^{\mathrm{Fr}} \simeq \mathcal{M}\Big(\sum_{i=1}^r \mu_i \Gamma_{y_i}\Big).$$

Here, Γ_{y_i} is the graph of y_i . Then $\operatorname{Sht}_A^{\mu} = \widetilde{\operatorname{Sht}}_A^{\mu}/\operatorname{Pic}_X(k)$, where $\operatorname{Pic}_X(k)$ acts by simultaneous twisting on \mathcal{L}_1 and \mathcal{L}_2 .

Let $\pi_A : \operatorname{Sht}_A^{\mu} \to Y_0^r$ be the morphism which remembers the legs $y_i \in Y_0$ of the *A*-shtuka.

Lemma 3.1. The morphism π_A is a $\operatorname{Pic}_X(k)$ -torsor. In particular, $\operatorname{Sht}_A^{\mu}$ is a smooth Deligne–Mumford stack over k, locally of finite type.

Proof. The proof is exactly as for *T*-shtukas [8, Lem. 5.13], using the fact that

$$\operatorname{Pic}_X(k) \simeq (\operatorname{Pic}_X(k) \times \operatorname{Pic}_X(k)) / \Delta(\operatorname{Pic}_X(k)).$$

For each $d \ge 0$, the open substack $\operatorname{Sht}_A^{\mu,\le d} \subset \operatorname{Sht}_A^{\mu}$ consisting of those $(\mathcal{M}, (y_i), \iota)$ with

$$|\deg(\mathcal{M})| := |\deg(\mathcal{L}_2) - \deg(\mathcal{L}_1)| \le d$$

is proper over k. In fact, each of the substacks

$$\operatorname{Sht}_{A}^{\mu,d} = \langle (\mathcal{M}, (y_i), \iota) : |\operatorname{deg}(\mathcal{M})| = d \rangle$$

is itself proper and closed.

Pushforward of line bundles induces proper morphisms



since $\operatorname{Sht}_G^{\mu}$ is separated. We therefore obtain two classes

$$[\operatorname{Sht}_{T}^{\mu}], [\operatorname{Sht}_{A}^{\mu, \leq d}] \in \operatorname{Ch}_{c,r}(\operatorname{Sht}_{G}^{\mu}),$$

by pushing forward the corresponding fundamental classes.

3.2. Geometric distribution

There is an intersection pairing

$$\langle \cdot, \cdot \rangle : \operatorname{Ch}_{c,r}(\operatorname{Sht}_{G}^{\mu}) \times \operatorname{Ch}_{c,r}(\operatorname{Sht}_{G}^{\mu}) \to \mathbb{Q},$$

as in [8, §A.1]. Recall the Hecke algebra \mathscr{H} of §2.1 and its action * on $\operatorname{Ch}_{c,r}(\operatorname{Sht}_{G}^{\mu})$ [8, §5.3]. Recall also [8, §7] that $\operatorname{Sht}_{G}^{\mu}$ can be written as the increasing union of open substacks of finite type:

$$\operatorname{Sht}_{G}^{\mu} = \bigcup_{\underline{d} \in \mathcal{D}} \operatorname{Sht}_{G}^{\leq \underline{d}}$$

Here, \mathcal{D} is the set of functions $\mathbb{Z}/r\mathbb{Z} \to \mathbb{Z}$, which is partially ordered by pointwise comparison. The substack $\operatorname{Sht}_{G}^{\leq d}$ parameterizes *G*-shtukas \mathcal{E} such that the vector bundle $p_i(\mathcal{E})$ has index of instability less than or equal to d(i), for all $i = 0, \ldots, r$.

For any $f \in \mathscr{H}$ define

$$\mathbb{I}_{r}(f) = \langle [\operatorname{Sht}_{A}^{\mu, \leq d}], f * [\operatorname{Sht}_{T}^{\mu}] \rangle \in \mathbb{Q},$$
(3.3)

where d is any integer with the property that

$$f * [\operatorname{Sht}_{T}^{\mu}] \in \operatorname{Ch}_{c,r}(\operatorname{Sht}_{G}^{\mu}) = \lim_{\substack{d \in \mathcal{D}}} \operatorname{Ch}_{r}(\operatorname{Sht}_{G}^{\mu, \leq \underline{d}})$$

is supported on $\operatorname{Sht}_{G}^{\mu,\leq d-r}$. In the last bit of notation, we view d-r as a constant function in \mathcal{D} . Note that this intersection number is independent of the choice of such d, since

$$[\operatorname{Sht}_{A}^{\mu,\leq d+n}] = [\operatorname{Sht}_{A}^{\mu,\leq d}] + \sum_{i=1}^{n} [\operatorname{Sht}_{A}^{\mu,d+i}]$$

for any $n \ge 0$, and $\langle [\text{Sht}_A^{\mu,d+i}], f * [\text{Sht}_T^{\mu}] \rangle = 0$. In particular, it follows that the function $\mathbb{I}_r(f)$ is additive, and hence defines a distribution on \mathcal{H} .

3.3. Intersections as traces

Fix $d \ge 0$, and recall from §2 the morphism

$$\beta_i : N_{(i,2d-i)} \to A_d$$

defined for each non-negative $i \leq 2d$. Define

$$N_d = \prod_{i=0}^{2d} N_{(i,2d-i)}$$

Then $N_d = \tilde{N}_d / \text{Pic}_X$, where \tilde{N}_d is the moduli stack of triples

$$(\mathcal{M} \in \operatorname{Pic}_Y, \mathcal{L} \in \operatorname{Pic}_{Y_0}, \phi : \nu_* \mathcal{L} \to \nu_{0*} \mathcal{M})$$

such that ϕ has determinant of degree d. Write $\beta : N_d \to A_d$ for the union of the β_i .

Let *D* be an effective divisor of degree *d*. To relate $\mathbb{I}_r(f_D)$ to the local system $\beta_* \mathbb{Q}_\ell$, we define the Yun–Zhang correspondence



as follows. Let \widetilde{YZ}_d be the stack whose S-points form the groupoid of

- pairs of points $(\mathcal{M}_0, \mathcal{L}_0, \phi_0)$ and $(\mathcal{M}_1, \mathcal{L}_1, \phi_1)$ in $\widetilde{\mathcal{N}}_d(S)$,
- one S-point (y_0, y_1) of $Y_{0S} \times_{X_S} Y_S$,
- injective line bundle maps $s_0 : \mathcal{M}_0 \to \mathcal{M}_1$ and $s_1 : \mathcal{L}_0 \to \mathcal{L}_1$.

