

On the Cauchy problem for logarithmic fractional Schrödinger equation

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Abstract. We consider the fractional Schrödinger equation with a logarithmic nonlinearity, when the power of the Laplacian is between zero and one. We prove global existence results in three different functional spaces: the Sobolev space corresponding to the quadratic form domain of the fractional Laplacian, the energy space, and a space contained in the operator domain of the fractional Laplacian. For this last case, a finite momentum assumption is made, and the key step consists in estimating the Lie commutator between the fractional Laplacian and the multiplication by a monomial.

1. Introduction

We consider the logarithmic Schrödinger equation

$$i \partial_t u - (-\Delta)^s u = \lambda \log(|u|^2) u, \quad u|_{t=0} = u_0, \quad (1.1)$$

where $0 < s < 1$, $u = u(t, x)$ represents a complex-valued function defined on $(t, x) \in \mathbb{R} \times \mathbb{R}^d$, with $d \geq 1$. The fractional Laplacian $(-\Delta)^s$ is defined through the Fourier transform as follows:

$$\mathcal{F}[(-\Delta)^s u](\xi) = |\xi|^{2s} \mathcal{F}u(\xi),$$

where the Fourier transform is given by

$$\mathcal{F}u(\xi) = \frac{1}{(2\pi)^{d/2}} \int_{\mathbb{R}^d} u(x) e^{-i\xi \cdot x} dx.$$

The fractional Laplacian $(-\Delta)^s$ is a self-adjoint operator acting on the space $L^2(\mathbb{R}^d)$, characterized by a quadratic form domain $H^s(\mathbb{R}^d)$ and an operator domain $H^{2s}(\mathbb{R}^d)$. The nonlocal operator $(-\Delta)^s$ serves as the infinitesimal generators in the context of Lévy stable diffusion processes, as outlined in [2]. Fractional derivatives of the Laplacian have applications in numerous equations in mathematical physics

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and related disciplines, as proposed in [28, 29] in the case of linear Schrödinger equations; see also [2, 16, 22] and the associated references. Recently, there has been a strong focus on studying mathematical problems related to the fractional Laplacian purely from a mathematical perspective. Regarding specifically fractional nonlinear Schrödinger equations, important progress has been made in, e.g., [4, 6, 12, 13, 18–21].

The problem (1.1) does not seem to have physical motivations (so far), and was introduced in [15] as a generalization of the case $s = 1$, introduced in [5], and proposed in different physical contexts since (see, e.g., [25, 33]). Note also that the logarithmic nonlinearity may be obtained as the limit of a homogeneous nonlinearity $\lambda|u|^{2\sigma}u$ when σ goes to zero, at least when ground states are considered (case $\lambda < 0$; see [31] for $s = 1$, [1] in the fractional case).

In [3], the author addresses the nonlinear fractional logarithmic Schrödinger equation (1.1) with $\lambda = -1$ and $d \geq 2$, employing a compactness method to establish a unique global solution for the associated Cauchy problem within a suitable functional framework, inspired by [11] (for the logarithmic nonlinearity) and [13] (for the fractional Laplacian). In [32], the authors investigate the existence of a global weak solution to the problem (1.1) in the case of $\lambda = -1$, when the space variable x belongs to some smooth bounded domain, by using a combination of potential wells theory and the Galerkin method. In this paper, we complement the approach from [3, 32] by adapting the strategy employed in [24] in the case of the standard Laplacian, $s = 1$.

Formally, (1.1) enjoys the conservations of mass, momentum, and energy,

$$\begin{aligned}
 M(u(t)) &= \|u(t)\|_{L^2(\mathbb{R}^d)}^2, \\
 J(u(t)) &= \operatorname{Im} \int_{\mathbb{R}^d} \bar{u}(t, x) \nabla u(t, x) dx, \\
 E(u(t)) &= \frac{1}{2} \|(-\Delta)^{s/2} u(t)\|_{L^2(\mathbb{R}^d)}^2 + \frac{\lambda}{2} \int_{\mathbb{R}^d} |u(t, x)|^2 (\log |u(t, x)|^2 - 1) dx.
 \end{aligned}
 \tag{1.2}$$

The energy is well defined in the subset of $H^s(\mathbb{R}^d)$,

$$W_1^s := \{u \in H^s(\mathbb{R}^d), x \mapsto |u(x)|^2 \log |u(x)|^2 \in L^1(\mathbb{R}^d)\}.$$

When $s = 1$, Hayashi and Ozawa [24] revisit the Cauchy problem for the logarithmic Schrödinger equation, constructing strong solutions in both H^1 and $W_1 = W_1^1$. This approach deliberately avoids relying on compactness arguments, demonstrating the convergence of a sequence of approximate solutions in a complete function space. The authors in [24] also address the existence in the H^2 -energy space, as discussed below.

The main contributions of this paper can be summarized as follows:

- (1) Construction of H^s strong solutions, without relying on the conservation of the energy.
- (2) Construction of solutions in the energy space W_1^s .
- (3) The higher H^{2s} regularity is established by assuming some further spatial decay of the initial data.

In all cases, no sign assumption is made on $\lambda \in \mathbb{R}$.

Theorem 1.1. *Let $\lambda \in \mathbb{R}$ and $0 < s < 1$. For any $\varphi \in H^s(\mathbb{R}^d)$, there exists a unique solution $u \in C(\mathbb{R}, H^s(\mathbb{R}^d))$ to (1.1) in the sense of*

$$i \partial_t u - (-\Delta)^s u = \lambda \log(|u|^2) u \quad \text{in } H^{-s}(\Omega) \tag{1.3}$$

for all bounded open sets $\Omega \subset \mathbb{R}^d$ and all $t \in \mathbb{R}$, and with $u|_{t=0} = \varphi$. If in addition we assume $\varphi \in W_1^s$, this H^s -solution satisfies $u \in (C \cap L^\infty)(\mathbb{R}, W_1^s)$ if $\lambda < 0$ and $u \in C(\mathbb{R}, W_1^s)$ if $\lambda > 0$. Moreover, the W_1^s -solution u satisfies equation (1.3) in the sense of $(W_1^s)^*$, where $(W_1^s)^*$ is the dual space of W_1^s . Finally, if $\varphi \in H^1(\mathbb{R}^d)$, then the solution $u \in C(\mathbb{R}, H^s(\mathbb{R}^d))$ to (1.1) satisfies in addition $u \in C(\mathbb{R}, H^1(\mathbb{R}^d))$.

The next result addresses on the construction of strong solutions in W_2^s , where

$$W_2^s := \{u \in H^{2s}(\mathbb{R}^d), x \mapsto u(x) \log |u(x)|^2 \in L^2(\mathbb{R}^d)\},$$

and this space is the natural counterpart of the space W_2 of the H^2 -energy space introduced in [24] for the case $s = 1$. Note that considering this space is interesting especially when $s > 1/2$, since we have seen in Theorem 1.1 that the H^1 regularity is propagated, and $H^1(\mathbb{R}^d) \subset H^{2s}(\mathbb{R}^d)$ when $s \leq 1/2$.

In the fractional case, it seems delicate to adapt the strategy introduced in [24], as some algebraic structure is lost. More precisely, the strategy in [24] starts by showing that $\partial_t u \in L^\infty_{\text{loc}}(\mathbb{R}, L^2)$, to eventually conclude that $\Delta u \in L^\infty_{\text{loc}}(\mathbb{R}, L^2)$. At this level of generality, this is the standard approach, as presented in, e.g., [10], but the logarithmic nonlinearity actually requires some special care. The above line of reasoning needs, as an intermediary step, to know that $u \log |u|^2 \in L^\infty_{\text{loc}}(\mathbb{R}, L^2)$, which is by no means obvious, due to the region $\{|u| < 1\}$ where the nonlinearity is morally sublinear. This difficulty is overcome in [24] by a beautiful algebraic identity ([24, Lemma 3.3]), whose derivation involves an integration by parts in the term

$$\text{Re}(\Delta u, u \log(|u| + \varepsilon))_{L^2} = -\text{Re}\left(\bar{u} \nabla u, \frac{\nabla |u|}{|u| + \varepsilon}\right)_{L^2} + (|\nabla u|^2, \log(|u| + \varepsilon))_{L^2}.$$

In the present case, we would face

$$\text{Re}((-\Delta)^s u, u \log(|u| + \varepsilon))_{L^2},$$

and the integration by parts would require to control a fractional derivative of $u \log(|u| + \varepsilon)$, at least in the case $s < 1/2$ (for $s > 1/2$, one could consider the gradient again).

