

# Approximation and symbolic calculus for Toeplitz algebras on the Bergman space

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## Abstract

If  $f \in L^\infty(\mathbb{D})$  let  $T_f$  be the Toeplitz operator on the Bergman space  $L_a^2$  of the unit disk  $\mathbb{D}$ . For a  $C^*$ -algebra  $A \subset L^\infty(\mathbb{D})$  let  $\mathfrak{T}(A)$  denote the closed operator algebra generated by  $\{T_f : f \in A\}$ . We characterize its commutator ideal  $\mathfrak{C}(A)$  and the quotient  $\mathfrak{T}(A)/\mathfrak{C}(A)$  for a wide class of algebras  $A$ . Also, for  $n \geq 0$  integer, we define the  $n$ -Berezin transform  $B_n S$  of a bounded operator  $S$ , and prove that if  $f \in L^\infty(\mathbb{D})$  and  $f_n = B_n T_f$  then  $T_{f_n} \rightarrow T_f$ .

## 1. Introduction and preliminaries

Suppose that  $A$  is a  $C^*$ -algebra with unit. The commutator ideal  $\mathfrak{C}$  is the closed bilateral ideal generated by the elements  $[x, y] = xy - yx$ , with  $x, y \in A$ . The quotient  $A/\mathfrak{C}$  is a commutative  $C^*$ -algebra with unit, which by the Gelfand-Naimark Theorem is isometrically isomorphic to  $C(M)$ , the algebra of continuous functions on some compact Hausdorff space  $M$ . Following the arrows

$$A \rightarrow A/\mathfrak{C} \xrightarrow{\cong} C(M)$$

we can associate to every  $x \in A$  a function  $f_x \in C(M)$ , which is the ‘symbol’ referred to in the title of the paper. Since the algebra  $A$  is determined by  $\mathfrak{C}$  and  $C(M)$ , the study of these two objects is an important tool for a better understanding of  $A$ . The possible advantages of this point of view are that  $C(M)$  can be treated by topological methods, since it depends exclusively on the space  $M$ , and that  $\mathfrak{C}$  is usually much smaller than  $A$ . Of course, the first step of this journey is to determine  $\mathfrak{C}$  and  $C(M)$ . The whole process is known as abelianization, and it can be carried out for a much wider class

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of algebras than  $C^*$ -algebras. In particular, these ideas have been widely studied in the context of Toeplitz algebras acting on the Hardy space  $H^2$  (see [18, pp. 339-392]). The literature shows some partial attempts to develop a similar scheme for Toeplitz algebras acting on the Bergman space  $L_a^2 = L_a^2(dA)$ , where  $dA$  is the normalized area measure on  $\mathbb{D}$  (see [14, Ch. 4] for a general discussion). We give below a brief summary of known results.

Let  $\mathfrak{L}(L_a^2)$  be the algebra of bounded operators on  $L_a^2$ . If  $\mathcal{B} \subset L^\infty(\mathbb{D})$  is a closed subalgebra, let  $\mathfrak{T}(\mathcal{B})$  be the closed subalgebra of  $\mathfrak{L}(L_a^2)$  generated by the Toeplitz operators  $\{T_a : a \in \mathcal{B}\}$  and  $\mathfrak{C}(\mathcal{B})$  be the commutator ideal of  $\mathfrak{T}(\mathcal{B})$ .

In [11] Coburn proved that  $\mathfrak{C}(C(\overline{\mathbb{D}}))$  is the ideal of compact operators and  $\mathfrak{T}(C(\overline{\mathbb{D}}))/\mathfrak{C}(C(\overline{\mathbb{D}}))$  is isomorphic to  $C(\partial\mathbb{D})$ . In [17] McDonald and Sundberg characterized the quotient  $\mathfrak{T}(\mathcal{U})/\mathfrak{C}(\mathcal{U})$ , where  $\mathcal{U}$  is the  $C^*$ -algebra in  $L^\infty(\mathbb{D})$  generated by  $H^\infty$ . Later, the two papers by Axler and Zheng ([4], [5]) provided additional information on Coburn's and McDonald-Sundberg's theorems by giving characterizations of the respective commutator ideals in terms of the Berezin transform. We give precise statements of these results in Sections 6 and 7. In [20] the author showed that  $\mathfrak{C}(L^\infty(\mathbb{D})) = \mathfrak{T}(L^\infty(\mathbb{D}))$ . Despite these results, no systematic theory of abelianization has been given so far for Toeplitz algebras on the Bergman space. One of the purposes of this paper is to develop a general theory of abelianization for Toeplitz algebras  $\mathfrak{T}(\mathcal{B})$ , where  $\mathcal{B}$  belongs to a special class of  $C^*$ -algebras in  $L^\infty(\mathbb{D})$  that we call hyperbolic. Our main goal is to explain the underlying phenomenon that is apparently common to Coburn's and McDonald-Sundberg's theorems, and to apply it to other hyperbolic algebras.

Let  $\mathcal{A} \subset L^\infty(\mathbb{D})$  be the algebra of functions on  $\mathbb{D}$  that are uniformly continuous with respect to the pseudohyperbolic metric. If  $n$  is a nonnegative integer, we define the  $n$ -Berezin transform  $B_n : \mathfrak{L}(L_a^2) \rightarrow \mathcal{A}$ . This is a linear operator, and we show that if  $a \in L^\infty(\mathbb{D})$  and  $a_n = B_n T_a$ , then  $T_{a_n}$  tends to  $T_a$  in operator norm. In particular, the Toeplitz algebras associated to  $L^\infty(\mathbb{D})$  and  $\mathcal{A}$  coincide. This will allow us to reduce the study of  $\mathfrak{T}(\mathcal{B})$  and  $\mathfrak{C}(\mathcal{B})$  for some  $C^*$ -algebras  $\mathcal{B} \subset L^\infty(\mathbb{D})$  that are not hyperbolic, to the case of hyperbolic algebras. Once the reduction is made, we can use the maximal ideal space of  $\mathcal{A}$  as a powerful tool to describe  $\mathfrak{C}(\mathcal{B})$  and  $\mathfrak{T}(\mathcal{B})/\mathfrak{C}(\mathcal{B})$ . We begin fixing some notation.

For  $z \in \mathbb{D}$  denote

$$\varphi_z(\omega) = \frac{z - \omega}{1 - \bar{z}\omega}.$$

The pseudohyperbolic metric on  $\mathbb{D}$  is defined as  $\rho(z, \omega) = |\varphi_z(\omega)|$ . This metric is invariant under the action of  $\text{Aut}(\mathbb{D})$ . Sometimes, especially in

estimates involving the triangle inequality, it will be useful to use the hyperbolic metric

$$h(z, \omega) = \log \frac{1 + \rho(z, \omega)}{1 - \rho(z, \omega)}, \quad z, \omega \in \mathbb{D}$$

instead of  $\rho$ . The passage from one metric to the other is justified because  $f(x) = \log \frac{1+x}{1-x}$  is a strictly increasing function of  $x \in (0, 1)$ . For  $z \in \mathbb{D}$ ,  $r \in (0, 1)$  and  $s \in (0, \infty)$  write

$$K(z, r) = \{\omega \in \mathbb{D} : \rho(z, \omega) \leq r\} \quad \text{and} \quad K_h(z, r) = \{\omega \in \mathbb{D} : h(z, \omega) \leq s\}$$

for the closed pseudohyperbolic (resp. hyperbolic) disk of center  $z$  and radius  $r$  (resp.  $s$ ).

Let  $\mathcal{B} \subset L^\infty(\mathbb{D})$  be a closed subalgebra, where by algebra we always mean a unitary algebra. The maximal ideal space of  $\mathcal{B}$  is

$$M(\mathcal{B}) = \{\alpha : \mathcal{B} \rightarrow \mathbb{C} : \alpha \text{ is linear, multiplicative and } \alpha(1) = 1\},$$

provided with the weak  $*$  topology induced by the dual space of  $\mathcal{B}$ . It is a compact Hausdorff space. We can look at a function  $f \in \mathcal{B}$  as a continuous function on  $M(\mathcal{B})$  via the Gelfand transform

$$\hat{f}(\alpha) = \alpha(f) \quad (\alpha \in M(\mathcal{B})).$$

If  $\mathcal{B} \subset C(\mathbb{D}) \cap L^\infty(\mathbb{D})$  separates points of  $\mathbb{D}$  then evaluations at points of  $\mathbb{D}$  are members of  $M(\mathcal{B})$ . So,  $\mathbb{D}$  is naturally imbedded into  $M(\mathcal{B})$ , and  $\hat{f}$  is an extension to the whole maximal space of the function  $f$ . Unless the contrary is stated we avoid writing the hat for the Gelfand transform and look at  $f$  as a function on  $M(\mathcal{B})$ . The algebra

$$\mathcal{A} = \{f \in L^\infty(\mathbb{D}) : f \text{ is uniformly continuous with respect to } \rho\}$$

will be a major protagonist of this paper. It is  $C^*$ -algebra such that  $\mathbb{D}$  is dense in  $M(\mathcal{A})$ . Indeed, there cannot be  $\alpha \in M(\mathcal{A}) \setminus \overline{\mathbb{D}}$ , because otherwise there is  $f \in \mathcal{A}$  with  $f(\alpha) = 0$  while  $|f| \geq \delta > 0$  on  $\mathbb{D}$  (since  $\mathcal{A}$  is a  $C^*$ -algebra). Since such  $f$  is invertible in  $\mathcal{A}$ , it is not in the maximal ideal  $\text{Ker } \alpha$ . Further information on  $M(\mathcal{A})$  can be found in [8].

If  $a \in L^\infty(\mathbb{D})$  let  $M_a$  be the multiplication operator on  $L^2(\mathbb{D})$  and  $T_a$  be the Toeplitz operator on  $L_a^2$ . That is,  $T_a = P_+ M_a$ , where  $P_+ : L^2(\mathbb{D}) \rightarrow L_a^2$  is the Bergman projection. It is clear that  $\|M_a\| = \|a\|_\infty$  and  $\|T_a\| \leq \|a\|_\infty$ . A big difference with Toeplitz operators on the Hardy space  $H^2$  is that the latter inequality is not always an equality, although we still have that  $T_a = 0$

only when  $a = 0$ . For  $z \in \mathbb{D}$ , the ‘change of variable operator’ is given by  $U_z f = (f \circ \varphi_z)\varphi'_z$ . That is,

$$(U_z f)(\omega) = f(\varphi_z(\omega)) \frac{|z|^2 - 1}{(1 - \bar{z}\omega)^2}.$$

Is easy to prove that  $U_z T_a U_z = T_{a \circ \varphi_z}$  for every  $a \in L^\infty(\mathbb{D})$ , and since  $U_z$  is unitary and self-adjoint, then

$$(1.1) \quad (T_{a_1} \dots T_{a_n})_z = (U_z T_{a_1} U_z) \dots (U_z T_{a_n} U_z) = T_{a_1 \circ \varphi_z} \dots T_{a_n \circ \varphi_z}$$

for  $a_1, \dots, a_n \in L^\infty(\mathbb{D})$ . We will write

$$S_z = U_z T_a U_z \quad \text{for } S \in \mathfrak{L}(L_a^2).$$

The paper is organized as follows. The main results are Theorems 5.7, 6.4 and 6.5. In Section 2 we introduce the  $n$ -Berezin transform of a bounded operator and study its basic properties. If  $a \in L^\infty(\mathbb{D})$ ,  $B_n T_a$  coincides with  $B_n(a)$ , the more familiar  $n$ -Berezin transform of a function. In Section 3 we study the maximal ideal space of  $\mathcal{A}$  and use some of its features to define the notion of hyperbolic algebra. A characterization of these algebras is obtained in terms of interpolating sequences.

If  $S \in \mathfrak{T}(\mathcal{B})$ , where  $\mathcal{B}$  is a hyperbolic algebra, we construct in Section 4 a continuous map  $\Psi_S^{\mathcal{B}}$  from the maximal ideal space of  $\mathcal{B}$  into  $\mathfrak{T}(\mathcal{B})$ , when provided with the strong operator topology, and study its interaction with the  $n$ -Berezin transform. We prove that  $\Psi_S^{\mathcal{B}}$  is multiplicative as a function of  $S$ , which translates into a kind of asymptotic multiplicative behavior of  $B_n$ . This will be a fundamental tool for much of what follows.

Theorem 5.7 shows that  $T_{B_n(a)}$  tends to  $T_a$  for  $a \in L^\infty(\mathbb{D})$ . As a consequence we obtain that if  $B_n(a)$  belongs to a hyperbolic algebra  $\mathcal{B}$  for infinitely many values of  $n$  then  $T_a \in \mathfrak{T}(\mathcal{B})$ . This argument will reduce the study of  $\mathfrak{T}(C)$  for some non-hyperbolic algebras  $C \subset L^\infty(\mathbb{D})$  to the hyperbolic case.

Theorem 6.4 gives a characterization of  $\mathfrak{C}(\mathcal{B})$  and  $\mathfrak{T}(\mathcal{B})/\mathfrak{C}(\mathcal{B})$  when  $\mathcal{B}$  is hyperbolic. If  $S$  is a finite sum of finite products of Toeplitz operators with symbols in  $L^\infty(\mathbb{D})$  and  $\mathcal{B}$  is a hyperbolic algebra, Theorem 6.5 provides a necessary and sufficient condition for  $S \in \mathfrak{T}(\mathcal{B})$  and  $S \in \mathfrak{C}(\mathcal{B})$ .

Section 7 is devoted to applications of the previous results. It is shown that the theorem of McDonald-Sundberg and part of Coburn’s theorem are particular cases of Theorem 6.4. An example will be given to illustrate how Theorems 5.7 and 6.4 can be used to characterize  $\mathfrak{C}(C)$  and  $\mathfrak{T}(C)/\mathfrak{C}(C)$  for some  $C^*$ -algebras  $C \subset L^\infty(\mathbb{D})$  that are not hyperbolic.

Finally, we give a partial result towards a possible characterization of the center of  $\mathfrak{T}(L^\infty(\mathbb{D}))/\mathcal{K}$ , where  $\mathcal{K}$  denotes the ideal of compact operators. We finish the paper posing some open problems.

## 2. The $n$ -Berezin transform.

If  $n$  is a nonnegative integer and  $z \in \mathbb{D}$ , the function

$$K_z^{(n)}(\omega) = \frac{1}{(1 - \bar{z}\omega)^{2+n}} \quad (\omega \in \mathbb{D})$$

is the reproducing kernel of  $z$  in the weighted Bergman space  $L_a^2(dA_n)$ , where  $dA_n(\omega) = (n + 1)(1 - |\omega|^2)^n dA(\omega)$ . The  $n$ -Berezin transform of an operator  $S \in \mathfrak{L}(L_a^2)$  is defined as

$$(2.1) \quad (B_n S)(z) \stackrel{\text{def}}{=} (n + 1)(1 - |z|^2)^{2+n} \sum_{j=0}^n \binom{n}{j} (-1)^j \langle S(\omega^j K_z^{(n)}), \omega^j K_z^{(n)} \rangle.$$

It is clear that  $B_n S \in C^\infty(\mathbb{D})$  for every  $S \in \mathfrak{L}(L_a^2)$ . Using that

$$\sum_{j=0}^n \binom{n}{j} (-1)^j |\omega|^{2j} = (1 - |\omega|^2)^n$$

we see that if  $S = T_a$ , with  $a \in L^\infty(\mathbb{D})$ , then

$$\begin{aligned} (B_n a)(z) &\stackrel{\text{def}}{=} (B_n T_a)(z) \\ &= (n + 1)(1 - |z|^2)^{2+n} \sum_{j=0}^n \binom{n}{j} (-1)^j \int_D \frac{a(\omega) |\omega|^{2j}}{|1 - \bar{z}\omega|^{2(2+n)}} dA(\omega) \\ &= \int_D a(\omega) \frac{(1 - |z|^2)^{2+n}}{|1 - \bar{z}\omega|^{2(2+n)}} (n + 1)(1 - |\omega|^2)^n dA(\omega) \\ (2.2) \quad &= \int_D a(\varphi_z(\zeta)) (n + 1)(1 - |\zeta|^2)^n dA(\zeta), \end{aligned}$$

where the last equality comes from the change of variables  $\omega = \varphi_z(\zeta)$ . Since  $dA_n(\xi)$  is a probability measure that tends to concentrate its mass at 0 when  $n \rightarrow \infty$ , then  $(B_n a)(z)$  is an average of  $a$  satisfying  $\|B_n(a)\|_\infty \leq \|a\|_\infty$  for all  $a \in L^\infty(\mathbb{D})$ . A straightforward calculation shows that  $B_n$  maps  $L^\infty(\mathbb{D})$  into  $\mathcal{A}$  for every  $n \geq 0$ , and we will prove in Corollary 4.6 that the same holds for  $\mathfrak{L}(L_a^2)$ . The last expression in (2.2) clearly shows that  $\|B_n(a) - a\|_\infty \rightarrow 0$  when  $n \rightarrow \infty$  for every  $a \in \mathcal{A}$ . That is, the sequence  $\{B_n\}$  works as an approximate identity for  $\mathcal{A}$ . In particular,  $\lim_n \|T_{B_n(a)} - T_a\| = 0$  for  $a \in \mathcal{A}$ .

The 0-Berezin transform of an operator is the usual Berezin transform, which has been extensively used in recent research (see for instance [2], [4], [5] and [19]). The  $n$ -Berezin transforms of functions (not necessarily bounded) were introduced by Berezin in [6]. Many of the results of this section were

proved by Ahern, Flores and Rudin [2] for  $n$ -Berezin transforms of functions of several variables. However, the results here do not follow immediately from theirs, because there are *a priori* several ways to define  $B_n S$  for  $n \geq 1$  and  $S \in \mathfrak{L}(L_a^2)$  so that (2.2) holds when  $S = T_a$ . If for instance  $S \in \mathfrak{L}(L_a^2) \cap \mathfrak{L}(L_a^2(dA_n))$ , then the usual Berezin transform of  $S$  with respect to the weighted Bergman space  $L_a^2(dA_n)$  is  $(1 - |z|^2)^{2+n} \langle SK_z^{(n)}, K_z^{(n)} \rangle_{dA_n}$ , which differs from our definition of  $B_n S$ . It is precisely because of the results of this section (especially Proposition 2.4) that I convinced myself (and hopefully convince the reader) about (2.1) as the right definition of  $B_n S$  for  $S \in \mathfrak{L}(L_a^2)$ .

**Lemma 2.1** *Let  $S \in \mathfrak{L}(L_a^2)$  and  $n \geq 0$ . Then*

$$(2.3) \quad (n + 2)(1 - |z|^2)B_n(S - T_{\bar{\omega}}ST_{\omega})(z) = (n + 1)B_{n+1}(T_{1-\bar{\omega}z}ST_{1-\omega\bar{z}})(z)$$

for every  $z \in \mathbb{D}$ .

**Proof.** A simple rearrangement of terms gives

$$\begin{aligned} & \sum_{j=0}^n \binom{n}{j} (-1)^j [\langle S(\omega^j K_z^{(n)}), \omega^j K_z^{(n)} \rangle - \langle S(\omega^{j+1} K_z^{(n)}), \omega^{j+1} K_z^{(n)} \rangle] \\ &= \langle SK_z^{(n)}, K_z^{(n)} \rangle + (-1)^{n+1} \langle S(\omega^{n+1} K_z^{(n)}), \omega^{n+1} K_z^{(n)} \rangle \\ & \quad + \sum_{j=1}^n \left[ \binom{n}{j} + \binom{n}{j-1} \right] (-1)^j \langle S(\omega^j K_z^{(n)}), \omega^j K_z^{(n)} \rangle \\ &= \sum_{j=0}^{n+1} \binom{n+1}{j} (-1)^j \langle S(\omega^j K_z^{(n)}), \omega^j K_z^{(n)} \rangle. \end{aligned}$$

Multiplying by  $(n + 2)(n + 1)(1 - |z|^2)^{3+n}$  and using that

$$T_{1-\omega\bar{z}}(\omega^j K_z^{(n+1)}) = \omega^j K_z^{(n)},$$

the above equality becomes (2.3). ■

**Lemma 2.2**  $B_n S_{\alpha} = (B_n S) \circ \varphi_{\alpha}$  for every  $n \geq 0$ ,  $S \in \mathfrak{L}(L_a^2)$  and  $\alpha \in \mathbb{D}$ .

**Proof.** We shall prove the lemma by induction on  $n$ . The easy identity

$$(2.4) \quad (1 - \varphi_{\alpha}(\omega)\bar{z})^{-1} = (1 - \alpha\bar{z})^{-1}(1 - \bar{\alpha}\omega)(1 - \overline{\varphi_{\alpha}(z)\omega})^{-1}$$

implies that

$$(U_{\alpha}K_z^{(0)})(\omega) = \frac{(|\alpha|^2 - 1)}{(1 - \bar{\alpha}\omega)^2(1 - \varphi_{\alpha}(\omega)\bar{z})^2} = \frac{(|\alpha|^2 - 1)}{(1 - \alpha\bar{z})^2} K_{\varphi_{\alpha}(z)}^{(0)}(\omega).$$

Thus

$$(B_0 S_{\alpha})(z) = (1 - |\varphi_{\alpha}(z)|^2)^2 \langle SK_{\varphi_{\alpha}(z)}^{(0)}, K_{\varphi_{\alpha}(z)}^{(0)} \rangle = (B_0 S)(\varphi_{\alpha}(z)).$$

This takes care of  $n = 0$ .