The cokernels of s_i are required to be invertible sheaves on the graphs of y_i . Moreover, we require that the diagram

$$\begin{array}{cccc}
\nu_* \mathcal{L}_0 & \xrightarrow{s_1} & \nu_* \mathcal{L}_1 \\
\phi_0 & & & \downarrow \phi_1 \\
\nu_{0*} \mathcal{M}_0 & \xrightarrow{s_0} & \nu_{0*} \mathcal{M}_1
\end{array}$$

of \mathcal{O}_{X_S} -modules commutes. Then Pic_X acts on $\widetilde{\operatorname{YZ}}_d$ by simultaneous twisting, and we define $\operatorname{YZ}_d = \widetilde{\operatorname{YZ}}_d/\operatorname{Pic}_X$.

Let $W = Y \amalg Y$. Also let $\Sigma_{2d}(W)$ be the moduli stack of pairs (\mathcal{K}, a) consisting of a line bundle \mathcal{K} of degree 2d on W together with a global section a. Recalling the spaces $\Sigma_{i,i}(Y)$ introduced in §2.4, we have

$$\Sigma_{2d}(W) = \coprod_{i=0}^{2d} \Sigma_{i,2d-i}(Y).$$

Using the top horizontal arrow of (2.13), we may realize YZ_d as the pullback of a correspondence on $\Sigma_{2d}(W)$. For this, define the following automorphisms τ_i of W over X:

- (1) τ_1 is σ on $Y \amalg \emptyset$ and the identity on $\emptyset \amalg Y$.
- (2) τ_2 interchanges the copies.
- (3) $\tau_3 = \tau_2 \circ \tau_1$, which sends $y \cup \emptyset \mapsto \emptyset \cup y^{\sigma}$ and $\emptyset \cup y \mapsto y \cup \emptyset$.

The first two are involutions, while τ_3 has order 4.

Then define the correspondence



where, for any k-scheme S, $H_d(W)(S)$ is the groupoid of

- a pair of *S*-points $(\mathcal{K}_i, \mathbf{a}_i) \in \Sigma_{2d}(W)$ for i = 0, 1,
- an S-point $y \in W(S)$,
- an isomorphism

$$s: \mathcal{K}_0(y^{\tau_1} - y^{\tau_3}) \cong \mathcal{K}_1$$

of line bundles on W_S such that $s(a_0) = a_1$, where we view a_0 as a rational section of $\mathcal{K}_0(y^{\tau_1} - y^{\tau_3})$.

This data is determined by (\mathcal{K}_0, a_0) and the point $y \in W(S)$, because from these we may recover the line bundle $\mathcal{K}_1 = \mathcal{K}_0(y^{\tau_1} - y^{\tau_3})$ and its rational section $a_1 = a_0$. The condition that a_1 is a global section of \mathcal{K}_1 , as opposed to a merely rational section, is equivalent to

$$\operatorname{div}(a_0) + y^{\tau_1} - y^{\tau_3} \ge 0.$$

This is in turn equivalent to the condition that the effective Cartier divisor y^{τ_3} appears in the support of div (a_0) . In other words, we may realize

$$H_d(Y) \hookrightarrow \Sigma_{2d}(W) \times_k W$$

as the closed subscheme of triples $(\mathcal{K}_0, \boldsymbol{a}_0, \boldsymbol{y})$ for which y^{τ_3} appears in the support of $\operatorname{div}(\boldsymbol{a}_0)$.

Remark 3.2. $H_d(W)$ does not preserve the substacks $\Sigma_{i,2d-i}(Y) \subset \Sigma_{2d}(W)$. In fact, it induces a correspondence from $\Sigma_{i,2d-i}(Y)$ to

$$\Sigma_{i+1,2d-i-1}(Y) \amalg \Sigma_{i-1,2d-i+1}(Y).$$

Accordingly, the correspondence YZ_d does not preserve $N_{(i,2d-i)} \subset N_d$.

Proposition 3.3. The diagram (3.4) is canonically identified with



obtained from (3.5) by base change along the map $A_d \rightarrow \Sigma_{2d}(Y)$ in (2.13).

Proof. It is enough to show that $YZ_d \cong A_d \times_{\Sigma_{2d}(Y)} H_d(W)$. We will use the construction in the proof of [3, Prop. 3.12]. Define a map

$$YZ_d \to A_d \times_{\Sigma_{2d}(Y)} H_d(W)$$

as follows. Given

$$((\mathcal{M}_0, \mathcal{L}_0, \phi_0), (\mathcal{M}_1, \mathcal{L}_1, \phi_1), (y_0, y_1), s_0, s_1) \in \Upsilon Z_d(S),$$

we obtain, from the first two pieces of data, points (\mathcal{K}_0, a_0) and (\mathcal{K}_1, a_1) of $\Sigma_{2d}(W)(S)$. We have

$$\mathcal{K}_i \cong \underline{\operatorname{Hom}}((\mathcal{L}_i, \mathcal{L}_i^{\sigma}), \mathcal{M}_i|_{W_S}).$$

Let $y \in W(S)$ correspond to the point $(y_0, y_1) \in (Y_0 \times_X Y)(S)$. Then the isomorphisms $\mathcal{L}_0(y_1) \cong \mathcal{L}_1$ and $\mathcal{M}_0(y_0) \cong \mathcal{M}_1$ induce an isomorphism $\mathcal{K}_0(y^{\tau_1} - y^{\tau_3}) \cong \mathcal{K}_1$ sending a_0 to a_1 . This gives a point in $H_d(W)(S)$. Since $(\mathcal{M}_0, \mathcal{L}_0, \phi_0)$ and $(\mathcal{M}_1, \mathcal{L}_1, \phi_1)$ lie over the same point in A_d , we obtain a map

$$YZ_d \to A_d \times_{\Sigma_{2d}(Y)} H_d(W)$$

which factors through YZ_d .