To overcome this issue, we adopt the approach considered in [7] for the case $s = 1$, and rely on some finite momentum assumption. For $0 < \alpha \leq 1$, we have

$$\mathcal{F}(H^\alpha) = \{u \in L^2(\mathbb{R}^d), x \mapsto \langle x \rangle^\alpha u(x) \in L^2(\mathbb{R}^d)\},$$

where $\langle x \rangle := \sqrt{1 + |x|^2}$, and this space is equipped with the norm

$$\|u\|_{\mathcal{F}(H^\alpha)} := \|\langle x \rangle^\alpha u(x)\|_{L^2(\mathbb{R}^d)}.$$

Denote, for $\alpha > 0$, $X_\alpha^{2s} := H^{2s} \cap \mathcal{F}(H^\alpha)$: for any $\alpha > 0$, $X_\alpha^{2s} \subset W_2^s$, as can be seen from the estimate, valid for any $\delta \in (0, 1)$,

$$|u \log(|u|^2)| \lesssim |u|^{1-\delta} + |u|^{1+\delta}.$$

Theorem 1.2. *Let $\lambda \in \mathbb{R}$, $0 < s < 1$. Consider $0 < \alpha < 2s$ with $\alpha \leq 1$. For any $\varphi \in X_\alpha^{2s} = H^{2s} \cap \mathcal{F}(H^\alpha)$, there exists a unique solution $u \in C_w \cap L^\infty_{\text{loc}}(\mathbb{R}, X_\alpha^{2s})$ to (1.1) in the sense of*

$$i \partial_t u - (-\Delta)^s u = \lambda \log(|u|^2) u \quad \text{in } L^2(\Omega), \tag{1.4}$$

for all bounded open sets $\Omega \subset \mathbb{R}^d$ and a.e. $t \in \mathbb{R}$, with $u|_{t=0} = \varphi$. Moreover, when $\lambda < 0$, $u \in C(\mathbb{R}, X_\alpha^{2s})$ and (1.4) holds in $L^2(\mathbb{R}^d)$ and for all $t \in \mathbb{R}$.

The new difficulty in proving the above result, compared to the case $s = 1$, lies in the fact that the Lie bracket $[(-\Delta)^s, \langle x \rangle^\alpha]$ requires some extra care; see Lemma 2.3.

We underline the fact that we do not know whether the H^σ regularity is propagated by the flow of (1.1), when $\sigma = 2s$ for $s > 1/2$, like in the case of the regular Laplacian $s = 1$.

Notations.

- $\int f$ is employed in place of $\int_{\mathbb{R}^d} f(x) dx$.
- The inner product in L^2 is denoted by

$$(f, g)_{L^2} = \int_{\mathbb{R}^d} f(x) \overline{g(x)} dx = \int f \bar{g}.$$

- Let $C(I, X)$ (resp. $C_w(I, X)$) be the space strongly (resp. weakly) continuous functions from interval $I (\subseteq \mathbb{R})$ to X .
- Abbreviated notation: for $T > 0$, we write

$$C_T(X) = C([-T, T], X), \quad L_T^\infty(X) = L^\infty((-T, T), X).$$

- $A \lesssim B$ represents the inequality $A \leq CB$ with some constant $C > 0$.

Content. The rest of the paper is organized as follows. In Section 2, we collect lemmas which are of constant use in this paper. Section 3 is dedicated to the study of the Cauchy problem for (1.1) in both H^s and W_s^1 , proving Theorem 1.1. In Section 4, we consider higher regularity and prove Theorem 1.2.

2. Useful lemmas

The following lemma is a generalization of the inequality proven initially by Cazenave and Haraux [11] in the case $\varepsilon = \mu = 0$.

Lemma 2.1 ([24, Lemma A.1]). *For all $u, v \in \mathbb{C}$ and $\varepsilon, \mu \geq 0$, we have*

$$|\operatorname{Im}(u \log(|u| + \varepsilon) - v \log(|v| + \mu))(\bar{u} - \bar{v})| \leq |u - v|^2 + |\varepsilon - \mu||u - v|.$$

We will also use several times the fractional Leibniz rule. We state a simplified version of a result from [30], by using the fact that BMO contains L^∞ , and considering only the L^2 setting.

Lemma 2.2 ([30, Corollary 1.4]). *For $\sigma > 0$, let A^σ be a differential operator such that its symbol $\widehat{A^\sigma}(\xi)$ is homogeneous of degree σ and $\widehat{A^\sigma}(\xi) \in C^\infty(\mathbb{S}^{d-1})$.*

- If $0 < \sigma < 1$,

$$\|A^\sigma(fg) - gA^\sigma f\|_{L^2} \lesssim \|f\|_{L^2}\|(-\Delta)^{\sigma/2}g\|_{L^\infty}.$$

- If $1 \leq \sigma < 2$,

$$\|A^\sigma(fg) - gA^\sigma f - \nabla g \cdot A^{\sigma, \nabla} f\|_{L^2} \lesssim \|f\|_{L^2}\|(-\Delta)^{\sigma/2}g\|_{L^\infty}$$

where $\widehat{A^{\sigma, \nabla} g}(\xi) = -i \nabla_\xi(\widehat{A^\sigma}(\xi))\widehat{g}(\xi)$.

We recall that the characterization of the H^s norm, for $0 < s < 1$, can be expressed as follows (see, e.g., [17]):

$$\begin{aligned} \|f\|_{H^s}^2 &= \|f\|_{L^2}^2 + \iint_{\mathbb{R}^d \times \mathbb{R}^d} \frac{|f(x) - f(y)|^2}{|x - y|^{d+2s}} dy dx \\ &= \|f\|_{L^2}^2 + \iint_{\mathbb{R}^d \times \mathbb{R}^d} \frac{|f(x+y) - f(x)|^2}{|y|^{d+2s}} dy dx. \end{aligned}$$

We also have, for $0 < s < 1$ and $f \in \mathcal{S}(\mathbb{R}^d)$ (see, e.g., [17]),

$$(-\Delta)^s f(x) = c(d, s) \iint_{\mathbb{R}^d \times \mathbb{R}^d} \frac{f(x+y) + f(x-y) - 2f(x)}{|y|^{d+2s}} dy dx, \quad (2.1)$$

for some constant $c(d, s)$ whose exact value is irrelevant here.

The following lemma will be crucial in the proof of Theorem 1.2.

Lemma 2.3. *Let $0 < s < 1$. If $0 < \alpha < 2s$ and $\alpha \leq 1$, then the commutator $[(-\Delta)^s, \langle x \rangle^\alpha]$ is continuous from $H^s(\mathbb{R}^d)$ to $L^2(\mathbb{R}^d)$.*

Proof. The proof relies on the fractional Leibniz rule stated in Lemma 2.2, with $A^\sigma = (-\Delta)^s$, hence $\sigma = 2s$. Fix $f \in C_c^\infty(\mathbb{R}^d)$, and let $g(x) = \langle x \rangle^\alpha$.