The main tool for the inductive step will be formula (2.3), that we rewrite as

$$(2.5) \quad (B_{n+1}S)(z) = c_n(1 - |z|^2)B_n[T_{(1-\bar{\omega}z)^{-1}}(S - T_{\bar{\omega}}ST_{\omega})T_{(1-\omega\bar{z})^{-1}}](z),$$

where  $c_n = (n + 2)/(n + 1)$ . By (1.1) then

$$\begin{aligned} & T_{(1-\bar{\omega}z)^{-1}}(U_{\alpha}SU_{\alpha} - T_{\bar{\omega}}U_{\alpha}SU_{\alpha}T_{\omega})T_{(1-\omega\bar{z})^{-1}} \\ &= U_{\alpha}T_{(1-\overline{\varphi_{\alpha}(\omega)z})^{-1}}[S - T_{\overline{\varphi_{\alpha}(\omega)}}ST_{\varphi_{\alpha}(\omega)}]T_{(1-\varphi_{\alpha}(\omega)\bar{z})^{-1}}U_{\alpha} = J. \end{aligned}$$

Then (2.4) yields

$$(2.6) \quad \begin{aligned} J &= |1 - \alpha\bar{z}|^{-2} U_{\alpha}T_{(1-\varphi_{\alpha}(z)\bar{\omega})^{-1}}[T_{1-\alpha\bar{\omega}}ST_{1-\bar{\alpha}\omega} - T_{\bar{\alpha}-\bar{\omega}}ST_{\alpha-\omega}]T_{(1-\overline{\varphi_{\alpha}(z)\omega})^{-1}}U_{\alpha} \\ &= \frac{(1 - |\alpha|^2)}{|1 - \alpha\bar{z}|^2} U_{\alpha}T_{(1-\varphi_{\alpha}(z)\bar{\omega})^{-1}}[S - T_{\bar{\omega}}ST_{\omega}]T_{(1-\overline{\varphi_{\alpha}(z)\omega})^{-1}}U_{\alpha}. \end{aligned}$$

Hence,

$$\begin{aligned} (B_{n+1}S_{\alpha})(z) &= c_n(1 - |z|^2)B_n(J)(z) \\ &= c_n(1 - |\varphi_{\alpha}(z)|^2)B_n(U_{\alpha}T_{(1-\varphi_{\alpha}(z)\bar{\omega})^{-1}}[S - T_{\bar{\omega}}ST_{\omega}]T_{(1-\overline{\varphi_{\alpha}(z)\omega})^{-1}}U_{\alpha})(z) \\ &= c_n(1 - |\varphi_{\alpha}(z)|^2)B_n(T_{(1-\varphi_{\alpha}(z)\bar{\omega})^{-1}}[S - T_{\bar{\omega}}ST_{\omega}]T_{(1-\overline{\varphi_{\alpha}(z)\omega})^{-1}})(\varphi_{\alpha}(z)) \\ &= B_{n+1}(S)(\varphi_{\alpha}(z)), \end{aligned}$$

where the first equality comes from (2.5) with  $U_{\alpha}SU_{\alpha}$  instead of  $S$ , the second from (2.6), the third by inductive hypothesis and the last one from (2.5) with  $\varphi_{\alpha}(z)$  instead of  $z$ . ■

**Corollary 2.3** *If  $S \in \mathfrak{L}(L^2_a)$  and  $n \geq 0$  then  $\|B_nS\|_{\infty} \leq (n + 1)2^n\|S\|$ .*

**Proof.** Since  $\|K_z^{(0)}\| = (1 - |z|^2)^{-1}$  then

$$|(B_0S)(z)| = (1 - |z|^2)^2|\langle S(K_z^{(0)}), K_z^{(0)} \rangle| \leq \|S\|.$$

Suppose that the corollary holds for  $n$ , and we shall see that it holds for  $n + 1$ . By (2.3)  $(B_{n+1}S)(0) = (n + 2/n + 1)B_n(S - T_{\bar{\omega}}ST_{\omega})(0)$ . Thus

$$\begin{aligned} |(B_{n+1}S)(0)| &\leq \frac{n + 2}{n + 1} (\|B_nS\|_{\infty} + \|B_n(T_{\bar{\omega}}ST_{\omega})\|_{\infty}) \\ &\leq \frac{n + 2}{n + 1} ((n + 1)2^n\|S\| + (n + 1)2^n\|T_{\bar{\omega}}ST_{\omega}\|) \\ &\leq (n + 2)2^{n+1}\|S\|. \end{aligned}$$

Replacing  $S$  by  $U_zSU_z$  the result follows from Lemma 2.2. ■

The (conformally) invariant Laplacian is  $\tilde{\Delta} = (1 - |z|^2)^2 4\partial\bar{\partial}$ , where  $\partial$  and  $\bar{\partial}$  are the traditional Cauchy-Riemann operators. So, when  $f$  is analytic on  $\mathbb{D}$ ,  $\partial f = f'$ ,  $\partial\bar{f} = 0$ ,  $\bar{\partial}f = \bar{f}'$  and  $\bar{\partial}\bar{f} = 0$ . It is easy to check that  $(\tilde{\Delta}f) \circ \psi = \tilde{\Delta}(f \circ \psi)$  for every  $\psi \in \text{Aut}(\mathbb{D})$ .

**Proposition 2.4** *Let  $S \in \mathfrak{L}(L_a^2)$  and  $n \geq 0$ . Then*

$$(2.7) \quad \tilde{\Delta}B_nS = 4(n + 1)(n + 2)(B_nS - B_{n+1}S).$$

**Proof.** By Lemma 2.2 and the conformal invariance of  $\tilde{\Delta}$  it is enough to prove that the equality holds at  $z = 0$ . Using the mentioned properties of  $\partial$  and  $\bar{\partial}$ , a tedious but straightforward calculation gives

$$(2.8) \quad \begin{aligned} &\tilde{\Delta}[(1 - |z|^2)^{n+2}\langle S(\omega^j K_z^{(n)}), \omega^j K_z^{(n)} \rangle](0) \\ &= 4(n + 2)(-\langle S\omega^j, \omega^j \rangle + (n + 2)\langle S\omega^{j+1}, \omega^{j+1} \rangle) \end{aligned}$$

for every  $0 \leq j \leq n$ . So, writing  $X_j = (-1)^j \langle S\omega^j, \omega^j \rangle$ , we have

$$\begin{aligned} \tilde{\Delta}(B_nS)(0) &= 4(n + 1)(n + 2) \sum_{j=0}^n \binom{n}{j} [-X_j - (n + 2)X_{j+1}] \\ &= 4(n + 1)(n + 2) \left\{ -X_0 - (n + 2)X_{n+1} - \sum_{j=1}^n \left[ \binom{n}{j} + (n + 2)\binom{n}{j-1} \right] X_j \right\}. \end{aligned}$$

On the other hand,

$$(B_nS - B_{n+1}S)(0) = -(n + 2)X_{n+1} + \sum_{j=0}^n \left[ (n + 1)\binom{n}{j} - (n + 2)\binom{n + 1}{j} \right] X_j.$$

A comparison of the coefficients for each  $X_j$  gives the result. ■

**Corollary 2.5** *If  $S \in \mathfrak{L}(L_a^2)$  and  $n \geq 1$  then*

$$(2.9) \quad B_nS = \left( 1 - \frac{\tilde{\Delta}}{4n(n + 1)} \right) B_{n-1}S$$

and

$$(2.10) \quad B_nS = G_n(\tilde{\Delta})B_0S,$$

where

$$G_n(\lambda) = \prod_{k=1}^n \left( 1 - \frac{\lambda}{4k(k + 1)} \right).$$

**Proof.** Formula (2.9) is a rewriting of (2.7), while (2.10) follows immediately from (2.9). ■



**Lemma 2.6** *If  $S \in \mathfrak{L}(L_a^2)$  and  $n \geq 0$  then  $\tilde{\Delta}B_0(B_nS) = B_0\tilde{\Delta}(B_nS)$ .*

**Proof.** If  $f = B_nS$ , Corollary 2.3 and (2.7) imply that  $f$  and  $\tilde{\Delta}f$  are bounded. Hence, Lemma 1 of [1] says that  $\tilde{\Delta}B_0f = B_0\tilde{\Delta}f$ . ■

**Corollary 2.7** *Let  $S \in \mathfrak{L}(L_a^2)$  and  $k, j \geq 0$ . Then  $(B_kB_j)(S) = (B_jB_k)(S)$ .*

**Proof.** Combine (2.10) with the previous lemma. ■

### 3. Algebras related to the maximal ideal space of $\mathcal{A}$

For the next two subsections, if  $E \subset M(\mathcal{A})$  then  $\overline{E}$  denotes the closure of  $E$  in the space  $M(\mathcal{A})$ .

Since the  $M(\mathcal{A})$ -topology agrees with the Euclidean topology on  $\mathbb{D}$ ,  $\overline{E}$  has the same meaning in both topologies when  $E \subset r\mathbb{D}$  for some  $0 < r < 1$ . Later on, we will have to distinguish between closures in different spaces. A sequence  $\{z_n\} \subset \mathbb{D}$  is separated if  $\rho(z_n, z_k) \geq \delta > 0$  for  $n \neq k$ .

#### 3.1. One-to-one maps from $\mathbb{D}$ into $M(\mathcal{A})$

**Lemma 3.1** *Let  $E, F \subset \mathbb{D}$ . Then  $\overline{E} \cap \overline{F} = \emptyset$  if and only if  $\rho(E, F) > 0$ .*

**Proof.** If  $\overline{E} \cap \overline{F} = \emptyset$  then there is  $f \in \mathcal{A}$  such that  $f \equiv 1$  on  $E$  and  $f \equiv 0$  on  $F$ . The uniform  $\rho$ -continuity of  $f$  implies that

$$\rho(E, F) = \rho(\overline{E} \cap \mathbb{D}, \overline{F} \cap \mathbb{D}) > 0.$$

Now suppose that  $\rho(E, F) \geq \alpha > 0$  and consider the function

$$f(z) = \begin{cases} 1 & \text{if } \rho(z, E) \leq \alpha/2 \\ 0 & \text{if } \rho(z, E) > \alpha/2 \end{cases}$$

Simple estimates show that  $B_n(f) \rightarrow 1$  uniformly on  $\{z : \rho(z, E) < \alpha/4\}$  and  $B_n(f) \rightarrow 0$  uniformly on  $\{z : \rho(z, F) < \alpha/4\}$ . Since  $B_n(f) \in \mathcal{A}$ , it separates  $\overline{E}$  from  $\overline{F}$  for  $n$  big enough, showing that they are disjoint. ■

Let  $x \in M(\mathcal{A})$  and suppose that  $(z_\alpha)$  is a net in  $\mathbb{D}$  that tends to  $x$ . We can think of  $(\varphi_{z_\alpha})$  as a net in the product space  $M(\mathcal{A})^\mathbb{D}$ . By compactness there is a convergent subnet  $(\varphi_{z_{\alpha_\beta}})$ , meaning that there is some function  $\varphi : \mathbb{D} \rightarrow M(\mathcal{A})$  such that  $f \circ \varphi_{z_{\alpha_\beta}} \rightarrow f \circ \varphi$  pointwise on  $\mathbb{D}$  for every  $f \in \mathcal{A}$ .

We aim to show that the whole net  $(z_\alpha)$  tends to  $\varphi$  and that  $\varphi$  does not depend on the net. So, suppose that  $(\omega_\gamma)$  is another net in  $\mathbb{D}$  converging to  $x$  such that  $\varphi_{\omega_\gamma}$  tends to some  $\psi \in M(\mathcal{A})^\mathbb{D}$ . If  $\varphi \neq \psi$  there is

some  $\xi \in \mathbb{D}$  such that  $\varphi(\xi) \neq \psi(\xi)$ . Then there are closed disjoint neighborhoods  $U, V \subset M(\mathcal{A})$  of  $\varphi(\xi)$  and  $\psi(\xi)$ , respectively. Since  $\varphi_{z_{\alpha\beta}}(\xi) \rightarrow \varphi(\xi)$  and  $\varphi_{\omega_\gamma}(\xi) \rightarrow \psi(\xi)$ , there are tails of both nets satisfying

$$E = \{\varphi_{z_{\alpha\beta}}(\xi) : \beta \geq \beta_0\} \subset U \quad \text{and} \quad F = \{\varphi_{\omega_\gamma}(\xi) : \gamma \geq \gamma_0\} \subset V.$$

By Lemma 3.1 then  $\rho(E, F) \geq \rho(U \cap \mathbb{D}, V \cap \mathbb{D}) > 0$ . Since for every  $z, \omega \in \mathbb{D}$  there is a constant  $c_\xi > 0$  such that

$$\rho(\varphi_z(\xi), \varphi_\omega(\xi)) < c_\xi \rho(z, \omega),$$

then

$$\rho(E, F) \leq c_\xi \inf\{\rho(z_{\alpha\beta}, \omega_\gamma) : \beta \geq \beta_0, \gamma \geq \gamma_0\} = 0,$$

where the last equality holds because both nets  $(z_{\alpha\beta})$  and  $(\omega_\gamma)$  tend to  $x$ . We obtain a contradiction and consequently  $\varphi = \psi$ . The map  $\varphi$  will be denoted  $\varphi_x$ , and notice that  $\varphi_x(0) = \lim \varphi_{z_\alpha}(0) = \lim z_\alpha = x$ .

The following lemma is in [20, Lemma 2.1].

**Lemma 3.2** *Let  $\mathcal{S}$  be a separated sequence and  $0 < \sigma < 1$ . Then there is a finite decomposition  $\mathcal{S} = \mathcal{S}_1 \cup \dots \cup \mathcal{S}_N$  such that for every  $1 \leq j \leq N$ :  $\rho(z, \omega) > \sigma$  for all  $z \neq \omega$  in  $\mathcal{S}_j$ .*

**Lemma 3.3** *Every  $x \in M(\mathcal{A})$  is in the closure of some separated sequence.*

**Proof.** Suppose that  $x \in M(\mathcal{A})$  and let  $(\omega_\alpha)$  be a net in  $\mathbb{D}$  such that  $\omega_\alpha \rightarrow x$ . Take a separated sequence  $\mathcal{S}$  such that  $\rho(z, \mathcal{S}) < 1/8$  for every  $z \in \mathbb{D}$ , and for each  $\omega_\alpha$  pick some  $z_\alpha$  in  $\mathcal{S}$  such that  $\rho(z_\alpha, \omega_\alpha) < 1/8$  for every  $\alpha$ . Therefore there is  $\xi_\alpha \in 8^{-1}\mathbb{D}$  so that  $\omega_\alpha = \varphi_{z_\alpha}(\xi_\alpha)$ . Taking subnets we can assume that  $\xi_\alpha \rightarrow \xi$  with  $|\xi| \leq 1/8$ . We claim that  $\varphi_{z_\alpha}(\xi)$  tends to  $x$ . Indeed, if  $f \in \mathcal{A}$  then

$$|f(\varphi_{z_\alpha}(\xi)) - f(x)| \leq |f(\varphi_{z_\alpha}(\xi)) - f(\varphi_{z_\alpha}(\xi_\alpha))| + |f(\omega_\alpha) - f(x)|,$$

where the first summand tends to 0 because  $\rho(\varphi_{z_\alpha}(\xi), \varphi_{z_\alpha}(\xi_\alpha)) = \rho(\xi, \xi_\alpha) \rightarrow 0$ , and the second summand tends to 0 because  $\omega_\alpha \rightarrow x$ . Thus,  $x$  is in the closure of the sequence  $\mathcal{T} = \{\varphi_{z_n}(\xi) : z_n \in \mathcal{S}\}$ . By Lemma 3.2 we can split  $\mathcal{S} = \mathcal{S}_1 \cup \dots \cup \mathcal{S}_N$ , where for each  $1 \leq j \leq N$ ,  $\rho(z_1, z_2) > 1/2$  when  $z_1, z_2 \in \mathcal{S}_j$  are different. We also have the corresponding decomposition  $\mathcal{T} = \mathcal{T}_1 \cup \dots \cup \mathcal{T}_N$ , where  $\mathcal{T}_j = \{\varphi_z(\xi) : z \in \mathcal{S}_j\}$ . Hence, there is at least one  $j_0$  such that  $x$  is in the closure of  $\mathcal{T}_{j_0}$ . The lemma will follow if we show that  $\mathcal{T}_{j_0}$  is a separated sequence. If  $z_1, z_2 \in \mathcal{S}_{j_0}$  are different then

$$\begin{aligned} \rho(z_1, z_2) &\leq \rho(z_1, \varphi_{z_1}(\xi)) + \rho(\varphi_{z_1}(\xi), \varphi_{z_2}(\xi)) + \rho(\varphi_{z_2}(\xi), z_2) \\ &= 2|\xi| + \rho(\varphi_{z_1}(\xi), \varphi_{z_2}(\xi)). \end{aligned}$$

So,  $\rho(\varphi_{z_1}(\xi), \varphi_{z_2}(\xi)) \geq (1/2) - 2|\xi| \geq 1/4$ , proving our claim. ■

**Lemma 3.4** *Let  $(z_\alpha)$  be a net in  $\mathbb{D}$  converging to  $x \in M(\mathcal{A})$ . Then*

- (i)  $\varphi_x$  is a continuous one-to-one map from  $\mathbb{D}$  into  $M(\mathcal{A})$ ,
- (ii)  $f \circ \varphi_x \in \mathcal{A}$  for every  $f \in \mathcal{A}$ ,
- (iii)  $f \circ \varphi_{z_\alpha} \rightarrow f \circ \varphi_x$  uniformly on compact sets of  $\mathbb{D}$  for every  $f \in \mathcal{A}$ .

**Proof.** Suppose that  $\omega \in \mathbb{D}$  and  $f \in \mathcal{A}$ . Given  $\varepsilon > 0$  there is  $\delta > 0$  such that  $|f(u) - f(v)| < \varepsilon$  if  $\rho(u, v) < \delta$ . Take  $\omega_1 \in K(\omega, \delta)$ . Since  $\rho(\varphi_{z_\alpha}(\omega_1), \varphi_{z_\alpha}(\omega)) = \rho(\omega_1, \omega) < \delta$  then  $|f(\varphi_{z_\alpha}(\omega_1)) - f(\varphi_{z_\alpha}(\omega))| < \varepsilon$  for every  $\alpha$ . Then

$$\begin{aligned} |f(\varphi_x(\omega_1)) - f(\varphi_x(\omega))| &\leq |f(\varphi_x(\omega_1)) - f(\varphi_{z_\alpha}(\omega_1))| + |f(\varphi_{z_\alpha}(\omega_1)) - f(\varphi_{z_\alpha}(\omega))| \\ &\quad + |f(\varphi_{z_\alpha}(\omega)) - f(\varphi_x(\omega))| \\ &\leq |f(\varphi_x(\omega_1)) - f(\varphi_{z_\alpha}(\omega_1))| + |f(\varphi_{z_\alpha}(\omega)) - f(\varphi_x(\omega))| + \varepsilon \end{aligned}$$

for every  $\alpha$ . Taking limits in  $\alpha$  we get  $|f(\varphi_x(\omega_1)) - f(\varphi_x(\omega))| \leq \varepsilon$  when  $\rho(\omega_1, \omega) < \delta$ . This proves the continuity of  $\varphi_x$  and (ii).

To prove that  $\varphi_x$  is one-to-one, for an arbitrary  $0 < r < 1$  we will construct a function  $f \in \mathcal{A}$  (depending on  $r$ ) such that  $(f \circ \varphi_x)(\omega) = \omega$  when  $|\omega| < r$ . It is convenient to deal with the hyperbolic metric  $h$  instead of  $\rho$ . Write  $s = \log \frac{1+r}{1-r}$ . By Lemma 3.2 there is a sequence  $\{z_n\}$  in  $\mathbb{D}$  whose closure contains  $x$  and such that  $h(z_n, z_m) > 5s$  if  $n \neq m$ . Therefore

$$(3.1) \quad h(K_h(z_n, 2s), K_h(z_m, 2s)) \geq s \text{ if } n \neq m.$$

Take  $g \in C(\mathbb{D})$  such that  $g(\omega) = \omega$  if  $h(\omega, 0) < s$  (i.e.: if  $|\omega| < r$ ) and  $g(\omega) = 0$  if  $h(\omega, 0) > 2s$ . Thus  $g \circ \varphi_{z_n}$  is supported in  $K_h(z_n, 2s)$  and

$$f = \sum_{n \geq 1} (g \circ \varphi_{z_n}) \in C(\mathbb{D}).$$

Since  $g$  is uniformly continuous with respect to the Euclidean metric then it is  $h$ -uniformly continuous. Hence, given  $\varepsilon > 0$  there is  $\delta$ , with  $0 < \delta < s/2$ , such that

$$(3.2) \quad |g(\xi_1) - g(\xi_2)| < \varepsilon \text{ if } h(\xi_1, \xi_2) < \delta.$$

Let  $\omega_1, \omega_2 \in \mathbb{D}$  such that  $h(\omega_1, \omega_2) < \delta$ . By (3.1)  $K_h(\omega_1, \delta)$  cuts at most one of the disks  $K_h(z_n, 2s)$ . If it doesn't cut any, then  $f(\omega_1) = f(\omega_2) = 0$ . If it cuts  $K_h(z_{n_0}, 2s)$ , then  $f(\omega_1) - f(\omega_2) = g(\varphi_{z_{n_0}}(\omega_1)) - g(\varphi_{z_{n_0}}(\omega_2))$ , and since  $h(\varphi_{z_{n_0}}(\omega_1), \varphi_{z_{n_0}}(\omega_2)) = h(\omega_1, \omega_2) < \delta$  then (3.2) says that  $|f(\omega_1) - f(\omega_2)| < \varepsilon$ . Thus  $f \in \mathcal{A}$ .

If  $k$  is any positive integer and  $|\omega| < r$  then  $h(0, \omega) < s$  and  $\varphi_{z_k}(\omega) \in K_h(z_k, s)$ . So, (3.1) and the inclusion:  $\text{supp}(g \circ \varphi_{z_n}) \subset K_h(z_n, 2s)$  imply that  $(g \circ \varphi_{z_n})(\varphi_{z_k}(\omega)) = 0$  for  $n \neq k$ . Consequently

$$f(\varphi_{z_k}(\omega)) = (g \circ \varphi_{z_k})(\varphi_{z_k}(\omega)) = g(\omega) = \omega.$$

Thus, if  $(z_\alpha)$  is a net of points in  $\{z_n\}$  that tends to  $x$  then  $(f \circ \varphi_{z_\alpha})(\omega) = \omega$  for every  $\alpha$  and every  $\omega \in r\mathbb{D}$ . Therefore  $(f \circ \varphi_x)(\omega) = \omega$  when  $\omega \in r\mathbb{D}$ .

Suppose that (iii) fails. This means that there are  $f \in \mathcal{A}$ ,  $0 < r < 1$  and  $\varepsilon > 0$  such that  $|(f \circ \varphi_{z_\alpha})(\xi_\alpha) - (f \circ \varphi_x)(\xi_\alpha)| > \varepsilon$  for some points  $\xi_\alpha \in r\mathbb{D}$ .

We can also assume that  $\xi_\alpha \rightarrow \xi$ . Since  $(f \circ \varphi_{z_\alpha})(\xi) \rightarrow (f \circ \varphi_x)(\xi)$ , this contradicts the uniform  $\rho$ -continuity of  $f$ . ■

### 3.2. The hyperbolic parts

**Definition.** If  $x, y \in M(\mathcal{A})$  define  $\rho(x, y) = \sup \rho(\mathcal{S}, \mathcal{T})$ , where  $\mathcal{S}$  and  $\mathcal{T}$  run over all the separated sequences in  $\mathbb{D}$  so that  $x \in \overline{\mathcal{S}}$  and  $y \in \overline{\mathcal{T}}$ . Defining  $h(x, y)$  in analogous fashion, we have

$$h(x, y) = \log \frac{1 - \rho(x, y)}{1 + \rho(x, y)}.$$

**Lemma 3.5** *Let  $x, y \in M(\mathcal{A}) \setminus \mathbb{D}$ . Then*

- (1)  $\rho(x, y) = a < 1$  if and only if  $y = \varphi_x(\omega)$  for some  $\omega$  with  $|\omega| = a$ .
- (2)  $y = \varphi_x(\xi)$  with  $\xi \in \mathbb{D}$  if and only if every separated sequences  $\mathcal{S}, \mathcal{T}$  such that  $x \in \overline{\mathcal{S}}$  and  $y \in \overline{\mathcal{T}}$  satisfy  $\rho(\mathcal{T}, \{\varphi_{z_n}(\xi) : z_n \in \mathcal{S}\}) = 0$ .
- (3)  $h(\varphi_x(\xi_1), \varphi_x(\xi_2)) = h(\xi_1, \xi_2)$  for every  $\xi_1, \xi_2 \in \mathbb{D}$ .
- (4)  $h$  is a  $[0, +\infty]$ -valued metric on  $M(\mathcal{A})$ .

**Proof.** (1). Suppose that  $\rho(x, y) = a < 1$  and take  $b \in (a, 1)$ . The continuity of  $\varphi_x$  implies that  $\varphi_x(\overline{b\mathbb{D}})$  is compact. So, if  $y \notin \varphi_x(\overline{b\mathbb{D}})$  there are closed disjoint neighborhoods  $U$  of  $\varphi_x(\overline{b\mathbb{D}})$  and  $V$  of  $y$ . Let  $\mathcal{S}$  and  $\mathcal{T}$  be separated sequences in  $\mathbb{D}$  such that  $x \in \overline{\mathcal{S}}$  and  $y \in \overline{\mathcal{T}}$ . If  $(z_\alpha)$  is a net in  $\mathcal{S}$  that tends to  $x$  then  $\varphi_{z_\alpha}(\xi) \rightarrow \varphi_x(\xi)$  for every  $\xi \in \overline{b\mathbb{D}}$ . By a compactness argument  $\varphi_{z_\alpha}(\overline{b\mathbb{D}}) \subset U$  for a tail  $(z_\alpha)_{\alpha \geq \alpha_0}$  of the original net. Let  $\mathcal{S}_1 = \{z_n \in \mathcal{S} : z_n = z_\alpha \text{ for some } \alpha \geq \alpha_0\}$ . Then  $x \in \overline{\mathcal{S}_1}$  and  $\varphi_{z_n}(\overline{b\mathbb{D}}) \subset U$  for every  $z_n \in \mathcal{S}_1$ . This means that

$$(3.3) \quad K(z_n, b) \subset U \text{ for every } z_n \in \mathcal{S}_1.$$

On the other hand, since  $V$  is a neighborhood of  $y$  then

$$(3.4) \quad y \in \overline{\mathcal{T}_1}, \text{ where } \mathcal{T}_1 = \{z \in \mathcal{T} : z \in V\}.$$

Since  $U$  and  $V$  are disjoint, (3.3) and (3.4) say that  $\rho(\mathcal{S}_1, \mathcal{T}_1) \geq b > a$ , contradicting the definition of  $\rho(x, y) = a$ . Since  $b \in (a, 1)$  is arbitrary then  $y \in \varphi_x(\overline{a\mathbb{D}})$ , so  $y = \varphi_x(\omega)$  with  $|\omega| \leq a$ .