Next, we construct a map in the other direction. Suppose we are given an S-point

$$(\Delta, \xi, \mathcal{K}_0, \boldsymbol{a}_0, \mathcal{K}_1, \boldsymbol{a}_1, \boldsymbol{y}, \boldsymbol{s}) \in A_d \times_{\Sigma_{2d}(Y)} H_d(W)$$

By (2.13), we have points $(\mathcal{M}_i, \mathcal{L}_i, \phi_i) \in N_d(S)$ corresponding to $(\Delta, \xi, \mathcal{K}_i, a_i)$ for i = 0, 1. Let us show that there are isomorphisms $\mathcal{M}_1 \cong \mathcal{M}_0(y_0)$ and $\mathcal{L}_1 \cong \mathcal{L}_0(y_1)$ inducing the given isomorphism

$$s: \mathcal{K}_0(y^{\tau_1} - y^{\tau_3}) \cong \mathcal{K}_1.$$

Suppose first that $y \in \emptyset \amalg Y$. Since we work modulo twisting, we may assume $\mathcal{M}_i = (\mathcal{O}_X, \mathcal{M}''_i)$. If we write $\mathcal{K}_i = (\mathcal{K}'_i, \mathcal{K}''_i)$, then $\mathcal{L}_i = (\mathcal{K}'_i)^{-1}$. By the proof of [3, Prop. 3.12], \mathcal{M}''_i is a canonical descent of the line bundle $\mathcal{K}''_i \otimes \mathcal{L}^{\sigma}_i$ on *Y*, down to *X*. Now, the isomorphism $\mathcal{K}_0(y^{\tau_1} - y^{\tau_3}) \simeq \mathcal{K}_1$ amounts to a pair of isomorphisms

$$\mathcal{K}'_0(-y_1) \simeq \mathcal{K}'_1 \quad \text{and} \quad \mathcal{K}''_0(y_1) \simeq \mathcal{K}''_1.$$
 (3.6)

We deduce from this an isomorphism $\mathcal{L}_0(y_1) \simeq \mathcal{L}_1$. To finish, we must produce an isomorphism $\mathcal{M}_0''(y_0) \simeq \mathcal{M}_1''$. Now, (3.6) gives us an isomorphism $\nu^*(\mathcal{M}_0''(y_0)) \simeq \nu^*\mathcal{M}_1''$, and the descent data defining \mathcal{M}_0'' and \mathcal{M}_1'' allows us to descend this to the desired isomorphism $\mathcal{M}_0''(y_0) \simeq \mathcal{M}_1''$. The case $y \in Y \amalg \emptyset$ is proved similarly.

Corollary 3.4. The stack YZ_d is a scheme, and $\gamma_0, \gamma_1 : YZ_d \rightarrow N_d$ are finite and surjective. Hence, by Proposition 2.7, if $d \ge 2g_1 - 1$ then dim $YZ_d = 2d - g + 1$.

The correspondence YZ_d induces an endomorphism

$$[\mathrm{YZ}_d]:\beta_*\mathbb{Q}_\ell\to\beta_*\mathbb{Q}_\ell$$

of sheaves on A_d , given by the composition

$$\beta_* \mathbb{Q}_\ell \to \beta_* \gamma_{0*} \gamma_0^* \mathbb{Q}_\ell \simeq \beta_* \gamma_{0*} \mathbb{Q}_\ell \simeq \beta_* \gamma_{1*} \mathbb{Q}_\ell \to \beta_* \mathbb{Q}_\ell.$$

The first and last maps are induced by adjunction, using the fact that γ_0 and γ_1 are finite. Denote by $[YZ_d]^r$ the *r*-fold composition of this endomorphism with itself.

Proposition 3.5. Fix an effective divisor $D \in \text{Div}(X)$ of degree $d \ge 2g_1 - 1$, and recall the closed subscheme $A_D \subset A_d$ and the inclusion $A_D(\mathbf{k}) \subset K$ of (2.15). The distribution \mathbb{I}_r of (3.3) satisfies

$$\mathbb{I}_r(f_D) = \sum_{\substack{\xi \in K \\ \operatorname{Tr}_{K/F}(\xi) = 1}} \mathbb{I}_r(\xi, f_D),$$

where

$$\mathbb{I}_{r}(\xi, f_{D}) = \begin{cases} \operatorname{Tr}([\operatorname{YZ}_{d}]_{\xi}^{r} \circ \operatorname{Frob}_{\xi}; (\beta_{*}\mathbb{Q}_{\ell})_{\xi}) & \text{if } \xi \in A_{D}(\boldsymbol{k}), \\ 0 & \text{otherwise.} \end{cases}$$

Here $\bar{\xi}$ is any geometric point above ξ : Spec $(\mathbf{k}) \rightarrow A_D$.

Proof. The proof is similar to the proof of [3, Thm. 4.7], so we omit it. One slight difference is the analogue of [8, Lem. 6.11 (1)]. Specifically, we must show that the map $\pi : \text{Hk}_T^{\mu} \to \text{Hk}_G^{\mu}(y)$ discussed there remains a regular local immersion if we replace Hk_T^{μ} with Hk_A^{μ} . The tangent complex computations are similar except that now both tangent complexes have 1-dimensional H^0 . In particular, it is no longer true that $\text{Hk}_G^{\mu}(y)$ is Deligne–Mumford in the neighborhood of a point in the image of π . Nevertheless, the induced map on H^0 (resp. H^1) is an isomorphism (resp. injection), which is enough to conclude that the map is indeed a regular local immersion of algebraic stacks.

4. Comparison of traces

4.1. Representations

For now, ℓ is any prime and all representations are over \mathbb{Q}_{ℓ} .

Let $n \ge 1$ be an even integer. For each $0 \le i \le n$, view $S_i \times S_{n-i}$ as the subgroup of S_n preserving the subset $\{1, \ldots, i\}$. Let **1** denote the trivial representation \mathbb{Q}_{ℓ} . Then the induced representation

$$V_i = \operatorname{Ind}_{S_i \times S_{n-i}}^{S_n} \mathbf{1}$$

is the permutation representation for the S_n -action on the ways of dividing the integers $\{1, \ldots, n\}$ into two bins of size *i* and n - i.

Let $V = \bigoplus_{i=0}^{n} V_i$. By the combinatorial description of V_i , we see that

$$V \simeq (\mathbb{Q}_{\ell}^2)^{\otimes n}$$

where the action of S_n on $(\mathbb{Q}_{\ell}^2)^{\otimes n}$ is by permuting coordinates.