We first show that under the assumptions of the lemma, $(-\Delta)^s g \in L^\infty(\mathbb{R}^d)$, by using the characterization (2.1). In the region $\{|y| \geq 1\}$, we write, since $0 < \alpha \leq 1$,

$$|\langle x \pm y \rangle^\alpha - \langle x \rangle^\alpha| \leq |\langle x \pm y \rangle - \langle x \rangle|^\alpha \lesssim |y|^\alpha,$$

hence

$$\left| \int_{|y| \geq 1} \frac{\langle x + y \rangle^\alpha + \langle x - y \rangle^\alpha - 2\langle x \rangle^\alpha}{|y|^{d+2s}} dy \right| \lesssim \int_{|y| \geq 1} \frac{|y|^\alpha}{|y|^{d+2s}} dy < \infty,$$

provided that $\alpha < 2s$. In the ball $\{|y| < 1\}$, Taylor's formula yields

$$\langle x + y \rangle^\alpha + \langle x - y \rangle^\alpha - 2\langle x \rangle^\alpha = \langle \nabla^2 g(x)y, y \rangle + \mathcal{O}(|y|^3),$$

where the remainder is uniform in $x \in \mathbb{R}^d$, as the third order derivatives of g are bounded. Also, the Hessian of g is bounded since $|\nabla^2 g(x)| \lesssim \langle x \rangle^{\alpha-2}$, and

$$\left| \int_{|y| \leq 1} \frac{\langle x + y \rangle^\alpha + \langle x - y \rangle^\alpha - 2\langle x \rangle^\alpha}{|y|^{d+2s}} dy \right| \lesssim \int_{|y| \leq 1} \frac{|y|^2}{|y|^{d+2s}} dy < \infty,$$

since $s < 1$.

First case: $0 < s < 1/2$. In view of the first case in Lemma 2.2,

$$\| [(-\Delta)^s, \langle x \rangle^\alpha] f \|_{L^2} \lesssim \| f \|_{L^2} \| (-\Delta)^s g \|_{L^\infty} \lesssim \| f \|_{L^2},$$

and $[(-\Delta)^s, \langle x \rangle^\alpha]$ is continuous from $L^2(\mathbb{R}^d)$ to $L^2(\mathbb{R}^d)$.

Second case: $1/2 \leq s < 1$. In view of the second case in Lemma 2.2,

$$\| [(-\Delta)^s, \langle x \rangle^\alpha] f \|_{L^2} \lesssim \| \nabla g \cdot A^{2s, \nabla} f \|_{L^2} + \| f \|_{L^2} \| (-\Delta)^s g \|_{L^\infty}.$$

In view of the definition of $A^{2s, \nabla}$, with $A^{2s} = (-\Delta)^s$,

$$\| A^{2s, \nabla} f \|_{L^2} \lesssim \| f \|_{\dot{H}^{2s-1}} \lesssim \| f \|_{H^s},$$

since $0 < s < 1$. Recalling that since $\alpha \leq 1$, $\nabla g \in L^\infty$, the lemma is proved. ■

3. The Cauchy problem in H^s and the energy space

In this section, we prove Theorem 1.1, by resuming the strategy of [24], which requires very few adaptations to treat this fractional case (essentially, the fractional Leibniz rule).

3.1. Approximate problems

For $\varepsilon > 0$, we consider the approximate equation

$$i \partial_t u_\varepsilon - (-\Delta)^s u_\varepsilon = 2\lambda u_\varepsilon \log(|u_\varepsilon| + \varepsilon), \quad u_\varepsilon(0, x) = \varphi(x). \quad (3.1)$$

We set

$$g(u) = 2u \log |u|, \quad g_\varepsilon(u) = 2u \log(|u| + \varepsilon).$$

For $\sigma \geq 0$ we have

$$\int_0^\sigma g_\varepsilon(\tau) d\tau = \frac{1}{2} \sigma^2 \log((\sigma + \varepsilon)^2) - \frac{1}{2} \int_0^\sigma \frac{2\tau^2}{\tau + \varepsilon} d\tau.$$

We define $G_\varepsilon(u)$ by

$$G_\varepsilon(u) = \frac{1}{2} \int |u|^2 \log((|u| + \varepsilon)^2) - \frac{1}{2} \int \mu_\varepsilon(|u|), \quad \text{for } u \in H^s(\mathbb{R}^d),$$

where

$$\mu_\varepsilon(\sigma) := \int_0^\sigma \frac{2\tau^2}{\tau + \varepsilon} d\tau, \quad \text{for } \sigma \geq 0.$$

We define $E_\varepsilon(u)$ by

$$\begin{aligned} E_\varepsilon(u) &= \frac{1}{2} \int |(-\Delta)^{s/2} u|^2 + \lambda G_\varepsilon(u) \\ &= \frac{1}{2} \int |(-\Delta)^{s/2} u|^2 + \frac{\lambda}{2} \int |u|^2 \log((|u| + \varepsilon)^2) - \frac{\lambda}{2} \int \mu_\varepsilon(|u|). \end{aligned} \quad (3.2)$$

Lemma 3.1. *Let $\varphi \in H^s(\mathbb{R}^d)$ and $\varepsilon > 0$. Then (3.1) possesses a unique solution*

$$u_\varepsilon \in C(\mathbb{R}, H^s(\mathbb{R}^d)) \cap C^1(\mathbb{R}, H^{-s}(\mathbb{R}^d)).$$

Moreover, the mass and energy are conserved: for all $t \in \mathbb{R}$,

$$\|u_\varepsilon(t)\|_{L^2}^2 = \|\varphi\|_{L^2}^2, \quad E_\varepsilon(u_\varepsilon(t)) = E_\varepsilon(\varphi).$$

Proof. Unlike in the case of the regular Laplacian, $s = 1$, it seems delicate to invoke Strichartz estimates independently of the space dimension d in order to solve (3.1) in H^s , since a loss of regularity is present when $0 < s < 1$, see [14], and [23, 26]. We rather adopt the approach of [13], which in turn resumes the arguments from [10]. A key step is to check that, for a given $T > 0$, (3.1) has at least one (weak) solution $u_\varepsilon \in L_T^\infty H^s \cap W_T^{1,\infty} H^{-s}$. By interpolation, such a solution belongs to $C_T L^2$, and if $u_\varepsilon, v_\varepsilon$ are two such solutions, $u_\varepsilon - v_\varepsilon$ solves

$$(i \partial_t - (-\Delta)^s)(u_\varepsilon - v_\varepsilon) = \lambda(u_\varepsilon \log(|u_\varepsilon| + \varepsilon) - v_\varepsilon \log(|v_\varepsilon| + \varepsilon)).$$

We then proceed with the usual argument for L^2 estimates in Schrödinger equations: multiply by $\bar{u}_\varepsilon - \bar{v}_\varepsilon$, integrate over \mathbb{R}^d , and take the imaginary part. The term involving the fractional Laplacian vanishes by self-adjointness, and the nonlinear term is controlled thanks to Lemma 2.1 (with $\mu = \varepsilon$), so we get

$$\frac{d}{dt} \|u_\varepsilon - v_\varepsilon\|_{L^2}^2 \lesssim \|u_\varepsilon - v_\varepsilon\|_{L^2}^2,$$

hence $u_\varepsilon \equiv v_\varepsilon$ by Gronwall’s lemma, since $\|u_\varepsilon(t) - v_\varepsilon(t)\|_{L^2}$ is continuous, and equal to 0 at $t = 0$. The existence of such a weak solution is given by [10, Theorem 3.3.5], which is readily adapted to the case of the fractional Laplacian, and since we note that for fixed $\varepsilon > 0$, there exists a function $C^\varepsilon(\cdot)$ such that if $\|u\|_{H^s}, \|v\|_{H^s} \leq M$, then

$$\|g_\varepsilon(u) - g_\varepsilon(v)\|_{H^{-s}} \leq \|g_\varepsilon(u) - g_\varepsilon(v)\|_{L^2} \leq C^\varepsilon(M) \|u - v\|_{H^s}.$$

With the above uniqueness property, we can resume the proof of [10, Theorem 3.3.9] and [10, Theorem 3.4.1] for the globalization, since, as we have, for every $\delta > 0$,

$$| |u|^2 \log(|u| + \varepsilon) - \mu_\varepsilon(|u|) | \leq C_{\varepsilon,\delta} |u|^{2+\delta} + |u|,$$

Gagliardo–Nirenberg and Young inequalities yield

$$|\lambda G_\varepsilon(u)| \leq \frac{1}{4} \|u\|_{\dot{H}^s}^2 + C(\|u\|_{L^2}),$$

so we obtain the lemma. ■

3.2. Construction of weak H^s solutions

We initially establish a uniform estimate for approximate solutions within the H^s space.