Reciprocally, suppose that  $y = \varphi_x(\omega)$  with  $|\omega| = a$ , and let  $\mathcal{S}, \mathcal{T}$  be separated sequence in  $\mathbb{D}$  such that  $x \in \overline{\mathcal{S}}$  and  $y \in \overline{\mathcal{T}}$ . If  $(z_\alpha)$  is a net in  $\mathcal{S}$  that tends to  $x$  then  $\varphi_{z_\alpha}(\omega) \rightarrow y$ . Thus  $y \in \overline{\mathcal{T}_1}$ , where  $\mathcal{T}_1 = \{\varphi_{z_n}(\omega) : z_n \in \mathcal{S}\}$ . So,  $y \in \overline{\mathcal{T}_1} \cap \overline{\mathcal{T}} \neq \emptyset$  and by Lemma 3.1,  $\rho(\mathcal{T}_1, \mathcal{T}) = 0$ . That is, given  $\varepsilon > 0$  there are  $z_n \in \mathcal{S}$  and  $\omega_n \in \mathcal{T}$  such that  $\rho(\varphi_{z_n}(\omega), \omega_n) < \varepsilon$ , which yields

$$\rho(z_n, \omega_n) \leq \rho(z_n, \varphi_{z_n}(\omega)) + \rho(\varphi_{z_n}(\omega), \omega_n) < |\omega| + \varepsilon = a + \varepsilon.$$

So,  $\rho(\mathcal{S}, \mathcal{T}) \leq a$  and by definition  $\rho(x, y) \leq a$ .

(2). The necessity follows from Lemma 3.1. If  $y \neq \varphi_x(\xi)$  then  $\rho(y, \varphi_x(\xi)) \neq 0$  and there are separated sequences  $\mathcal{T}_1, \mathcal{T}_2$  such that  $\varphi_x(\xi) \in \overline{\mathcal{T}_1}$ ,  $y \in \overline{\mathcal{T}_2}$  and  $\rho(\mathcal{T}_1, \mathcal{T}_2) \geq \delta > 0$ . Let  $\mathcal{S}$  be a separated sequence such that  $x \in \overline{\mathcal{S}}$ . Therefore  $x$  is in the closure of  $\mathcal{S}_1 = \{z_n : \rho(\varphi_{z_n}(\xi), \mathcal{T}_1) < \delta/2\}$ , because if  $x \in \overline{\mathcal{S} \setminus \mathcal{S}_1}$  then

$$\varphi_x(\xi) \in \overline{\{\varphi_{z_n}(\xi) : z_n \in \mathcal{S} \setminus \mathcal{S}_1\}} \cap \overline{\mathcal{T}_1}$$

while

$$\rho(\{\varphi_{z_n}(\xi) : z_n \in \mathcal{S} \setminus \mathcal{S}_1\}, \mathcal{T}_1) \geq \delta/2,$$

which contradicts Lemma 3.1. So, for  $z_n \in \mathcal{S}_1$ ,  $\rho(\varphi_{z_n}(\xi), \mathcal{T}_2) \geq \delta/2$ .

(3). Fix  $\xi_1, \xi_2 \in \mathbb{D}$ . By Lemma 3.2 there is a separated sequence  $\mathcal{S} = \{z_k\}$  such that  $x \in \overline{\mathcal{S}}$  and  $h(z_n, z_m) \geq h(\xi_1, \xi_2) + h(0, \xi_1) + h(0, \xi_2)$  if  $n \neq m$ . Since

$$\begin{aligned} h(z_n, z_m) &\leq h(z_n, \varphi_{z_n}(\xi_1)) + h(\varphi_{z_n}(\xi_1), \varphi_{z_m}(\xi_2)) + h(\varphi_{z_m}(\xi_2), z_m) \\ &= h(0, \xi_1) + h(0, \xi_2) + h(\varphi_{z_n}(\xi_1), \varphi_{z_m}(\xi_2)), \end{aligned}$$

then  $h(\varphi_{z_n}(\xi_1), \varphi_{z_m}(\xi_2)) \geq h(\xi_1, \xi_2)$  if  $n \neq m$ . Therefore

$$h(\{\varphi_{z_n}(\xi_1)\}_{n \geq 1}, \{\varphi_{z_m}(\xi_2)\}_{m \geq 1}) = h(\varphi_{z_n}(\xi_1), \varphi_{z_n}(\xi_2)) = h(\xi_1, \xi_2),$$

implying that  $h(\varphi_x(\xi_1), \varphi_x(\xi_2)) \geq h(\xi_1, \xi_2)$ . For the other inequality let  $\mathcal{T}_1, \mathcal{T}_2$  be separated sequences such that  $\varphi_x(\xi_1) \in \overline{\mathcal{T}_1}$  and  $\varphi_x(\xi_2) \in \overline{\mathcal{T}_2}$ . For a separated sequence  $\mathcal{S}$  such that  $x \in \overline{\mathcal{S}}$  and  $\varepsilon > 0$  write

$$\mathcal{S}' = \{z_n \in \mathcal{S} : h(\varphi_{z_n}(\xi_1), \mathcal{T}_1) < \varepsilon, h(\varphi_{z_n}(\xi_2), \mathcal{T}_2) < \varepsilon\}$$

and  $\mathcal{S}'' = \mathcal{S} \setminus \mathcal{S}'$ .

By (2)  $x \notin \overline{\mathcal{S}''}$ . So,  $x \in \overline{\mathcal{S}'}$  and

$$h(\mathcal{T}_1, \mathcal{T}_2) \leq h(\varphi_{z_n}(\xi_1), \varphi_{z_n}(\xi_2)) + 2\varepsilon = h(\xi_1, \xi_2) + 2\varepsilon.$$

That is,  $h(\varphi_x(\xi_1), \varphi_x(\xi_2)) \leq h(\xi_1, \xi_2) + 2\varepsilon$ . (4). We must prove only that given  $x, y, z \in M(\mathcal{A})$ ,

$$(3.5) \quad h(x, y) \leq h(x, z) + h(z, y)$$

The inequality is obvious if its right member is infinite. Otherwise (1) says that  $x = \varphi_z(\xi_1)$  and  $y = \varphi_z(\xi_2)$  for some  $\xi_1, \xi_2 \in \mathbb{D}$ . Then (3.5) becomes

$$h(\varphi_z(\xi_1), \varphi_z(\xi_2)) \leq h(\varphi_z(\xi_1), \varphi_z(0)) + h(\varphi_z(0), \varphi_z(\xi_2)),$$

which holds by (3). ■

**Definition.** If  $x \in M(\mathcal{A})$  define the hyperbolic part of  $x$  as

$$H(x) = \{\varphi_x(\omega) : \omega \in \mathbb{D}\}.$$

Observe that (1) of Lemma 3.5 implies that

$$H(x) = \{y \in M(\mathcal{A}) : \rho(x, y) < 1\} = \{y \in M(\mathcal{A}) : h(x, y) < \infty\}$$

and by (4) of the same lemma,  $\{H(x) : x \in M(\mathcal{A})\}$  is a partition of  $M(\mathcal{A})$ . In fact if  $z \in H(x) \cap H(y)$  then for any  $u \in H(x)$ ,

$$h(u, y) \leq h(u, x) + h(x, z) + h(z, y) < \infty.$$

So,  $H(x) \subset H(y)$  and by symmetry they coincide.

**Lemma 3.6** *The map  $x \mapsto \varphi_x$  from  $M(\mathcal{A})$  into  $M(\mathcal{A})^{\mathbb{D}}$  is continuous.*

**Proof.** Let  $(x_\alpha)$  be a net in  $M(\mathcal{A})$  that tends to  $x$  and  $\xi \in \mathbb{D}$ . We must show that if  $(x_\beta)$  is a subnet such that  $\varphi_{x_\beta}(\xi) \rightarrow y$  then  $y = \varphi_x(\xi)$ . Let  $\mathcal{S} = \{z_n\}$  and  $\mathcal{T} = \{\omega_n\}$  be separated sequences such that  $x \in \overline{\mathcal{S}}$  and  $y \in \overline{\mathcal{T}}$ . For  $\delta > 0$  write

$$U = \bigcup_{n \geq 1} K(z_n, \delta) \quad \text{and} \quad V = \bigcup_{n \geq 1} K(\omega_n, \delta).$$

Since there is  $f \in \mathcal{A}$  such that  $f(z_n) = 0$  for all  $n$  and  $f \equiv 1$  on  $\mathbb{D} \setminus U$  then  $\overline{U} \supset \{m \in M(\mathcal{A}) : |f(m)| < 1/2\}$ , a neighborhood of  $x$ . So,  $\overline{U}$  is a neighborhood of  $x$  and by the same reason  $\overline{V}$  is a neighborhood of  $y$ . Since  $x_\beta \rightarrow x$  and  $\varphi_{x_\beta}(\xi) \rightarrow y$ , there is  $\beta_0$  such that for every  $\beta \geq \beta_0$ ,

- (i)  $\varphi_{x_\beta}(\xi) \in \overline{V}$ , and
- (ii)  $x_\beta \in \overline{\mathcal{S}_\beta}$ , where  $\mathcal{S}_\beta = \{z_n(\beta)\}_{n \geq 1}$  is a separated sequence in  $U$ .

Assume that  $\beta \geq \beta_0$ . Since

$$\varphi_{x_\beta}(\xi) \in \overline{\{\varphi_{z_n(\beta)}(\xi)\}_{n \geq 1}} \cap \overline{(\cup_n K(\omega_n, \delta))}$$

then Lemma 3.1 says that  $\rho(\{\varphi_{z_n(\beta)}(\xi)\}, \mathcal{T}) \leq \delta$ . So, there is  $n_0$  such that  $\rho(\varphi_{z_{n_0}(\beta)}(\xi), \mathcal{T}) < 2\delta$ . On the other hand, by definition of  $U$  and (ii) there is some  $z_{k_0} \in \mathcal{S}$  such that  $\rho(z_{k_0}, z_{n_0}(\beta)) \leq \delta$ . Since there is  $c_\xi > 0$  such that

$$\rho(\varphi_{z_{k_0}}(\xi), \varphi_{z_{n_0}(\beta)}(\xi)) \leq c_\xi \rho(z_{k_0}, z_{n_0}(\beta)) \leq c_\xi \delta,$$

then  $\rho(\varphi_{z_{k_0}}(\xi), \mathcal{T}) \leq (c_\xi + 2)\delta$ . This shows that

$$\rho(\{\varphi_{z_n}(\xi) : z_n \in \mathcal{S}\}, \mathcal{T}) \leq (c_\xi + 2)\delta,$$

and since  $\delta > 0$  is arbitrary,  $\rho(\{\varphi_{z_n}(\xi) : z_n \in \mathcal{S}\}, \mathcal{T}) = 0$ . Since  $\mathcal{S}$  and  $\mathcal{T}$  are arbitrary separated sequences such that  $x \in \overline{\mathcal{S}}$  and  $y \in \overline{\mathcal{T}}$  then (2) of Lemma 3.5 tells us that  $y = \varphi_x(\xi)$ . ■

### 3.3. Hyperbolic algebras

A closed self-adjoint subalgebra  $\mathcal{B}$  of  $\mathcal{A}$  that separates the points of  $\mathbb{D}$  and contains the constants will be called a *prehyperbolic* algebra. For such  $\mathcal{B}$ , Theorem 4.28 of [13] implies that whenever  $b \in \mathcal{B}$  is invertible in  $\mathcal{A}$  then the inverse belongs to  $\mathcal{B}$ . Hence, the disk is dense in  $M(\mathcal{B})$ , because if there exists  $y \in M(\mathcal{B})$  that is not in the closure of  $\mathbb{D}$  then there is  $f \in \mathcal{B}$  such that  $f(y) = 0$  and  $|f| \geq \delta > 0$  on  $\mathbb{D}$ . Since clearly  $f$  is invertible in  $\mathcal{A}$ , then so is in  $\mathcal{B}$  and consequently  $f$  cannot vanish anywhere in  $M(\mathcal{B})$ , a contradiction.

The inclusion of  $\mathcal{B}$  in  $\mathcal{A}$  induces by transposition a projection  $\pi : M(\mathcal{A}) \rightarrow M(\mathcal{B})$ . Since  $\pi(\mathbb{D}) = \mathbb{D}$  is dense in  $M(\mathcal{B})$  then  $\pi$  is onto. For a set  $E \subset \mathbb{D}$  we write  $\overline{E}^M$ , with  $M = M(\mathcal{A})$  or  $M(\mathcal{B})$ , to distinguish between closures in the corresponding space. No distinction will be made for the closure of sets in  $\mathbb{C}$ .

A closed set  $F \subset M(\mathcal{A})$  will be called saturated if  $H(x) \subset F$  whenever  $x \in F$ . If  $\pi : M(\mathcal{A}) \rightarrow M(\mathcal{B})$  is the natural projection, write

$$G_{\mathcal{B}} = \{y \in M(\mathcal{B}) : \pi^{-1}(y) \text{ is a singleton}\}$$

and

$$\Gamma_{\mathcal{B}} = \{y \in M(\mathcal{B}) : \pi^{-1}(y) \text{ is saturated}\}.$$

That is, if  $y \in M(\mathcal{B})$  then  $y \in G_{\mathcal{B}}$  if and only if  $\mathcal{B}$  separates every  $x \in \pi^{-1}(y)$  from any other point of  $M(\mathcal{A})$  (so  $\pi^{-1}(y) = \{x\}$ ), and  $y \in \Gamma_{\mathcal{B}}$  if and only if  $b \circ \varphi_x$  is constant for all  $x \in \pi^{-1}(y)$  and  $b \in \mathcal{B}$ . Since no single point is a saturated set then  $G_{\mathcal{B}} \cap \Gamma_{\mathcal{B}} = \emptyset$ . In addition, there could be points in  $M(\mathcal{B})$  that are not in  $G_{\mathcal{B}} \cup \Gamma_{\mathcal{B}}$ . We will be interested in the cases that exclude the last possibility.

**Definition.** A prehyperbolic algebra  $\mathcal{B}$  will be called hyperbolic if  $M(\mathcal{B}) = G_{\mathcal{B}} \cup \Gamma_{\mathcal{B}}$ . That is, if  $\pi^{-1}(\pi(x)) = \{x\}$  or contains  $H(x)$  for every  $x \in M(\mathcal{A})$ .

**Lemma 3.7** *Let  $\mathcal{B} \subset \mathcal{A}$  be a prehyperbolic algebra. Then*

- (1)  $\Gamma_{\mathcal{B}}$  is closed,
- (2) the restriction  $\pi_0 : \pi^{-1}(G_{\mathcal{B}}) \rightarrow G_{\mathcal{B}}$  of  $\pi$  is an onto homeomorphism.

**Proof.** (1). If  $x$  is in the closure of  $\pi^{-1}(\Gamma_{\mathcal{B}})$  take a net  $(x_{\alpha})$  in  $\pi^{-1}(\Gamma_{\mathcal{B}})$  that tends to  $x$ . By definition of  $\Gamma_{\mathcal{B}}$ ,  $f \circ \varphi_{x_{\alpha}}$  is constant for every  $f \in \mathcal{B}$ . Hence, if  $\omega \in \mathbb{D}$  and  $f \in \mathcal{B}$ , Lemma 3.6 gives

$$f(x) - f(\varphi_x(\omega)) = \lim f(x_{\alpha}) - f(\varphi_{x_{\alpha}}(\omega)) = 0,$$

implying that  $f \circ \varphi_x \equiv f(x)$ , so  $x \in \pi^{-1}(\Gamma_{\mathcal{B}})$ . That is,  $\pi^{-1}(\Gamma_{\mathcal{B}})$  is closed in  $M(\mathcal{A})$  and then  $\pi(\pi^{-1}(\Gamma_{\mathcal{B}}))$  is closed in  $M(\mathcal{B})$ .

(2). By definition of  $G_{\mathcal{B}}$ ,  $\pi_0$  is one-to-one and onto, so we must show that  $\pi_0^{-1} : G_{\mathcal{B}} \rightarrow \pi^{-1}(G_{\mathcal{B}})$  is continuous. Let  $(y_{\alpha})$  be a net in  $G_{\mathcal{B}}$  such that  $y_{\alpha} \rightarrow y \in G_{\mathcal{B}}$  and let  $x_{\alpha} \in \pi^{-1}(G_{\mathcal{B}})$  such that  $\pi(x_{\alpha}) = y_{\alpha}$ . If  $(x_{\alpha_{\beta}})$  is a convergent subnet of  $(x_{\alpha})$ , say to  $x$ , then  $y_{\alpha_{\beta}} = \pi(x_{\alpha_{\beta}}) \rightarrow \pi(x) = y$ . So,  $x \in \pi^{-1}(y)$ , but since  $y \in G_{\mathcal{B}}$  then  $\pi^{-1}(y) = \{x\}$ . Hence every convergent subnet of  $(x_{\alpha})$  tends to  $x$ , and then  $x_{\alpha} \rightarrow x$ . ■

**Proposition 3.8** *Let  $\mathcal{B} \subset \mathcal{A}$  be a prehyperbolic algebra and  $y \in M(\mathcal{B})$ . The following conditions are equivalent*

- (a<sub>1</sub>)  $y \in \Gamma_{\mathcal{B}}$ .
- (a<sub>2</sub>)  $f \circ \varphi_{z_{\alpha}} \rightarrow c \in \mathbb{C}$  uniformly on compact sets for every net  $(z_{\alpha})$  in  $\mathbb{D}$  tending to  $y$  and every  $f \in \mathcal{B}$ .
- (a<sub>3</sub>) For every separated sequence  $\mathcal{S}$  such that  $y \in \overline{\mathcal{S}}^{M(\mathcal{B})}$  and every  $f \in \mathcal{B}$  there is a subsequence  $\{z_n\}$  of  $\mathcal{S}$  (depending on  $f$ ) such that  $f \circ \varphi_{z_n} \rightarrow c \in \mathbb{C}$  pointwise on  $\mathbb{D}$ .

**Proof.** (a<sub>1</sub>) $\Rightarrow$ (a<sub>2</sub>). If  $y \in \Gamma_{\mathcal{B}}$  then  $\pi^{-1}(y)$  is saturated. Let  $(z_{\alpha})$  be a net in  $\mathbb{D}$  such that  $z_{\alpha} \rightarrow y$  in  $M(\mathcal{B})$  and take a subnet  $(z_{\alpha_{\beta}})$  that converges in  $M(\mathcal{A})$ , say to  $x$ . Thus  $\pi(z_{\alpha_{\beta}}) \rightarrow \pi(x) = y$  in  $M(\mathcal{B})$ , saying that  $x \in \pi^{-1}(y)$ . Since  $H(x) \subset \pi^{-1}(y)$  (because it is saturated) then

$$f(\varphi_x(\xi)) = \lim f(\varphi_{z_{\alpha_{\beta}}}(\xi)) = \text{const.} = \lim f(\varphi_{z_{\alpha_{\beta}}}(0)) = \lim f(z_{\alpha_{\beta}}) = f(y)$$

for every  $f \in \mathcal{B}$  and  $\xi \in \mathbb{D}$ . This proves that whenever  $(z_{\alpha_{\beta}})$  is a subnet of  $(z_{\alpha})$  that converges in  $M(\mathcal{A})$  then  $f \circ \varphi_{z_{\alpha_{\beta}}} \rightarrow f(y)$  pointwise. By Lemma 3.4 the convergence is also uniform on compact sets, and consequently  $f \circ \varphi_{z_{\alpha}} \rightarrow f(y)$  in that way.



(a<sub>2</sub>)⇒(a<sub>3</sub>). If  $y \in \overline{\mathcal{S}}^{M(\mathcal{B})}$  there is a net  $(z_\alpha)$  in  $\mathcal{S}$  such that  $z_\alpha \rightarrow y$  in  $M(\mathcal{B})$ . If  $f \in \mathcal{B}$  then by (a<sub>2</sub>),  $f \circ \varphi_{z_\alpha} \rightarrow c \in \mathbb{C}$  uniformly on compact sets. Therefore for any positive integer  $n$  there is some  $z_\alpha$  (that we rename as  $z_n$ ) such that

$$\sup\{|(f \circ \varphi_{z_n})(\omega) - c| : |\omega| \leq 1 - n^{-1}\} \leq n^{-1}.$$

Therefore  $\{z_n\}$  is a subsequence of  $\mathcal{S}$  that satisfies (a<sub>3</sub>).

(a<sub>3</sub>)⇒(a<sub>1</sub>). We will show that (a<sub>3</sub>) fails when (a<sub>1</sub>) fails. If  $y \notin \Gamma_{\mathcal{B}}$  there is  $x \in \pi^{-1}(y)$  such that  $H(x) \not\subset \pi^{-1}(y)$ . Therefore there is  $f \in \mathcal{B}$  such that  $f \circ \varphi_x \neq \text{const.}$ , or what is the same,  $(f \circ \varphi_x)(\omega) \neq f(x)$  for some  $\omega \in \mathbb{D}$ . Put  $\eta = |(f \circ \varphi_x)(\omega) - f(x)| > 0$ . If  $\mathcal{S}$  is any separated sequence such that  $x \in \overline{\mathcal{S}}^{M(\mathcal{A})}$  and we take

$$\mathcal{S}_1 = \{z \in \mathcal{S} : |(f \circ \varphi_{z_n})(\omega) - f(z_n)| \geq \eta/2\}$$

then  $x \in \overline{\mathcal{S}}_1^{M(\mathcal{A})}$ . Hence  $y = \pi(x) \in \overline{\mathcal{S}}_1^{M(\mathcal{B})}$  and (a<sub>3</sub>) fails for  $\mathcal{S}_1$  and  $f$ . ■

Suppose that  $f$  is a continuous function from  $M(\mathcal{A})$  into a topological space  $T$ . If  $\mathcal{B}$  is a hyperbolic algebra, the restriction  $f|_{\mathbb{D}}$  admits a continuous extension from  $M(\mathcal{B})$  into  $T$  if and only if  $f(\pi^{-1}(y)) = \text{const.}$  for every  $y \in \Gamma_{\mathcal{B}}$ . In particular, for  $T = \mathbb{C}$  we obtain that  $f \in \mathcal{A}$  belongs to  $\mathcal{B}$  if and only if  $f(\pi^{-1}(y)) = \text{const.}$  for every  $y \in \Gamma_{\mathcal{B}}$ .

Let  $\mathcal{B} \subset L^\infty(\mathbb{D})$  be a closed algebra. A sequence  $\{z_n\} \subset \mathbb{D}$  is called interpolating for  $\mathcal{B}$  if for every  $\{\eta_n\} \in \ell^\infty$  there exists  $f \in \mathcal{B}$  such that  $f(z_n) = \eta_n$  for every  $n$ . It is clear that if  $\mathcal{B}$  is a subalgebra of  $\mathcal{A}$  then every interpolating sequence for  $\mathcal{B}$  must be separated and that every separated sequence is interpolating for  $\mathcal{A}$ . We say that  $f \in \mathcal{A}$  separates two sets  $E, F \subset M(\mathcal{A})$  when  $\overline{f(E)} \cap \overline{f(F)} = \emptyset$ .