Recall that the irreducible representations ρ_{λ} of S_n are indexed by partitions $\lambda \vdash n$. Write $\lambda = [\lambda_1, \dots, \lambda_k]$ with $\lambda_1 \geq \dots \geq \lambda_k \geq 1$ and $\sum_i \lambda_i = n$. We will also interpret the symbol [n, 0] to mean [n]. Write

$$V = \bigoplus_{\lambda \vdash n} V_{\lambda}, \quad V_i = \bigoplus_{\lambda \vdash n} V_{i,\lambda}$$

where V_{λ} and $V_{i,\lambda}$ are the ρ_{λ} -isotypic components of V and V_i , respectively.

Proposition 4.1. If $\lambda \vdash n$, then (a) $V_{i,\lambda} \neq 0$ if and only if $\lambda = [k, n - k]$ with $n - k \leq i \leq k$; (b) if $V_{i,\lambda} \neq 0$, then it has multiplicity 1, i.e. $V_{i,\lambda} \simeq \rho_{\lambda}$.

Proof. This is a simple application of the Littlewood–Richardson rule.

Note that the diagonal action of $\operatorname{GL}_2(\mathbb{Q}_\ell)$ on V commutes with the S_n -action. There is also a Lie algebra action of \mathfrak{sl}_2 on $V \simeq (\mathbb{Q}_\ell^2)^{\otimes n}$, given by

$$\begin{aligned} X \cdot v_1 \otimes v_2 \otimes \cdots \otimes v_n \\ &= X v_1 \otimes \cdots \otimes v_n + v_1 \otimes X v_2 \otimes \cdots \otimes v_n + \cdots + v_1 \otimes \cdots \otimes X v_n. \end{aligned}$$

This too commutes with the S_n -action. By Schur–Weyl duality, each V_{λ} is isomorphic to $M_{\lambda} \otimes \rho_{\lambda}$ for some irreducible \mathfrak{sl}_2 -representation M_{λ} (on which S_n acts trivially).

Corollary 4.2. If $\lambda = [k, n-k]$, then $V_{\lambda} \simeq \text{Sym}^{2k-n} \mathbb{Q}_{\ell}^2 \otimes \rho_{\lambda}$.

Proof. By Proposition 4.1, we have dim $V_{\lambda} = (2k - n + 1) \dim \rho_{\lambda}$. Since $\operatorname{Sym}^{2k-n} \mathbb{Q}_{\ell}^2$ is the unique irreducible representation of \mathfrak{sl}_2 of dimension 2k - n + 1, we must have $M_{\lambda} \simeq \operatorname{Sym}^{2k-n} \mathbb{Q}_{\ell}^2$.

Let $\{e_+, e_-\}$ be a basis for \mathbb{Q}^2_{ℓ} . For $\epsilon \in \{\pm\}^n$, let e_{ϵ} be the corresponding basis element of *V*. Also let ϵ_i be the element

$$(+, +, \dots, +, -, +, \dots, +, +) \in \{\pm\}^n$$

with a minus sign in the *i*-th coordinate.

Let $H: V \to V$ be the \mathbb{Q}_{ℓ} -linear map determined by

$$H(e_{\epsilon}) = \sum_{i=1}^{n} e_{\epsilon\epsilon_i}$$

Lemma 4.3. *H* commutes with the S_n -action on *V*.

In fact, the map *H* is simply the action of $\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \in \mathfrak{sl}_2$ on *V*. Now suppose $\lambda = [k, n-k]$. Since *H* commutes with the *S_n*-action, it acts on *V_λ* as *H_λ* \otimes 1 for some $H_{\lambda} \in \operatorname{End}(\operatorname{Sym}^{2k} \mathbb{Q}_{\ell}^2)$.

Corollary 4.4. Let $\lambda = [k, n - k]$. Then the eigenvalues of H_{λ} are

$$\{-2k, -2k+2, \ldots, -2, 0, 2, \ldots, 2k-2, 2k\},\$$

each appearing with multiplicity 1.

Proof. The matrix $\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ is usually denoted e + f in the representation theory of \mathfrak{sl}_2 . It is conjugate to the matrix $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$, often denoted h. Thus, the characteristic polynomial of H_{λ} is the same as that of h acting on the space $\operatorname{Sym}^{2k} \mathbb{Q}_{\ell}^2$. The natural basis for $\operatorname{Sym}^{2k} \mathbb{Q}_{\ell}^2$ consists of eigenvectors for h with eigenvalues precisely the ones stated.

4.2. Local systems

Now let ℓ be a prime different from char k. Recall the split double cover $W = Y \amalg Y$ of Y. Let $U_{2d}(Y) \subset Y^{2d}$ be the open subscheme parameterizing 2*d*-tuples of *distinct* points on Y, and let $U_{2d}(W) \subset W^{2d}$ be its preimage under the morphism $W^{2d} \to Y^{2d}$. Thus we have a cartesian diagram



in which the horizontal arrows are open immersions with dense image, and the vertical arrows are finite étale. Both W^{2d} and $U_{2d}(W)$ are disconnected. The connected components are

$$W^{2d} = \prod_{i=0}^{2d} (Y^i \times Y^{2d-i}), \quad U_{2d}(W) = \prod_{i=0}^{2d} U_{i,2d-i}(W).$$

Taking the appropriate quotients, and using the isomorphisms of (2.14), we obtain a cartesian diagram

$$S_{i} \times S_{2d-i} \setminus U_{i,2d-i}(W) \longrightarrow \Sigma_{i,2d-i}(W)$$

$$b_{i} \downarrow \qquad \qquad \qquad \downarrow_{Nm} \qquad (4.1)$$

$$S_{2d} \setminus U_{2d}(Y) \xrightarrow{u} \Sigma_{2d}(Y)$$

in which the horizontal arrows are open immersions, the vertical arrows are finite, and b_i is étale.

