

Knots of low knot Floer width

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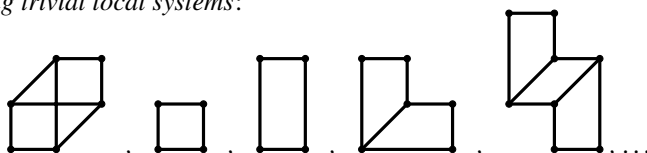
Abstract. This paper classifies the chain homotopy equivalence types of knot Floer complexes $\text{CFK}_{\mathbb{F}[U,V]}(K)$ of knot Floer width 2. They have no nontrivial local systems. As an application, this shows that all Montesinos knots admit a basis that can be simultaneously horizontally and vertically simplified.

1. Introduction

Knot Floer homology is a powerful link invariant that was constructed in [7] and [12] based on an earlier family of 3-manifold invariants called Heegaard Floer homology [8]. In its most general version [16], it is given by the chain homotopy type of a chain complex $\text{CFK}_{\mathbb{F}[U,V]}(K)$ over $\mathbb{F}[U, V]$, equipped with a $\mathbb{Z} \oplus \mathbb{Z}$ grading $(\text{gr}_U, \text{gr}_V)$. By considering the grading $\delta = \frac{1}{2}(\text{gr}_U + \text{gr}_V)$ instead, one can define the *knot Floer width* $w(K)$ of $K \subset S^3$ as $\max \delta - \min \delta + 1$. The knot invariant $w(K)$ has recently been of interest, since it has been shown that it can be used to provide lower bounds on the Turaev genus of K in [6], dealternating number of K in [15], and invariant $\beta(K)$ in [14].

In this paper, we study the $\mathbb{Z} \oplus \mathbb{Z}$ graded chain homotopy types associated to knots of low width. The case $w(K) = 1$ is completely understood by the results in [9] – the knot Floer complexes split into a width 1 staircase and a number of width 1 squares. See also Section 4.2 for a precise restatement of these results. The classification of chain homotopy types associated to knots with $w(K) = 2$ is significantly more challenging and the main result of this paper.

Theorem 1.1. *Let $K \subset S^3$ be a knot with $w(K) = 2$ and let $\text{CFK}_{\mathbb{F}[U,V]}(K)$ be its knot Floer complex. Then the chain homotopy type of $\text{CFK}_{\mathbb{F}[U,V]}(K)$ splits uniquely as a direct sum of a standard complex (Definition 2.2, see also Figure 2) of width at most 2 and some of the following trivial local systems:*



and their reflections over the lines $y = \pm x$.

The primary motivation for studying this question is three-fold. A complete classification of knot Floer complexes, without any restrictions on $w(K)$, exists over the quotient ring $\mathcal{R} = \mathbb{F}[U, V]/(UV)$ by the author's earlier work (see Theorem 1.2 in [10]). It establishes a chain homotopy equivalence

$$\mathrm{CFK}_{\mathcal{R}}(K) \simeq C(a_1, \dots, a_{2n}) \oplus L_1 \oplus \dots \oplus L_k,$$

where $C(a_1, \dots, a_{2n})$ is a standard complex, L_1, \dots, L_k are local systems (Definition 2.3), and all summands are unique up to permutation and chain homotopy equivalence. Refining this classification to one over $\mathbb{F}[U, V]$ seems intractable in general, but is just about possible for knots of width 1 and 2.

Secondly, in none of the examples of knot Floer homology that have been computed thus far, a nontrivial local system has been observed. Theorem 1.1 provides a partial explanation as for why this is the case – in the realm of low crossing numbers where $\mathrm{CFK}_{\mathbb{F}[U, V]}(K)$ can be systematically computed, the majority of the knots have width 1 or 2 and thus their local systems will always be trivial.

Finally, and relatedly, the following question goes back at least as far as [5], and probably further.

Question 1.2. *Does every knot Floer complex $\mathrm{CFK}_{\mathbb{F}[U, V]}(K)$ admit a basis that is simultaneously vertically and horizontally simplified?*

Note that in terms of the classification theorem over \mathcal{R} , this is equivalent to whether the local systems L_i are always trivial. Theorem 1.1 shows that this is the case for all knots of width 2.

Corollary 1.3. *All knot Floer complexes of knot Floer width 2 admit a basis that is simultaneously horizontally and vertically simplified.*

It was proven in Corollary 1.3 of [14] that Montesinos knots have width ≤ 2 . This immediately lets us state the following topological corollary.

Corollary 1.4. *Knot Floer complexes of Montesinos knots admit a basis that is simultaneously horizontally and vertically simplified.*

It would perhaps also be interesting to note that all but one of the local systems from Theorem 1.1 do appear as summands of $\mathrm{CFK}_{\mathbb{F}[U, V]}(K)$ for various knots K . With the exception of one special shape, we exhibit them in certain iterated cables of the figure eight knot in Section 5.

Rather than as a standalone result, we expect that our classification will find its place in the future of knot Floer research. When a new knot Floer invariant is discovered, it is initially typically only computed for all L -space knots and knots of width 1, because further calculations tend to be challenging without a complete understanding of the knot Floer chain homotopy type over $\mathbb{F}[U, V]$. With the use of Theorem 1.1, the invariants can be computed on further examples.

Another aspect in which our results could be interesting is for the study of Dehn surgeries. Using the results in this paper, Sorya has shown in [13] that the vast majority of all knots up to 17 crossings admit only finitely many non-characterizing surgery coefficients in $\mathbb{Q} \setminus \mathbb{Z}$.

Thirdly, several families of knots of independent interest have been shown to have knot Floer width 2. For example, the pretzel knots are a special family of Montesinos knots and not even their knot Floer complexes are known in general. Theorem 1.1 and Corollary 1.4 significantly restrict their chain homotopy type.

2. Background

We briefly define the terms we will be operating with in this paper. Let $K \subset S^3$ be a knot and let $\mathbb{F} = \mathbb{Z}/2\mathbb{Z}$. The knot Floer complex $\text{CFK}_{\mathbb{F}[U,V]}(K)$ is a finitely generated $\mathbb{Z} \oplus \mathbb{Z}$ graded chain complex over $\mathbb{F}[U, V]$ with a differential ∂ and grading $\text{gr} = (\text{gr}_U, \text{gr}_V)$ satisfying $\text{gr}(U) = (-2, 0)$, $\text{gr}(V) = (0, -2)$, and $\text{gr}(\partial) = (-1, -1)$. See [7] for the original paper where knot Floer complexes were defined, and [16] or [10] for a longer exposition of the construction that matches our conventions. The knot Floer complex $\text{CFK}_{\mathbb{F}[U,V]}(K)$ itself is not a knot invariant, only its chain homotopy type is. The latter has a minimal rank representative, unique up to isomorphism of chain complexes by Lemma 4.22, with $\mathbb{F}[U, V]$ instead of \mathcal{R}_1 , in [10]. For the rest of this paper, we use the notation $\text{CFK}_{\mathbb{F}[U,V]}(K)$ to refer to some minimal rank representative of its chain homotopy class. With this caveat, the standard definition of

$$\widehat{\text{HFK}}(K) = H_*(\text{CFK}_{\mathbb{F}[U,V]}(K) \otimes_{\mathbb{F}[U,V]} \mathbb{F})$$

would be equivalent to the one in which we do not take homology. One can collapse gr_U and gr_V to a single grading $\delta = \frac{1}{2}(\text{gr}_U + \text{gr}_V)$.

Definition 2.1. The knot Floer *width* of a knot K is

$$w(K) = \max\{\delta(x) \mid x \in \widehat{\text{HFK}}(K)\} - \min\{\delta(x) \mid x \in \widehat{\text{HFK}}(K)\} + 1,$$

where the maximum and minimum are taken over all x that are homogeneous with respect to gr and hence δ .

Knot Floer width is traditionally defined in terms of the Maslov grading M and the Alexander grading A . It is the number of different diagonals needed to depict the complex $\text{CFK}_{\mathbb{F}[U,V]}(K)$ in the plane whose coordinate axes are A and M . Since $M = \text{gr}_U$ and $A = \frac{1}{2}(\text{gr}_U - \text{gr}_V)$, each diagonal is specified by the quantity $M - A = \delta$ and the two definitions coincide.

The most important tool we will use in this paper is the classification theorem over $\mathcal{R} = \mathbb{F}[U, V]/(UV)$. In order to state it, we first need to define standard complexes and local systems. Both of these concepts are more easily conceptualized pictorially (see Figure 1) rather than via formal definitions, but we include the latter nonetheless. For a longer discussion of standard complexes and local systems, we recommend Section 4 of [1] and Section 3 of [10], where these terms were originally defined.