Lemma 3.2. *Let $0 < \alpha \leq 1$ and $\varphi \in H^s$. For all $t \in \mathbb{R}$ we have*

$$\|(-\Delta)^{s/2} u_\varepsilon(t)\|_{L^2}^2 \leq e^{4|\lambda||t|} \|(-\Delta)^{s/2} \varphi\|_{L^2}^2. \tag{3.3}$$

Proof. We resume the energy estimate from [8]: in view of the conservation of the L^2 -norm,

$$\begin{aligned} & \frac{d}{dt} \|u_\varepsilon(t)\|_{H^s(\mathbb{R}^d)}^2 \\ &= 2 \operatorname{Re} \iint_{\mathbb{R}^d \times \mathbb{R}^d} \overline{(u_\varepsilon(t, x + y) - u_\varepsilon(t, x))} \partial_t (u_\varepsilon(t, x + y) - u_\varepsilon(t, x)) \frac{dx dy}{|y|^{d+2\alpha}} \\ &= -2 \operatorname{Im} \iint_{\mathbb{R}^d \times \mathbb{R}^d} \overline{(u_\varepsilon(t, x + y) - u_\varepsilon(t, x))} \\ & \quad \cdot (-\Delta)^s (u_\varepsilon(t, x + y) - u_\varepsilon(t, x)) \frac{dx dy}{|y|^{d+2\alpha}} \end{aligned}$$

$$\begin{aligned}
 & -4\lambda \operatorname{Im} \iint_{\mathbb{R}^d \times \mathbb{R}^d} \overline{(u_\varepsilon(t, x+y) - u_\varepsilon(t, x))} \\
 & \quad \cdot (g_\varepsilon(u_\varepsilon(t, x+y)) - g_\varepsilon(u_\varepsilon(t, x))) \frac{dx dy}{|y|^{d+2\alpha}}.
 \end{aligned}$$

Here, the first term on the right-hand side of the equation vanishes, since $(-\Delta)^s$ is self-adjoint, and so the imaginary part of the integral in x is zero. By applying Lemma 2.1 with $\mu = \varepsilon$, we obtain

$$\begin{aligned}
 & \frac{d}{dt} \|u_\varepsilon(t)\|_{H^s(\mathbb{R}^d)}^2 \\
 & \leq 4|\lambda| \iint_{\mathbb{R}^d \times \mathbb{R}^d} |\operatorname{Im}[\overline{(u_\varepsilon(t, x+y) - u_\varepsilon(t, x))}] \\
 & \quad \cdot (g_\varepsilon(u_\varepsilon(t, x+y)) - g_\varepsilon(u_\varepsilon(t, x)))| \frac{dx dy}{|y|^{d+2\alpha}} \\
 & \leq 4|\lambda| \iint_{\mathbb{R}^d \times \mathbb{R}^d} |u_\varepsilon(t, x+y) - u_\varepsilon(t, x)|^2 \frac{dx dy}{|y|^{d+2\alpha}} \leq 4|\lambda| \|u_\varepsilon(t)\|_{H^s(\mathbb{R}^d)}^2.
 \end{aligned}$$

Gronwall’s lemma then yields

$$\|u_\varepsilon(t)\|_{H^s(\mathbb{R}^d)}^2 \leq e^{4|\lambda|t} \|\varphi\|_{H^s(\mathbb{R}^d)}^2, \quad \text{for all } t \in \mathbb{R},$$

hence the lemma. ■

It follows from Lemma 3.1 and (3.3) that for any $T > 0$ we have

$$M_T := \sup_{0 < \varepsilon < 1} \|u_\varepsilon\|_{L_T^\infty(H^s)} \leq C(T, \|\varphi\|_{H^s}). \tag{3.4}$$

Next we prove that $\{u_\varepsilon\}_{0 < \varepsilon < 1}$ forms a Cauchy sequence in $C_T(L_{\text{loc}}^2(\mathbb{R}^d))$ as $\varepsilon \downarrow 0$ for any $T > 0$. Take a function $\zeta \in C_c^\infty(\mathbb{R}^d)$ satisfying

$$\zeta(x) = \begin{cases} 1 & \text{if } |x| \leq 1, \\ 0 & \text{if } |x| \geq 2, \end{cases} \quad 0 \leq \zeta(x) \leq 1 \quad \text{for all } x \in \mathbb{R}^d.$$

For $R > 0$ we set $\zeta_R := \zeta(x/R)$. For $\varepsilon, \mu \in (0, 1)$, utilizing (3.1), (2.1), and (3.4), a direct computation indicates that

$$\begin{aligned}
 & \frac{d}{dt} \|\zeta_R(u_\varepsilon - u_\mu)\|_{L^2}^2 \\
 & = 2 \operatorname{Im}(i \zeta_R^2 \partial_t (u_\varepsilon - u_\mu), u_\varepsilon - u_\mu) \\
 & = 2 \operatorname{Im}(\zeta_R^2 (-\Delta)^s (u_\varepsilon - u_\mu), u_\varepsilon - u_\mu) \\
 & \quad + 4\lambda \operatorname{Im}(\zeta_R^2 (u_\varepsilon \log(|u_\varepsilon| + \varepsilon) - u_\mu \log(|u_\mu| + \mu)), u_\varepsilon - u_\mu).
 \end{aligned}$$

The first term on the right-hand side is estimated thanks to the fractional Leibniz rule recalled in (the first case of) Lemma 2.2, since

$$\operatorname{Im}((-\Delta)^{s/2}(u_\varepsilon - u_\mu), \zeta_R^2(-\Delta)^{s/2}(u_\varepsilon - u_\mu)) = 0,$$

by

$$\begin{aligned} & \left| \operatorname{Im}((-\Delta)^{s/2}(u_\varepsilon - u_\mu), (-\Delta)^{s/2}(\zeta_R^2(u_\varepsilon - u_\mu))) \right| \\ & \lesssim \|u_\varepsilon - u_\mu\|_{\dot{H}^s} \|u_\varepsilon - u_\mu\|_{L^2} \|(-\Delta)^{s/2}(\zeta_R^2)\|_{L^\infty}. \end{aligned}$$

The estimate $\|(-\Delta)^{s/2}\zeta_R^2\|_{L^\infty} \lesssim 1/R^s$ follows by homogeneity (using, e.g., Fourier transform), and thus

$$\begin{aligned} \frac{d}{dt} \|\zeta_R(u_\varepsilon - u_\mu)\|_{L^2}^2 & \leq \frac{C}{R^s} \|u_\varepsilon - u_\mu\|_{\dot{H}^s} \|u_\varepsilon - u_\mu\|_{L^2} \\ & \quad + 4|\lambda| (\|\zeta_R(u_\varepsilon - u_\mu)\|_{L^2}^2 + |\varepsilon - \mu| \|\zeta_R^2(u_\varepsilon - u_\mu)\|_{L^1}). \end{aligned}$$

Gronwall's lemma implies

$$\|\zeta_R(u_\varepsilon - u_\mu)(t)\|_{L^2}^2 \leq e^{4|\lambda|T} \left(\frac{C(M_T)}{R^s} + |\varepsilon - \mu| |B_{2R}|^{1/2} \|\varphi\|_{L^2} \right), \quad (3.5)$$

for all $t \in [-T, T]$, where we have used

$$\|\zeta_R^2(u_\varepsilon - u_\mu)\|_{L^1} \leq \|u_\varepsilon - u_\mu\|_{L^2(B_{2R})} \leq 2|B_{2R}|^{1/2} \|\varphi\|_{L^2}.$$