The Galois cover

$$U_{i,2d-i}(W) \to S_{2d} \setminus U_{2d}(Y)$$

has group S_{2d} , and the local system $b_{i*}\mathbb{Q}_{\ell}$ on $S_{2d}\setminus U_{2d}(Y)$ corresponds to the induced representation V_i from the previous section. We define

$$b_*\mathbb{Q}_\ell := \bigoplus_{i=0}^{2d} b_{i*}\mathbb{Q}_\ell.$$

This is a local system on $S_{2d} \setminus U_{2d}(Y)$ corresponding to the S_{2d} -representation $V \simeq (\mathbb{Q}_{\ell}^2)^{\otimes 2d}$. If $\lambda \vdash n$, we let \tilde{L}_{λ} be the local system on $S_{2d} \setminus U_{2d}(Y)$ corresponding to ρ_{λ} .

Define A_d° as the cartesian product

$$\begin{array}{c} A_d^{\circ} \xrightarrow{v} A_d \\ \pi \downarrow \qquad \qquad \downarrow_{\nu^{\sharp}} \\ S_{2d} \setminus U_{2d}(Y) \xrightarrow{u} \Sigma_{2d}(Y) \end{array}$$

and set $L_{\lambda} = v_* \pi^* \widetilde{L}_{\lambda}$. Recall from §2.4 the maps $\beta_i : N_{(i,2d-i)} \to A_d$ for each $i = 0, \ldots, 2d$.

Proposition 4.5. For each $i \in \{0, 1, ..., d\}$, there is an isomorphism

$$\beta_{i*}\mathbb{Q}_{\ell} \simeq (\beta_{2d-i})_*\mathbb{Q}_{\ell}$$

and a decomposition

$$\beta_{i*}\mathbb{Q}_{\ell} = \bigoplus_{k=0}^{l} L_{[2d-k,k]}$$

Proof. By Proposition 4.1, we have

$$b_{i*}\mathbb{Q}_{\ell} = \bigoplus_{k=0}^{i} \widetilde{L}_{[2d-k,k]}$$

On the other hand, it follows from proper base change and the smoothness of $N_{(i,2d-i)}$ that

$$\beta_{i*}\mathbb{Q}_{\ell} \simeq v_*\pi^*b_{i*}\mathbb{Q}_{\ell}$$

(see [3, Prop. 5.3]). The proposition now follows.

Proposition 4.6. There is a decomposition

$$\beta_* \mathbb{Q}_{\ell} = \bigoplus_{k=0}^d (\operatorname{Sym}^{2d-2k} \mathbb{Q}_{\ell}^2 \otimes L_{[2d-k,k]}),$$

and the endomorphism $[YZ_d]$ stabilizes each summand. The action of $[YZ_d]$ on the tensor

product $\operatorname{Sym}^{2d-2k} \mathbb{Q}_{\ell}^2 \otimes L_{[2d-k,k]}$ is of the form $H_k \otimes 1$ for some H_k having characteristic polynomial

$$\det(t-H_k) = \prod_{j=k-d}^{d-k} (t-2j).$$

Proof. The proof of the first part is as in Proposition 4.5, this time using the decomposition

$$V = \bigoplus_{k=0}^{d} V_{[2d-k,k]}$$

and Corollary 4.2. The S_{2d} -map $H: V \to V$ corresponds to a map $H: b_*\mathbb{Q}_\ell \to b_*\mathbb{Q}_\ell$ of local systems. By Proposition 3.3, the map $[YZ_d]|_{A_d^\circ}$ is identified with π^*H . Thus, the second part of the proposition follows from Corollary 4.4.

4.3. The key identity

Fix an auxiliary prime $\ell \neq \operatorname{char} \boldsymbol{k}$, and define $\mathcal{H}_{\ell} = \mathcal{H} \otimes \mathbb{Q}_{\ell}$, the ℓ -adic analogue of the \mathbb{Q} -algebra \mathcal{H} of §2.1.

The Hecke algebra \mathscr{H}_{ℓ} acts on the ℓ -adic cohomology group

$$V = H_c^{2r}(\operatorname{Sht}_G^{\mu} \otimes_{\boldsymbol{k}} \bar{\boldsymbol{k}}, \mathbb{Q}_{\ell})(r),$$

as in [8, §7.1]. The cycle class map cl : $\operatorname{Ch}_{c,r}(\operatorname{Sht}_G^r) \to V$ is \mathscr{H} -equivariant, and the cup product

$$\langle \cdot, \cdot \rangle : V \times V \to \mathbb{Q}_{\ell} \tag{4.2}$$

pulls back to the intersection pairing on the Chow group.

Recalling the map $\mathscr{H} \to \mathbb{Q}[\operatorname{Pic}_X(k)]^{\iota_{\operatorname{Pic}}}$ appearing in (2.2), define

$$\begin{aligned} \widehat{\mathscr{H}}_{\ell} &= \operatorname{Image}\bigl(\mathscr{H}_{\ell} \to \operatorname{End}_{\mathbb{Q}_{\ell}}(V) \times \operatorname{End}_{\mathbb{Q}_{\ell}}(\mathscr{A}_{\ell}) \times \mathbb{Q}_{\ell}[\operatorname{Pic}_{X}(\boldsymbol{k})]^{\iota_{\operatorname{Pic}}}\bigr), \\ \widehat{\mathscr{H}}_{\ell} &= \operatorname{Image}\bigl(\mathscr{H}_{\ell} \to \operatorname{End}_{\mathbb{Q}_{\ell}}(V) \times \mathbb{Q}_{\ell}[\operatorname{Pic}_{X}(\boldsymbol{k})]^{\iota_{\operatorname{Pic}}}\bigr), \\ \mathscr{H}_{\operatorname{aut},\ell} &= \operatorname{Image}\bigl(\mathscr{H}_{\ell} \to \operatorname{End}_{\mathbb{Q}_{\ell}}(\mathscr{A}_{\ell}) \times \mathbb{Q}_{\ell}[\operatorname{Pic}_{X}(\boldsymbol{k})]^{\iota_{\operatorname{Pic}}}\bigr). \end{aligned}$$

These are finite type \mathbb{Q}_{ℓ} -algebras, related by surjections



Recalling the \mathbb{Q} -algebra \mathscr{H}_{aut} of §2.1, there is a canonical isomorphism

$$\mathscr{H}_{\mathrm{aut}} \otimes \mathbb{Q}_{\ell} \cong \mathscr{H}_{\mathrm{aut},\ell}.$$

For any $f \in \mathcal{H}$, the function $\mathbb{J}(f, s)$ of (2.7) is a Laurent polynomial in q^s with rational coefficients. Setting

$$\mathbb{J}_r(f) = (\log q)^{-r} \frac{d^r}{ds^r} \mathbb{J}(f, s) \bigg|_{s=0},$$

we obtain a linear functional $\mathbb{J}_r : \mathscr{H} \to \mathbb{Q}$. The following result shows that this coincides with the linear functional $\mathbb{I}_r : \mathscr{H} \to \mathbb{Q}$ defined by (3.3).