Definition 2.2. Let $n \in \mathbb{N}_0$ and let a_1, \dots, a_{2n} be a sequence of nonzero integers. A *standard complex* $C(a_1, \dots, a_{2n})$ is a free chain complex over \mathcal{R} with a distinguished basis $B = \{x_0, \dots, x_{2n}\}$ and a differential ∂ encoded pictorially as follows. For each odd i , there is a horizontal arrow of length $|a_i|$ connecting x_i and x_{i-1} . For each even i , there

is a vertical arrow of length $|a_i|$ connecting x_i and x_{i-1} . The direction of the arrow is determined by the sign of a_i . If $a_i > 0$, then the arrow goes from x_i to x_{i-1} , and if $a_i < 0$, then the arrow goes from x_{i-1} to x_i .

Definition 2.3. Let (L, ∂) be a finitely generated free chain complex over \mathcal{R} with no arrows of length 0. Then L is an *indecomposable local system* if it

- (1) admits a simplified decomposition (Definition 3.1 in [10]),
- (2) is indecomposable as a chain complex over \mathcal{R} , and
- (3) has torsion homology (Definition 2.2 in [10]).

A *local system* is a direct sum of indecomposable local systems of the same shape (Definition 3.5 in [10]) and in the same position in the plane. A local system is *trivial* if it admits a simplified basis (Definition 2.5 in [10]) and *nontrivial* otherwise.

We are now in a position to state the classification theorem over $\mathcal{R} = \mathbb{F}[U, V]/(UV)$. See Figure 1 for the pictorial restatement of the theorem.

Theorem 2.4 ([10]). *Let $K \subset S^3$ be a knot and let $\text{CFK}_{\mathcal{R}}(K)$ be its link Floer complex. Then*

$$\text{CFK}_{\mathcal{R}}(K) \simeq C(a_1, \dots, a_{2n}) \oplus L_1 \oplus \dots \oplus L_k,$$

where $C(a_1, \dots, a_{2n})$ is a standard complex and L_1, \dots, L_k are local systems. Moreover, the direct summands are unique up to permutation.

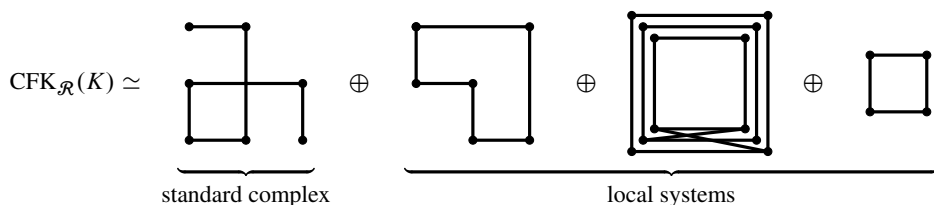


Figure 1. A schematic depiction of Theorem 2.4. The knot Floer complex of a knot K splits into a standard complex and local systems.

This theorem tells us what knot Floer complexes look like over \mathcal{R} . In the rest of the paper, we will use the techniques of [11] to characterize which of those of width 2 can be lifted to complexes over $\mathbb{F}[U, V]$. In order to achieve this goal most efficiently, let us introduce the following conventions and terminology.

Definition 2.5. Let (C, ∂_C) be a chain complex over \mathcal{R} . We say that (C, ∂_C) has a *chain complex lift* $(\hat{C}, \partial_{\hat{C}})$ if $(\hat{C}, \partial_{\hat{C}})$ is a chain complex over $\mathbb{F}[U, V]$ such that $\hat{C} \otimes_{\mathbb{F}[U, V]} \mathcal{R} \cong C$.

Note that standard complexes are by definition endowed with a distinguished basis $\{x_0, \dots, x_{2n}\}$, that is homogeneous with respect to gr . More generally, we will assume that a basis \mathcal{B} , homogeneous with respect to gr , has been picked for any free chain complex C over \mathcal{R} or $\mathbb{F}[U, V]$. For the rest of this paper, whenever we write $x \in C$, we actually mean $x \in \mathcal{B}$.

Definition 2.6. Let (C, ∂) be a free chain complex over \mathcal{R} or $\mathbb{F}[U, V]$. For any $x, y \in C$ and $a, b \in \mathbb{N}_0$, let $\langle \partial x, U^a V^b y \rangle \in \mathbb{F}$ denote the coefficient of $U^a V^b y$ in ∂x .

3. Preliminary observations

In this section, we make some initial observations about knot Floer complexes of low width.

Observation 3.1. Let K be a knot. If $w(K) = 1$, then every arrow of $\text{CFK}_{\mathbb{F}[U, V]}(K)$ is of length 1 in a horizontal or vertical direction. If $w(K) = 2$, then every arrow of $\text{CFK}_{\mathbb{F}[U, V]}(K)$ is horizontal or vertical of length at most 2, or a diagonal arrow that moves by 1 in both directions. Moreover, the length 1 horizontal and vertical arrows preserve δ , and the remaining arrows increase it by 1.

Proof. Let $x, y \in \text{CFK}_{\mathbb{F}[U, V]}(K)$ be generators with $\langle \partial x, U^a V^b y \rangle = 1$. Then

$$\text{gr}(U^a V^b y) = \text{gr}(x) - (1, 1),$$

and so

$$\text{gr}(y) = \text{gr}(x) + (2a - 1, 2b - 1).$$

Hence

$$\delta(y) = \delta(x) + a + b - 1.$$

The conclusion follows by the upper bounds on $w(K)$. \blacksquare

Observation 3.2. Let K be a knot with $w(K) \leq 2$ and L a direct summand of $\text{CFK}_{\mathcal{R}}(K)$. Then L has a chain complex lift.

Proof. Let \mathcal{B} be the distinguished basis of L and denote by \hat{L} the $\mathbb{F}[U, V]$ -submodule of $\text{CFK}_{\mathbb{F}[U, V]}(K)$ spanned by \mathcal{B} . We show that \hat{L} is a chain complex, therefore establishing the claim. Assume for the contradiction that $\partial^2 \neq 0$ and let $x, y \in \mathcal{B}$ be two generators such that $\langle \partial^2 x, U^a V^b y \rangle = 1$ for some $a, b \in \mathbb{N}$. In pictorial terms, one can think of the equation $\langle \partial^2 x, U^a V^b y \rangle = 1$ as there being an odd number of ways in which one can travel from x to $U^a V^b y$ in two steps, i.e., by passing through a single other generator $z \in \hat{L}$ on the way. On the other hand, since $\text{CFK}_{\mathbb{F}[U, V]}(K)$ does satisfy $\partial^2 = 0$, there must exist at least one alternative, additional way of traveling from x to $U^a V^b y$ in $\text{CFK}_{\mathbb{F}[U, V]}(K)$ in two steps. Such a path is necessarily not fully internal to \hat{L} , so it must contain at least one generator not in \hat{L} . Since $x, y \in \hat{L}$, this must be the intermediate generator, let us denote it by $z' \in \text{CFK}_{\mathbb{F}[U, V]}(K)$. However, since $w(K) \leq 2$, considerations of δ grading from Observation 3.1 imply that $(a, b) \in \{(1, 1), (1, 2), (2, 1)\}$. This implies that the alternative way cannot contain two consecutive diagonal arrows and hence z' must be connected to x or y with a horizontal or vertical arrow. This means that $z' \in \hat{L}$, which is a contradiction. \blacksquare

With this in mind, it is sufficient to classify the standard complexes and local systems that have chain complex lifts. Our previous work contains an elementary algorithm (Algorithm 3.12 in [11]) that characterizes standard complexes that can be lifted to chain complexes over $\mathbb{F}[U, V]/(U^2 V^2)$. In the presence of $w(K) \leq 2$ restriction, this is the

same as lifting to $\mathbb{F}[U, V]$. See Figure 2 for some such lifts of width 2 standard complexes. Therefore, we focus on the local systems in the next section.