**Proposition 3.9** *Let  $\mathcal{B} \subset \mathcal{A}$  be a prehyperbolic algebra. For  $y \in M(\mathcal{B})$  consider the following conditions*

- (b<sub>1</sub>)  $y \in G_{\mathcal{B}}$ .
- (b<sub>2</sub>) *There is an interpolating sequence  $\mathcal{S} = \{z_n\}$  for  $\mathcal{B}$ , whose closure in  $M(\mathcal{B})$  contains  $y$ , such that for every  $\delta > 0$  sufficiently small there exists  $f \in \mathcal{B}$  that separates  $\{z_n\}$  from  $\mathbb{D} \setminus \bigcup_n K(z_n, \delta)$ .*

*Then (b<sub>2</sub>) implies (b<sub>1</sub>), and if  $\mathcal{B}$  is hyperbolic, (b<sub>1</sub>) implies (b<sub>2</sub>).*

**Proof.** (b<sub>2</sub>)⇒(b<sub>1</sub>). Let  $y \in M(\mathcal{B})$  and  $\mathcal{S}$  as in (b<sub>2</sub>). We claim that  $\pi^{-1}(y) \subset \overline{\mathcal{S}}^{M(\mathcal{A})}$ , because otherwise there is  $x \in \pi^{-1}(y)$  and a separated sequence  $\mathcal{T} \subset \mathbb{D}$ , with  $x \in \overline{\mathcal{T}}^{M(\mathcal{A})}$ , such that  $\rho(\mathcal{S}, \mathcal{T}) \geq \alpha > 0$ . The continuity of  $\pi$  implies that  $y = \pi(x) \in \overline{\mathcal{T}}^{M(\mathcal{B})}$ , but this is not possible because by hypothesis there is  $f \in \mathcal{B}$  such that  $\overline{f(\mathcal{S})} \cap \overline{f(\mathcal{T})} = \emptyset$ , which contradicts  $y \in \overline{\mathcal{S}}^{M(\mathcal{B})} \cap \overline{\mathcal{T}}^{M(\mathcal{B})}$ .

Now suppose that there are two different points  $x_1, x_2 \in \pi^{-1}(y)$ . Then there is a disjoint decomposition  $\mathcal{S} = \mathcal{S}_1 \cup \mathcal{S}_2$ , where

$$x_1 \in \overline{\mathcal{S}}_1^{M(\mathcal{A})} \quad \text{and} \quad x_2 \in \overline{\mathcal{S}}_2^{M(\mathcal{A})}.$$

Since  $\mathcal{S}$  is interpolating for  $\mathcal{B}$  there exists  $f \in \mathcal{B}$  that separates  $\mathcal{S}_1$  from  $\mathcal{S}_2$ , leading to the same contradiction obtained before. Hence,  $\pi^{-1}(y)$  is a single point.

(b<sub>1</sub>) $\Rightarrow$ (b<sub>2</sub>) for  $\mathcal{B}$  hyperbolic. If  $y \in G_{\mathcal{B}}$  then  $\pi^{-1}(y) = \{x\}$  for some  $x \in M(\mathcal{A})$ . Since  $\pi^{-1}(\Gamma_{\mathcal{B}})$  is closed in  $M(\mathcal{A})$  (by Lemma 3.7) and  $x \notin \pi^{-1}(\Gamma_{\mathcal{B}})$  then there is a closed neighborhood  $F$  of  $x$  in  $M(\mathcal{A})$  such that  $F \cap \pi^{-1}(\Gamma_{\mathcal{B}}) = \emptyset$ . Hence there is  $f \in \mathcal{A}$  such that  $f \equiv 1$  on  $F$  and  $f \equiv 0$  on  $\pi^{-1}(\Gamma_{\mathcal{B}})$ .

Let  $\mathcal{T} \subset \mathbb{D}$  be a separated sequence such that  $x \in \overline{\mathcal{T}}^{M(\mathcal{A})}$ . Since  $f \equiv 1$  on a neighborhood of  $x$  then  $x \in \overline{\mathcal{S}}^{M(\mathcal{A})}$ , where

$$\mathcal{S} = \{z \in \mathcal{T} : f(z) = 1\} = \{z_n\}.$$

Hence,  $y = \pi(x) \in \overline{\mathcal{S}}^{M(\mathcal{B})}$ . Observe also that  $\overline{\mathcal{S}}^{M(\mathcal{A})} \subset F \subset \pi^{-1}(G_{\mathcal{B}})$ .

Let  $\{\eta_n\}$  be an arbitrary sequence in  $\ell^\infty$  and take  $g \in \mathcal{A}$  such that  $g(z_n) = \eta_n$  for every  $n$ . Since  $f \equiv 0$  on  $\pi^{-1}(\Gamma_{\mathcal{B}})$  then so is  $h = fg \in \mathcal{A}$ , and consequently  $h \in \mathcal{B}$ . In addition,  $h(z_n) = f(z_n)g(z_n) = \eta_n$  for every  $n$ , which shows that  $\mathcal{S}$  is interpolating for  $\mathcal{B}$ . Since  $f$  is  $\rho$ -uniformly continuous and  $f(z_n) = 1$  for all  $n$  then

$$\bigcup K(z_n, \delta) \subset \{z : |f(z)| > 1/2\}$$

when  $\delta > 0$  is small enough. Take  $a \in \mathcal{A}$  such that

$$(3.6) \quad a(z_n) = 1 \text{ for all } n, \text{ and } a \equiv 0 \text{ on } \mathbb{D} \setminus \bigcup_n K(z_n, \delta).$$

Since  $f \equiv 0$  on  $\pi^{-1}(\Gamma_{\mathcal{B}})$  then

$$\pi^{-1}(\Gamma_{\mathcal{B}}) \subset \overline{\{z : |f(z)| < 1/4\}}^{M(\mathcal{A})} \subset \overline{\bigcup_n K(z_n, \delta)}^{M(\mathcal{A})},$$

implying that  $a \equiv 0$  on  $\pi^{-1}(\Gamma_{\mathcal{B}})$ . Hence  $a \in \mathcal{B}$  and (3.6) says that it separates  $\mathcal{S}$  from  $\mathbb{D} \setminus \bigcup_n K(z_n, \delta)$ . So (b<sub>2</sub>) holds. ■

Propositions 3.8 and 3.9 provide criteria to decide whether a given pre-hyperbolic algebra is hyperbolic or not. Let us summarize these criteria in the next corollary.

**Corollary 3.10** *A prehyperbolic algebra  $\mathcal{B}$  is hyperbolic if and only if every  $y \in M(\mathcal{B})$  satisfies some of the conditions (a<sub>1</sub>), (a<sub>2</sub>), (a<sub>3</sub>) or some of the conditions (b<sub>1</sub>), (b<sub>2</sub>).*

### 4. Operator-valued compact maps

We recall that if  $S \in \mathfrak{L}(L_a^2)$  and  $z \in \mathbb{D}$  then  $S_z = U_z S U_z$ , where  $U_z f = (f \circ \varphi_z) \varphi'_z$ . Consider the map  $\Psi_S : \mathbb{D} \rightarrow \mathfrak{L}(L_a^2)$  given by  $\Psi_S(z) = S_z$ . We will study the possibility to extend  $\Psi_S$  continuously to  $M(\mathcal{A})$  when  $\mathfrak{L}(L_a^2)$  is provided with the weak or the strong operator topology (WOT and SOT, respectively). We will also look for a possible extension to  $M(\mathcal{B})$ , where  $\mathcal{B}$  is an arbitrary hyperbolic algebra.

**Theorem 4.1** *Let  $(E, d)$  be a metric space and  $f : \mathbb{D} \rightarrow E$  be a continuous map. Then  $f$  admits a continuous extension from  $M(\mathcal{A})$  into  $E$  if and only if  $f$  is uniformly  $(\rho, d)$  continuous and  $\overline{f(\mathbb{D})}$  is compact.*

**Proof.** Suppose that  $f \in C(M(\mathcal{A}), E)$ . Since  $\mathbb{D}$  is dense in the compact space  $M(\mathcal{A})$  then  $\overline{f(\mathbb{D})} = f(M(\mathcal{A}))$  is compact. If  $f$  is not uniformly  $(\rho, d)$  continuous there are two sequences  $z_n, \omega_n \in \mathbb{D}$  such that  $\rho(z_n, \omega_n) \rightarrow 0$  and  $d(f(z_n), f(\omega_n)) \geq \delta > 0$  for every  $n$ . By the continuity of  $f$  on  $\mathbb{D}$  the sequence does not accumulate on  $\mathbb{D}$ . Let  $x \in \overline{\{z_n\}^{M(\mathcal{A})}} \setminus \mathbb{D}$  and  $(z_\alpha)$  be a subnet of  $\{z_n\}$  that tends to  $x$ . Since every  $z_\alpha$  is some  $z_{n(\alpha)}$ , writing  $\omega_\alpha = \omega_{n(\alpha)}$  we have a subnet  $(\omega_\alpha)$  of the sequence  $\{\omega_n\}$  such that

$$(4.1) \quad \rho(z_\alpha, \omega_\alpha) \rightarrow 0 \text{ and } d(f(z_\alpha), f(\omega_\alpha)) \geq \delta \text{ for all } \alpha.$$

The first condition in (4.1) implies that  $g(\omega_\alpha) \rightarrow g(x)$  for every  $g \in \mathcal{A}$ , meaning that  $\omega_\alpha \rightarrow x$  in  $M(\mathcal{A})$ . Since  $f$  is continuous on  $M(\mathcal{A})$  then  $\lim f(\omega_\alpha) = f(x) = \lim f(z_\alpha)$ , contradicting (4.1).

Now assume that  $f$  is uniformly  $(\rho, d)$  continuous on  $\mathbb{D}$  and  $\overline{f(\mathbb{D})}$  is compact. For  $x \in M(\mathcal{A})$  write

$$F(x) \stackrel{\text{def}}{=} \{\lambda \in E : f(z_\alpha) \rightarrow \lambda, \text{ for some net } z_\alpha \rightarrow x, z_\alpha \in \mathbb{D}\}.$$

The compactness of  $\overline{f(\mathbb{D})}$  assures that  $F(x)$  is nonempty. Then  $F$  is a multivalued function defined on  $M(\mathcal{A})$ , and a standard diagonal argument shows that  $f$  can be extended continuously to  $M(\mathcal{A})$  if and only if  $F(x)$  is single-valued for every  $x \in M(\mathcal{A})$ . So, let  $x \in M(\mathcal{A})$  and assume that there are  $\lambda_1, \lambda_2 \in F(x)$  such that  $d(\lambda_1, \lambda_2) = \alpha > 0$ . Let  $B(\lambda, r)$  denote the open ball in  $E$  of center  $\lambda \in E$  and radius  $r > 0$ , and consider the sets

$$V_i = \{z \in \mathbb{D} : f(z) \in B(\lambda_i, \alpha/4)\}, \quad i = 1, 2.$$

Since  $\lambda_i \in F(x)$  then  $x \in \overline{V_i}^{M(\mathcal{A})}$  for  $i = 1, 2$ . Lemma 3.1 then tells us that  $\rho(V_1, V_2) = 0$ . On the other hand,

$$d(f(V_1), f(V_2)) \geq d(B(\lambda_1, \alpha/4), B(\lambda_2, \alpha/4)) \geq \frac{\alpha}{2}.$$

By the uniform  $(\rho, d)$ -continuity of  $f$ , the last inequality implies that  $\rho(V_1, V_2) > 0$ , a contradiction. ■

**Lemma 4.2** For  $z, \alpha \in \mathbb{D}$  put  $\lambda = \lambda(z, \alpha) = (\alpha\bar{z} - 1)/(1 - z\bar{\alpha})$ . Then  $U_{\varphi_z(\alpha)}U_z = V_\lambda U_\alpha$ , where  $(V_\lambda f)(\omega) = \lambda f(\lambda\omega)$  for  $f \in L_a^2$ .

**Proof.** Since the function  $\varphi_{\varphi_z(\alpha)} \circ \varphi_z \circ \varphi_\alpha$  is an automorphism that fixes the origin, it must be a rotation. A little bit of algebra shows that this function maps  $\lambda$  to 1. Since  $\varphi_{\varphi_z(\alpha)}$  is its own inverse then  $\varphi_z \circ \varphi_\alpha(\lambda\omega) = \varphi_{\varphi_z(\alpha)}(\omega)$ . Therefore

$$\begin{aligned} (U_{\varphi_z(\alpha)}U_z f)(\omega) &= (f \circ \varphi_z \circ \varphi_{\varphi_z(\alpha)})(\omega) \varphi'_z(\varphi_{\varphi_z(\alpha)}(\omega)) \varphi'_{\varphi_z(\alpha)}(\omega) \\ &= (f \circ \varphi_z \circ \varphi_z \circ \varphi_\alpha)(\lambda\omega) \varphi'_z(\varphi_z \circ \varphi_\alpha(\lambda\omega)) \varphi'_z(\varphi_\alpha(\lambda\omega)) \varphi'_\alpha(\lambda\omega) \lambda \\ &= (f \circ \varphi_\alpha)(\lambda\omega) \varphi'_\alpha(\lambda\omega) \lambda = (V_\lambda U_\alpha f)(\omega), \end{aligned}$$

where the third equality holds because since  $\varphi_z \circ \varphi_z = id$  then  $(\varphi'_z \circ \varphi_z)\varphi'_z = 1$ . ■

**Lemma 4.3** Let  $f \in L_a^2$  and  $\varepsilon > 0$ . Then there is  $\delta = \delta(f, \varepsilon) > 0$  such that

$$\rho(z_1, z_2) < \delta \Rightarrow \|U_{z_1}f - U_{z_2}f\| < \varepsilon.$$

**Proof.** Since the polynomials are dense in  $L_a^2$  and  $\|U_z\| = 1$  for every  $z \in \mathbb{D}$ , it is enough to assume that  $f$  is a polynomial. If  $\rho(z_1, z_2) < \delta$  then  $z_2 = \varphi_{z_1}(\alpha)$  with  $|\alpha| < \delta$ . By the previous lemma,

$$(I - U_{\varphi_{z_1}(\alpha)}U_{z_1})f(\omega) = f(\omega) - f\left(\frac{\alpha - \lambda\omega}{1 - \bar{\alpha}\lambda\omega}\right) \left(\frac{|\alpha|^2 - 1}{1 - \bar{\alpha}\lambda\omega}\right) \lambda,$$

where  $\lambda$  comes from the lemma. When  $\alpha \rightarrow 0$  we have  $\lambda(z_1, \alpha) \rightarrow -1$  uniformly in  $z_1$ , so the above expression tends to 0 uniformly in  $z_1$  and  $\omega$ . Hence,

$$\|U_{z_1}f - U_{\varphi_{z_1}(\alpha)}f\| = \|(U_{\varphi_{z_1}(\alpha)}U_{z_1} - I)f\| < \varepsilon$$

if  $|\alpha|$  is small enough. That is, if  $\delta$  is small enough. ■

**Proposition 4.4** Let  $S \in \mathfrak{L}(L_a^2)$ . Then the map  $\Psi_S : \mathbb{D} \rightarrow (\mathfrak{L}(L_a^2), WOT)$  extends continuously to  $M(\mathcal{A})$ .

**Proof.** The closed the ball  $B(0, \|S\|) \subset \mathfrak{L}(L_a^2)$  of center 0 and radius  $\|S\|$  is compact and metrizable with the WOT-topology. Since  $\Psi_S(\mathbb{D})$  is contained in  $B(0, \|S\|)$ , Theorem 4.1 reduces the problem to show that  $\Psi_S$  is uniformly continuous from the disk with the pseudo-hyperbolic metric into  $B(0, \|S\|)$  with the weak operator topology. This amounts to see that for every  $f, g \in L_a^2$ , the function  $z \mapsto \langle S_z f, g \rangle$  is uniformly continuous from  $(\mathbb{D}, \rho)$  into  $(\mathbb{C}, |\cdot|)$ . For  $z_1, z_2 \in \mathbb{D}$  we have

$$U_{z_1}S U_{z_1} - U_{z_2}S U_{z_2} = U_{z_1}S(U_{z_1} - U_{z_2}) + (U_{z_1} - U_{z_2})S U_{z_2} = A + B.$$

If  $f, g \in L_a^2$  then  $|\langle Af, g \rangle| \leq \|U_{z_1}S\| \|(U_{z_1} - U_{z_2})f\|_2 \|g\|_2$  and  $|\langle Bf, g \rangle| = |\langle f, B^*g \rangle| \leq \|f\|_2 \|U_{z_2}S^*\| \|(U_{z_1} - U_{z_2})g\|_2$ . By Lemma 4.3 both expressions can be made small if we take  $\rho(z_1, z_2)$  small enough. ■

**Theorem 4.5** *Let  $S \in \mathfrak{T}(\mathcal{A})$ . Then the map*

$$\Psi_S : \mathbb{D} \rightarrow (\mathfrak{L}(L_a^2), SOT)$$

*extends continuously to  $M(\mathcal{A})$ . In addition,  $\Psi_S(M(\mathcal{A})) \subset \mathfrak{T}(\mathcal{A})$ .*

**Proof.** First suppose that  $S = T_a$ , with  $a \in \mathcal{A}$ . If  $z \in \mathbb{D}$  tends to  $x \in M(\mathcal{A})$ , Lemma 3.4 says that  $a \circ \varphi_z \rightarrow a \circ \varphi_x$  uniformly on compact sets. Thus, if  $f \in L_a^2$  and  $0 < r < 1$ ,

$$\|(T_{a \circ \varphi_z} - T_{a \circ \varphi_x})f\|^2 \leq \sup_{rD} |a \circ \varphi_z - a \circ \varphi_x|^2 \|f\|^2 + 2\|a\|_\infty^2 \int_{D \setminus rD} |f|^2 dA.$$

We can choose some  $r = r(f, \|a\|_\infty)$  close enough to 1 so that the second term is smaller than a given  $\varepsilon > 0$ , and for such  $r$  the first term tends to 0 as  $z \rightarrow x$ . Since

$$\Psi_{S+T} = \Psi_S + \Psi_T,$$

the case of a polynomial in Toeplitz operators reduces to the case  $S = T_{a_1} \dots T_{a_k}$ , where  $a_j \in \mathcal{A}$  and  $\|a_j\|_\infty \leq 1$  for  $j = 1, \dots, k$ . Consider the operators

$$S_j = \begin{cases} T_{a_1 \circ \varphi_z} \dots T_{a_{j-1} \circ \varphi_z} T_{a_j \circ \varphi_x} \dots T_{a_k \circ \varphi_x} & \text{if } 1 \leq j \leq k \\ T_{a_1 \circ \varphi_z} \dots T_{a_k \circ \varphi_z} & \text{if } j = k + 1 \end{cases}$$

If  $f \in L_a^2$  then

$$\|(S_{k+1} - S_1)f\| \leq \sum_{j=1}^k \|(S_{j+1} - S_j)f\|,$$

and since we have proved that  $T_{a_j \circ \varphi_z} - T_{a_j \circ \varphi_x} \rightarrow 0$  in the strong operator topology as  $z \rightarrow x$ , then

$$\begin{aligned} \|(S_{j+1} - S_j)f\| &= \|T_{a_1 \circ \varphi_z} \dots T_{a_{j-1} \circ \varphi_z} (T_{a_j \circ \varphi_z} - T_{a_j \circ \varphi_x}) T_{a_{j+1} \circ \varphi_x} \dots T_{a_k \circ \varphi_x} f\| \\ &\leq \|(T_{a_j \circ \varphi_z} - T_{a_j \circ \varphi_x}) T_{a_{j+1} \circ \varphi_x} \dots T_{a_k \circ \varphi_x} f\| \rightarrow 0 \end{aligned}$$

when  $z \rightarrow x$ . Finally, if  $S \in \mathfrak{T}(\mathcal{A})$  is arbitrary, given  $\varepsilon > 0$  there is a polynomial in Toeplitz operators with symbols in  $\mathcal{A}$ , say  $T$ , such that  $\|S - T\| < \varepsilon$ . By Proposition 4.4 there is some  $S_x \in \mathfrak{L}(L_a^2)$  (i.e.:  $S_x = \Psi_S(x)$ ) such that

$$S_z - T_z \rightarrow S_x - T_x \quad \text{weakly when } z \rightarrow x.$$

Weak limits do not increase norms, so  $\|S_x - T_x\| \leq \varepsilon$ . The result follows because  $\|S_z - T_z\| < \varepsilon$  for all  $z \in \mathbb{D}$  and  $T_z \rightarrow T_x$  strongly when  $z \rightarrow x$ . ■

**Corollary 4.6** *If  $S \in \mathfrak{L}(L_a^2)$  and  $n \geq 0$  is an integer then  $B_n S \in \mathcal{A}$ . Besides,  $B_n S_x = (B_n S) \circ \varphi_x$  for every  $x \in M(\mathcal{A})$ .*

**Proof.** By (2.1) and Lemma 2.2

$$(B_n S)(z) = ((B_n S) \circ \varphi_z)(0) = (B_n S_z)(0) = (n+1) \sum_{j=0}^n \binom{n}{j} (-1)^j \langle S_z \omega^j, \omega^j \rangle.$$

Since by Proposition 4.4 the map  $z \mapsto \langle S_z \omega^j, \omega^j \rangle$  extends continuously to  $M(\mathcal{A})$ , it belongs to  $\mathcal{A}$  for every  $0 \leq j \leq n$ . For the second assertion take a net  $(z_\alpha)$  in  $\mathbb{D}$  that tends to  $x$  and then take limits in the equality  $(B_n S_{z_\alpha})(\xi) = (B_n S)(\varphi_{z_\alpha}(\xi))$  for each fixed  $\xi \in \mathbb{D}$ . The first term tends to  $(B_n S_x)(\xi)$  because Proposition 4.4 says that

$$z \mapsto \langle S_z \omega^j K_\xi^{(n)}, \omega^j K_\xi^{(n)} \rangle$$

extends continuously to  $M(\mathcal{A})$ , and the second term tends to  $(B_n S)(\varphi_x(\xi))$  because  $B_n S \in \mathcal{A}$ . ■

**Corollary 4.7** *If  $S \in \mathfrak{L}(L_a^2)$  and  $x \in M(\mathcal{A})$  the following conditions are equivalent*

- (i)  $S_u = \lambda I$  for every  $u \in H(x)$
- (ii)  $S_u = \lambda I$  for some  $u \in H(x)$
- (iii)  $B_0 S \equiv \lambda$  on  $H(x)$ .

**Proof.** Since  $H(u) = H(x)$  when  $u \in H(x)$  then every  $v \in H(x)$  has the form  $v = \varphi_u(\omega)$  for some  $\omega \in \mathbb{D}$ . By the previous corollary

$$(B_0 S)(v) = (B_0 S)(\varphi_u(\omega)) = (B_0 S_u)(\omega).$$

This identity and the fact that  $B_0$  acts in a one-to-one fashion on  $\mathfrak{L}(L_a^2)$  give all the equivalences. ■

Since for  $a \in \mathcal{A}$  we have

$$(T_a)_z^* = T_{\bar{a} \circ \varphi_z} \rightarrow T_{\bar{a} \circ \varphi_x} = (T_a)_x^*$$

in the *SOT*-topology when  $z \rightarrow x$ , then also  $(T_z)^* \rightarrow (T_x)^*$  in the *SOT*-topology for all  $T \in \mathfrak{T}(\mathcal{A})$ . Also, since the product of a *WOT*-convergent and a *SOT*-convergent net in  $\mathfrak{L}(L_a^2)$  tends weakly to the product of the limits, Proposition 4.4 and Theorems 4.5 imply that

$$(4.2) \quad S_x T_x = (ST)_x \quad \text{and} \quad T_x S_x = (TS)_x$$

for every  $S \in \mathfrak{L}(L_a^2)$ ,  $T \in \mathfrak{T}(\mathcal{A})$  and  $x \in M(\mathcal{A})$ . This fails if we only assume  $S, T \in \mathfrak{L}(L_a^2)$ .