We now fix $R_0 > 0$ and take $R \in (R_0, \infty)$ as a parameter. It follows from (3.5) that

$$\begin{aligned} \|u_\varepsilon - u_\mu\|_{C_T(L^2(B_{R_0}))}^2 & \leq \|\zeta_R(u_\varepsilon - u_\mu)\|_{C_T(L^2)}^2 \\ & \leq C(T, \|\varphi\|_{H^s}) \left(\frac{1}{R^s} + |\varepsilon - \mu| |B_{2R}|^{1/2} \right), \end{aligned}$$

which yields

$$\limsup_{\varepsilon, \mu \downarrow 0} \|u_\varepsilon - u_\mu\|_{C_T(L^2(B_{R_0}))}^2 \leq \frac{C(T, \|\varphi\|_{H^s})}{R^s} \xrightarrow{R \rightarrow \infty} 0.$$

As $R_0 > 0$ is arbitrary, we conclude that the sequence $\{u_\varepsilon\}_{0 < \varepsilon < 1}$ constitutes a Cauchy sequence in $C_T(L^2_{\text{loc}}(\mathbb{R}^d))$. When combining this with Lemma 3.1, this entails that there exists a function $u \in L^\infty(\mathbb{R}, L^2(\mathbb{R}^d))$ such that

$$u_\varepsilon \rightarrow u \quad \text{in } C_T(L^2_{\text{loc}}(\mathbb{R}^d)) \quad \text{as } \varepsilon \downarrow 0, \quad (3.6)$$

for all $T > 0$.

Lemma 3.3. *We have $u \in L^\infty_{\text{loc}}(\mathbb{R}, H^s(\mathbb{R}^d))$ and*

$$u_\varepsilon(t) \rightharpoonup u(t) \quad \text{in } H^s(\mathbb{R}^d), \text{ for all } t \in \mathbb{R}. \tag{3.7}$$

Proof. First it follows from (3.6) that

$$u_\varepsilon(t) \rightharpoonup u(t) \quad \text{in } L^2(\mathbb{R}^d), \text{ for all } t \in \mathbb{R}. \tag{3.8}$$

To prove $u \in L^\infty_{\text{loc}}(\mathbb{R}, H^s(\mathbb{R}^d))$, we use the characterization of H^s functions by duality. For any $\psi \in C_c^\infty(\mathbb{R}^d)$ and $t \in [-T, T]$ we obtain from (3.4) that

$$\left| \int u_\varepsilon(t)(-\Delta)^{s/2}\psi \right| = \left| \int (-\Delta)^{s/2}u_\varepsilon(t)\psi \right| \leq \|u_\varepsilon(t)\|_{\dot{H}^s} \|\psi\|_{L^2} \leq M_T \|\psi\|_{L^2}.$$

Then it follows from (3.8) that

$$\left| \int u(t)(-\Delta)^{s/2}\psi \right| \leq M_T \|\psi\|_{L^2} \quad \text{for all } t \in [-T, T].$$

We infer that for all $t \in [-T, T]$,

$$u(t) \in H^s(\mathbb{R}^d) \quad \text{and} \quad \|(-\Delta)^{s/2}u(t)\|_{L^2} \leq M_T,$$

hence $u \in L^\infty_{\text{loc}}(\mathbb{R}, H^s(\mathbb{R}^d))$. Also, in view of (3.8),

$$\int (-\Delta)^{s/2}u_\varepsilon(t)\psi \rightarrow \int (-\Delta)^{s/2}u(t)\psi,$$

for any $\psi \in C_c^\infty(\mathbb{R}^d)$ and $t \in \mathbb{R}$. Using (3.4) and a density argument, we deduce that

$$(-\Delta)^{s/2}u_\varepsilon(t) \rightharpoonup (-\Delta)^{s/2}u(t) \quad \text{in } L^2(\mathbb{R}^d), \text{ for all } t \in \mathbb{R},$$

hence the lemma. ■

Next, we prove the convergence of the nonlinear term.

Lemma 3.4. *For all $t \in \mathbb{R}$ we have*

$$g_\varepsilon(u_\varepsilon(t)) \rightarrow g(u(t)) \quad \text{in } L^2_{\text{loc}}(\mathbb{R}^d) \quad \text{as } \varepsilon \downarrow 0.$$

Proof. We show that for any $\Omega \subset\subset \mathbb{R}^d$ and $t \in \mathbb{R}$,

$$u_\varepsilon(t) \log(|u_\varepsilon(t)| + \varepsilon) \rightarrow u(t) \log |u(t)| \quad \text{in } L^2(\Omega) \quad \text{as } \varepsilon \downarrow 0.$$

In view of [24, Lemma A.2], we know that for $\alpha \in (0, 1)$, there exists $C(\alpha) > 0$ such that for all $u, v \in \mathbb{C}$, $\varepsilon \in (0, 1)$

$$\begin{aligned} |v \log(|v| + \varepsilon) - u \log |u| | &\leq \varepsilon + |u - v| + C(\alpha) \\ &\quad \times (1 + |u|^{1-\alpha} \log^+ |u| + |v|^{1-\alpha} \log^+ |v|) |u - v|^\alpha, \end{aligned}$$

where $\log^+ x := \max(\log x, 0)$. Hence, for any $\delta > 0$ small, there exists $C(\delta) > 0$ such that

$$|u_\varepsilon \log(|u_\varepsilon| + \varepsilon) - u \log|u|| \leq \varepsilon + |u_\varepsilon - u| + C(\alpha) \times (1 + |u_\varepsilon|^{1/2+\delta} + |u|^{1/2+\delta})|u_\varepsilon - u|^{1/2}.$$

Fixing $\delta > 0$ sufficiently small so that $H^s(\mathbb{R}^d) \subset L^{2+4\delta}(\mathbb{R}^d)$, we have

$$\begin{aligned} \| |u_\varepsilon|^{1/2+\delta} |u_\varepsilon - u|^{1/2} \|_{L^2(\Omega)}^2 &= \int_\Omega |u_\varepsilon|^{1+2\delta} |u_\varepsilon - u| \leq \|u_\varepsilon\|_{L^{2+4\delta}}^{1+2\delta} \|u_\varepsilon - u\|_{L^2(\Omega)} \\ &\lesssim \|u_\varepsilon\|_{H^s}^{1+2\delta} \|u_\varepsilon - u\|_{L^2(\Omega)}. \end{aligned}$$

Therefore, the results follow from (3.4) and (3.6). ■

From (3.1) it follows that for every $\varphi \in C_c^\infty(\mathbb{R}^d)$ and every $\phi \in C_c^1(\mathbb{R})$,

$$\begin{aligned} &\int_{\mathbb{R}} (i u_\varepsilon, \psi)_{L^2} \phi'(t) dt \\ &= - \int_{\mathbb{R}} \langle i \partial_t u_\varepsilon, \psi \rangle_{H^{-s}, H^s} \phi(t) dt \\ &= - \int_{\mathbb{R}} \langle (-\Delta)^s u_\varepsilon + 2\lambda u_\varepsilon \log(|u_\varepsilon| + \varepsilon), \psi \rangle_{H^{-s}, H^s} \phi(t) dt \\ &= - \int_{\mathbb{R}} \{ ((-\Delta)^{s/2} u_\varepsilon, (-\Delta)^{s/2} \psi)_{L^2} + (\lambda g_\varepsilon(u_\varepsilon), \psi)_{L^2} \} \phi(t) dt. \end{aligned}$$

From (3.7), $u_\varepsilon(t) \rightharpoonup u(t)$ in $H^s(\mathbb{R}^d)$. In view of Lemma 3.4, taking the limit $\varepsilon \downarrow 0$ yields

$$\int_{\mathbb{R}} (i u, \psi)_{L^2} \phi'(t) dt = - \int_{\mathbb{R}} \{ ((-\Delta)^{s/2} u, (-\Delta)^{s/2} \psi)_{L^2} + (\lambda g(u), \psi)_{L^2} \} \phi(t) dt.$$

It can be easily verified for any $\Omega \subset\subset \mathbb{R}^d$,

$$u \in L_{\text{loc}}^\infty(\mathbb{R}, H^s(\mathbb{R}^d)) \cap W_{\text{loc}}^{s,\infty}(\mathbb{R}, H^{-s}(\Omega))$$

and

$$i \partial_t u - (-\Delta)^s u = \lambda g(u) \quad \text{in } H^{-s}(\Omega), \tag{3.9}$$

for almost all $t \in \mathbb{R}$.