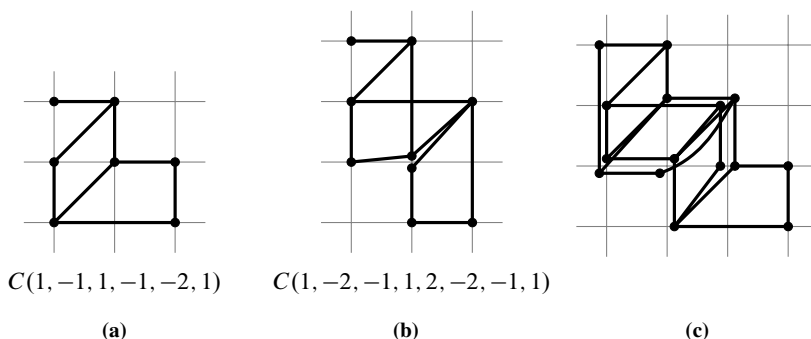


Figure 2. Lifts of some width 2 standard complexes to chain complexes over $\mathbb{F}[U, V]$. Figure (c) depicts the lift of the standard complex $C(-1, 2, 1, -1, 1, -1, 1, -1, -2, 1, -1, 1, 2, -1)$.

4. Knots of low width

4.1. Names of chain complexes

In this subsection, we introduce certain chain complexes that will play a role in the subsequent classification.

Definition 4.1. A *width 1 staircase* is a standard complex $C(1, -1, \dots, 1, -1)$, a standard complex $C(-1, 1, \dots, -1, 1)$, or a standard complex $C(1, -1, \dots, 1, -1)$ together with an additional arrow of length 1 pointed towards one of the endpoints.

Note that the case $C() = \mathcal{R}\langle x_0 \rangle$ with a single generator x_0 and $\partial = 0$ is also subsumed by this definition. More generally, there are four different types of width 1 staircases depending on whether one starts and ends with horizontal or vertical arrows. One example of each type is depicted in Figure 3.

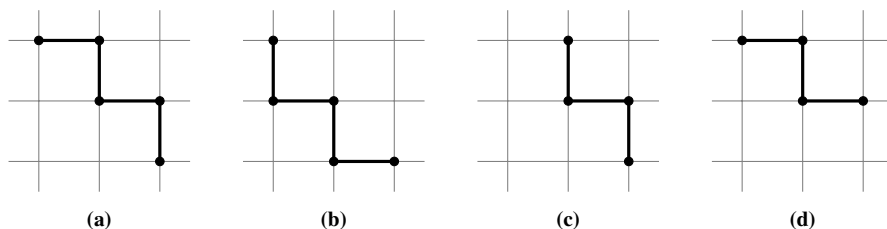


Figure 3. Examples of different types of width 1 staircases.

Definition 4.2. A *width 2 staircase* is a local system consisting of two width 1 staircases connected by two length 2 arrows and some diagonal arrows.

There are four different types of width 2 staircases, the “thickened” versions of different types of width 1 staircases. Three of these types are depicted in Figure 4.

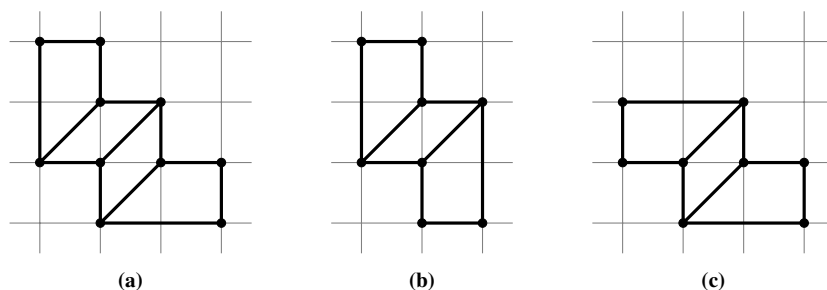


Figure 4. Examples of different types of width 2 staircases.

Definition 4.3. Let (C, ∂) be a finitely generated chain complex over $\mathbb{F}[U, V]$.

- (1) A *loop* is a subcomplex D of C generated by 5 generators a, b, c, d , and e , such that ∂ restricted to D satisfies

$$\partial a = 0, \quad \partial b = U^2 a + U V e, \quad \partial c = U d + V b, \quad \partial d = V^2 e + U V a \quad \text{and} \quad \partial e = 0.$$

We say that such a loop starts at a and ends at e . A loop is drawn in Figure 5a and its mirror in Figure 5b.

- (2) A *special shape* is a subcomplex E of C generated by 6 generators a, b, c, d, e , and f such that ∂ restricted to E satisfies

$$\begin{aligned} \partial a &= V f, & \partial b &= U^2 a + U V e, & \partial c &= U d + V b, & \partial d &= V^2 e + U V a, \\ \partial e &= U f, & \text{and} & & \partial f &= 0. \end{aligned}$$

A special shape is shown in Figure 5c.

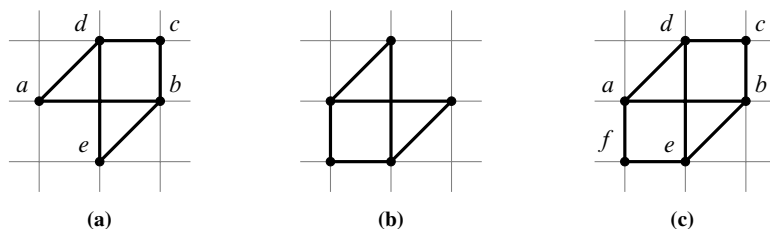


Figure 5. A loop from a to e in (a), its mirror in (b) and a special shape in (c).

4.2. Knots of width 1

Knots of width 1 are also called Floer homologically *thin*, and their knot Floer complexes over $\mathbb{F}[U, V]$ were completely described in Lemma 7 of [9] by the following theorem.

Theorem 4.4. *Let $K \subset S^3$ be a knot with $w(K) = 1$. Then $\text{CFK}_{\mathbb{F}[U, V]}(K)$ splits as a direct sum of a width 1 staircase of even length and width 1 squares.*

For any $n \in \mathbb{N}$, there are exactly two different types of width 1 staircases of length $2n$ (i.e., with $2n + 1$ generators): $C(1, -1, \dots, 1, -1)$ and $C(-1, 1, \dots, -1, 1)$. They are depicted in Figure 3a and Figure 3b for $n = 2$. The case $n = 0$ corresponds to $\mathbb{F}[U, V]$ with the zero differential.

4.3. Knots of width 2

Knots of width 2 are more complicated, and classifying their knot Floer complexes is the main result of this paper. We begin our analysis by a series of simple observations that culminate in Proposition 4.10, a weaker version of Theorem 1.1 stated over the ring $\mathcal{R} = \mathbb{F}[U, V]/(UV)$. The rest of the subsection is dedicated to carefully lifting the results from \mathcal{R} to $\mathbb{F}[U, V]$.

Recall the content of Observation 3.2: if a local system L is a direct summand of $\text{CFK}_{\mathcal{R}}(K)$, then it has a chain complex lift. Such a lift can be obtained by taking the tensor product $L \otimes_{\mathcal{R}} \mathbb{F}[U, V]$ and adding some diagonal arrows to L . With this in mind, we make it our standing convention that all local systems L in this subsection have chain complex lifts. Our proofs frequently start with $L \otimes_{\mathcal{R}} \mathbb{F}[U, V]$ and investigate the potential lifts, in course leading us to learn about the original L we started with.

Lemma 4.5. *Let L be a local system. Then the shape of L contains two consecutive terms of the same sign.*

Proof. Intuitively, having terms of alternating sign means that L is extending in the north-west-southeast direction, so it cannot form a closed shape. More formally, assume that L is a counterexample drawn in the plane and let $x \in L$. Consider the partial order on \mathbb{Z}^2 given by $(i, j) \leq (i', j')$ if $i \leq i'$ and $j \leq j'$. With respect to this order, any generator $y \in L$ with graph-theoretic distance $d(x, y) \geq 2$ is in the position of the plane that is not comparable to the position of x . On the other hand, since L is a local system, it is possible to start at x , walk along the edges of L and return to x , or to $U^k V^k x$ for some $k \in \mathbb{Z}$, in finitely many steps. For instance, Example *D* in Figure 18 of [10] shows a local system in which we return to UVx , rather than to x itself, after finitely many steps. This is a contradiction, because the positions of x and $U^k V^k x$ are comparable for any $k \in \mathbb{Z}$. ■

Having established that the shape of any local system contains at least two consecutive terms of the same sign, we can investigate these parts of the local systems further.

Lemma 4.6. *Let L be a local system such that the shape of L contains a subsequence 1, 1 or $-1, -1$. Then L is a width 1 square.*

Proof. There are four cases to consider, depending on whether the first arrow is horizontal or vertical and on whether the sequence is 1, 1 or $-1, -1$. They are not substantially different, so we deal with one of them and leave the rest to the reader.