Indeed, consider the operator defined by  $Sf(\omega) = f(-\omega)$ . Since  $S^2 = I$  then  $(S^2)_x = I$  for every  $x \in M(\mathcal{A})$ . On the other hand, since  $SK_z^{(0)} = K_{-z}^{(0)}$  then

$$(B_0S)(z) = (1 - |z|^2)^2 \langle K_{-z}^{(0)}, K_z^{(0)} \rangle = \frac{(1 - |z|^2)^2}{(1 + |z|^2)^2}.$$

So  $(B_0S)(z) \rightarrow 0$  when  $|z| \rightarrow 1$ , and then  $(B_0S)(x) = 0$  for all  $x \in M(\mathcal{A}) \setminus \mathbb{D}$ . Corollary 4.7 then tells us that  $S_x = 0$  for  $x \in M(\mathcal{A}) \setminus \mathbb{D}$ , making (4.2) impossible for this choice of  $S$  and  $T = S$ .

**Lemma 4.8** *Let  $S \in \mathfrak{L}(L_a^2)$  and  $x \in M(\mathcal{A})$ . Suppose that there is some  $n_0 \geq 0$  such that  $(B_{n_0}S) \circ \varphi_x = g$  harmonic. Then  $(B_nS) \circ \varphi_x = g$  for every  $n \geq 0$ .*

**Proof.** By Corollary 4.6,  $\tilde{\Delta}(B_{n_0}S_x) = \tilde{\Delta}g = 0$ , which together with (2.7) yields  $B_{n_0+1}S_x = B_{n_0}S_x = g$ . Then  $B_nS_x = g$  for every  $n \geq n_0$ . Thus  $B_0(B_nS_x) = B_0g = g$  for  $n \geq n_0$ , implying that

$$g = \lim_{n \rightarrow \infty} B_0B_nS_x = \lim_{n \rightarrow \infty} B_nB_0S_x = B_0S_x,$$

where the second equality follows from Corollary 2.7 and the last one because since  $B_0S_x \in \mathcal{A}$  by Corollary 4.6, then  $B_n(B_0S_x) \rightarrow B_0S_x$  uniformly. Taking  $n_0 = 0$ , we have proved above that  $B_nS_x = g$  for every  $n \geq 0$ . ■

By the lemma we can add two more equivalences to Corollary 4.7, saying that  $B_nS \equiv \lambda$  on  $H(x)$  for every (or for some)  $n \geq 0$ .

**Theorem 4.9** *Let  $S \in \mathfrak{T}(\mathcal{A})$  and  $\mathcal{B}$  be a hyperbolic algebra. Then the following conditions are equivalent,*

- (1)  $S_x = \lambda I$  when  $x \in \pi^{-1}(y)$  for every  $y \in \Gamma_{\mathcal{B}}$ , where  $\lambda \in \mathbb{C}$  depends only on  $y$ ,
- (2) there is a continuous map  $\Psi_{\mathcal{B}}^S : M(\mathcal{B}) \rightarrow (\mathfrak{T}(\mathcal{A}), SOT)$  such that  $\Psi_{\mathcal{B}}^S \circ \pi = \Psi_S$ ,
- (3)  $B_nS \in \mathcal{B}$  for some  $n \geq 0$ ,
- (4)  $B_nS \in \mathcal{B}$  for all  $n \geq 0$ .

If  $S \in \mathfrak{L}(L_a^2)$  the theorem holds replacing  $(\mathfrak{T}(\mathcal{A}), SOT)$  by  $(\mathfrak{L}(L_a^2), WOT)$  in (2).

**Proof.** If (1) holds then for every  $y \in M(\mathcal{B})$  and  $x \in \pi^{-1}(y)$ ,  $S_x$  is an operator that only depends on  $y$ . Hence  $\Psi_{\mathcal{B}}^S(y) = S_x$  is well defined and satisfies the equality in (2). The continuity of  $\Psi_{\mathcal{B}}^S$  from  $M(\mathcal{B})$  into any of the metric spaces  $(\mathfrak{T}(\mathcal{A}), SOT)$  or  $(\mathfrak{L}(L_a^2), WOT)$  (according to the hypothesis) follows from the respective continuity of  $\Psi_S$ , which is given by Theorem 4.5 and Proposition 4.4.

Now suppose that (2) holds. This means that if  $y \in M(\mathcal{B})$  then  $S_x$  is the same operator  $T$  for every  $x \in \pi^{-1}(y)$ . Since  $\varphi_x(\mathbb{D}) \subset \pi^{-1}(y)$  for  $y \in \Gamma_{\mathcal{B}}$ , then  $S_{\varphi_x(\omega)} = T$  for every  $\omega \in \mathbb{D}$ . Corollary 4.6 then says that

$$(B_0S)(\varphi_x(\omega)) = (B_0S_{\varphi_x(\omega)})(0) = (B_0T)(0)$$

for every  $x \in \pi^{-1}(y)$  and  $\omega \in \mathbb{D}$ . Writing  $\lambda = (B_0T)(0)$ , we obtain that  $B_0S \equiv \lambda$  on  $H(x)$  for every  $x \in \pi^{-1}(y)$ . Hence  $B_0S$  is constant on  $\pi^{-1}(y)$  for every  $y \in \Gamma_{\mathcal{B}}$ , meaning that  $(B_0S)|_D$  extends continuously to  $M(\mathcal{B})$ . Since the Gelfand-Naimark Theorem identifies  $\mathcal{B}$  with  $C(M(\mathcal{B}))$  then  $B_0S \in \mathcal{B}$ . This proves (3) for  $n = 0$ . If (3) holds for some  $n_0 \geq 0$  then  $B_{n_0}S = \lambda_y \in \mathbb{C}$  on  $\pi^{-1}(y)$  for every  $y \in \Gamma_{\mathcal{B}}$ . Lemma 4.8 then implies that the same happens with  $B_nS$  for all  $n \geq 0$ . This proves (4). Finally, if (4) holds then  $(B_0S)|_{\pi^{-1}(y)} = \lambda_y \in \mathbb{C}$  for  $y \in \Gamma_{\mathcal{B}}$ . In particular,  $B_0S \equiv \lambda_y$  on  $H(x)$  for every  $x \in \pi^{-1}(y)$ . Then (1) follows from Corollary 4.7. ■

If  $S \in \mathfrak{L}(L_a^2)$  satisfies the conditions of the theorem then the map  $z \mapsto S_z$  admits a continuous extension to  $M(\mathcal{B})$  given by  $\Psi_S^{\mathcal{B}}$ . Write

$$\Psi_S^{\mathcal{B}}(y) = \widehat{S}_y^{\mathcal{B}}$$

when  $y \in M(\mathcal{B})$ . If  $\mathcal{B} = \mathcal{A}$  we keep the previous notation  $\Psi_S(y) = S_y$  for  $y \in M(\mathcal{A})$ . Also, since it is clear that we can identify  $\widehat{S}_z^{\mathcal{B}}$  with  $S_z$  when  $z \in \mathbb{D}$ , we do not make this notation distinction for  $z \in \mathbb{D}$ . Observe that if  $y \in M(\mathcal{B})$  and  $(z_\alpha)$  is a net in  $\mathbb{D}$  that tends to  $y$  in  $M(\mathcal{B})$ , then  $\widehat{S}_y^{\mathcal{B}}$  admits the two alternative and equivalent expressions

$$\widehat{S}_y^{\mathcal{B}} = \lim_{\alpha} S_{z_\alpha},$$

a WOT-limit in general and a SOT-limit if  $S \in \mathfrak{T}(\mathcal{A})$ , or

$$\widehat{S}_y^{\mathcal{B}} = S_x \text{ for some (or all) } x \in \pi^{-1}(y),$$

where  $\pi : M(\mathcal{A}) \rightarrow M(\mathcal{B})$  is the natural projection. Also, if  $b \in \mathcal{B}$  we can look at  $b$  as a continuous function on  $M(\mathcal{B})$  or on  $M(\mathcal{A})$ . If  $\mathcal{B} \neq \mathcal{A}$  we write  $\widehat{b}^{\mathcal{B}}$  when we need to distinguish the domain of the function, otherwise  $b$  will be looked as a function on  $M(\mathcal{A})$ . Of course, if  $z \in \mathbb{D}$  then  $b(z)$  has the same meaning either way.

If  $\mathcal{B}$  is a hyperbolic algebra,  $b \in \mathcal{B}$  and  $y \in \Gamma_{\mathcal{B}}$ , then for every  $x \in \pi^{-1}(y)$  we have

$$(T_b)_x = T_{b \circ \varphi_x} = \lambda I$$

with  $\lambda \in \mathbb{C}$  depending only on  $y$  (actually  $\lambda = \widehat{b}^{\mathcal{B}}(y)$ ). Since  $\mathfrak{T}(\mathcal{B})$  is generated by these Toeplitz operators, the same holds for every  $S \in \mathfrak{T}(\mathcal{B})$ . Theorem 4.9 then says that  $B_nS \in \mathcal{B}$  when  $S \in \mathfrak{T}(\mathcal{B})$ , for every nonnegative integer  $n$ .



### 5. Approximation and truncation by Toeplitz operators

If  $A \subset L^\infty(\mathbb{D})$  is a subalgebra, we write  $\mathfrak{T}_0(A)$  for the algebra generated by the Toeplitz operators  $T_a$ , with  $a \in A$ , without taking closure. In [4] Axler and Zheng found simple but very ingenious estimates for the norm of operators in  $\mathfrak{T}_0(L^\infty(\mathbb{D}))$ . The present section (especially Lemmas 5.2 and 5.5) makes heavy use of their method.

#### 5.1. Norm estimates and truncation

The following lemma is a particular case of Lemma 4.2.2 in [21].

**Lemma 5.1** *If  $c < 0$  and  $t > -1$  then*

$$J_{c,t}(z) = \int_D \frac{(1 - |\omega|^2)^t}{|1 - z\bar{\omega}|^{2+t+c}} dA(\omega), \quad z \in \mathbb{D},$$

*is bounded.*

The next result appeared in [4] for  $p = 6$ . The proof sketched here is a standard modification of that proof involving Lemma 5.1.

**Lemma 5.2** *Let  $p > 4$ . Then there is a constant  $C_p < \infty$  such that if  $S \in \mathfrak{L}(L^2_a)$ , then*

$$(5.1) \quad \int_D \frac{|(SK_z^{(0)})(w)|}{\sqrt{1 - |w|^2}} dA(w) \leq \frac{C_p \|S_z 1\|_p}{\sqrt{1 - |z|^2}}$$

*for all  $z \in \mathbb{D}$  and*

$$(5.2) \quad \int_D \frac{|(SK_z^{(0)})(w)|}{\sqrt{1 - |z|^2}} dA(z) \leq \frac{C_p \|S_w^* 1\|_p}{\sqrt{1 - |w|^2}}$$

*for all  $w \in \mathbb{D}$ .*

**Proof.** To prove (5.1) let  $S \in \mathfrak{L}(L^2_a)$  and fix  $z \in \mathbb{D}$ . Since

$$U_z 1 = (|z|^2 - 1)K_z^{(0)} \quad \text{and} \quad U_z U_z = I$$

then

$$U_z S_z 1 = (|z|^2 - 1)SK_z^{(0)}.$$

Thus

$$\int_D \frac{|(SK_z^{(0)})(w)|}{\sqrt{1 - |w|^2}} dA(w) = \frac{1}{1 - |z|^2} \int_D \frac{|(S_z 1)(\varphi_z(w))| |\varphi_z'(w)|}{\sqrt{1 - |w|^2}} dA(w).$$

Making the substitution  $w = \varphi_z(\lambda)$  in the last integral and using Holder's inequality with  $1/p + 1/q = 1$ , we obtain

$$\begin{aligned} \int_D \frac{|(SK_z^{(0)})(w)|}{\sqrt{1-|w|^2}} dA(w) &= \frac{1}{\sqrt{1-|z|^2}} \int_D \frac{|(S_z 1)(\lambda)|}{|1-\bar{z}\lambda|\sqrt{1-|\lambda|^2}} dA(\lambda) \\ &\leq \frac{\|S_z 1\|_p}{\sqrt{1-|z|^2}} \left( \int_D \frac{dA(\lambda)}{|1-\bar{z}\lambda|^{q(1-|\lambda|^2)^{q/2}}} \right)^{1/q} \\ &= \frac{\|S_z 1\|_p}{\sqrt{1-|z|^2}} J(z)^{1/q}, \end{aligned}$$

where

$$J(z) = \int_D \frac{(1-|\lambda|^2)^{-q/2}}{|1-\bar{z}\lambda|^{2-(q/2)+(3/2)q-2}} dA(\lambda).$$

Since  $p > 4$  then  $q < 4/3$ , which yields  $q/2 < 2/3 < 1$  and  $(3/2)q - 2 < 0$ . By Lemma 5.1 there is  $J_q > 0$  such that  $J(z) \leq J_q$  for every  $z \in \mathbb{D}$ . This proves (5.1) with  $C_p = J_q^{1/q}$ . Replace  $S$  with  $S^*$  and interchange the roles of  $w$  and  $z$  in (5.1) to obtain

$$\int_D \frac{|(S^* K_w^{(0)})(z)|}{\sqrt{1-|z|^2}} dA(z) \leq \frac{C_p \|S_w^* 1\|_p}{\sqrt{1-|w|^2}}.$$

Then use the equality  $(S^* K_w^{(0)})(z) = \overline{(SK_z^{(0)})(w)}$  to obtain (5.2). ■

**Lemma 5.3** *Let  $S \in \mathfrak{L}(L_a^2)$ ,  $a, b \in L^\infty(\mathbb{D})$  and  $p > 4$ . Then*

$$\|T_b S T_a\|_{\mathfrak{L}(L_a^2)} \leq C_p (\|a\|_\infty \|b\|_\infty)^{\frac{1}{2}} \sup_{z \in D} \{\|S_z 1\|_p |a(z)|\}^{\frac{1}{2}} \sup_{\omega \in D} \{\|S_\omega^* 1\|_p |b(\omega)|\}^{\frac{1}{2}},$$

where  $C_p$  is the constant of Lemma 5.2.

**Proof.** For  $f \in L_a^2$  and  $w \in D$ , we have

$$\begin{aligned} (S T_a f)(w) &= \langle S T_a f, K_w^{(0)} \rangle = \langle a f, S^* K_w^{(0)} \rangle \\ &= \int_D f(z) a(z) \overline{(S^* K_w^{(0)})(z)} dA(z) \\ &= \int_D f(z) a(z) (S K_z^{(0)})(w) dA(z). \end{aligned}$$

Thus, if  $M_b$  denotes the multiplication operator,

$$(M_b S T_a) f(w) = \int_D f(z) a(z) b(w) (S K_z^{(0)})(w) dA(z).$$

If  $\Phi(z, w) = |a(z)b(w)(SK_z^{(0)})(w)|$  and  $h(z) = (1 - |z|^2)^{-1/2}$  then (5.1) yields

$$\begin{aligned} \int_D \Phi(z, w)h(w) dA(w) &\leq C_p \|b\|_\infty \|S_z 1\|_p |a(z)| h(z) \\ &\leq C_p \|b\|_\infty \sup_{z \in D} \{ \|S_z 1\|_p |a(z)| \} h(z), \end{aligned}$$

and by (5.2)

$$\begin{aligned} \int_D \Phi(z, w)h(z) dA(w) &\leq C_p \|a\|_\infty \|S_w^* 1\|_p |b(w)| h(w) \\ &\leq C_p \|a\|_\infty \sup_{\omega \in D} \{ \|S_w^* 1\|_p |b(w)| \} h(w). \end{aligned}$$

By Schur’s theorem (see the proof in [21, p. 42]) the operator  $M_b ST_a$  satisfies an inequality as in the lemma. The result follows because

$$\|(T_b ST_a)f\|_{L^2} \leq \|(M_b ST_a)f\|_{L^2}$$

for every  $f \in L_a^2$ . ■

Suppose that  $1 < p < p' < \infty$ ,  $f \in L^p(\mathbb{D})$  and  $0 < r < 1$ . Split the integral

$$\|f\|_p^p = \|f\chi_{D \setminus rD}\|_p^p + \|f\chi_{rD}\|_p^p,$$

where  $\chi_E$  denotes the characteristic function of the set  $E$ . Taking  $\alpha = p'/p$  and  $\beta = p'/(p' - p)$  we have  $\alpha^{-1} + \beta^{-1} = 1$ . By Holder’s inequality

$$\|f\chi_{D \setminus rD}\|_p^p \leq \|f\|_{\alpha p}^p \|\chi_{D \setminus rD}\|_\beta = \|f\|_{p'}^p (1 - r^2)^{1 - \frac{p}{p'}},$$

and consequently

$$(5.3) \quad \|f\|_p^p \leq \|f\|_{p'}^p (1 - r^2)^{1 - \frac{p}{p'}} + \|f\chi_{rD}\|_p^p.$$

**Proposition 5.4** *Suppose that  $S \in \mathfrak{K}_0(L^\infty(\mathbb{D}))$  and  $F \subset M(\mathcal{A})$  is a closed saturated set such that  $B_0 S \equiv 0$  on  $F$ . Given  $\varepsilon > 0$  there is an open neighborhood  $\Omega$  of  $F$  in  $M(\mathcal{A})$  such that if  $U \subset \Omega \cap \mathbb{D}$  is measurable, then*

$$(5.4) \quad \|T_{a\chi_U} S\|_{\mathfrak{L}(L_a^2)} < \varepsilon \quad \text{and} \quad \|ST_{a\chi_U}\|_{\mathfrak{L}(L_a^2)} < \varepsilon$$

for every  $a \in L^\infty(\mathbb{D})$  with  $\|a\|_\infty \leq 1$ .

**Proof.** Since  $F$  is saturated and  $B_0 S \equiv 0$  on  $F$ , Proposition 4.4 and Corollary 4.7 say that  $S_z \xrightarrow{\text{wOT}} S_x = 0$  when  $z \rightarrow x \in F$ , with  $z \in \mathbb{D}$ . Thus  $S_z 1 \rightarrow 0$  weakly in  $L_a^2$  and consequently

$$(5.5) \quad S_z 1 \rightarrow 0 \quad \text{uniformly on compact sets as } z \rightarrow x \quad (z \in \mathbb{D})$$

for every  $x \in F$ .

Write

$$S = \sum_{i=1}^m \prod_{j=1}^{n_i} T_{a_j^i},$$

with  $a_j^i \in L^\infty(\mathbb{D})$ , and fix  $p, p'$  with  $4 < p < p'$ . Then

$$(5.6) \quad \|S_z 1\|_{p'} = \left\| \sum_{i=1}^m \prod_{j=1}^{n_i} T_{a_j^i \circ \varphi_z} 1 \right\|_{p'} \leq \sum_{i=1}^m \prod_{j=1}^{n_i} c_{p'} \|a_j^i\|_\infty = c,$$

where  $c_{p'}$  is the norm of the analytic projection  $P_+$  acting on  $L^{p'}(\mathbb{D})$ . For  $0 < r < 1$ , (5.3) yields

$$\|S_z 1\|_p^p \leq \|S_z 1\|_{p'}^p (1-r)^{1-\frac{p}{p'}} + \|(S_z 1)\chi_{rD}\|_p^p.$$

By (5.6) there is  $r$  close enough to 1 so that the first member of the sum is smaller than  $\varepsilon/2$ , while (5.5) and the compactness of  $F$  imply that there is a neighborhood  $\Omega$  of  $F$  so that the second member is smaller than  $\varepsilon/2$  for every  $z \in \Omega \cap \mathbb{D}$ . In particular, if  $U \subset \Omega \cap \mathbb{D}$  this holds for every  $z \in U$ . Since  $\|a\|_\infty \leq 1$ , Lemma 5.3 gives

$$\|ST_{a\chi_U}\|^2 \leq C_p^2 \sup\{\|S_z 1\|_p : z \in U\} \sup_D \|S_\omega^* 1\|_p \leq C_p^2 c \varepsilon^{1/p},$$

where  $c$  comes from (5.6) with  $S^*$  instead of  $S$ , and  $C_p$  is the constant of Lemma 5.3. To prove the first inequality of (5.4) observe that  $B_0 S^* = \overline{B_0 S}$  also satisfies the hypothesis of the proposition and  $\|T_{a\chi_U} S\| = \|S^* T_{\bar{a}\chi_U}\|$ . ■

### 5.2. Approximation properties of the $k$ -Berezin transforms

**Lemma 5.5** *Suppose that  $\{S_k\}$  is a bounded sequence in  $\mathfrak{L}(L_a^2)$  such that  $\|B_0 S_k\|_\infty \rightarrow 0$  when  $k \rightarrow \infty$ . Then*

$$\sup_{z \in D} |(S_k)_z 1| \rightarrow 0$$

*uniformly on compact subsets of  $\mathbb{D}$  when  $k \rightarrow \infty$ .*

**Proof.** Since there is a constant  $C$  such that  $\|S_k\| \leq C$  for every  $k$ , then it is enough to prove that for every  $S \in \mathfrak{L}(L_a^2)$ ,  $\eta > 0$  and  $r \in (0, 1)$ , there is a function  $c(r, \eta) > 0$ , independent of  $S$ , such that

$$(5.7) \quad \sup_{z \in D} |(S_z 1)(u)| \leq c(r, \eta) \|B_0 S\|_\infty + \eta \|S\|$$

when  $u \in r\mathbb{D}$ .