3.3. Uniqueness and regularity

Following [11, Lemme 2.2.1], we have the next lemma.

Lemma 3.5. *Assume that, for some $T > 0$, $u, v \in L_T^\infty(H^s(\mathbb{R}^d))$ solve (1.1) in the distribution sense. Then $u = v$.*

Proof. We set

$$M := \max\{\|u\|_{L^\infty(H^s)}, \|v\|_{L^\infty(H^s)}\}.$$

As mentioned above, u, v satisfy the equation in the sense of (3.9). Resuming the cut-off function ζ_R , and the computations from Section 3.2 (with u_ε replaced by u and u_μ replaced by v), Gronwall's lemma yields, like for (3.5) (with now $\varepsilon = \mu = 0$),

$$\|\zeta_R(u - v)(t)\|_{L^2}^2 \leq e^{4|\lambda|T} \left(\|\zeta_R(u(0) - v(0))\|_{L^2}^2 + \frac{C(M)}{R^s} T \right) \quad \text{for all } t \in [-T, T].$$

By Fatou's Lemma,

$$\|(u - v)(t)\|_{L^2}^2 \leq \liminf_{R \rightarrow \infty} \|\zeta_R(u - v)(t)\|_{L^2}^2 \leq 0,$$

for all $t \in [-T, T]$. Therefore, $u = v$ on $[-T, T]$. ■

Continuity in time and strong L^2 convergence are established like in the proof of [24, Lemma 2.10].

Lemma 3.6. *We have $u \in C_w(\mathbb{R}, H^s(\mathbb{R}^d)) \cap C(\mathbb{R}, L^2(\mathbb{R}^d))$ and*

$$u_\varepsilon(t) \rightarrow u(t) \quad \text{in } L^2(\mathbb{R}^d).$$

Proof. First we note that $u \in C_w(\mathbb{R}, H^s(\mathbb{R}^d))$. Indeed, this easily follows from Lemma 3.3 and $u \in C(\mathbb{R}, L^2_{\text{loc}}(\mathbb{R}^d))$. Next, we obtain from Lemma 3.1 and (3.8) that

$$\|u(t)\|_{L^2}^2 \leq \liminf_{\varepsilon \rightarrow 0} \|u_\varepsilon(t)\|_{L^2}^2 = \|\varphi\|_{L^2}^2 \quad \text{for all } t \in \mathbb{R}.$$

Uniqueness of solutions yields that

$$\|u(t)\|_{L^2}^2 = \|\varphi\|_{L^2}^2 \quad \text{for all } t \in \mathbb{R}. \tag{3.10}$$

As $u \in C_w(\mathbb{R}, L^2(\mathbb{R}^d))$, we deduce that $u \in C(\mathbb{R}, L^2(\mathbb{R}^d))$. Since no mass is lost in the weak convergence (3.8), the convergence is strong in L^2 . ■

Lemma 3.7. *We have $u \in C(\mathbb{R}, H^s(\mathbb{R}^d))$.*

Proof. We just need to show the continuity $t \mapsto u(t) \in H^s(\mathbb{R}^d)$ at $t = 0$. It follows from (3.3), (3.7), and the weak lower semicontinuity of the norm that

$$\|u(t)\|_{\dot{H}^s}^2 \leq e^{4|\lambda||t|} \|\varphi\|_{\dot{H}^s}^2.$$

Passing to the limit as $t \rightarrow 0$ we have

$$\limsup_{t \rightarrow 0} \|u(t)\|_{\dot{H}^s}^2 \leq \|\varphi\|_{\dot{H}^s}^2.$$

On the other hand, it follows from the weak continuity $t \mapsto u(t) \in H^s(\mathbb{R}^d)$ at $t = 0$ that

$$\|\varphi\|_{\dot{H}^s}^2 \leq \liminf_{t \rightarrow 0} \|u(t)\|_{\dot{H}^s}^2.$$

So we obtain

$$\lim_{t \rightarrow 0} \|u(t)\|_{\dot{H}^s}^2 = \|\varphi\|_{\dot{H}^s}^2.$$

Therefore, the weak convergence in (3.7) is actually strong. ■

3.4. Construction of solutions in W_1^s

We now assume that $\varphi \in W_1^s \subset H^s(\mathbb{R}^d)$. From the dominated convergence theorem we have

$$E_\varepsilon(\varphi) \rightarrow E(\varphi) \quad \text{as } \varepsilon \downarrow 0,$$

recalling that $E_\varepsilon(\varphi)$ and $E(\varphi)$ are defined in (3.2) and (1.2), respectively. Let $\theta \in C_c^1(\mathbb{C}, \mathbb{R})$ satisfying

$$\theta(z) = \begin{cases} 1 & \text{if } |z| \leq 1/4, \\ 0 & \text{if } |z| \geq 1/2, \end{cases} \quad 0 \leq \theta(z) \leq 1 \quad \text{for } z \in \mathbb{C},$$

and set, for $\varepsilon > 0$,

$$\begin{aligned} F_{1\varepsilon}(u) &= \theta(u)|u|^2 \log((|u| + \varepsilon)^2), & F_{2\varepsilon}(u) &= (1 - \theta(u))|u|^2 \log((|u| + \varepsilon)^2), \\ F_1(u) &= \theta(u)|u|^2 \log(|u|^2), & F_2(u) &= (1 - \theta(u))|u|^2 \log(|u|^2). \end{aligned}$$

In the subsequent discussion, we confine the range of ε to $(0, 1/2)$. The energy expressed in equation (3.1) is denoted as

$$E_\varepsilon(u) = \frac{1}{2} \int |(-\Delta)^{s/2} u|^2 + \frac{\lambda}{2} \int F_{1\varepsilon}(u) + \frac{\lambda}{2} \int F_{2\varepsilon}(u) - \frac{\lambda}{2} \int \mu_\varepsilon(|u|).$$

Taking $\delta > 0$ sufficiently small,

$$\int |F_2(u)| \lesssim \int |u|^{2+\delta} \lesssim (\|u\|_{L^2}^{1-\eta} \|u\|_{\dot{H}^s}^\eta)^{2+\delta}, \quad \eta = \frac{d}{s} \left(\frac{1}{2} - \frac{1}{2+\delta} \right) \in (0, 1). \tag{3.11}$$

In particular,

$$\text{for } u \in H^s(\mathbb{R}^d), \quad u \in W_1^s \iff \int |F_1(u)| < \infty.$$

Lemma 3.8. *For all $t \in \mathbb{R}$ we have, as $\varepsilon \rightarrow 0$,*

$$\int \mu_\varepsilon(|u_\varepsilon(t)|) \rightarrow \int |u(t)|^2, \quad \int F_{2\varepsilon}(u_\varepsilon(t)) \rightarrow \int F_2(u(t)).$$

The proof of this lemma is found in [24, Lemma 2.13], and relies on the observation that for any $\delta \in (0, 1)$ there exists $C(\delta) > 0$ such that

$$|F_{2\varepsilon}(z) - F_2(w)| \leq C(\delta)(|z|^{1+\delta} + |w|^{1+\delta})|z - w| \quad \text{for all } z, w \in \mathbb{C}.$$

Proposition 3.9. *Let $\lambda < 0$. Then, $u \in (C \cap L^\infty)(\mathbb{R}, W_1^s)$ and $E(u(t)) = E(\varphi)$ for all $t \in \mathbb{R}$.*