Let us label the generators in question by a , b , and c so that $\langle \partial b, Ua \rangle = 1$ and $\langle \partial c, Vb \rangle = 1$. Since L lifts to a chain complex over $\mathbb{F}[U, V]$, there must exist a generator $d \in L$ such that a , b , c , and d form a width 1 square. ■

Lemma 4.7. *Let L be a local system such that the shape of L contains a subsequence 2, 2 or $-2, -2$. Then $w(L) \geq 3$.*

Proof. As in Lemma 4.6, this follows from Observation 3.1. In more detail, there are four cases to consider, but we only treat one. Let us label the generators in question by a , b and c so that $\langle \partial b, U^2a \rangle = 1$ and $\langle \partial c, V^2b \rangle = 1$. Then $\delta(a) = \delta(b) + 1 = \delta(c) + 2$. It follows that $w(L) \geq \delta(a) - \delta(c) + 1 = 3$. ■

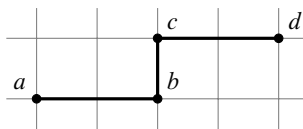
Therefore, all local systems of width 2 contain at least two consecutive terms x , y of the same sign and such that $\{|x|, |y|\} = \{1, 2\}$. There are now eight cases, namely the four sequences (2, 1), (1, 2), $(-2, -1)$, $(-1, -2)$, each splitting into two cases depending on whether the first arrow is horizontal or vertical. The analysis of each of these cases is very similar, so we explore one in depth, leaving the rest to the reader.

Lemma 4.8. *Let L be a local system of width 2 containing generators a , b and c such that $\langle \partial b, U^2a \rangle = 1$ and $\langle \partial c, Vb \rangle = 1$. Then L is a width 2 staircase or it contains a loop starting at a .*

Proof. We first perform a change of basis such that all vertical and horizontal isomorphisms, with the possible exception of the isomorphism to which the arrow from b to a belongs, are given by the identity matrix. In other words, we put a local system L into a standard form as in Proposition 3.7 of [10]. Most of the case analysis goes through without this assumption, but we will need it as we approach Figure 7a.

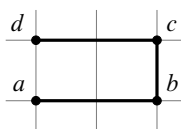
Let d be the generator of L that is connected to c with a horizontal arrow. There are several cases depending on the length and direction of this arrow.

Case 1. There is an arrow from d to c of length 1 or 2.

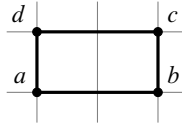


Such complexes cannot be lifted to chain complexes over $\mathbb{F}[U, V]$, so this case does not arise.

Case 2. $\langle \partial c, U^2d \rangle = 1$, i.e., there is an arrow from c to d of length 2.

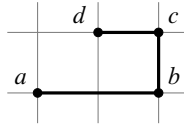


Since L is a mod UV reduction of a chain complex over $\mathbb{F}[U, V]$, it follows that there must be a vertical arrow from d to a and L is equal to

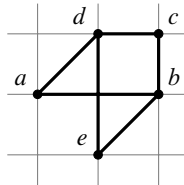


This is a width 2 staircase.

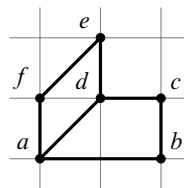
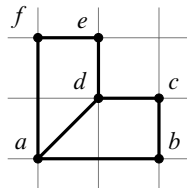
Case 3. $\langle \partial c, Ud \rangle = 1$, i.e., there is an arrow from c to d of length 1.



Since $\partial^2 c = 0$, there must be a diagonal arrow from d to a . Consider the vertical arrow incident on d and let e be its other endpoint. If the arrow is pointing from d to e , then it must have length 2 since $\partial^2 c = 0$ cannot be established otherwise, cf. Lemma 4.6. This in turn forces a diagonal arrow from b to e and we have established a loop in L starting at a .



The remaining case is when the arrow is pointing from e to d . Its length must be 1, since otherwise $\delta(e) - \delta(a) \geq 2$ and $w(L) \geq 3$. Since $\partial^2 e = 0$, there must be an even number of ways of traveling from e to a in two steps. Because it is possible to pass through d , there must be another path through a new generator f and there are only two possible locations for this generator. They are depicted on the pictures below.



The left picture is a width 2 staircase, so in this case we are done. In the right picture, the generators e and f possess a property we wish to axiomatize.

Definition 4.9. Let (C, ∂) be a finitely generated chain complex over $\mathbb{F}[U, V]$. A pair of generators $x, y \in C$ satisfying $\langle \partial x, UVy \rangle = 1$ and with outgoing vertical arrows is called a *crest pair*. A pair of generators $x, y \in C$ satisfying $\langle \partial x, UVy \rangle = 1$ and with incoming horizontal arrows is called a *trough pair*.

A crest pair and a trough pair are schematically drawn in Figure 6.

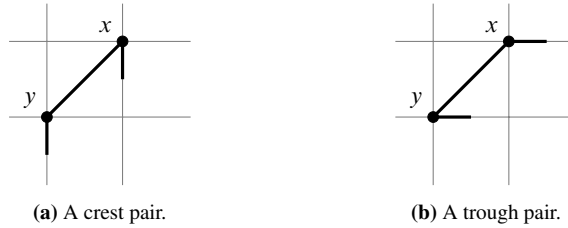


Figure 6

Resuming the argument, let $x, y \in L$ be a crest pair such that there is a path consisting of horizontal and vertical segments connecting x and y . Consider the horizontal arrow incident on y and denote its other endpoint by z . Assume first that the arrow goes from y to z . In that case, it must be of length 1 since otherwise $\delta(x) - \delta(z) \geq 2$. Since $\partial^2 x = 0$, there is an even number of ways of traveling from x to z . Because one path passes through y , there must exist another path passing through a different generator w . The two possible locations for w are depicted on the following pictures.



The case on the left completes the local system and we obtain a width 2 staircase. The case on the right provides us with the trough pair z, w , and a similar analysis can be carried out by considering the vertical arrow incident on w . Provided the arrow is incoming, the local system will either be completed into a width 2 staircase or we will obtain a new crest pair. Since L is finitely generated, the crest and trough pairs will stop alternating at some point and L will be completed into a width 2 staircase.

The above process assumes that there is always an outgoing horizontal arrow from the lower generator of a crest pair and an incoming vertical arrow to the upper generator of the trough pair. Let us now investigate what happens if this is not the case, i.e., if for example at some point the lower generator of a crest pair has an incoming horizontal arrow. Such a scenario is depicted in Figure 7a. The crest pair generators are denoted by x and y , and there is a horizontal arrow from z to y . Such an arrow must necessarily be of length 2, since if it is of length 1, we are in the setting of Lemma 4.6, which leads to a contradiction. Let $a, b, c \in L$ be the generators as labeled on Figure 7a. Because $\partial^2 z = 0$, there must be an even number of paths from z to a of length 2. This forces a diagonal arrow from z to b . Here we are using the fact that L is horizontally simplified away from the bottom edge. There must also be an even number of paths from z to c of length 2, which forces the existence of a new generator d together with a vertical arrow from z to d and a diagonal arrow from d to c . The situation is depicted in Figure 7b. Note again that since L is vertically simplified, d cannot be the generator that is already drawn. Now the horizontal arrow incident on d must be incoming and of length 1 and one can continue with the analysis until one reaches the “end” of the staircase as shown in Figure 8a.

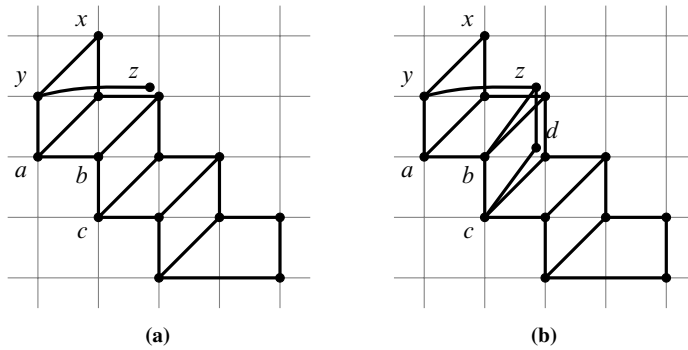


Figure 7. Subfigure (a) demonstrates the case of Lemma 4.8 in which the lower generator of a crest pair x, y has an incoming horizontal arrow. Subfigure (b) is a deeper analysis of this case that eventually shows that such a case does not arise.