Since

$$(5.8) \quad K_z^{(0)}(w) = \sum_{m=0}^{\infty} (m+1) \bar{z}^m \omega^m,$$

then for  $z, \lambda \in \mathbb{D}$  we have

$$(B_0 S)(\varphi_z(\lambda)) = (B_0 S_z)(\lambda) = (1 - |\lambda|^2)^2 \sum_{j,m=0}^{\infty} (j+1)(m+1) \langle S_z \omega^j, \omega^m \rangle \bar{\lambda}^j \lambda^m,$$

where the first equality comes from Lemma 2.2. Then, for  $0 < \delta < 1/2$  (to be chosen later) we obtain

$$\begin{aligned} \int_{\delta D} \frac{(B_0 S)(\varphi_z(\lambda)) \bar{\lambda}^n}{(1 - |\lambda|^2)^2} dA(\lambda) &= \sum_{j,m=0}^{\infty} (j+1)(m+1) \langle S_z \omega^j, \omega^m \rangle \int_{\delta D} \bar{\lambda}^{j+n} \lambda^m dA(\lambda) \\ &= \sum_{j=0}^{\infty} (j+1) \langle S_z \omega^j, \omega^{j+n} \rangle \delta^{2j+2n+2} \\ &= \delta^{2n+2} \left( \langle S_z 1, \omega^n \rangle + \sum_{j=1}^{\infty} (j+1) \langle S_z \omega^j, \omega^{j+n} \rangle \delta^{2j} \right). \end{aligned}$$

Since  $0 < \delta < 1/2$  and  $\|\omega^j\| = (j+1)^{-1/2}$  then

$$\begin{aligned} |\langle S_z 1, \omega^n \rangle| &\leq \frac{1}{\delta^{2n+2}} \|B_0 S\|_{\infty} \int_{\delta D} \frac{\delta^n dA(\lambda)}{(1 - |\lambda|^2)^2} + \|S\| \sum_{j=1}^{\infty} (j+1) \|\omega^j\| \|\omega^{j+n}\| \delta^{2j} \\ (5.9) \quad &\leq 2\delta^{-n} \|B_0 S\|_{\infty} + \delta \|S\|, \end{aligned}$$

where the last inequality holds because  $\sum_{j=1}^{\infty} \delta^{2j} \leq \delta$  when  $0 < \delta < 1/2$ . By (5.8)

$$(S_z 1)(u) = \langle S_z 1, K_u^{(0)} \rangle = \sum_{n \geq 0} (n+1) \langle S_z 1, \omega^n \rangle u^n,$$

implying that

$$(5.10) \quad |(S_z 1)(u)| \leq \sum_{0 \leq n \leq N-1} (n+1) |\langle S_z 1, \omega^n \rangle| + \sum_{n \geq N} (n+1)^{1/2} \|S_z\| r^n$$

for  $z \in \mathbb{D}$ ,  $u \in r\mathbb{D}$  and  $N \geq 1$ . Since  $r \in (0, 1)$  we can fix some integer  $N = N(r, \eta)$  big enough so that the second sum is bounded by  $(\eta/2) \|S\|$ . Using (5.9) in (5.10) we get

$$\begin{aligned} |(S_z 1)(u)| &\leq N \sum_{0 \leq n \leq N-1} |\langle S_z 1, \omega^n \rangle| + (\eta/2) \|S\| \\ &\leq 2N^2 \delta^{-N} \|B_0 S\|_{\infty} + N^2 \delta \|S\| + (\eta/2) \|S\| \end{aligned}$$

for  $z \in \mathbb{D}$  and  $u \in r\mathbb{D}$ . Choosing  $\delta = \delta(r, \eta) < \min\{\eta/2N^2, 1/2\}$  we obtain (5.7) with  $c(r, \eta) = 2N^2 \delta^{-N}$ . ■

**Lemma 5.6** *Let  $\{S_k\}$  be a sequence in  $\mathfrak{L}(L_a^2)$  such that for some  $p' > 4$ ,*

$$(5.11) \quad \|B_0 S_k\|_\infty \rightarrow 0, \quad \text{when } k \rightarrow \infty,$$

$$(5.12) \quad \sup_{z \in D} \|(S_k)_z 1\|_{p'} \leq C \quad \text{and} \quad \sup_{\omega \in D} \|(S_k^*)_\omega 1\|_{p'} \leq C,$$

where  $C > 0$  does not depend on  $k$ . Then

$$\|S_k\|_{\mathfrak{L}(L_a^2)} \rightarrow 0 \quad \text{when } k \rightarrow \infty.$$

**Proof.** By (5.12) and Lemma 5.3 with  $a = b = 1$ ,

$$\|S_k\|_{\mathfrak{L}(L_a^2)} \leq C_{p'} \sup_{z \in D} \{ \|(S_k)_z 1\|_{p'} \}^{1/2} \sup_{\omega \in D} \{ \|(S_k^*)_\omega 1\|_{p'} \}^{1/2} \leq C_{p'} C.$$

Hence,  $\{S_k\}$  is a bounded sequence in  $\mathfrak{L}(L_a^2)$  that satisfies (5.11). Under these conditions Lemma 5.5 says that

$$(5.13) \quad \sup_{z \in D} |(S_k)_z 1| \rightarrow 0 \quad \text{uniformly on compact sets of } \mathbb{D}.$$

Let  $p$  with  $4 < p < p'$ . By (5.3)

$$\sup_{z \in D} \|(S_k)_z 1\|_p^p \leq \sup_{z \in D} \|(S_k)_z 1\|_{p'}^p (1-r)^{1-\frac{p}{p'}} + \sup_{z \in D} \|[(S_k)_z 1] \chi_{rD}\|_p^p$$

for every  $0 < r < 1$ . By (5.12) the first member of the sum is bounded by

$$C^p (1-r)^{1-\frac{p}{p'}},$$

which can be made small by taking  $r$  close to 1, and by (5.13) the second member of the sum tends to 0 as  $k \rightarrow \infty$ . Therefore,

$$\sup_{z \in D} \|(S_k)_z 1\|_p \rightarrow 0 \quad \text{when } k \rightarrow \infty$$

for every  $p \in (4, p')$ . Using again Lemma 5.3, this time with  $p$  instead of  $p'$ , we obtain

$$\begin{aligned} \|S_k\|_{\mathfrak{L}(L_a^2)} &\leq C_p \sup_{z \in D} \{ \|(S_k)_z 1\|_p \}^{1/2} \sup_{\omega \in D} \{ \|(S_k^*)_\omega 1\|_p \}^{1/2} \\ &\leq C_p \sup_{z \in D} \{ \|(S_k)_z 1\|_p \}^{1/2} C^{1/2} \rightarrow 0 \end{aligned}$$

when  $k \rightarrow \infty$ , where the last inequality holds by (5.12), since  $\| \cdot \|_p \leq \| \cdot \|_{p'}$ . ■

**Theorem 5.7** *If  $a \in L^\infty(\mathbb{D})$  then  $T_{B_k(a)} \rightarrow T_a$  in operator norm when  $k \rightarrow \infty$ . In particular,  $\mathfrak{T}(\mathcal{A}) = \mathfrak{T}(L^\infty(\mathbb{D}))$ .*

**Proof.** Write  $S_k = T_{B_k(a)} - T_a$ . Since Corollary 2.7 says that  $B_0B_k = B_kB_0$  on  $\mathfrak{L}(L^2_a)$  then

$$B_0S_k = B_0T_{B_k(a)} - B_0T_a = B_0B_k(a) - B_0(a) = B_kB_0(a) - B_0(a),$$

which tends uniformly to 0 when  $k \rightarrow \infty$  because  $B_0(a) \in \mathcal{A}$ . That is,  $\{S_k\}$  satisfies (5.11). On the other hand, if  $p' > 4$  then

$$\|(S_k)_z 1\|_{p'} = \|P_+ M_{(B_k(a)-a) \circ \varphi_z} 1\|_{p'} \leq c_{p'} (\|B_k(a)\|_\infty + \|a\|_\infty) \leq 2c_{p'} \|a\|_\infty,$$

where  $c_{p'}$  is the norm of the analytic projection  $P_+$  acting on  $L^{p'}(\mathbb{D})$  (see [21, p. 54]). Since

$$(S_k^*)_z = P_+ M_{\overline{(B_k(a)-a)} \circ \varphi_z}$$

then also

$$\|(S_k^*)_z 1\|_{p'} \leq 2c_{p'} \|a\|_\infty.$$

So,  $\{S_k\}$  satisfies (5.12) and Lemma 5.6 then says that  $\|S_k\|_{\mathfrak{L}(L^2_a)} \rightarrow 0$  as  $k \rightarrow \infty$ . ■

**Remark 5.8** An obvious consequence of the theorem is that Theorems 4.5 and 4.9 hold for  $S \in \mathfrak{T}(L^\infty(\mathbb{D}))$ . The argument of Theorem 5.7 works word by word for any  $S \in \mathfrak{L}(L^2_a)$  such that  $T_{B_k}S - S$  satisfies (5.12) for some  $p' > 4$ . So,  $T_{B_k}S \rightarrow S$  for such  $S$ . Maybe this holds for every  $S \in \mathfrak{T}_0(L^\infty(\mathbb{D}))$ , which would imply that  $\mathfrak{T}(L^\infty(\mathbb{D}))$  is the closure of  $\{T_a : a \in \mathcal{A}\}$ .

### 6. Abelianization

**Lemma 6.1** *Let  $F \subset M(\mathcal{A}) \setminus \mathbb{D}$  be a closed saturated set,  $\Omega \subset M(\mathcal{A})$  an open neighborhood of  $F$  and  $k \geq 0$  an integer. Write  $U = \Omega \cap \mathbb{D}$  and  $\mathfrak{F} = \{a \in L^\infty(\mathbb{D}) : a \equiv 0 \text{ on } U\}$ . Then*

$$B_k a \equiv 0 \text{ on } F \text{ for every } a \in \mathfrak{F}.$$

*In particular, if  $\mathcal{B}$  is a hyperbolic algebra and  $F = \pi^{-1}(\Gamma_{\mathcal{B}})$  then  $B_k a \in \mathcal{B}$  and  $T_a \in \mathfrak{T}(\mathcal{B})$ .*

**Proof.** By Lemma 4.8 it is enough to prove the lemma for  $k = 0$ . Let  $x \in F$  and take a net  $(z_\alpha)$  in  $\mathbb{D}$  such that  $z_\alpha \rightarrow x$ . We claim that for every  $r \in (0, 1)$  there is  $\alpha_0 = \alpha_0(r)$  such that  $\varphi_{z_\alpha}(r\mathbb{D}) \subset \Omega$  for  $\alpha \geq \alpha_0$ . Otherwise there is a subnet  $(z_{\alpha_\beta})$  and points  $\xi_\beta \in r\mathbb{D}$  such that  $\varphi_{z_{\alpha_\beta}}(\xi_\beta) \notin \Omega$  for all  $\beta$ . We can assume that  $\xi_\beta \rightarrow \xi_0$ , with  $|\xi_0| \leq r$ . If  $f \in \mathcal{A}$ , the inequality

$$|f(\varphi_{z_{\alpha_\beta}}(\xi_\beta)) - f(\varphi_x(\xi_\beta))| \leq |f(\varphi_{z_{\alpha_\beta}}(\xi_\beta)) - f(\varphi_{z_{\alpha_\beta}}(\xi_0))| + |f(\varphi_{z_{\alpha_\beta}}(\xi_0)) - f(\varphi_x(\xi_0))|$$

and the uniform  $\rho$ -continuity of  $f$  imply that  $f(\varphi_{z_{\alpha_\beta}}(\xi_\beta)) \rightarrow f(\varphi_x(\xi_0))$ .

Therefore

$$\varphi_{z_{\alpha\beta}}(\xi_\beta) \rightarrow \varphi_x(\xi_0) \in H(x) \subset F,$$

and since  $\Omega$  is a neighborhood of  $F$  then  $\varphi_{z_{\alpha\beta}}(\xi_\beta) \in \Omega$  for  $\beta \geq \beta_0$ , a contradiction. So, if  $a \in \mathfrak{F}$  and  $0 < r < 1$ , there is  $\alpha_0$  such that  $(a \circ \varphi_{z_\alpha})(\omega) = 0$  for  $|\omega| < r$  and  $\alpha \geq \alpha_0$ . Hence for  $\alpha \geq \alpha_0$ ,

$$|(B_0a)(z_\alpha)| \leq \int_D |(a \circ \varphi_{z_\alpha})(\omega)| dA(\omega) = \int_{D \setminus rD} |(a \circ \varphi_{z_\alpha})(\omega)| dA(\omega) \leq \|a\|_\infty(1-r^2),$$

which can be made arbitrarily small by taking  $r$  close enough to 1. Therefore  $(B_0a)(z_\alpha) \rightarrow 0$ , but since also  $(B_0a)(z_\alpha) \rightarrow (B_0a)(x)$  then  $(B_0a)(x) = 0$ , and this happens for all  $x \in F$ .

Now suppose that  $F = \pi^{-1}(\Gamma_{\mathcal{B}})$ , with  $\mathcal{B}$  a hyperbolic algebra. Since  $B_k a \in \mathcal{A}$  identically vanishes on  $\pi^{-1}(\Gamma_{\mathcal{B}})$  then  $B_k a \in \mathcal{B}$ . Consequently  $T_{B_k a} \in \mathfrak{T}(\mathcal{B})$ , and since by Theorem 5.7,  $T_{B_k a} \rightarrow T_a$  as  $k \rightarrow \infty$ , then so is  $T_a$ . ■

Let  $F \subset M(\mathcal{A})$  be a closed set. A set  $U \subset \mathbb{D}$  will be called a relative neighborhood of  $F$  if there is some open neighborhood  $\Omega \subset M(\mathcal{A})$  of  $F$  such that  $U = \Omega \cap \mathbb{D}$ . Since the disk is dense in  $M(\mathcal{A})$  and  $\Omega$  is open, it is clear that  $\overline{U}^{M(\mathcal{A})}$  contains  $\Omega$ , and consequently it is a neighborhood of  $F$ . Also, for  $V \subset \mathbb{D}$  we will denote  $V^c = \mathbb{D} \setminus V$ .

**Lemma 6.2** *Let  $S = \sum_{i=1}^m \prod_{j=1}^{n_i} T_{a_j^i}$ , with  $a_j^i \in L^\infty(\mathbb{D})$  for  $1 \leq i \leq m$  and  $1 \leq j \leq n_i$ , and  $F \subset M(\mathcal{A})$  be a closed saturated set such that  $B_0 S \equiv 0$  on  $F$ . Then given  $\varepsilon > 0$  there exist relative neighborhoods  $U, V$  of  $F$  such that*

$$\left\| S - \left( \sum_{i=1}^m \prod_{j=1}^{n_i} T_{a_j^i \chi_{V^c}} \right) T_{\chi_{U^c}} \right\| < \varepsilon.$$

**Proof.** Without loss of generality we can assume that  $\|a_j^i\|_\infty \leq 1$  for every  $i, j$ . By Proposition 5.4 there is a relative neighborhood  $U$  of  $F$  such that

$$(6.1) \quad \|S - ST_{\chi_{U^c}}\| = \|ST_{\chi_U}\| < \varepsilon.$$

By Lemma 6.1 and (4.2), for  $1 \leq i \leq m$  each of the operators

$$S_k^i \stackrel{\text{def}}{=} \left( \prod_{j=k}^{n_i} T_{a_j^i} \right) T_{\chi_{U^c}}, \quad 1 \leq k \leq n_i, \quad S_{n_i+1}^i = T_{\chi_{U^c}}$$

satisfies  $B_0 S_k^i = 0$  on  $F$ . Hence, a new use of Proposition 5.4 provides a relative neighborhood  $V$  of  $F$  such that

$$\|T_{a_k^i \chi_V} S_{k+1}^i\| \leq \varepsilon$$

for every  $1 \leq i \leq m$  and  $1 \leq k \leq n_i$ .



Indeed, the proposition says that there are relative neighborhoods  $V_k^i$  of  $F$  that satisfy the inequality for each  $i$  and  $k$ , but it also says that their intersection satisfies the inequality. Therefore

$$\begin{aligned} & \|T_{a_1^i \chi_{V^c}} \cdots T_{a_{k-1}^i \chi_{V^c}} S_k^i - T_{a_1^i \chi_{V^c}} \cdots T_{a_k^i \chi_{V^c}} S_{k+1}^i\| \\ &= \|T_{a_1^i \chi_{V^c}} \cdots T_{a_{k-1}^i \chi_{V^c}} T_{a_k^i} S_{k+1}^i - T_{a_1^i \chi_{V^c}} \cdots T_{a_k^i \chi_{V^c}} S_{k+1}^i\| \\ &\leq \|T_{a_1^i \chi_{V^c}} \cdots T_{a_{k-1}^i \chi_{V^c}}\| \|(T_{a_k^i} - T_{a_k^i \chi_{V^c}}) S_{k+1}^i\| \\ &\leq \|T_{a_k^i \chi_V} S_{k+1}^i\| < \varepsilon, \end{aligned}$$

which leads to

$$\begin{aligned} & \|T_{a_1^i} \cdots T_{a_{n_i}^i} T_{\chi_{U^c}} - T_{a_1^i \chi_{V^c}} \cdots T_{a_{n_i}^i \chi_{V^c}} T_{\chi_{U^c}}\| \\ &\leq \sum_{k=1}^{n_i} \|T_{a_1^i \chi_{V^c}} \cdots T_{a_{k-1}^i \chi_{V^c}} S_k^i - T_{a_1^i \chi_{V^c}} \cdots T_{a_k^i \chi_{V^c}} S_{k+1}^i\| < n_i \varepsilon. \end{aligned}$$

Therefore

$$\left\| \left( \sum_{i=1}^m \prod_{j=1}^{n_i} T_{a_j^i} \right) T_{\chi_{U^c}} - \left( \sum_{i=1}^m \prod_{j=1}^{n_i} T_{a_j^i \chi_{V^c}} \right) T_{\chi_{U^c}} \right\| \leq \sum_{i=1}^m n_i \varepsilon.$$

Since  $ST_{\chi_{U^c}} = (\sum_{i=1}^m \prod_{j=1}^{n_i} T_{a_j^i}) T_{\chi_{U^c}}$  and  $\varepsilon > 0$  is arbitrary, the lemma follows from (6.1) and the above inequality. ■

If  $\mathcal{B} \subset L^\infty(\mathbb{D})$  is a subalgebra, we write  $\mathfrak{C}_0(\mathcal{B})$  for the bilateral ideal of  $\mathfrak{T}_0(\mathcal{B})$  generated by commutators  $[T_a, T_b] = T_a T_b - T_b T_a$ , with  $a, b \in \mathcal{B}$ . Therefore,  $\mathfrak{C}(\mathcal{B})$  is the closure of  $\mathfrak{C}_0(\mathcal{B})$  in  $\mathfrak{L}(L_a^2)$ .

**Lemma 6.3** *Let  $\mathcal{B}$  be a hyperbolic algebra. If  $S \in \mathfrak{C}_0(L^\infty(\mathbb{D}))$  is such that  $B_0 S \in \mathcal{B}$  and  $\widehat{B_0 S^\mathcal{B}} \equiv 0$  on  $\Gamma_{\mathcal{B}}$  then  $S \in \mathfrak{C}(\mathcal{B})$ .*

**Proof.** By hypothesis

$$S = \sum_{i=1}^m T_{b_1^i} \cdots T_{b_{n_i}^i} [T_{a_1^i}, T_{a_2^i}] T_{c_1^i} \cdots T_{c_{k_i}^i},$$

where  $n_i, k_i$  and  $m$  are some positive integers and all the symbols are in  $L^\infty(\mathbb{D})$ . If  $\widehat{B_0 S^\mathcal{B}} \equiv 0$  on  $\Gamma_{\mathcal{B}}$ , Lemma 6.2 says that given  $\varepsilon > 0$  there are relative neighborhoods  $U, V$  of  $\Gamma_{\mathcal{B}}$  such that if

$$R = \sum_{i=1}^m T_{b_1^i \chi_{V^c}} \cdots T_{b_{n_i}^i \chi_{V^c}} [T_{a_1^i \chi_{V^c}}, T_{a_2^i \chi_{V^c}}] T_{c_1^i \chi_{V^c}} \cdots T_{c_{k_i}^i \chi_{V^c}} T_{\chi_{U^c}}$$

then  $\|S - R\| < \varepsilon$ . By Lemma 6.1 every Toeplitz operator involved in the last expression is in  $\mathfrak{T}(\mathcal{B})$ . So,  $R \in \mathfrak{C}(\mathcal{B})$  and then so is  $S$ . ■

It is well known that if  $\mathcal{B}, \mathcal{D}$  are  $C^*$ -algebras and  $\phi$  is a  $*$ -homomorphism from  $\mathcal{B}$  to  $\mathcal{D}$ , then  $\|\phi\| \leq 1$  and  $\phi$  is an isometry if and only if  $\phi$  is one-to-one [13, p. 100].

**Theorem 6.4** *If  $\mathcal{B}$  is a hyperbolic algebra then*

- (1)  $\mathfrak{C}(\mathcal{B}) = \{S \in \mathfrak{T}(\mathcal{B}) : \widehat{B_0 S^{\mathcal{B}}} \equiv 0 \text{ on } \Gamma_{\mathcal{B}}\} = \{S \in \mathfrak{T}(\mathcal{B}) : \widehat{S}_y^{\mathcal{B}} = 0 \text{ for all } y \in \Gamma_{\mathcal{B}}\}$ .
- (2)  $S - T_{B_0 S} \in \mathfrak{C}(\mathcal{B})$  for every  $S \in \mathfrak{T}(\mathcal{B})$ .
- (3) The  $C^*$ -algebras  $\mathfrak{T}(\mathcal{B})/\mathfrak{C}(\mathcal{B})$  and  $C(\Gamma_{\mathcal{B}})$  are isomorphic via  $\phi : S + \mathfrak{C}(\mathcal{B}) \mapsto \widehat{B_0 S^{\mathcal{B}}}|_{\Gamma_{\mathcal{B}}}$ .

**Proof.** (1). The equality of the last two sets follows from Corollary 4.7. Suppose first that  $S \in \mathfrak{C}_0(\mathcal{B})$ , so

$$S = \sum_{1 \leq i \leq n} A_i [T_{a_i}, T_{b_i}] B_i,$$

where  $a_i, b_i \in \mathcal{B}$  and  $A_i, B_i \in \mathfrak{T}_0(\mathcal{B})$ . If  $x \in \pi^{-1}(\Gamma_{\mathcal{B}})$  then  $a_i \circ \varphi_x$  and  $b_i \circ \varphi_x$  are constant functions for all  $1 \leq i \leq n$ . By (4.2) then

$$S_x = \sum_{1 \leq i \leq n} (A_i)_x [T_{a_i \circ \varphi_x}, T_{b_i \circ \varphi_x}] (B_i)_x = 0.$$

Since every  $S \in \mathfrak{C}(\mathcal{B})$  can be approximated by operators of this form, then  $S_x = 0$  for every  $x \in \pi^{-1}(\Gamma_{\mathcal{B}})$ . By Corollary 4.7 then  $B_0 S \equiv 0$  on  $\pi^{-1}(\Gamma_{\mathcal{B}})$ , which is another way to say that  $\widehat{B_0 S^{\mathcal{B}}} \equiv 0$  on  $\Gamma_{\mathcal{B}}$ . This proves the inclusion of the first set into the second one.

Suppose now that  $S \in \mathfrak{T}(\mathcal{B})$  and  $\widehat{B_0 S^{\mathcal{B}}} \equiv 0$  on  $\Gamma_{\mathcal{B}}$ . We can assume that  $\|S\| = 1$ . Let  $0 < \varepsilon < 1$  and take  $Q \in \mathfrak{T}_0(\mathcal{B})$  such that  $\|Q - S\| < \varepsilon$ . Since  $Q \in \mathfrak{T}(\mathcal{B})$  then  $\widehat{Q}_y^{\mathcal{B}} = \lambda I$  and  $(\widehat{B_0 Q})^{\mathcal{B}}(y) = \lambda$  for every  $y \in \Gamma_{\mathcal{B}}$ , where  $\lambda \in \mathbb{C}$  depends on  $y$ . Thus

$$(\widehat{T_{B_0 Q}}^{\mathcal{B}})_y = \lim_{z \rightarrow y} T_{(B_0 Q) \circ \varphi_z} = T_{(\widehat{B_0 Q})^{\mathcal{B}}(y)} = \lambda I.$$

Then

$$B_0(Q - T_{B_0 Q})^{\mathcal{B}} \equiv 0 \quad \text{on } \Gamma_{\mathcal{B}}$$

by Corollary 4.7, and since  $\widehat{B_0 S^{\mathcal{B}}} \equiv 0$  on  $\Gamma_{\mathcal{B}}$  then

$$B_0(\widehat{T_{B_0 S}})^{\mathcal{B}} \equiv 0 \quad \text{on } \Gamma_{\mathcal{B}}$$

by the same corollary.

So, if

$$S_1 = Q - T_{B_0Q} + T_{B_0S}$$

then  $\widehat{B_0S_1}^{\mathcal{B}} \equiv 0$  on  $\Gamma_{\mathcal{B}}$  and

$$(6.2) \quad \|S_1 - S\| \leq \|Q - S\| + \|T_{B_0S} - T_{B_0Q}\| \leq 2\|Q - S\| < 2\varepsilon.$$

In [20, Thm. 1.1] it is proved that

$$\mathfrak{C}(L^\infty(\mathbb{D})) = \mathfrak{T}(L^\infty(\mathbb{D})),$$

so it contains the identity  $I$ .

Since Theorem 5.7 implies that  $\mathfrak{C}(L^\infty(\mathbb{D})) = \mathfrak{C}(\mathcal{A})$  then  $I \in \mathfrak{C}(\mathcal{A})$ . Consequently there is  $R \in \mathfrak{C}_0(\mathcal{A})$  such that  $\|R - I\| < \varepsilon$ . Thus

$$(6.3) \quad \|RS_1 - S_1\| \leq \|R - I\| \|S_1\| < \varepsilon(\|S\| + 2\varepsilon) < 3\varepsilon.$$

Since  $B_0S_1 \equiv 0$  on  $\pi^{-1}(\Gamma_{\mathcal{B}})$ , Corollary 4.7 says that  $(S_1)_x = 0$  for all  $x \in \pi^{-1}(\Gamma_{\mathcal{B}})$ . By (4.2) then  $(RS_1)_x = R_x(S_1)_x = 0$  for all  $x \in \pi^{-1}(\Gamma_{\mathcal{B}})$ , which means that

$$B_0(RS_1) \in \mathcal{B} \quad \text{and} \quad \widehat{B_0(RS_1)}^{\mathcal{B}} \equiv 0 \quad \text{on } \Gamma_{\mathcal{B}}.$$

But since  $R \in \mathfrak{C}_0(\mathcal{A})$  and  $S_1 \in \mathfrak{T}_0(\mathcal{A})$  then  $RS_1 \in \mathfrak{C}_0(\mathcal{A})$ , which together with Lemma 6.3 gives  $RS_1 \in \mathfrak{C}(\mathcal{B})$ . By (6.2) and (6.3),  $\|RS_1 - S\| < 5\varepsilon$  and (1) follows.