Theorem 4.7. If $f \in \mathcal{H}$, then $\mathbb{I}_r(f) = \mathbb{J}_r(f)$. Moreover, the \mathbb{Q}_{ℓ} -linear extensions of \mathbb{I}_r and \mathbb{J}_r to $\mathcal{H}_{\ell} \to \mathbb{Q}_{\ell}$ factor through $\widetilde{\mathcal{H}}_{\ell}$.

Proof. The compatibility of the cup product pairing (4.2) with the intersection pairing on the Chow group implies that the \mathbb{Q}_{ℓ} -linear extension $\mathbb{I}_r : \mathscr{H}_{\ell} \to \mathbb{Q}_{\ell}$ factors through \mathscr{H}_{ℓ} . The final claim of Proposition 2.5 implies that the \mathbb{Q}_{ℓ} -linear extension $\mathbb{J}_r : \mathscr{H}_{\ell} \to \mathbb{Q}_{\ell}$ factors through $\mathscr{H}_{\mathrm{aut},\ell}$. It follows that both \mathbb{I}_r and \mathbb{J}_r factor through the quotient \mathscr{H}_{ℓ} .

It remains to prove that $\mathbb{I}_r(f) = \mathbb{J}_r(f)$ for all $f \in \mathcal{H}$. Assume first that $f = f_D$ for some effective divisor $D \in \text{Div}(X)$ of degree $d \ge 2g_1 - 1$. For each $\xi \in A_D(k)$, define

$$\mathbb{I}_r(\xi, f_D) = \operatorname{Tr}([\operatorname{YZ}_d]^r_{\xi} \circ \operatorname{Frob}_{\xi}; (\beta_* \mathbb{Q}_{\ell})_{\bar{\xi}}),$$
$$\mathbb{J}_r(\xi, f_D) = 2^r \sum_{i=0}^{2d} (i-d)^r \operatorname{Tr}(\operatorname{Frob}_{\xi}; (\beta_{(i,2d-i)*} \mathbb{Q}_{\ell})_{\bar{\xi}})$$

By Proposition 3.5 and Theorem 2.8,

$$\mathbb{I}_r(f_D) = \sum_{\xi \in A_D(\mathbf{k})} \mathbb{I}_r(\xi, f_D), \quad \mathbb{J}_r(f_D) = \sum_{\xi \in A_D(\mathbf{k})} \mathbb{J}_r(\xi, f_D),$$

so it suffices to show that $\mathbb{I}_r(\xi, f_D) = \mathbb{J}_r(\xi, f_D)$ for all ξ .

There is a decomposition

$$\beta_* \mathbb{Q}_\ell = \bigoplus_{i=0}^{2d} \beta_{(i,2d-i)*} \mathbb{Q}_\ell,$$

but the endomorphism $[YZ_d]$ of $\beta_* \mathbb{Q}_\ell$ does not preserve this decomposition; see Remark 3.2. We instead consider the decomposition

$$\beta_* \mathbb{Q}_{\ell} = \bigoplus_{k=0}^d (\operatorname{Sym}^{2d-2k} \mathbb{Q}_{\ell}^2 \otimes L_{[2d-k,k]}),$$

of Proposition 4.6, which has the property that $[YZ_d]$ takes the form $H_k \otimes 1$ on each summand. We compute

$$\mathbb{I}_{r}(\xi, f_{D}) = \operatorname{Tr}([\operatorname{YZ}_{d}]_{\xi}^{r} \circ \operatorname{Frob}_{\xi}; (\beta_{*}\mathbb{Q}_{\ell})_{\overline{\xi}})$$
$$= \sum_{k=0}^{d} \operatorname{Tr}(H_{k}^{r} \otimes \operatorname{Frob}_{\xi}; \operatorname{Sym}^{2d-2k}\mathbb{Q}_{\ell}^{2} \otimes (L_{[2d-k,k]})_{\overline{\xi}})$$

$$= \sum_{k=0}^{d} \operatorname{Tr}(H_{k}^{r}) \operatorname{Tr}(\operatorname{Frob}_{\xi}; (L_{[2d-k,k]})_{\bar{\xi}})$$

$$= \sum_{k=0}^{d} \sum_{j=k-d}^{d-k} (2j)^{r} \operatorname{Tr}(\operatorname{Frob}_{\xi}; (L_{[2d-k,k]})_{\bar{\xi}})$$

$$= 2^{r+1} \sum_{k=0}^{d} \sum_{j=0}^{d-k} j^{r} \operatorname{Tr}(\operatorname{Frob}_{\xi}; (L_{[2d-k,k]})_{\bar{\xi}})$$

where the second to last equality follows from the last statement in Proposition 4.6.

On the other hand, using Proposition 4.5 we compute

$$\begin{aligned} \mathbb{J}_{r}(\xi, f_{D}) &= 2^{r} \sum_{i=0}^{2d} (d-i)^{r} \operatorname{Tr}(\operatorname{Frob}_{\xi}; (\beta_{(i,2d-i)*}\mathbb{Q}_{\ell})_{\bar{\xi}}) \\ &= 2^{r+1} \sum_{i=0}^{d} (d-i)^{r} \operatorname{Tr}(\operatorname{Frob}_{\xi}; (\beta_{(i,2d-i)*}\mathbb{Q}_{\ell})_{\bar{\xi}}) \\ &= 2^{r+1} \sum_{i=0}^{d} (d-i)^{r} \sum_{k=0}^{i} \operatorname{Tr}(\operatorname{Frob}_{\xi}; (L_{[2d-k,k]})_{\bar{\xi}}) \\ &= 2^{r+1} \sum_{k=0}^{d} \sum_{j=0}^{d-k} j^{r} \operatorname{Tr}(\operatorname{Frob}_{\xi}; (L_{[2d-k,k]})_{\bar{\xi}}). \end{aligned}$$

We conclude that $\mathbb{I}_r(\xi, f_D) = \mathbb{J}_r(\xi, f_D)$, and hence $\mathbb{I}_r(f_D) = \mathbb{J}_r(f_D)$ for all effective D of degree $d \ge 2g_1 - 1$.