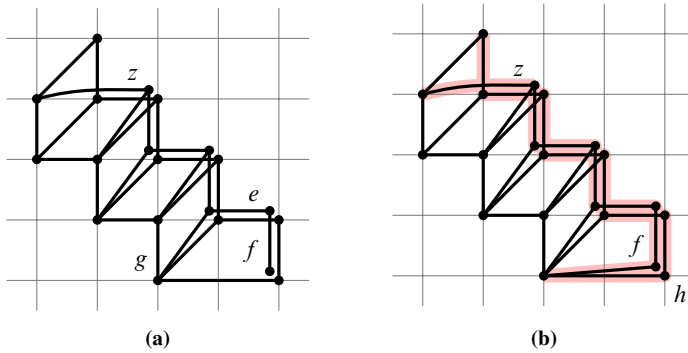


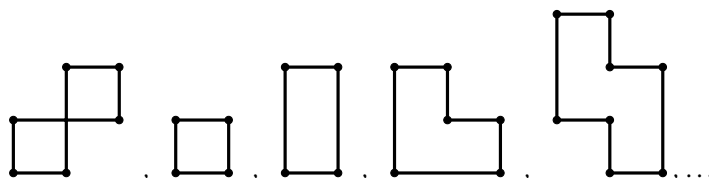
Figure 8. Subfigure (a) depicts continuation of the analysis from Figure 7 to the point when one reaches the end of the staircase. Subfigure (b) shows the ensuing contradiction, since the strands of generators f and h eventually diverge near z .

Since $\partial^2 e = 0$ is required, there is another way of traveling from e to g in two steps. But e is already incident on its unique horizontal and vertical arrows and g is already incident on its unique vertical arrow. We emphasize that, while g is not necessarily incident on only one horizontal arrow, since the bottom edge of the figure is not necessarily horizontally simplified, all horizontal arrows at g have the same direction and length. Therefore, the alternative way of traveling from e to g in two steps passes through f as shown in Figure 8b. However, this is not how local systems work, since the strands of generators f and h eventually diverge near z . This is a contradiction which shows that such a scenario cannot happen in a local system.

The analysis of the case in which there is an outgoing vertical arrow from the upper generator of a trough pair is entirely analogous and shows that such a situation cannot arise either. ■

With the help of Lemma 4.8, we are now able to classify local systems that appear in width 2 knot Floer complexes.

Proposition 4.10. *Let $K \subset S^3$ be a knot with $w(K) = 2$ and let $\text{CFK}_{\mathcal{R}}(K)$ be its knot Floer complex over \mathcal{R} . Then $\text{CFK}_{\mathcal{R}}(K)$ splits uniquely as a direct sum of a standard complex of width at most 2 and some of the following trivial local systems:*



and their reflections along the lines $y = \pm x$.

Note that the statement of Proposition 4.10 is almost identical to the statement of Theorem 1.1. The only difference is in the choice of the ring we are working over. In the case of the proposition, this is $\mathcal{R} = \mathbb{F}[U, V]/(UV)$, which does not see the diagonal arrows in the full complex $\text{CFK}_{\mathbb{F}[U, V]}(K)$. As such, Proposition 4.10 is a weaker result than Theorem 1.1, but nonetheless provides a good stepping stone towards the general result.

Proof of Proposition 4.10. Let L be a local system of width 2 and assume it is depicted in the plane in a horizontally simplified basis. By the remark preceding Lemma 4.8, one of the eight similar cases arises. We have explored one of them in depth in Lemma 4.8 and noted that all others allow for a similar conclusion. We are done if L is a width 2 staircase. If not, then L contains a loop, say a loop from a to e . Let f be the other endpoint of a horizontal arrow incident on e . If there is an arrow from e to f , then it must have length 1 since otherwise $w(L) \geq \delta(d) - \delta(f) + 1 \geq 3$. Since $\partial^2 b = 0$ and L is horizontally simplified, the only possible alternative way of traveling from b to f in two steps passes through a . In other words, we must have $\partial a = Vf$ and the local system is the special shape. Otherwise there is an arrow from f to e . Note that the generator a is above and to the left of e and we claim that its position in the plane cannot be reached again. To see that, travel from f in the direction away from a via vertical and horizontal arrows until the first arrow A that points upwards or to the left. By our assumption, it is preceded by an arrow B pointing to the right or downwards. In either case, we see that A or B has length 2 and that the arrows A and B satisfy the conditions of Lemma 4.8. Because L can no longer be a width 2 staircase, we either obtain a contradiction or another loop, whose final endpoint is further down and to the right. It follows that none of the generators $U^k V^k a$ for $k \in \mathbb{Z}$ can be reached again. Therefore, all local systems of width 2 are width 2 staircases and the special shape. Forgetting the diagonal arrows now yields the required classification. ■

Proposition 4.10 describes the direct summands of $\text{CFK}_{\mathcal{R}}(K)$. The knot Floer complex can be lifted to $\text{CFK}_{\mathbb{F}[U, V]}(K)$, but the decomposition into indecomposable summands might not be identical. In other words, different local systems over \mathcal{R} might become connected over $\mathbb{F}[U, V]$ in the presence of diagonal arrows. They may also become connected to the standard complex. We call such diagonal arrows between different local systems or between a local system and a standard complex *external*. By finding a suitable change of basis, we show in Proposition 4.11 that all external arrows can be removed, thus lifting the results of Proposition 4.10 from \mathcal{R} to $\mathbb{F}[U, V]$.

We begin with some notation. Let K_0, K_1, \dots denote the trivial local systems as drawn in the statement of Theorem 1.1: K_0 is the special shape, K_1 is a 1×1 square, K_2 is a 2×1 rectangle, and so on. As a useful mnemonic, one can observe that for $n \geq 1$, K_n has area n . Let $\varpi_0, \varpi_1, \varpi_2, \dots$ be their reflections over the line $y = -x$. Note that $K_0 = \varpi_0$ and $K_1 = \varpi_1$, while the rest of the local systems K_n are not symmetric over this line.

Proposition 4.11. *Let C be a chain complex over $\mathbb{F}[U, V]$ of width 2 and let*

$$L \in \{K_0, K_1, \dots\} \cup \{\mathcal{K}_0, \mathcal{K}_1, \dots\}$$

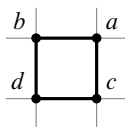
be a local system such that $C \cong L \oplus C'$ over \mathcal{R} for some chain complex C' . Then $C \cong L \oplus C'$ over $\mathbb{F}[U, V]$.

Proof. This is the content of Lemma 4.12, Lemma 4.13 and Lemma 4.14. \square

Lemma 4.12. *If $C \cong K_1 \oplus C'$ over \mathcal{R} , then $C \cong K_1 \oplus C'$ over $\mathbb{F}[U, V]$.*

In other words, a 1×1 square can be completely disconnected from the rest of the complex, even in the presence of diagonal arrows.

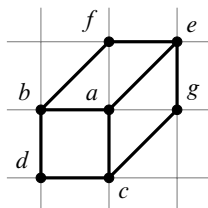
Proof. Let the square K_1 have vertices a, b, c, d as depicted:



If there are no diagonal arrows incident onto any of the vertices of the square, then we are already done. Otherwise, there are 8 cases depending on which of the square vertices a diagonal arrow is incident on and what the direction of this arrow is. Note that the four cases with outgoing arrows are symmetric to the four cases with incoming arrows. Since the presented analysis can easily be adapted to any case related by a symmetry, it is sufficient to deal with the four cases involving incoming diagonal arrows to a , b , c and d .

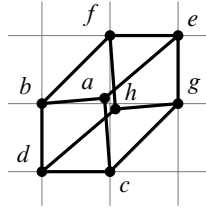
Case 1. There is an incoming arrow at a .

Let e be the other endpoint of the arrow. Since $\partial^2 e = 0$, there is an even number of ways of traveling from e to b and from e to c in two steps. This means that besides passing through a , there is another way of traveling from e to b in two steps and another way of traveling from e to c in two steps. These two ways must be as depicted:



It is also required that $\partial^2 f = 0$. The only way in which this can be achieved while keeping the picture horizontally and vertically simplified is if there is a vertical arrow from f to h of length 1 and a diagonal arrow from h to d . Since there are an even number of ways of

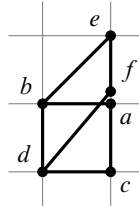
traveling from e to h in two steps, we must have a horizontal arrow from g to h . The situation is as depicted:



Let us perform the basis change that sends $g \mapsto g + Ua$, $h \mapsto h + Ub$, and fixes the remaining generators. This basis change removes all depicted diagonal arrows, keeps the basis horizontally and vertically simplified and does not add any new diagonal arrows incident on the original square with vertices a, b, c, d . To see this, note that g and h have no incoming diagonal arrows, since they are in the higher grading and a and b have no outgoing diagonal arrows since they are in the lower grading.