Proof. For $\varepsilon \in (0, 1/2)$, we have $F_{1\varepsilon}(u) \leq 0$, and we can rewrite the first two terms of $E_\varepsilon(u)$ as

$$\frac{1}{2} \int |(-\Delta)^{s/2} u|^2 + \frac{\lambda}{2} \int F_{1\varepsilon}(u) = \frac{1}{2} \int |(-\Delta)^{s/2} u|^2 + \frac{|\lambda|}{2} \int |F_{1\varepsilon}(u)|.$$

The weak lower semicontinuity of the norm, Fatou’s lemma (for the second term), and Lemma 3.8 imply

$$\begin{aligned} & \frac{1}{2} \int |(-\Delta)^{s/2} u(t)|^2 + \frac{|\lambda|}{2} \int |F_1(u(t))| \\ & \leq \liminf_{\varepsilon \rightarrow 0} \left(E_\varepsilon(u_\varepsilon(t)) - \frac{\lambda}{2} \int F_{2\varepsilon}(u_\varepsilon(t)) + \frac{\lambda}{2} \int \mu_\varepsilon(u_\varepsilon(t)) \right) \\ & \leq E(\varphi) - \frac{\lambda}{2} \int F_2(u(t)) + \frac{\lambda}{2} \int |u(t)|^2, \end{aligned}$$

for all $t \in \mathbb{R}$. It implies that

$$u(t) \in W_1^s, \quad E(u(t)) \leq E(\varphi), \quad \text{for all } t \in \mathbb{R}.$$

Invoking Lemma 3.6, we obtain that the conservation of the energy

$$E(u(t)) = E(\varphi), \quad \text{for all } t \in \mathbb{R}. \tag{3.12}$$

From inequality (3.11) with $(2 + \delta)\eta < 2$, and the identity (3.10) we get

$$\int |(-\Delta)^{s/2} u(t)|^2 + \int |F_1(u(t))| \leq C(E(\varphi), \|\varphi\|_{L^2}),$$

for all $t \in \mathbb{R}$. Therefore we deduce that

$$u \in L^\infty(\mathbb{R}, H^s(\mathbb{R}^d)) \quad \text{and} \quad t \mapsto \int |u(t)|^2 \log(|u(t)|^2) \in L^\infty(\mathbb{R}),$$

and thus $u \in L^\infty(\mathbb{R}, W_1^s)$. Moreover, from (3.12) and Lemma (3.7), we know that

$$t \mapsto \int |u(t)|^2 \log(|u(t)|^2) \in C(\mathbb{R}) \iff u \in C(\mathbb{R}, W_1^s),$$

which completes the proof. ■

Proposition 3.10. *Let $\lambda > 0$. Then, $u \in C(\mathbb{R}, W_1^s)$.*

Proof. Step 1. We show that $u \in L_{loc}^\infty(\mathbb{R}, W_1^s)$. It follows from (3.2) and (3.12) that for any $T > 0$ and $t \in [-T, T]$,

$$\begin{aligned} \frac{|\lambda|}{2} \int |F_{1\varepsilon}(u_\varepsilon(t))| &= -\frac{\lambda}{2} \int F_{1\varepsilon}(u_\varepsilon(t)) \\ &= -E_\varepsilon(u_\varepsilon(t)) + \frac{1}{2} \int |(-\Delta)^{s/2} u_\varepsilon(t)|^2 \\ &\quad + \frac{\lambda}{2} \left(\int F_{2\varepsilon}(u_\varepsilon(t)) - \int \mu_\varepsilon(|u_\varepsilon(t)|) \right). \end{aligned}$$

Fatou’s Lemma and (3.4) imply

$$\frac{|\lambda|}{2} \int |F_1(u(t))| \leq \liminf_{\varepsilon \rightarrow 0} \frac{|\lambda|}{2} \int |F_{1\varepsilon}(u_\varepsilon(t))| \leq -E(\varphi) + C(M_T),$$

for all $t \in [-T, T]$. This entails

$$t \mapsto \int |u(t)|^2 \log(|u(t)|^2) \in L_{loc}^\infty(\mathbb{R}),$$

hence the claim.

Step 2. We show that $u \in C(\mathbb{R}, W_1^s)$. We check that the map $t \mapsto \int F_2(u(t))$ is continuous, and then we need to show that so is $t \mapsto \int F_1(u(t))$. As in the proof of Lemma 3.7, we consider continuity at $t = 0$ only. Resuming the computation for the preceding paragraph, we derive

$$\begin{aligned} \frac{|\lambda|}{2} \int |F_{1\varepsilon}(u_\varepsilon(t))| &= -E_\varepsilon(u_\varepsilon(t)) + \frac{1}{2} \int |(-\Delta)^{s/2} u_\varepsilon(t)|^2 \\ &\quad + \frac{\lambda}{2} \left(\int F_{2\varepsilon}(u_\varepsilon(t)) - \int \mu_\varepsilon(|u_\varepsilon(t)|) \right) \\ &\leq -E_\varepsilon(\varphi) + \frac{1}{2} e^{4|\lambda||t|} \|(-\Delta)^{s/2} \varphi\|_{L^2}^2 \\ &\quad + \frac{\lambda}{2} \left(\int F_{2\varepsilon}(u_\varepsilon(t)) - \int \mu_\varepsilon(|u_\varepsilon(t)|) \right). \end{aligned}$$

In view of Fatou’s Lemma and Lemma 3.8, we infer

$$\begin{aligned} \frac{|\lambda|}{2} \int |F_1(u(t))| &\leq -E(\varphi) + \frac{1}{2} e^{4|\lambda||t|} \|(-\Delta)^{s/2} \varphi\|_{L^2}^2 \\ &\quad + \frac{\lambda}{2} \int F_2(u(t)) - \frac{\lambda}{2} \int |u(t)|^2. \end{aligned}$$

Passing to the limit $t \rightarrow 0$ yields

$$\begin{aligned} \limsup_{t \rightarrow 0} \frac{|\lambda|}{2} \int |F_1(u(t))| &\leq -E(\varphi) + \frac{1}{2} \|(-\Delta)^{s/2} \varphi\|_{L^2}^2 + \frac{\lambda}{2} \int F_2(\varphi) - \frac{\lambda}{2} \int |\varphi|^2 \\ &= -\frac{\lambda}{2} \int F_1(\varphi) = \frac{|\lambda|}{2} \int |F_1(\varphi)|. \end{aligned}$$

Thanks to Fatou’s Lemma,

$$\int |F_1(\varphi)| \leq \liminf_{t \rightarrow 0} \int |F_1(u(t))|,$$

hence the proposition. ■

Since regardless of the sign of λ , $u \in C(\mathbb{R}, W_1^s)$, arguing like in the proof of [9, Lemma 2.6], we infer

$$i \partial_t u - (-\Delta)^s u = \lambda u \log(|u|^2) \quad \text{in } (W_1^s)^*.$$

3.5. The H^1 case

To conclude the proof of Theorem 1.1, we now assume $\varphi \in H^1(\mathbb{R}^d)$. Since $0 < s < 1$, we already know that (1.1) has a unique solution $u \in C(\mathbb{R}, H^s(\mathbb{R}^d))$. We note that the solution u_ε to (3.1) is bounded in $H^1(\mathbb{R}^d)$, uniformly on any time interval $[-T, T]$ and in $\varepsilon \in (0, 1]$. Indeed, applying the gradient to (3.1) yields

$$i \partial_t \nabla u_\varepsilon - (-\Delta)^s \nabla u_\varepsilon = 2\lambda \nabla u_\varepsilon \log(|u_\varepsilon| + \varepsilon) + 2\lambda \frac{u_\varepsilon}{|u_\varepsilon| + \varepsilon} \nabla |u_\varepsilon|,$$

and the standard L^2 estimate readily provides

$$\frac{d}{dt} \|\nabla u_\varepsilon\|_{L^2}^2 \leq 4|\lambda| \|\nabla u_\varepsilon\|_{L^2} \|\nabla |u_\varepsilon|\|_{L^2} \leq 4|\lambda| \|\nabla u_\varepsilon\|_{L^2}^2.$$

The conclusion of Theorem 1.1 then follows from the same arguments as above, when we proved that $u \in C(\mathbb{R}, H^s(\mathbb{R}^d))$.