The proof of [8, Thm. 9.2] shows that the image of $\mathscr{H}_{\ell} \to \widetilde{\mathscr{H}}_{\ell}$ is generated as \mathbb{Q}_{ℓ} -vector space by the images of $f_D \in \mathscr{H}$ as D ranges over all effective divisors on X of degree $d \geq 2g_1 - 1$. Therefore $\mathbb{I}_r = \mathbb{J}_r$.

4.4. Proof of main theorems

The main theorems of the introduction follow from Theorem 4.7, by modifying the formal arguments of [3, §5]. The additional subtlety in our context is that the intersection pairing appearing in the definition of $\mathbb{I}_r(f)$ depends on the auxiliary integer d, which is itself a function of f. For the convenience of the reader, we spell out the argument below.

According to [8, (9.5)], there is a canonical \mathbb{Q}_{ℓ} -algebra decomposition

$$\widetilde{\mathscr{H}}_{\ell} = \widetilde{\mathscr{H}}_{\ell, \mathrm{Eis}} \oplus \bigoplus_{\mathfrak{m}} \widetilde{\mathscr{H}}_{\ell, \mathfrak{m}}, \tag{4.3}$$

where \mathfrak{m} runs over the finitely many maximal ideals $\mathfrak{m} \subset \widetilde{\mathscr{H}}_{\ell}$ that do not contain the kernel of the projection

$$\widetilde{\mathscr{H}}_{\ell} \to \mathbb{Q}_{\ell}[\operatorname{Pic}_{X}(\boldsymbol{k})]^{\iota_{\operatorname{Pic}}}.$$
(4.4)

For each such \mathfrak{m} the localization $\widetilde{\mathscr{H}}_{\ell,\mathfrak{m}}$ is a finite (hence Artinian) \mathbb{Q}_{ℓ} -algebra. If we denote by $E_{\mathfrak{m}}$ its residue field, then Hensel's lemma implies that the quotient map $\widetilde{\mathscr{H}}_{\ell,\mathfrak{m}}$ $\rightarrow E_{\mathfrak{m}}$ admits a unique section, which makes $\widetilde{\mathscr{H}}_{\ell,\mathfrak{m}}$ into an Artinian local $E_{\mathfrak{m}}$ -algebra.

The decomposition (4.3) induces a decomposition of $\tilde{\mathcal{H}}_{\ell}$ -modules

$$V = V_{\rm Eis} \oplus \bigoplus_{\mathfrak{m}} V_{\mathfrak{m}}, \tag{4.5}$$

in which each localization $V_{\mathfrak{m}}$ is a finite-dimensional $E_{\mathfrak{m}}$ -vector space. It follows from [8, Cor. 7.15] that this decomposition is orthogonal with respect to the cup product pairing. Moreover, the self-adjointness of the action of \mathscr{H}_{ℓ} with respect to the cup product pairing (4.2) implies that there is a unique symmetric $E_{\mathfrak{m}}$ -bilinear pairing

$$\langle \cdot, \cdot \rangle_{E_{\mathfrak{m}}} : V_{\mathfrak{m}} \times V_{\mathfrak{m}} \to E_{\mathfrak{m}}$$

such that $\operatorname{Tr}_{E_{\mathfrak{m}}/\mathbb{Q}_{\ell}}\langle \cdot, \cdot \rangle_{E_{\mathfrak{m}}} = \langle \cdot, \cdot \rangle.$

Define $[\operatorname{Sht}_{T}^{\mu}]_{\mathfrak{m}} \in V_{\mathfrak{m}}$ to be the projection of the cycle class $\operatorname{cl}([\operatorname{Sht}_{T}^{\mu}]) \in V$. Next, define $[\operatorname{Sht}_{A}^{\mu}]_{\mathfrak{m}} \in V_{\mathfrak{m}}$ to be the projection of $\operatorname{cl}([\operatorname{Sht}_{A}^{\mu,\leq d+r}]) \in V$, where $d \in \mathbb{Z} \subset \mathcal{D}$ is any integer such that

$$[\operatorname{Sht}_T^{\mu}]_{\mathfrak{m}} \in H_c^{2r}(\operatorname{Sht}_G^{\mu,\leq d} \otimes_{\boldsymbol{k}} \bar{\boldsymbol{k}}, \mathbb{Q}_\ell)(r) \subset V.$$

We may form the intersection pairing

$$\langle [\operatorname{Sht}_{A}^{\mu}]_{\mathfrak{m}}, [\operatorname{Sht}_{T}^{\mu}]_{\mathfrak{m}} \rangle_{E_{\mathfrak{m}}} \in E_{\mathfrak{m}}$$

Some maximal ideals $\mathfrak{m} \subset \widetilde{\mathscr{H}}_{\ell}$ appearing in (4.3) are attached to cuspidal automorphic forms. Fix an unramified cuspidal automorphic representation $\pi \subset \mathscr{A}_{\text{cusp}}(G)$. As in §2.1, such a representation determines a homomorphism

$$\mathscr{H}_{\mathrm{aut}} \to \mathscr{H}_{\mathrm{cusp}} \xrightarrow{\lambda_{\pi}} \mathbb{C}$$

whose image is a number field E_{π} . The induced map

$$\widetilde{\mathscr{H}}_{\ell} \to \mathscr{H}_{\mathrm{aut},\ell} \xrightarrow{\lambda_{\pi}} E_{\pi} \otimes \mathbb{Q}_{\ell} \cong \prod_{\mathfrak{l}|\ell} E_{\pi,\mathfrak{l}}$$

determines, for every prime $l \mid l$ of E_{π} , a surjection $\lambda_{\pi,l} : \tilde{\mathcal{H}}_{l} \to E_{\pi,l}$ whose kernel is one of those maximal ideals

$$\mathfrak{m} = \ker(\lambda_{\pi,\mathfrak{l}}) \tag{4.6}$$

appearing in the decomposition (4.5). This is a consequence of the isomorphism (2.3).