Case 2. There is an incoming arrow at b .

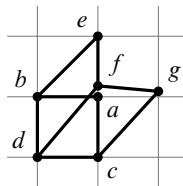
Let e be the other endpoint of this diagonal arrow incident on b . Since $\partial^2 e = 0$, there is another generator f with a vertical arrow from e to f of length 1 and a diagonal arrow from f to d , as depicted:



Considering the hypothetical horizontal arrow incident on f , the following two cases arise naturally.

Case 2.1. There is no horizontal arrow incoming to f . Since f is in the higher grading, this means that the arrow from e to f is the only incoming arrow to f . In this case, one can make the change of basis $f \mapsto f + Ub$. This removes the depicted diagonal arrows from e to b , f to d and does not add any diagonal arrows incident on the original square with vertices a, b, c, d for the same reason as in Case 1.

Case 2.2. There is a horizontal arrow incoming to f . Let g be its other endpoint. Because the complex has width 2, the arrow from g to f must be of length 1. Since $\partial^2 g = 0$, there is another way of traveling from g to d in two steps and hence there is a diagonal arrow from g to c as depicted:



If h has no incoming horizontal arrows, then h has no incoming arrows other than that from g and a change of basis $h \mapsto h + Ua$ can be used to remove the two diagonal arrows presently in the picture. This does not add any new diagonal arrows either. In the other case, h has an incoming horizontal arrow, necessarily of length 1. Let i be its other endpoint and note that $\partial^2 i = 0$ necessitates the existence of a diagonal arrow from i to e . Note that i has no incoming arrows – a vertical incoming arrow to i would create a K_1 summand, but those have been ruled out by Lemma 4.12. Therefore one can perform the change of basis $h \mapsto h + Ua$ and $i \mapsto i + b$, which removes the diagonal arrow from g to a without adding any new diagonal arrows incident on any of the vertices a, b, c, d, e, f of the original K_0 .

Case 2. There is an incoming external diagonal arrow at e .

Due to the symmetry, this case is completely analogous to the case with an incoming external diagonal arrow at a .

Case 3. There is an incoming diagonal arrow at f .

Let g be the other endpoint of the diagonal arrow incident on f . If g has any incoming horizontal or vertical arrows, they must be of length 1 and the condition $\partial^2 = 0$ implies the existence of new diagonal arrows incident on a or e , moving us back to the previous cases. Therefore, we may assume that g has no incoming arrows. Performing the basis change $g \mapsto g + Ua$ removes the starting diagonal arrow without adding any new diagonal arrows incident on any of the vertices a, b, c, d, e, f of the original K_0 .

In all cases, the original diagonal arrow was removed after performing the change of basis. While it is possible that some diagonal arrows were introduced anew in the process (for example, from $i + b$ in Case 1), no new diagonal arrows incident on K_0 were added. Therefore, the process terminates after finitely many steps, resulting in the basis in which K_0 is disconnected from the rest of the picture, i.e., $C \cong K_0 \oplus C'$ over $\mathbb{F}[U, V]$. ■

Finally, the external diagonal arrows incident on the remaining local systems are removed.

Lemma 4.14. *Let L be any other local system of width 2, i.e., a K_n or \mathcal{K}_n for some $n \geq 2$. If $C \cong L \oplus C'$ over \mathcal{R} , then $C \cong L \oplus C'$ over $\mathbb{F}[U, V]$.*

Proof. We follow the same strategy as in the previous lemma. At each step, we will strictly decrease the number of external diagonal arrows incident on L . Note that the analysis of the case in which K_n has outgoing diagonal arrows is completely analogous to the analysis of the case in which \mathcal{K}_n has incoming diagonal arrows. As such, it is sufficient to show how to remove all incoming diagonal arrows into L . This splits the analysis into four cases.

Case 1. $L = K_n$ for some odd n .

There are $n - 2$ generators of L that are in the lower δ grading. As depicted in Figure 9, split them into

- blue generators, all of whose adjacent horizontal and vertical arrows are incoming, and
- red generators, all of whose adjacent horizontal and vertical arrows are outgoing.

Note that the generators on the “lower edge” of L are alternating between blue and green. If there is an incoming external diagonal arrow into a green generator, the condition $\partial^2 = 0$ implies the existence of an incoming external diagonal arrow into a blue generator. Hence, it is sufficient to show how to remove all external diagonal arrows incident on blue generators.

Let $a \in L$ be a blue generator incident on some external diagonal arrow as in Figure 9a. Let x be the other endpoint of this arrow. If x has no incoming arrows, then the basis change $x \mapsto x + b$ removes the external diagonal arrow from x to a . Note that, whenever b has other outgoing diagonal arrows to y_1, \dots, y_n , the above change of basis creates new diagonal arrows from x to y_1, \dots, y_n . However, $x \notin L$ and $y_1, \dots, y_n \notin L$, so the newly created diagonal arrows are not incident on L .

Similarly, let us now assume that x has some horizontal incoming arrow. Let its other endpoint be y as in Figure 9b. Since $\partial^2 y = 0$, there must be a diagonal arrow from y to c , which in turn implies the existence of a vertical arrow from y to z of length 1 and a diagonal arrow from z to e . If now x and z are not incident on any undrawn incoming arrows, the basis change $x \mapsto x + b$, $y \mapsto y + d$, $z \mapsto z + f$ can be used to remove the diagonal arrows from x to a , y to c and z to e without adding any new diagonal arrows incident on the vertices of L .

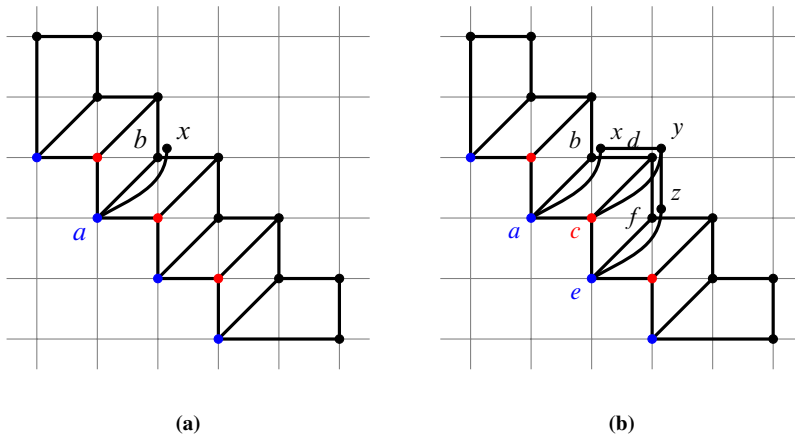
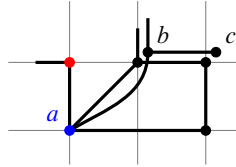


Figure 9. Subfigure (a) demonstrates Case 1 of Lemma 4.14. If x has no incoming arrows, then the simple basis change $x \mapsto x + b$ removes the diagonal arrow from x to a without adding any new arrows incident on the vertices of L . If x has an incoming horizontal arrow, then the requirement that $\partial^2 = 0$ forces the configuration depicted in Subfigure (b). If z has no incoming horizontal arrows, then the basis change $x \mapsto x + b$, $y \mapsto y + d$, $z \mapsto z + f$ removes the diagonal arrows from x to a , y to c , and z to e without adding any new diagonal arrows incident on the vertices of L .

Similar reasoning works more generally if x or z are incident on some additional horizontal or vertical arrows: one keeps drawing the upper width 1 staircases until its endpoints are not incident on any undrawn incoming arrows. At this point, the natural change of basis removes the initial diagonal arrow without adding new diagonal arrows incident on L .

The above discussion implicitly assumes that the endpoints of the width 1 staircase will stop being incident on additional horizontal and vertical arrows before we “reach the end of L ”. We now argue that this is indeed always the case. Let a be the lowest blue generator and let b be the other endpoint of the external arrow incident on a . For the sake of contradiction, assume that b is incident on an incoming horizontal arrow and denote its other endpoint by c as depicted.

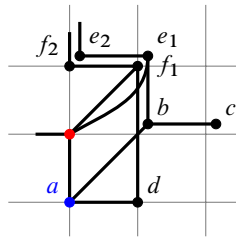


Now $\partial^2 c = 0$, so there must be another way of traveling from c to a in two steps. However, this is not possible while maintaining the complex vertically and horizontally simplified. This is a contradiction and means that b was not incident on any undrawn incoming arrows as required. The other end of L lends itself to a completely analogous treatment.