4. The Cauchy problem in the H^{2s} regularity

In this section, we show that if $\varphi \in X_\alpha^{2s} = H^{2s} \cap \mathcal{F}(H^\alpha)$, then the solution $u \in C(\mathbb{R}, H^s)$ provided by Theorem 1.1 actually belongs to $C_w \cap L_{\text{loc}}^\infty(\mathbb{R}, X_\alpha^{2s})$ (note the obvious relation $X_\alpha^{2s} \subset H^s$).

The strategy is inspired by the classical one in the case of the nonlinear Schrödinger equation, when H^2 regularity is addressed, see [27] (see also [10]): we first prove that $\partial_t u \in L_{\text{loc}}^\infty(\mathbb{R}, L^2)$, and eventually use equation (1.1) to conclude that $(-\Delta)^s u \in L_{\text{loc}}^\infty(\mathbb{R}, L^2)$. The intermediate step consists in considering the nonlinearity, to show that $u \log |u|^2 \in L_{\text{loc}}^\infty(\mathbb{R}, L^2)$: due to the singularity of the logarithm at

the origin, this is by no means obvious (in particular, the information $u \in C(\mathbb{R}, H^s)$ and the Sobolev embedding do not suffice to conclude). The first step is indeed the following.

Lemma 4.1. *Let $\alpha > 0$, $\varphi \in X_\alpha^{2s}$, and, for $\varepsilon > 0$, let u_ε solve (3.1). For all $t \in \mathbb{R}$ we have*

$$\|\partial_t u_\varepsilon(t)\|_{L^2}^2 \leq e^{4|\lambda t|} \|\partial_t u_\varepsilon(0)\|_{L^2}^2,$$

and there exists a map C independent of $\varepsilon \in (0, 1)$ such that

$$\|\partial_t u_\varepsilon(0)\|_{L^2} \leq C(\|\varphi\|_{H^{2s}}, \|\langle x \rangle^\alpha \varphi\|_{L^2}).$$

Proof. For the first part of the lemma, we compute

$$\begin{aligned} \frac{d}{dt} \|\partial_t u_\varepsilon\|_{L^2}^2 &= 2 \operatorname{Re}(\partial_t^2 u_\varepsilon, \partial_t u_\varepsilon) \\ &= -2 \operatorname{Im}(\partial_t \{(-\Delta)^s u_\varepsilon + 2\lambda u_\varepsilon \log(|u_\varepsilon| + \varepsilon)\}, \partial_t u_\varepsilon) \\ &= -4\lambda \operatorname{Im}\left(\frac{u_\varepsilon}{|u_\varepsilon| + \varepsilon} \partial_t |u_\varepsilon|, \partial_t u_\varepsilon\right) \leq 4|\lambda| \|\partial_t u_\varepsilon(t)\|_{L^2}^2, \end{aligned}$$

hence the announced inequality by Gronwall’s lemma. Now in view of (3.1),

$$\begin{aligned} \|\partial_t u_\varepsilon(0)\|_{L^2} &\leq \|(-\Delta)^s u_\varepsilon(0)\|_{L^2} + 2|\lambda| \|u_\varepsilon(0) \log(|u_\varepsilon(0)| + \varepsilon)\|_{L^2} \\ &\leq \|\varphi\|_{H^{2s}} + 2|\lambda| \|\varphi \log(|\varphi| + \varepsilon)\|_{L^2}. \end{aligned}$$

For $\delta > 0$,

$$|\varphi \log(|\varphi| + \varepsilon)| \lesssim |\varphi| ((|\varphi| + \varepsilon)^{-\delta} + (|\varphi| + \varepsilon)^\delta) \lesssim |\varphi|^{1-\delta} + |\varphi| (|\varphi|^\delta + 1),$$

and, provided that $\delta > 0$ is sufficiently small (in terms of s and α),

$$\|\varphi|^{1-\delta}\|_{L^2} \lesssim \|\langle x \rangle^\alpha \varphi\|_{L^2}^{1-\delta}, \quad \|\varphi(|\varphi|^\delta + 1)\|_{L^2} \lesssim \|\varphi\|_{H^{2s}}^{1+\delta} + \|\varphi\|_{L^2},$$

hence the lemma. ■

Combined with (3.4),

$$N_T := \sup_{\varepsilon \in (0,1)} (\|u_\varepsilon\|_{C_T(H^s)} + \|\partial_t u_\varepsilon\|_{C_T(L^2)}) \leq C(T, \|\varphi\|_{X_\alpha^{2s}}). \tag{4.1}$$

The unique solution $u \in C(\mathbb{R}, H^s(\mathbb{R}^d))$ to (1.1) was constructed in Section 3, obtained as the limit of u_ε as $\varepsilon \rightarrow 0$, and we deduce from (4.1) that

$$u \in W_{\text{loc}}^{1,\infty}(\mathbb{R}, L^2(\mathbb{R}^d)), \quad \partial_t u_\varepsilon(t) \rightharpoonup \partial_t u(t) \quad \text{in } L^2(\mathbb{R}^d).$$

As announced above, the next step consists in showing that $u \log |u|^2$ belongs to $L_{\text{loc}}^\infty(\mathbb{R}, L^2)$. Using the same estimates as in the proof of Lemma 4.1, it suffices to prove the following result.

Lemma 4.2. *Let $0 < s < 1$, $0 < \alpha < 2s$ with $\alpha \leq 1$, and $\varphi \in X_\alpha^{2s}$. Then the solution $u \in C(\mathbb{R}, H^s)$ provided by Theorem 1.1 also belongs to $C_w \cap L_{loc}^\infty(\mathbb{R}, \mathcal{F}(H^\alpha))$.*

Proof. Let $\varepsilon > 0$: multiplying (3.1) by $\langle x \rangle^\alpha$, we find

$$i \partial_t (\langle x \rangle^\alpha u_\varepsilon) - \langle x \rangle^\alpha (-\Delta)^s u_\varepsilon = 2\lambda \langle x \rangle^\alpha u_\varepsilon \log(|u_\varepsilon| + \varepsilon),$$

which can be rewritten as

$$i \partial_t (\langle x \rangle^\alpha u_\varepsilon) - (-\Delta)^s (\langle x \rangle^\alpha u_\varepsilon) = 2\lambda \langle x \rangle^\alpha u_\varepsilon \log(|u_\varepsilon| + \varepsilon) - [(-\Delta)^s, \langle x \rangle^\alpha] u_\varepsilon.$$

Multiplying the above equation by $\langle x \rangle^\alpha \bar{u}_\varepsilon$, integrating over \mathbb{R}^d and taking the imaginary part, we obtain, since $(-\Delta)^s$ is self-adjoint,

$$\frac{d}{dt} \|\langle x \rangle^\alpha u_\varepsilon\|_{L^2}^2 \leq 2 \|\langle x \rangle^\alpha u_\varepsilon\|_{L^2} \| [(-\Delta)^s, \langle x \rangle^\alpha] u_\varepsilon \|_{L^2}.$$

The last factor is estimated thanks to Lemma 2.3: for $T > 0$ and $t \in [-T, T]$,

$$\| [(-\Delta)^s, \langle x \rangle^\alpha] u_\varepsilon(t) \|_{L^2} \lesssim \|u_\varepsilon(t)\|_{H^s} \lesssim M_T \lesssim N_T.$$

Gronwall’s lemma implies that u_ε is uniformly bounded in $L_T^\infty \mathcal{F}(H^\alpha)$, and the lemma follows by the same arguments as in Section 3. ■

As explained above, we conclude that $(-\Delta)^s u \in C_w \cap L_{loc}^\infty(\mathbb{R}, L^2)$, and Theorem 1.2 follows, keeping Lemma 4.2 in mind.

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