(2). Let  $y \in \Gamma_{\mathcal{B}}$ . Since  $S \in \mathfrak{T}(\mathcal{B})$  then  $\widehat{S}_y^{\mathcal{B}} = \lambda I$ . Thus

$$\widehat{(B_0S)}^{\mathcal{B}}(y) = \lambda \quad \text{and} \quad \widehat{(T_{B_0S})}_y^{\mathcal{B}} = T_{\widehat{(B_0S)}^{\mathcal{B}}(y)} = \lambda I.$$

The result then follows from (1).

(3). By (1) the map  $\phi$  is well-defined and one-to-one. It is clear that  $\phi$  is  $*$ -linear. Suppose that  $S, T \in \mathfrak{T}(\mathcal{B})$  and  $y \in \Gamma_{\mathcal{B}}$ . Then

$$\widehat{S}_y^{\mathcal{B}} = \lambda_S I \quad \text{and} \quad \widehat{T}_y^{\mathcal{B}} = \lambda_T I$$

for some  $\lambda_S, \lambda_T \in \mathbb{C}$  that depend on  $y$ . Hence

$$\begin{aligned} \widehat{B_0(ST)}^{\mathcal{B}}(y) &= \lim_{z \rightarrow y} \langle S_z T_z 1, 1 \rangle = \langle \widehat{S}_y^{\mathcal{B}} \widehat{T}_y^{\mathcal{B}} 1, 1 \rangle \\ &= \langle \lambda_S \lambda_T 1, 1 \rangle = \lambda_S \lambda_T = \widehat{(B_0S)}^{\mathcal{B}}(y) \widehat{(B_0T)}^{\mathcal{B}}(y), \end{aligned}$$

and  $\phi$  is multiplicative. If  $f \in C(\Gamma_{\mathcal{B}})$  we can extend  $f$  to a continuous function  $F$  on  $M(\mathcal{B})$ . Therefore  $F \in \mathcal{B}$  and

$$\phi(T_F + \mathfrak{C}(\mathcal{B})) = \widehat{B_0F}^{\mathcal{B}}|_{\Gamma_{\mathcal{B}}} = f.$$

So,  $\phi$  is onto. ■

**Theorem 6.5** *Let  $\mathcal{B}$  be a hyperbolic algebra and  $S \in \mathfrak{T}_0(L^\infty(\mathbb{D}))$ . Then*

- (1)  $S \in \mathfrak{T}(\mathcal{B})$  if and only if  $B_0S \in \mathcal{B}$ .
- (2)  $S \in \mathfrak{C}(\mathcal{B})$  if and only if  $\widehat{B_0S}^\mathcal{B} \equiv 0$  on  $\Gamma_\mathcal{B}$ .

**Proof.** (1). We know the necessity from Theorem 4.9. Suppose that

$$S = \sum_{i=1}^m \prod_{j=1}^{n_i} T_{a_j^i},$$

where all  $a_j^i \in L^\infty(\mathbb{D})$ , and  $B_0S \in \mathcal{B}$ . Then  $T_{B_0S} \in \mathfrak{T}(\mathcal{B})$  and

$$B_0(S - T_{B_0S})^\wedge^\mathcal{B} \equiv 0 \quad \text{on } \Gamma_\mathcal{B}.$$

Consequently Lemma 6.2 tells us that given  $\varepsilon > 0$  there are relative neighborhoods  $U, V$  of  $\Gamma_\mathcal{B}$  such that

$$\|S - T_{B_0S} - \sum_{i=1}^m \prod_{j=1}^{n_i} T_{a_j^i \chi_{V^c}} T_{\chi_{U^c}} + T_{(B_0S)\chi_{V^c}} T_{\chi_{U^c}}\| < \varepsilon.$$

By Lemma 6.1,

$$T_{a_j^i \chi_{V^c}}, T_{\chi_{U^c}}, T_{(B_0S)\chi_{V^c}} \in \mathfrak{T}(\mathcal{B})$$

for all  $1 \leq i \leq m$  and  $1 \leq j \leq n_i$ . Therefore  $S \in \mathfrak{T}(\mathcal{B})$ .

(2). The necessity follows from (1) of Theorem 6.4. For the sufficiency, observe that it is implicit in the condition  $\widehat{B_0S}^\mathcal{B} \equiv 0$  on  $\Gamma_\mathcal{B}$  that  $B_0S \in \mathcal{B}$ . By the previous assertion then  $S \in \mathfrak{T}(\mathcal{B})$ . So, (1) of Theorem 6.4 says that  $S \in \mathfrak{C}(\mathcal{B})$ . ■

If  $\mathcal{B}$  is a hyperbolic algebra and  $a \in \mathcal{A}$ , then  $a \in \mathcal{B}$  if and only if  $B_0a \in \mathcal{B}$ . Therefore the theorem says that  $T_a \in \mathfrak{T}(\mathcal{B})$  if and only if  $a \in \mathcal{B}$  and that  $T_a \in \mathfrak{C}(\mathcal{B})$  if and only if  $a \equiv 0$  on  $\pi^{-1}(\Gamma_\mathcal{B})$ .

The algebra  $C(\overline{\mathbb{D}})$ , of continuous functions on the closed disk is hyperbolic, its maximal ideal space identifies with  $\overline{\mathbb{D}}$ , and it is immediate that  $\Gamma_{C(\overline{\mathbb{D}})} = \partial\mathbb{D}$  via this identification. Since by Coburn’s theorem  $\mathfrak{C}(C(\overline{\mathbb{D}}))$  is the ideal of compact operators, then part (2) of the theorem says that  $S \in \mathfrak{T}_0(L^\infty(\mathbb{D}))$  is compact if and only if

$$(B_0S)(z) \rightarrow 0 \quad \text{as } |z| \rightarrow 1^-.$$

That is, we recover the theorem of Axler and Zheng [4, Thm. 2.2]. It is clear that the above condition is equivalent to  $S_x = 0$  for all  $x \in M(\mathcal{A}) \setminus \mathbb{D}$ , or what is the same,  $S_z \rightarrow 0$  in the SOT-topology when  $|z| \rightarrow 1$ .

## 7. Applications

### 7.1. Continuous functions up to a boundary set

Suppose that  $E \subset \partial\mathbb{D}$  is a closed set and consider the algebra  $C_E$  formed by the functions of  $\mathcal{A}$  that extend continuously to  $E$ . Then  $C_E$  is a hyperbolic algebra. If  $id \in \mathcal{A}$  denotes the function  $id(z) = z$  and for  $\lambda \in \partial\mathbb{D}$  we write

$$M_\lambda = \{x \in M(\mathcal{A}) : id(x) = \lambda\}$$

for the fiber of  $\lambda$  over  $M(\mathcal{A})$ , then  $M(C_E)$  consists of  $M(\mathcal{A})/\sim$ , where  $\sim$  is the equivalence relation that collapses  $M_\lambda$  to a single point (depending on  $\lambda$ ) for each  $\lambda \in E$ . Thus,  $\Gamma_{C_E}$  can be identified with  $E$ . Theorem 6.4 then says that

$$\mathfrak{C}(C_E) = \{S \in \mathfrak{T}(C_E) : \lim_{z \rightarrow E} (B_0 S)(z) = 0\} \text{ and } \mathfrak{T}(C_E)/\mathfrak{C}(C_E) \simeq C(E).$$

As mentioned before, when  $E = \partial\mathbb{D}$ , the above isomorphism is part of Coburn’s theorem. Now consider the algebra  $CL_E^\infty$  formed by the functions in  $L^\infty(\mathbb{D})$  that extend continuously to  $E$ . Since  $CL_E^\infty \not\subset \mathcal{A}$ , it is not a hyperbolic algebra. So, at a first sight it is not possible to apply our results to this algebra. Fortunately, Theorem 5.7 gives us a way to overcome this apparent difficulty. In fact, it is easy to prove that if  $f \in CL_E^\infty$  then  $B_k f \in C_E$  for every  $k \geq 0$  and  $(B_k f)(\lambda) = f(\lambda)$  for  $\lambda \in E$ . By Theorem 5.7 then  $\mathfrak{T}(C_E) = \mathfrak{T}(CL_E^\infty)$  and  $\mathfrak{C}(C_E) = \mathfrak{C}(CL_E^\infty)$ .

### 7.2. The McDonald-Sundberg Theorem

Let  $\mathcal{U}$  be the  $C^*$ -subalgebra of  $L^\infty(\mathbb{D})$  generated by  $H^\infty = \{f \in L^\infty(\mathbb{D}) : f \text{ is analytic}\}$ . The celebrated corona theorem of Carleson [10] states that  $\mathbb{D}$  is dense in  $M(H^\infty)$ , the maximal ideal space of  $H^\infty$ . This translates into the alternative description of  $\mathcal{U}$  as  $C(M(H^\infty))$ . Since Schwarz Lemma implies that  $H^\infty \subset \mathcal{A}$  then  $\mathcal{U} \subset \mathcal{A}$ . Therefore  $\mathcal{U}$  is a prehyperbolic algebra and we aim to prove that it is hyperbolic.

Clearly, every interpolating sequence for  $H^\infty$  is interpolating for  $\mathcal{U}$ . The interpolating sequences for  $H^\infty$  were characterized by Carleson in [9]. Suppose that  $x \in M(H^\infty) \setminus \mathbb{D}$  is in the closure of some interpolating sequence  $\{z_n\}$  for  $H^\infty$ , where we can assume that  $z_n \neq 0$  for all  $n \geq 1$ . It is known that the infinite product

$$b(\omega) = \prod_{n \geq 1} \frac{|z_n|}{z_n} \varphi_{z_n}(\omega)$$

represents a function  $b \in H^\infty$  such that  $b(z_n) = 0$  for all  $n \geq 1$ . This  $b$  is called an interpolating Blaschke product.

We also know (see [15, p. 404]) that if  $\delta \in (0, 1)$  then there is  $\varepsilon(\delta) > 0$  such that

$$|b(\omega)| \geq \varepsilon(\delta) \quad \text{for every } \omega \in \mathbb{D} \setminus \bigcup_{n \geq 1} K(z_n, \delta).$$

Thus  $x$  satisfies condition (b<sub>2</sub>) of Proposition 3.9. On the other hand, if  $x \in M(H^\infty) \setminus \mathbb{D}$  is not in the closure of any interpolating sequence for  $H^\infty$ , it is known that for every net  $(z_\alpha)$  in  $\mathbb{D}$  that tends to  $x$ ,

$$f \circ \varphi_{z_\alpha} \rightarrow \lambda \in \mathbb{C}$$

uniformly on compact sets for every  $f \in H^\infty$  (see [15, Ch. X]). Since  $\mathcal{U}$  is the  $C^*$ -algebra generated by  $H^\infty$  the same holds for every  $f \in \mathcal{U}$ . Thus  $x$  satisfies (a<sub>2</sub>) of Proposition 3.8. Consequently Corollary 3.10 tells us that  $\mathcal{U}$  is hyperbolic and that  $\Gamma_{\mathcal{U}}$  is formed by the points  $x \in M(H^\infty)$  that are not in the closure of any interpolating sequence for  $H^\infty$ . Such points are usually called ‘trivial points’ because they can be characterized as the  $x \in M(H^\infty)$  whose Gleason part (with respect to  $H^\infty$ ) is just  $\{x\}$ . For the definition and further information on Gleason parts the reader may consult the original paper of Hoffman [16] or Garnett’s book [15, Ch. X].

Theorem 6.4 now tells us that  $\mathfrak{T}(\mathcal{U})/\mathfrak{C}(\mathcal{U}) \simeq C(\Gamma_{\mathcal{U}})$ , a result obtained by McDonald and Sundberg in [17]. Theorem 6.4 also says that  $\mathfrak{C}(\mathcal{U}) = \{S \in \mathfrak{T}(\mathcal{U}) : \widehat{B_0 S^{\mathcal{U}}} \equiv 0 \text{ on } \Gamma_{\mathcal{U}}\}$  and  $S - T_{B_0 S} \in \mathfrak{C}(\mathcal{U})$ , which are recent additions to the McDonald-Sundberg Theorem discovered by Axler and Zheng [5].

### 7.3. The algebra of nontangential limits

Consider the algebra  $\mathcal{N} = \{f \in \mathcal{A} : f \text{ has nontangential limits a.e. on } \partial\mathbb{D}\}$ . It is clear that  $\mathcal{N}$  is prehyperbolic, and we are going to use Corollary 3.10 to show that it is hyperbolic. To do so we need to characterize the interpolating sequences for  $\mathcal{N}$ . For  $u \in \partial\mathbb{D}$  and  $0 < \alpha < \pi/2$  let  $\Lambda_\alpha(u) = \{u - \omega : |\arg \omega - \arg u| < \alpha, \text{ and } 0 < |u - \omega| < 1\}$  be an angular region with vertex  $u$  of total opening  $2\alpha$ . If  $V \subset \mathbb{D}$  set

$$\text{NT}_\alpha(V) = \{u \in \partial\mathbb{D} : u \in \overline{V \cap \Lambda_\alpha(u)}\} \quad \text{and} \quad \text{NT}(V) = \bigcup_{0 < \alpha < \pi/2} \text{NT}_\alpha(V).$$

Geometrically,  $\text{NT}(V)$  is the subset of  $\partial\mathbb{D}$  that can be approached nontangentially by points of  $V$ . If  $u \in \partial\mathbb{D}$ ,  $0 < r < 1$  and  $0 < \alpha < \pi/2$ , there is some  $0 < \beta < \pi/2$  depending on  $\alpha$  and  $r$  such that the  $r$ -pseudohyperbolic neighborhood of  $\Lambda_\alpha(u)$  is contained in  $\Lambda_\beta(u)$ . Thus

$$(7.1) \quad \text{NT}(V) = \text{NT}(\{z \in \mathbb{D} : \rho(z, V) \leq r\}).$$

We write  $|E|$  for the one-dimensional Lebesgue measure of  $E \subset \partial\mathbb{D}$ .

**Lemma 7.1** *A separated sequence  $\mathcal{S} = \{z_n\}$  is interpolating for  $\mathcal{N}$  if and only if  $|NT(\mathcal{S})| = 0$ . If that is the case, for any  $r > 0$  sufficiently small there exists  $f \in \mathcal{N}$  that separates  $\mathcal{S}$  from  $\mathbb{D} \setminus \cup_{n \geq 1} K(z_n, r)$ .*

**Proof.** Suppose that  $|NT(\mathcal{S})| = 0$  and  $\rho(z_n, z_m) \geq \delta > 0$  for  $n \neq m$ . By (7.1) then  $|NT(\cup_{n \geq 1} K(z_n, \delta/4))| = 0$ . Take  $f \in \mathcal{A}$  such that

$$f(z_n) = 1 \text{ for all } n \text{ and } f \equiv 0 \text{ on } \mathbb{D} \setminus \cup_{n \geq 1} K(z_n, \delta/4).$$

So,  $f$  has null nontangential limit a.e. on  $\partial\mathbb{D}$ . Thus  $f \in \mathcal{N}$  and separates  $\mathcal{S}$  from  $\mathbb{D} \setminus \cup_{n \geq 1} K(z_n, \delta/4)$ . If  $\{\eta_n\}$  is an arbitrary sequence and we take  $g \in \mathcal{A}$  such that  $g(z_n) = \eta_n$  for every  $n$  then  $fg \in \mathcal{N}$  and  $f(z_n)g(z_n) = \eta_n$  for every  $n$ . So,  $\mathcal{S}$  is interpolating for  $\mathcal{N}$ .

Now suppose that  $|NT(\mathcal{S})| > 0$ . If  $0 < \alpha_k < \alpha_{k+1} \rightarrow \pi/2$  is a strictly increasing sequence, then  $NT(\mathcal{S}) = \cup_k NT_{\alpha_k}(\mathcal{S})$ . So, there is some  $\alpha_k = \alpha$  such that  $|NT_{\alpha}(\mathcal{S})| > 0$ , and consequently there exists a compact set  $E \subset NT_{\alpha}(\mathcal{S})$  of positive measure. That is,  $u \in \Lambda_{\alpha}(u) \cap \mathcal{S}$  for every  $u \in E$ . So, if  $u \in E$  there is some  $z_n \in \Lambda_{\alpha}(u) \cap \mathcal{S}$ . Since  $\Lambda_{\alpha}(u)$  is open, it is geometrically clear that there is an open neighborhood  $I_u$  of  $u$  in  $\partial\mathbb{D}$  such that  $z_n \in \Lambda_{\alpha}(v) \cap \mathcal{S}$  for every  $v \in I_u$ . By the compactness of  $E$  there is a finite set  $\mathcal{R}_1$  in  $\mathcal{S}$  such that  $\Lambda_{\alpha}(u) \cap \mathcal{R}_1 \neq \emptyset$  for every  $u \in E$ . If  $r_1 = \max\{|z| : z \in \mathcal{R}_1\}$  and  $\mathcal{S}_1 = \{z \in \mathcal{S} : |z| \leq r_1\}$  then we also have  $\Lambda_{\alpha}(u) \cap \mathcal{S}_1 \neq \emptyset$  for every  $u \in E$ . We can repeat this process with  $\mathcal{S} \setminus \mathcal{S}_1$  instead of  $\mathcal{S}$  to obtain  $r_2 \in (r_1, 1)$  such that if  $\mathcal{S}_2 = \{z \in \mathcal{S} : r_1 < |z| \leq r_2\}$  then  $\Lambda_{\alpha}(u) \cap \mathcal{S}_2 \neq \emptyset$  for every  $u \in E$ . We keep going to construct a sequence  $0 < r_1 < \dots < r_n < \dots < 1$  such that if  $\mathcal{S}_n = \{z \in \mathcal{S} : r_{n-1} < |z| \leq r_n\}$  then

$$(7.2) \quad \Lambda_{\alpha}(u) \cap \mathcal{S}_n \neq \emptyset \text{ for every } u \in E.$$

The sequence  $\{r_n\}$  must tend to 1 because if  $r_n \leq r < 1$  for every  $n$  then  $\{z : |z| \leq r\} \cap \mathcal{S}$  is infinite, which is not possible because  $\mathcal{S}$  is separated. Now take

$$\mathcal{T}_1 = \bigcup_{j \text{ odd}} \mathcal{S}_j \quad \text{and} \quad \mathcal{T}_2 = \bigcup_{j \text{ even}} \mathcal{S}_j.$$

Since (7.2) holds for all  $n \geq 1$  then  $E \subset NT_{\alpha}(\mathcal{T}_1) \cap NT_{\alpha}(\mathcal{T}_2)$ , and since  $|E| > 0$ , the interpolation problem

$$f(z_n) = \begin{cases} 1 & \text{for } z_n \in \mathcal{T}_1 \\ 0 & \text{for } z_n \in \mathcal{T}_2 \end{cases}$$

cannot be solved by a function with nontangential limits almost everywhere on  $E$ . ■

**Theorem 7.2** *The algebra  $\mathcal{N}$  is hyperbolic. In addition,  $y \in M(\mathcal{N})$  is in  $G_{\mathcal{N}}$  if and only if  $y$  is in the closure of some interpolating sequence for  $\mathcal{N}$ .*

**Proof.** Let  $y \in M(\mathcal{N})$ . If  $y$  is in the closure of an interpolating sequence for  $\mathcal{N}$  the previous lemma says that  $y$  satisfies condition (b<sub>2</sub>) of Proposition 3.9, so  $y \in G_{\mathcal{N}}$ .

If  $y$  is not in the closure of an interpolating sequence for  $\mathcal{N}$  and  $\mathcal{S}$  is a separated sequence with  $y \in \overline{\mathcal{S}^{M(\mathcal{N})}}$  then Lemma 7.1 says that  $|\text{NT}(\mathcal{S})| > 0$ . So, if  $f \in \mathcal{N}$  there must be some point  $u \in \text{NT}(\mathcal{S})$  such that  $f$  has nontangential limit  $\lambda$  at  $u$ , and for some  $\alpha \in (0, \pi/2)$ ,  $u \in \overline{\Lambda_{\alpha}(u) \cap \mathcal{S}}$ . Let  $\{z_n\}$  be a subsequence in  $\Lambda_{\alpha}(u) \cap \mathcal{S}$  that tends to  $u$ . If  $0 < r < 1$  then the argument preceding (7.1) says that there is some  $\beta = \beta(\alpha, r) \in (0, \pi/2)$  such that

$$\bigcup_n K(z_n, r) \subset \Lambda_{\beta}(u).$$

So,  $f(\varphi_{z_n}(\omega)) \rightarrow \lambda$  for  $|\omega| \leq r$  when  $n \rightarrow \infty$ . Thus  $y$  satisfies (a<sub>3</sub>) of Proposition 3.8, and consequently  $y \in \Gamma_{\mathcal{N}}$ . By Corollary 3.10 then  $\mathcal{N}$  is hyperbolic. ■

The nontangential limit function of  $f \in \mathcal{N}$  will be denoted  $\tilde{f}$ . So,  $\tilde{f} \in L^{\infty}(\partial\mathbb{D})$ . Also, we write  $z \xrightarrow{\text{nt}} u$  to indicate that  $z$  tends nontangentially to  $u \in \partial\mathbb{D}$ .

**Lemma 7.3** *Let  $f \in \mathcal{N}$ . Then  $\widehat{f}^{\mathcal{N}} \equiv 0$  on  $\Gamma_{\mathcal{N}}$  if and only if  $\tilde{f} = 0$ .*

**Proof.** If there is  $y \in \Gamma_{\mathcal{N}}$  such that  $|\widehat{f}^{\mathcal{N}}(y)| = \delta > 0$  and  $\mathcal{S}$  is a separated sequence such that  $y \in \overline{\mathcal{S}^{M(\mathcal{N})}}$ , then  $y$  is in the  $M(\mathcal{N})$ -closure of

$$\mathcal{S}_1 = \{z \in \mathcal{S} : |f(z)| > \delta/2\}.$$

Since  $y \in \Gamma_{\mathcal{N}}$  then Theorem 7.2 and Lemma 7.1 imply that  $|\text{NT}(\mathcal{S}_1)| > 0$ , and since  $|\tilde{f}| \geq \delta/2$  for almost every point of  $\text{NT}(\mathcal{S}_1)$ , the sufficiency holds.

Now suppose that  $\tilde{f} \neq 0$ , so there is some  $\delta > 0$  such that  $|\tilde{f}| > \delta$  on a set of positive measure. It is easy then to construct a separated sequence  $\mathcal{S}$  such that  $|\text{NT}(\mathcal{S})| > 0$  and  $|f(z)| > \delta/2$  for every  $z \in \mathcal{S}$ . The necessity will follow if we show that  $\overline{\mathcal{S}^{M(\mathcal{N})}} \cap \Gamma_{\mathcal{N}} \neq \emptyset$ , because for any  $y$  in the intersection we would have  $|\widehat{f}^{\mathcal{N}}(y)| \geq \delta/2$ .