The analysis of the remaining cases is largely similar. First, if the endpoints of the width 1 staircase stop being incident on additional horizontal and vertical arrows before one reaches the end of L , we perform the same change of basis as in Case 1. In the remaining cases, we therefore focus solely on the situation when the width 1 staircase reaches the end of L . As we shall see, there are only two types of ends of L , so Case 2 is the only real outstanding case.

Case 2. $L = \mathcal{T}_n$ for some odd n .

Let a be the lowest blue generator and let b be the other endpoint of the external arrow incident on a . If b is incident on an incoming horizontal arrow, let c denote its other endpoint as depicted:



Since $\partial^2 c = 0$, there must be a diagonal arrow from c to d . Note that we do not have control over what happens at c – it might or might not be incident on any vertical arrows, but this will fortunately not be important for us. We perform the change of basis $b \mapsto b + Vd$ and $e_i \mapsto e_i + f_i$ where e_i are the remaining generators of the width 1 staircase and f_i are the generators of L drawn in the same spatial location in the plane. Note that the other end of L allows for a very similar analysis so we omit it here.

Case 3. $L = K_n$ for some even n .

The upper end of L locally looks like the ends of L in Case 1, and the lower end of L locally looks like the ends of L in Case 2. As a result, the same arguments work.

Case 4. $L = \mathcal{T}_n$ for some even n .

The upper end of L locally looks like the ends of L in Case 2, and the lower end of L locally looks like the ends of L in Case 1. As a result, the same arguments works.

In all cases, the number of external diagonal arrows incident on L has strictly decreased after the change of basis was performed. In fact, no diagonal arrows incident on vertices of L were drawn anew. This guarantees that the process is finite and results in a basis for C such that L is a direct summand. ■

We are now ready to prove the main result of this paper.

Proof of Theorem 1.1. Proposition 4.10 establishes the corresponding classification result over the ring $\mathcal{R} = \mathbb{F}[U, V]/(UV)$. Proposition 4.11 shows that the direct summands as chain complexes over \mathcal{R} remain the direct summands as chain complexes over $\mathbb{F}[U, V]$. The unique remaining direct summand is the standard complex. ■

Remark. It follows from the statement of Proposition 4.11 that the local systems in the decomposition of $\text{CFK}_{\mathcal{R}}(K)$ have the same shapes as the local systems in the decomposition of $\text{CFK}_{\mathbb{F}[U, V]}(K)$. Moreover, it is proven in Algorithm 3.12 of [11] that the standard complex of width 2 admits a unique lift to a complex over $\mathbb{F}[U, V]$. Therefore, $\text{CFK}_{\mathbb{F}[U, V]}(K)$ can be uniquely reconstructed from $\text{CFK}_{\mathcal{R}}(K)$ up to isomorphism.

4.4. Knots of higher width

As we have seen in the preceding subsections, the classification of chain homotopy equivalence types of chain complexes over $\mathbb{F}[U, V]$ becomes much more complex as $w(K)$ is increased from 1 to 2. When $w(K) = 3$, Observation 3.2 no longer applies and many new, qualitatively different examples of local systems that can be lifted to complexes over $\mathbb{F}[U, V]$ emerge, such as the complexes depicted in Figure 10.

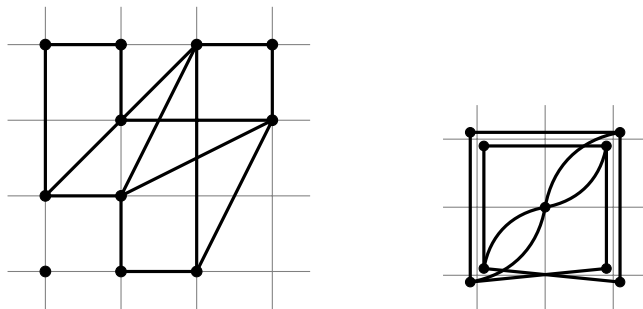


Figure 10. Chain complexes over $\mathbb{F}[U, V]$ of width 3 whose mod UV reduction is a direct sum of $\mathbb{F}[U, V]$ and a local system.

We believe the class of chain homotopy types of complexes of width 3 is already too diverse to admit a concise description. However, the following natural question might be within reach.

Question 4.15. *Is there a knot Floer-like complex of width 3 that has a nontrivial local system?*

The notion of a knot Floer-like complex is just a formal axiomatization of chain complexes we have been studying in this paper, i.e., finitely generated free chain complexes over $\mathbb{F}[U, V]$ with some additional requirements regarding the $\mathbb{Z} \oplus \mathbb{Z}$ grading, homology and symmetry. See Definition 2.3 in [10] for a precise definition of a knot Floer-like complex.

Theorem 1.1 shows that knots of width 2 have no nontrivial local systems and Example P in Theorem 1.4 of [10] shows that there is a knot Floer-like complex of width 4 with a nontrivial local system. The answer to Question 4.15 would determine the minimal width needed for the existence of nontrivial local systems in the algebraic setting.

5. Realization of local systems

This section shows that, with the possible exception of the *special shape*, all local systems from Theorem 1.1 appear as direct summands of $\mathrm{CFK}_{\mathbb{F}[U, V]}(K)$ for some knots K . We will use certain iterated cables of the figure eight knot as K , although we later remark that other options are possible. The main technology of this section are the Hanselman–Rasmussen–Watson interpretation of $\mathrm{CFK}_{\mathcal{R}}(K)$ as immersed curves on a punctured torus [2, 3], and Hanselman–Watson’s cabling results [4]. Let us review the results of these papers.

We begin with an explanation about how knot Floer complexes $\mathrm{CFK}_{\mathcal{R}}(K)$ can be represented by immersed curves. As in Section 4.2 of [2], we draw the immersed curves on an infinite strip $[0, 1] \times \mathbb{R}$ with \mathbb{Z} many punctures at $\{1/2\} \times \{1/2 + k \mid k \in \mathbb{Z}\}$. After identifying $(0, t) \sim (1, t)$ for all $t \in \mathbb{R}$, this strip becomes a cylinder and thus a \mathbb{Z} -sheeted covering space of a punctured torus. The composition with the covering projection can be used to recover the immersed curves on a punctured torus.

The knot Floer complex generators are drawn in the middle of the strip between consecutive punctures. The height of these generators are determined by the Alexander grading $A = \frac{1}{2}(\mathrm{gr}_U - \mathrm{gr}_V)$, so that the generators with the same Alexander grading lie between the same punctures. Horizontal arrows correspond to the arcs connecting the generators on the right, and vertical arrows correspond to the arcs connecting the generators on the left. It is readily verified that the above requirements imply that the arrows of length l correspond to arcs passing by l punctures until they switch to the other side. See Figure 11 for the $\mathrm{CFK}_{\mathcal{R}}(4_1)$ of the figure eight knot and the corresponding immersed curve. See also Section 6 of [10].

In [4], the authors present a very nice geometric way of working out the immersed curves of the (p, q) -cable of K from the immersed curves of K .

- (1) Draw p copies of the immersed curves and the punctures associated to $\mathrm{CFK}_{\mathcal{R}}(K)$. The i th copy is drawn on $[i - 1, i] \times \mathbb{R}$, it is stretched vertically by a factor of p and then moved downwards by qi . That is, to draw the i th copy, we apply the transformation $(x, y) \mapsto (x + i, py - qi)$ to the original immersed curve associated to $\mathrm{CFK}_{\mathcal{R}}(K)$.

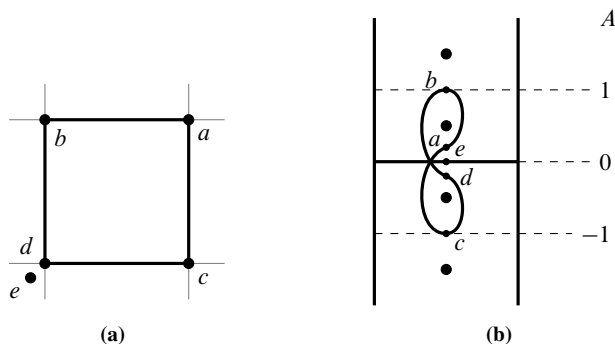


Figure 11. A knot Floer complex $\text{CFK}_{\mathcal{R}}(4_1)$ associated to the figure eight knot in (a) and the corresponding immersed curve γ in (b). The figure also contains the information about the Alexander gradings of the depicted generators.