Recalling the period integrals \mathscr{P}_A and \mathscr{P}_T of §2.3, for every unramified cuspidal automorphic representation $\pi \subset \mathcal{A}_{\text{cusp}}(G)$ define

$$C(\pi, s) = \frac{\mathscr{P}_A(\phi, s)\mathscr{P}_T(\bar{\phi}, \eta)}{\langle \phi, \phi \rangle_{\text{Pet}}}$$

Here, ϕ is any non-zero vector in π^U .

Proposition 4.8. The complex number

$$C_r(\pi) = (\log q)^{-r} \cdot \frac{d^r}{ds^r} C(\pi, s) \bigg|_{s=0}$$

satisfies $C_r(\pi)^{\sigma} = C_r(\pi^{\sigma})$ for all $\sigma \in Aut(\mathbb{C}/\mathbb{Q})$. In particular, it lies in E_{π} .

Proof. As in [3, Prop. 5.7].

Theorem 4.9. Let $\mathfrak{m} \subset \widetilde{\mathscr{H}}_{\ell}$ be a maximal ideal that does not contain the kernel of (4.4).

(1) If \mathfrak{m} is of the form (4.6) for an unramified cuspidal automorphic representation π and a place $\mathfrak{l} \mid \ell$ of E_{π} , the equality

$$\langle [\operatorname{Sht}_{A}^{\mu}]_{\mathfrak{m}}, [\operatorname{Sht}_{T}^{\mu}]_{\mathfrak{m}} \rangle_{E_{\mathfrak{m}}} = C_{r}(\pi)$$

holds in $E_{\mathfrak{m}} = E_{\pi,\mathfrak{l}}$.

(2) If \mathfrak{m} is not of the form (4.6) then

$$\langle [\operatorname{Sht}_{A}^{\mu}]_{\mathfrak{m}}, [\operatorname{Sht}_{T}^{\mu}]_{\mathfrak{m}} \rangle_{E_{\mathfrak{m}}} = 0.$$

Proof. Given Proposition 4.7, the proof follows that of [8, Thm. 1.6].

4.5. The proof of Theorem 1

As in the introduction, let $[Sht_T^{\mu}]$ be the pushforward of the fundamental class under

$$\theta_T^{\mu} : \operatorname{Sht}_T^{\mu} \to \operatorname{Sht}_G^{\mu}$$

and let $\widetilde{W}_T \subset \operatorname{Ch}_{c,r}(\operatorname{Sht}_G^{\mu})$ be the \mathscr{H} -submodule it generates.

Let $d \in \mathbb{Z} \subset \mathcal{D}$ be any large enough integer so that the finite-dimensional subspace

$$\left(\bigoplus_{\mathfrak{m}}\widetilde{\mathscr{H}}_{\ell,\mathfrak{m}}\right)\cdot \operatorname{cl}([\operatorname{Sht}_{T}^{\mu}])\subset H^{2r}(\operatorname{Sht}_{G}^{\mu}\otimes_{k}\bar{k},\mathbb{Q}_{\ell}(r))$$

is supported on $\operatorname{Sht}_{G}^{\mu,\leq d-r}$ (see (4.3)). Then define $[\operatorname{Sht}_{A}^{\mu}]$ to be the pushforward of the fundamental class under

$$\theta_A^{\mu} : \operatorname{Sht}_A^{\mu, \leq d} \to \operatorname{Sht}_G^{\mu}.$$

Let $\widetilde{W}_A \subset \operatorname{Ch}_{c,r}(\operatorname{Sht}_G^{\mu})$ be the \mathscr{H} -submodule generated by $[\operatorname{Sht}_A^{\mu}]$.

Define quotients

$$W_T = \widetilde{W}_T / \{ c \in \widetilde{W}_T : \langle c, \widetilde{W}_A \rangle = 0 \}, \quad W_A = \widetilde{W}_A / \{ c \in \widetilde{W}_A : \langle c, \widetilde{W}_T \rangle = 0 \},$$

so that the intersection pairing descends to $\langle \cdot, \cdot \rangle : W_A \times W_T \to \mathbb{Q}$.

Proposition 4.10. The actions of \mathcal{H} on W_T and W_A factor through the quotient

$$\mathscr{H} \to \mathscr{H}_{\mathrm{aut}} \cong \mathscr{H}_{\mathrm{cusp}} \times \mathbb{Q}[\operatorname{Pic}_X(k)]^{\iota_{\operatorname{Pic}}}$$

defined in §2.1.

Proof. By Proposition 2.5 the distribution $\mathbb{J}_r(f)$ only depends on the image of f under $\mathscr{H} \to \mathscr{H}_{aut}$. By Proposition 4.7 the same is true of the distribution $\mathbb{I}_r(f)$ defined by (3.3), and the claim follows as in [8, Cor. 9.4].

It follows from the discussion of §2.1 that $\mathscr{H}_{\text{cusp},\mathbb{R}} = \mathscr{H}_{\text{cusp}} \otimes_{\mathbb{Q}} \mathbb{R}$ is isomorphic to a product of copies of \mathbb{R} , indexed by the unramified cuspidal automorphic representations π . For each such π , let $e_{\pi} \in \mathscr{H}_{\text{cusp},\mathbb{R}}$ be the corresponding idempotent. Using Proposition 4.10, these idempotents induce a decomposition, for $* \in \{A, T\}$,

$$W_*(\mathbb{R}) = W_{*,\mathrm{cusp}} \oplus W_{*,\mathrm{Eis}} = \left(\bigoplus_{\pi} W_{*,\pi}\right) \oplus W_{*,\mathrm{Eis}}$$

with sum over unramified cuspidal π , and where $W_{*,\pi} \subset W_*(\mathbb{R})$ is the λ_{π} -eigenspace of \mathcal{H} .

The following is Theorem 1 of the introduction.

Theorem 4.11. For $* \in \{A, T\}$, let $[Sht^{\mu}_*]_{\pi}$ denote the projections of the images of $[Sht^{\mu}_*]$ to the summand $W_{*,\pi}$. Then

$$\langle [\operatorname{Sht}_{A}^{\mu}]_{\pi}, [\operatorname{Sht}_{T}^{\mu}]_{\pi} \rangle = C_{r}(\pi).$$

Proof. This follows from Theorem 4.9, as in [3, Thm. 5.10].

Theorems 2 and 3 follow from Theorem 1, as explained in the introduction.

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