Since  $\mathcal{N}$  is hyperbolic, if  $\overline{\mathcal{S}^{M(\mathcal{N})}} \cap \Gamma_{\mathcal{N}} = \emptyset$  then  $\overline{\mathcal{S}^{M(\mathcal{N})}} \subset G_{\mathcal{N}}$ . So, Proposition 3.9 says that for every  $y \in \overline{\mathcal{S}^{M(\mathcal{N})}} \setminus \mathcal{S}$  there is an interpolating sequence  $\mathcal{T}_y$  for  $\mathcal{N}$ , such that  $y \in \overline{\mathcal{T}_y^{M(\mathcal{N})}}$ . Hence, for every  $0 < r < 1$  the  $M(\mathcal{N})$ -closure of  $\bigcup_{z \in \mathcal{T}_y} K(z, r)$  is a neighborhood of  $y$  (by Lemma 7.1). By the



compactness of  $\overline{\mathfrak{S}}^{M(\mathcal{N})} \setminus \mathcal{S}$  there are finitely many interpolating sequences  $\mathcal{T}_1, \dots, \mathcal{T}_N$  for  $\mathcal{N}$  such that the closure of

$$U \stackrel{\text{def}}{=} \bigcup_{1 \leq j \leq N} \bigcup_{z \in \mathcal{T}_j} K(z, r)$$

is a neighborhood of  $\overline{\mathfrak{S}}^{M(\mathcal{N})} \setminus \mathcal{S}$ . Thus there is  $0 < \varrho < 1$  so that  $\mathcal{S} \cap \{z \in \mathbb{D} : |z| \geq \varrho\}$  is contained in  $U$ . Together with (7.1) this yields

$$\text{NT}(\mathcal{S}) \subset \bigcup_{1 \leq j \leq N} \text{NT}\left(\bigcup_{z \in \mathcal{T}_j} K(z, r)\right) = \bigcup_{1 \leq j \leq N} \text{NT}(\mathcal{T}_j),$$

which is impossible because  $|\text{NT}(\mathcal{S})| > 0$  while  $|\text{NT}(\mathcal{T}_j)| = 0$  for  $j = 1, \dots, N$ . ■

**Lemma 7.4** *If  $S \in \mathfrak{T}(\mathcal{N})$  then for almost every  $u \in \partial\mathbb{D}$  there is  $\lambda(u) \in \mathbb{C}$  such that  $S_z \xrightarrow{SOT} \lambda(u)I$  when  $z \xrightarrow{\text{nt}} u$ .*

**Proof.** Let  $a \in \mathcal{N}$  and suppose that  $u \in \partial\mathbb{D}$  is such that  $a(z) \rightarrow \lambda \in \mathbb{C}$  when  $z \xrightarrow{\text{nt}} u$ . If  $0 < \alpha < \pi/2$  and  $0 < r < 1$  there is  $\beta = \beta(\alpha, r)$  in  $(\alpha, \pi/2)$  such that  $\varphi_z(\omega) \in \Lambda_\beta(u)$  when  $z \in \Lambda_\alpha(u)$  and  $|\omega| \leq r$ . Therefore  $a \circ \varphi_z \rightarrow \lambda$  uniformly on  $r\mathbb{D}$  when  $z \rightarrow u$  inside  $\Lambda_\alpha(u)$ . Since  $r$  is arbitrary the convergence is uniform on compact sets, implying that  $(T_a)_z = T_{a \circ \varphi_z} \rightarrow \lambda I$  in the *SOT*-topology when  $z \rightarrow u$  inside  $\Lambda_\alpha(u)$ . Since  $\alpha$  is arbitrary and the product of operators is continuous with respect to the *SOT*-topology, the lemma holds for every  $S \in \mathfrak{T}_0(\mathcal{N})$ . If  $S \in \mathfrak{T}(\mathcal{N})$  take a sequence  $\{S_n\}$  in  $\mathfrak{T}_0(\mathcal{N})$  that converges to  $S$ . So, for every  $n \geq 1$  there is a set  $E_n \subset \partial\mathbb{D}$  of full measure such that

$$(S_n)_z \xrightarrow{SOT} \lambda_n(u)I \quad \text{when} \quad z \xrightarrow{\text{nt}} u \in E_n.$$

Therefore the set  $E = \bigcap E_n$  has full measure, and given  $\varepsilon > 0$  there is  $n_0 = n_0(\varepsilon)$  such that if  $u \in E$ ,

$$(7.3) \quad |\lambda_n(u) - \lambda_m(u)| \leq \lim_{z \xrightarrow{\text{nt}} u} \|(S_n)_z - (S_m)_z\| = \|S_n - S_m\| < \varepsilon$$

for all  $n, m \geq n_0$ . This implies that there is some  $\lambda(u) \in \mathbb{C}$  such that  $\lambda_n(u) \rightarrow \lambda(u)$  for every  $u \in E$ . If  $f \in L^2_a$  has norm 1,  $u \in E$  and  $n \geq n_0$ , (7.3) yields

$$\begin{aligned} \|S_z f - \lambda(u)f\| &\leq \|S_z f - (S_n)_z f\| + \|(S_n)_z f - \lambda_n(u)f\| + |\lambda_n(u) - \lambda(u)| \|f\| \\ &\leq \|S - S_n\| + |\lambda_n(u) - \lambda(u)| + \|(S_n)_z f - \lambda_n(u)f\| \\ &\leq 2\varepsilon + \|(S_n)_z f - \lambda_n(u)f\| \rightarrow 2\varepsilon \end{aligned}$$

when  $z \xrightarrow{\text{nt}} u$ . Thus  $S_z f \rightarrow \lambda(u)f$  in  $L^2_a$  when  $z \xrightarrow{\text{nt}} u \in E$  and the lemma holds for  $S$ . ■

**Theorem 7.5**  $\mathfrak{T}(\mathcal{N})/\mathfrak{C}(\mathcal{N}) \simeq L^\infty(\partial\mathbb{D})$  and

$$(7.4) \quad \mathfrak{C}(\mathcal{N}) = \{S \in \mathfrak{T}(\mathcal{N}) : \widetilde{B_0S} = 0\}$$

$$(7.5) \quad = \{S \in \mathfrak{T}(\mathcal{N}) : S_z \xrightarrow{SOT} 0, \text{ when } z \xrightarrow{nt} u \text{ for a.e. } u \in \partial\mathbb{D}\}.$$

**Proof.** Equality (7.4) follows immediately from Theorem 6.4 and Lemma 7.3.

By Lemma 7.4, for every  $S \in \mathfrak{T}(\mathcal{N})$  there is a set  $E_S \subset \partial\mathbb{D}$  of full measure and  $\lambda_S : E_S \rightarrow \mathbb{C}$  such that

$$(7.6) \quad S_z \xrightarrow{SOT} \lambda_S(u)I \text{ when } z \xrightarrow{nt} u \in E_S.$$

Then  $(B_0S)(z) = (B_0S_z)(0) = \langle S_z1, 1 \rangle \rightarrow \lambda_S(u)$  when  $z \xrightarrow{nt} u \in E_S$ , which means that  $(\widetilde{B_0S})(u) = \lambda_S(u)$  for every  $u \in E_S$ . This proves (7.5).

Let  $\Phi : \mathfrak{T}(\mathcal{N})/\mathfrak{C}(\mathcal{N}) \rightarrow L^\infty(\partial\mathbb{D})$  given by  $\Phi(S + \mathfrak{C}(\mathcal{N})) = \widetilde{B_0S}$ . By (7.4)  $\Phi$  is well-defined and one-to-one. It is also clear that  $\Phi$  is  $*$ -linear. To prove that  $\Phi$  is multiplicative let  $S, T \in \mathfrak{T}(\mathcal{N})$  and use (7.6) to obtain

$$\widetilde{B_0(ST)}(u) = \lim_{z \xrightarrow{nt} u} \langle S_zT_z1, 1 \rangle = \lambda_S(u)\lambda_T(u) = (\widetilde{B_0S})(u)(\widetilde{B_0T})(u)$$

for every  $u \in E_S \cap E_T$ . Hence  $\phi$  is a  $*$ -homomorphism and we only need to show that it is onto. Let  $a \in L^\infty(\partial\mathbb{D})$  and consider the Poisson integral

$$A(z) = \frac{1}{2\pi} \int_0^{2\pi} \frac{1 - |z|^2}{|1 - ze^{-it}|^2} a(e^{it}) dt.$$

So,  $A$  is a bounded harmonic function such that  $\tilde{A} = a$ . Since  $A$  is uniformly continuous with respect to  $\rho$  then  $A \in \mathcal{N}$ . So,  $T_A \in \mathfrak{T}(\mathcal{N})$  and

$$\Phi(T_A + \mathfrak{C}(\mathcal{N})) = \widetilde{B_0T_A} = \widetilde{B_0A} = \tilde{A} = a. \quad \blacksquare$$

Let  $\mathcal{U}$  be the algebra of the McDonald-Sundberg Theorem. Since every  $f \in H^\infty$  has nontangential limits a.e. then  $\mathcal{U} \subset \mathcal{N} \subset \mathcal{A}$ . Therefore

$$\mathfrak{C}(\mathcal{U}) \subset \mathfrak{C}(\mathcal{N}) \subset \mathfrak{C}(\mathcal{A}).$$

We shall show that both inclusions are proper. The function

$$a = \sin \left( \log \frac{1 + |z|}{1 - |z|} \right)$$

is in  $\mathcal{A}$  but has no nontangential limit at any point of  $\partial\mathbb{D}$  [8]. Hence,

$$T_a \in \mathfrak{C}(\mathcal{A}) \setminus \mathfrak{T}(\mathcal{N}).$$

The Shilov boundary of  $H^\infty$ , denoted  $\partial H^\infty$ , is the smallest closed set  $F \subset M(H^\infty)$  such that

$$\|f\|_\infty = \sup_{x \in F} |\widehat{f}^{\mathcal{U}}(x)| \quad \text{for every } f \in H^\infty.$$

It is known that  $\partial H^\infty$  is properly contained in  $\Gamma_{\mathcal{U}}$  [15, p. 438], and that a function  $f \in \mathcal{U}$  satisfies  $\widehat{f}^{\mathcal{U}} \equiv 0$  on  $\partial H^\infty$  if and only if its nontangential function vanishes a.e. on  $\partial \mathbb{D}$  (see [3, Thm. 7] and [7, Coro. 1.3]). So, take  $y \in \Gamma_{\mathcal{U}} \setminus \partial H^\infty$  and  $f \in \mathcal{U}$  such that  $\widehat{f}^{\mathcal{U}} \equiv 0$  on  $\partial H^\infty$  and  $\widehat{f}^{\mathcal{U}}(y) = 1$ . Since  $f(z)$  has trivial nontangential limits almost everywhere then  $T_f \in \mathfrak{C}(\mathcal{N})$  but since  $\widehat{f}^{\mathcal{U}} \not\equiv 0$  on  $\Gamma_{\mathcal{U}}$  then  $T_f \notin \mathfrak{C}(\mathcal{U})$ .

Let  $\mathcal{NL}^\infty$  be the algebra of functions in  $L^\infty(\mathbb{D})$  that have nontangential limits a.e. on  $\partial \mathbb{D}$ . From the paragraph preceding (7.1) it easily follows that if  $f \in \mathcal{NL}^\infty$  then  $B_k f$  has the same nontangential limits as  $f$  a.e. on  $\partial \mathbb{D}$  for every  $k \geq 0$ . Thus Theorem 5.7 tells us that

$$\mathfrak{T}(\mathcal{N}) = \mathfrak{T}(\mathcal{NL}^\infty) \quad \text{and} \quad \mathfrak{C}(\mathcal{N}) = \mathfrak{C}(\mathcal{NL}^\infty).$$

Moreover, let  $E \subset \mathbb{D}$  be a set of positive measure. Then all of the above can be generalized (with similar proofs) for the algebras

$$\mathcal{NL}_E^\infty = \{f \in L^\infty(\mathbb{D}) : f \text{ has nontangential limits a.e. on } E\}$$

and

$$\mathcal{N}_E = \mathcal{NL}_E^\infty \cap \mathcal{A}.$$

Hence, we obtain a version of Theorem 7.5, where  $\mathcal{N}$  is replaced by  $\mathcal{N}_E$  or  $\mathcal{NL}_E^\infty$  and  $\partial \mathbb{D}$  is replaced by  $E$ .

#### 7.4. Constant on hyperbolic parts

**Definition.** If  $F \subset M(\mathcal{A}) \setminus \mathbb{D}$  is a closed saturated set, define

$$\text{CO}(F) = \{f \in \mathcal{A} : f|_F = \text{const.}\}.$$

and

$$\text{COH}(F) = \{f \in \mathcal{A} : f|_{H(x)} = \text{const. for every } x \in F\}.$$

These notations stand for ‘constant on  $F$ ’ and ‘constant on hyperbolic parts of  $F$ ’, respectively. It is clear that  $\text{CO}(F)$  and  $\text{COH}(F)$  are hyperbolic algebras and that

$$F = \pi_1^{-1}(\Gamma_{\text{CO}(F)}) = \pi_2^{-1}(\Gamma_{\text{COH}(F)}),$$

where  $\pi_1$  and  $\pi_2$  are the projections from  $M(\mathcal{A})$  onto the respective maximal ideal spaces.

If  $\mathcal{B}$  is a hyperbolic algebra and  $\pi : M(\mathcal{A}) \rightarrow M(\mathcal{B})$  is the usual projection then

$$(7.7) \quad \{S \in \mathfrak{T}_0(\mathcal{A}) : B_0S|_{\pi^{-1}(\Gamma_{\mathcal{B}})} = 0\} \subset \mathfrak{C}(\mathcal{B}) \subset \{S \in \mathfrak{T}(\mathcal{A}) : B_0S|_{\pi^{-1}(\Gamma_{\mathcal{B}})} = 0\},$$

where the first inclusion follows from Theorem 6.5 and the second from Theorem 6.4. Observe that since the first set contains  $\mathfrak{C}_0(\mathcal{B})$ , it is dense in  $\mathfrak{C}(\mathcal{B})$ . The significance of  $\text{CO}(F)$  and  $\text{COH}(F)$  is given by the following

**Proposition 7.6** *Let  $\mathcal{B}$  be a hyperbolic algebra and  $F \subset M(\mathcal{A})$  be a closed saturated set. Then the following conditions are equivalent*

- (1)  $F = \pi^{-1}(\Gamma_{\mathcal{B}})$ ,
- (2)  $\mathfrak{C}(\mathcal{B}) = \mathfrak{C}(\text{COH}(F))$ ,
- (3)  $\text{CO}(F) \subset \mathcal{B} \subset \text{COH}(F)$ .

**Proof.** We prove first the equivalence between (1) and (2). If (1) holds then the comment following (7.7) says that  $\{S \in \mathfrak{T}_0(\mathcal{A}) : B_0S|_F = 0\}$  is dense in both  $\mathfrak{C}(\mathcal{B})$  and  $\mathfrak{C}(\text{COH}(F))$ , so they must coincide. If (2) holds, (7.7) implies that

$$\{S \in \mathfrak{T}_0(\mathcal{A}) : B_0S|_{\pi^{-1}(\Gamma_{\mathcal{B}})} = 0\} \subset \{S \in \mathfrak{T}(\mathcal{A}) : B_0S|_F = 0\}.$$

Therefore  $F \subset \pi^{-1}(\Gamma_{\mathcal{B}})$ , and a symmetrical argument gives the other inclusion, so (1) holds.

If (1) holds the functions of  $\text{CO}(F)$  are continuous on  $M(\mathcal{B})$  and the functions of  $\mathcal{B}$  are continuous on  $M(\text{COH}(F))$ . Since these are all  $C^*$ -algebras, (3) holds. If (3) holds then

$$\mathfrak{C}(\text{CO}(F)) \subset \mathfrak{C}(\mathcal{B}) \subset \mathfrak{C}(\text{COH}(F)),$$

so the proof of (2) reduces to show that  $\mathfrak{C}(\text{CO}(F)) = \mathfrak{C}(\text{COH}(F))$ . But this equality is a special case of the equivalence between (1) and (2). ■

Let us write  $\text{COH}$  for  $\text{COH}(M(\mathcal{A}) \setminus \mathbb{D})$ . In this case the last proposition says that  $\mathfrak{C}(\text{COH}) = \mathfrak{C}(C(\overline{\mathbb{D}}))$ , and this is the ideal of compact operators  $\mathcal{K}$ . Then Theorem 6.4 tells us that  $S - T_{B_0S} \in \mathcal{K}$  for every  $S \in \mathfrak{T}(\text{COH})$ . In particular,

$$\mathfrak{T}(\text{COH})/\mathcal{K} = \{T_b + \mathcal{K} : b \in \text{COH}\}.$$

The center of an algebra  $\mathcal{B}$  is formed by the elements that commute with all the members of  $\mathcal{B}$ . Our next result relates  $\mathfrak{T}(\text{COH})/\mathcal{K}$  with the center of  $\mathfrak{T}(L^\infty(\mathbb{D}))/\mathcal{K}$ .

Suppose that  $S \in \mathcal{K}$  and for  $z \in \mathbb{D}$  let  $k_z^0 = (1 - |z|^2)K_z^{(0)}$ . Since  $\|k_z^0\| = 1$  and  $k_z^0 \rightarrow 0$  weakly as  $|z| \rightarrow 1$ , then

$$|(B_0S)(z)| \leq \|Sk_z^0\| \rightarrow 0 \quad \text{when } |z| \rightarrow 1.$$

Therefore  $S_x = 0$  for every  $x \in M(\mathcal{A}) \setminus \mathbb{D}$ .

**Theorem 7.7** *Let  $\mathfrak{J} = \{S \in \mathfrak{T}(L^\infty(\mathbb{D})) : S_x = 0 \text{ for } x \in M(\mathcal{A}) \setminus \mathbb{D}\}$ . Then*

$$\{T_b + \mathcal{K} : b \in \text{COH}\} \subset \text{Center}(\mathfrak{T}(L^\infty(\mathbb{D}))/\mathcal{K}) \subset \{T_b + \mathfrak{J} : b \in \text{COH}\}$$

**Proof.** We prove first that if  $S \in \mathfrak{T}(L^\infty(\mathbb{D}))$  and  $b \in \text{COH}$  then  $[S, T_b] \in \mathcal{K}$ . Let  $S_n \in \mathfrak{T}_0(\mathcal{A})$  such that  $S_n \rightarrow S$ . Since  $(S_n T_b - T_b S_n) \rightarrow (S T_b - T_b S)$  we can assume that  $S \in \mathfrak{T}_0(\mathcal{A})$ . By (4.2),

$$(S T_b - T_b S)_x = S_x (T_b)_x - (T_b)_x S_x \text{ for every } x \in M(\mathcal{A}),$$

and since  $(T_b)_x$  is a constant operator for every  $x \in M(\mathcal{A}) \setminus \mathbb{D}$ , then

$$[S, T_b]_x = 0 \quad \text{for } x \in M(\mathcal{A}) \setminus \mathbb{D}.$$

The comment after Theorem 6.5 then says that  $[S, T_b]$  is compact. This proves that  $\{T_b + \mathcal{K} : b \in \text{COH}\}$  is contained in the center of  $\mathfrak{T}(L^\infty(\mathbb{D}))/\mathcal{K}$ .

Now suppose that  $S \in \mathfrak{T}(L^\infty(\mathbb{D}))$  is such that

$$S + \mathcal{K} \subset \text{Center}(\mathfrak{T}(L^\infty(\mathbb{D}))/\mathcal{K}).$$

This means that  $S T_a - T_a S \in \mathcal{K}$  for every  $a \in L^\infty(\mathbb{D})$ . So,

$$S_x (T_a)_x - (T_a)_x S_x = 0 \quad \text{for every } x \in M(\mathcal{A}) \setminus \mathbb{D},$$

or equivalently,

$$(7.8) \quad S_z (T_a)_z - (T_a)_z S_z \xrightarrow{\text{SOT}} 0 \text{ as } |z| \rightarrow 1.$$

Let  $x \in M(\mathcal{A}) \setminus \mathbb{D}$  and take a net  $(z_\alpha)$  in  $\mathbb{D}$  converging to  $x$ . The closed ball of center 0 and radius  $\|S\|$  in  $\mathfrak{L}(L_a^2)$  admits a metric  $d$  with the SOT-topology. Since  $S_{z_\alpha} \xrightarrow{\text{SOT}} S_x$  then for every integer  $n \geq 1$  there is some point of the net, that we rename as  $z_n$ , such that  $d(S_{z_n}, S_x) < 1/n$ . So,

$$(7.9) \quad S_{z_n} \xrightarrow{\text{SOT}} S_x.$$

If  $\{r_n\}$  is a sequence in  $(0, 1)$  that tends to 1, we can assume (taking a subsequence of  $\{z_n\}$  if needed) that  $K(z_n, r_n) \cap K(z_j, r_j) = \emptyset$  if  $n \neq j$ . For an arbitrary  $a \in L^\infty(\mathbb{D})$  consider the function

$$b(\omega) = \sum_{j \geq 1} (a \circ \varphi_{z_j})(\omega) \chi_{K(z_j, r_j)}(\omega).$$

Hence  $(T_b)_{z_n} = T_{b \circ \varphi_{z_n}}$ , where

$$\begin{aligned} (b \circ \varphi_{z_n})(\omega) &= a(\omega)\chi_{K(0,r_n)}(\omega) + \sum_{j:j \neq n} (a \circ \varphi_{z_j})(\varphi_{z_n}(\omega)) \chi_{K(\varphi_{z_n}(z_j),r_j)}(\omega) \\ &= g_n(\omega) + h_n(\omega). \end{aligned}$$

Since the support of  $h_n$  is disjoint from  $K(0, r_n) = r_n\mathbb{D}$  then

$$|h_n(\omega)| \leq \|a\|_\infty \chi_{D \setminus r_n D}(\omega) \quad \text{for all } \omega \in \mathbb{D}.$$

Since  $r_n \rightarrow 1$ , it is clear that  $T_{h_n} \xrightarrow{\text{SOT}} 0$  and  $T_{g_n} \xrightarrow{\text{SOT}} T_a$ . Thus

$$(7.10) \quad (T_b)_{z_n} = T_{g_n} + T_{h_n} \xrightarrow{\text{SOT}} T_a.$$

By (7.8)

$$S_{z_n}(T_a)_{z_n} - (T_a)_{z_n} S_{z_n} \xrightarrow{\text{SOT}} 0,$$

which together with (7.9) and (7.10) gives  $S_x T_a - T_a S_x = 0$ . This means that  $S_x$  commutes with every Toeplitz operator with symbol in  $L^\infty(\mathbb{D})$ . By [12, Thm. 10.28] then  $S_x = \lambda I$  for some  $\lambda \in \mathbb{C}$ , and consequently  $B_0 S \equiv \lambda$  on  $H(x)$  by Corollary 4.7. Since  $x \in M(\mathcal{A}) \setminus \mathbb{D}$  is arbitrary then  $B_0 S \in \text{COH}$  and

$$(S - T_{B_0 S})_x = S_x - T_{(B_0 S) \circ \varphi_x} = \lambda I - \lambda I = 0$$

for every  $x \in M(\mathcal{A}) \setminus \mathbb{D}$ . That is,  $S - T_{B_0 S} \in \mathfrak{J}$ . ■

The concept of center plays an important role when studying localizations of  $C^*$ -algebras (see [13, Th. 7.47]). I believe that the ideal  $\mathfrak{J}$  in Theorem 7.7 is  $\mathcal{K}$ , so the inclusions of the theorem should be equalities. If  $S \in \mathfrak{L}(L_a^2)$ , the essential spectrum  $\sigma_e(S)$  is the spectrum of  $S + \mathcal{K}$  in the Calkin algebra  $\mathfrak{L}(L_a^2)/\mathcal{K}$ . Let  $\sigma(S)$  denote the usual spectrum of  $S$ . Is it true that

$$\sigma_e(S) = \bigcup_{x \in M(\mathcal{A}) \setminus \mathbb{D}} \sigma(S_x) \quad \text{for every } S \in \mathfrak{L}(L^\infty(\mathbb{D}))?$$

There is strong evidence to support an affirmative answer. This holds for  $S \in \mathfrak{L}(\text{COH})$ , while the example preceding Lemma 4.8 shows that this fails for a general  $S \in \mathfrak{L}(L_a^2)$ . This example appeared in [4], where it is also shown that there is an infinite dimensional orthogonal projection  $P$  such that  $B_0 P(z) \rightarrow 0$  when  $|z| \rightarrow 1$ . We do not know the answer even for a general Toeplitz operator with bounded symbol.

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