- (2) Apply the diffeomorphism $[0, p] \times \mathbb{R} \rightarrow [0, 1] \times \mathbb{R}$, which moves all punctures horizontally and sends them to $\{1/2\} \times \{1/2 + k \mid k \in \mathbb{Z}\}$. The images of the immersed curves under this diffeomorphism are the immersed curves of $K_{p,q}$. See Figure 12 for an example.

We will now see this in action as we construct cables of the figure eight knot whose $\text{CFK}_{\mathbb{F}[U,V]}(K)$ contains width 2 local systems. Let us remind ourselves that K_0, K_1, \dots denote the trivial local systems as drawn in the statement of Theorem 1.1: K_0 is the special shape, K_1 is a 1×1 square, K_2 is a 2×1 rectangle, and so on.

Proposition 5.1. *For all $n \geq 1$, K_n is a direct summand of $\text{CFK}_{\mathbb{F}[U,V]}(K)$ for some K .*

- If $n = 1$, one can take $K = 4_1$.
- If $n = 2$, one can take $K = (4_1)_{2,1}$.
- If $n \geq 3$ is odd, one can take $K = (4_1)_{n,-2}$.
- If $n \geq 4$ is even, one can take $K = ((4_1)_{n/2,1})_{2,1}$.

In the statement of the proposition, 4_1 denotes the figure eight knot, and the subscript $_{p,q}$ denotes the (p, q) -cable of the underlying knot.

Proof. It is well known that $\text{CFK}_{\mathbb{F}[U,V]}(4_1)$ is the complex in Figure 11a, establishing the case $n = 1$. In all other cases, rather than computing the immersed curves representation of $\text{CFK}_{\mathcal{R}}(K)$ in its entirety, we will only be interested in some of its structure. In particular, we always disregard the standard complex summand and most of the local systems.

Let first n be even. Consider the $(n/2, 1)$ -cable of the figure eight knot, whose immersed curve is drawn in Figure 11. Applying the cabling process as described above, we draw $n/2$ copies of the figure eight curve staggered in height as depicted in Figure 12a.

This is the step (1) of the cabling process. In step (2), we translate the punctures horizontally, which modifies the curves. See Figure 12b for the result of this operation on the rightmost curve. We convince ourselves that it corresponds to the local system with the shape of a horizontal $(n/2) \times 1$ rectangle. Similarly, the leftmost curve corresponds

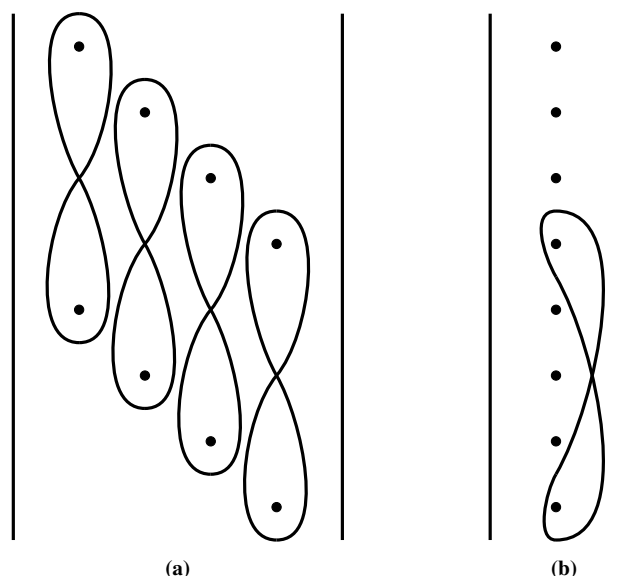


Figure 12. Calculation of the immersed curve of the $(n/2, 1)$ -cable of the figure eight knot 4_1 in case $n = 8$. Figure (a) shows $n/2$ copies, staggered in height, of the immersed curve associated to 4_1 . Figure (b) shows what happens to the rightmost immersed curve after translating the punctures so that they lie on the same vertical line. In terms of local systems, this corresponds to a horizontal $(n/2) \times 1$ rectangle.

to the vertical $(n/2) \times 1$ rectangle. Note that in particular this shows that 2×1 rectangles K_2 appear as summands of $\text{CFK}_{\mathbb{F}[U,V]}((4_1)_{2,1})$, which settles the case $n = 2$. In all other cases, we will investigate what these two rectangles look like after taking a further $(2, 1)$ -cable.

Consider the immersed curve corresponding to the horizontal $(n/2) \times 1$ rectangle and determine what happens to it after we take the $(2, 1)$ -cable. We start by drawing two copies of the curve, one slightly below and to the right of the other one. Each of them uses $n/2 + 1$ punctures. Focusing on the left copy, we observe that after sliding the punctures into a vertical line, all right arcs and all but the topmost and bottommost left arcs have length one. The topmost and bottommost left arcs have length two. See Figure 13. In terms of chain complexes, this immersed curve corresponds to the width 2 staircase of shape $1, -1, \dots, 1, -1, 1, -2, -1, 1, \dots, -1, 1, -1, 2, \dots$, where each of the blocks of 1's and -1 's contains $n - 1$ numbers, because there are $2(n/2 + 1) = n + 2$ punctures in total. This width 2 staircase is precisely the local system K_n as required.

Finally, if $n \geq 3$ is odd, we consider the $(n, -2)$ cable of the figure eight knot. After drawing n copies of the immersed curve in the first part of the cabling process, we focus on the middle copy γ of the curve. Let p_1 and p_{n+1} be the punctures that γ is looping around and let p_2, \dots, p_n be the punctures whose height lies between them, ordered so that higher punctures have a lower index. After sliding the punctures into a vertical line, the immersed curve will pass entirely to the left of p_2 , entirely to the right of p_3 , entirely to the left of p_4 ,

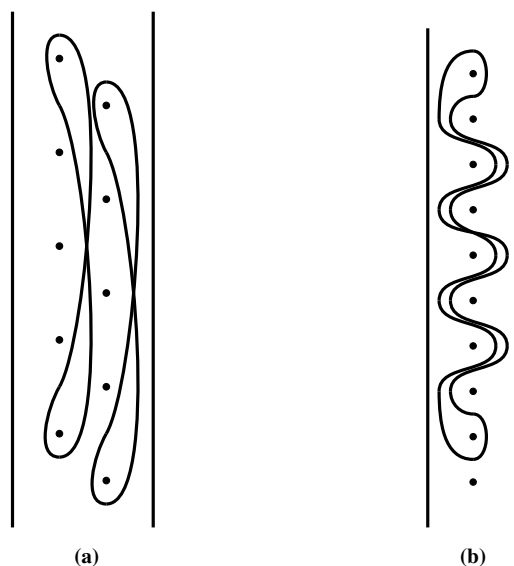


Figure 13. Continuation of the calculation from Figure 12 – a further $(2, 1)$ -cable is taken. Figure (a) shows two stretched copies of the immersed curve corresponding to the horizontal $(n/2) \times 1$ rectangle. Figure (b) shows what happens to the left immersed curve after translating the punctures so that they lie on the same vertical line. In terms of local systems, this immersed curve corresponds to K_n .

and so on. In other words, except for the topmost left arc and the bottommost right arc, that have length 2, the immersed curve of the $(n, -2)$ -cable will keep alternating between the sides with arcs of length 1. The local system that corresponds to this immersed curve has shape $1, -1, \dots, 1, -1, -2, 1, -1, \dots, 1, -1, 2, \dots$, where the first block of 1's and -1 's has $n + 1$ terms and the second block of 1's and -1 's has $n - 3$ terms. This is precisely the width 2 staircase K_n as required. ■

Remark. Proposition 5.1 above exhibits the local systems K_n as direct summands of $\text{CFK}_{\mathbb{F}[U, V]}(K)$ for some knots K . Correspondingly, the local systems \mathcal{K}_n are direct summands of the knot Floer complexes of their mirrors.

Note that the information from immersed curves is in general only sufficient to determine $\text{CFK}_{\mathcal{R}}(K)$ rather than $\text{CFK}_{\mathbb{F}[U, V]}(K)$. However, this is not a problem in our case, since the complexes that correspond to the immersed curves from the proof admit a unique lift to chain complexes over $\mathbb{F}[U, V]$. These lifts are exactly K_n .

Finally, not much was special about our use of the figure eight knot in the statement of Proposition 5.1 – the same local systems appear in cables of any knot whose $\text{CFK}_{\mathbb{F}[U, V]}(K)$ contains a square. These are for example all alternating knots whose Alexander polynomial has at least 1 coefficient with an absolute value strictly greater than 1.

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