Tame Fundamental Group Schemes of Curves in Positive Characteristic

by

Shusuke Otabe

Abstract

The tame fundamental group scheme for an algebraic variety is the maximal linearly reductive quotient of Nori's fundamental group scheme. In this paper, we study the tame fundamental group schemes of smooth curves defined over algebraically closed fields of positive characteristic and develop the theory of cospecialization maps for them. As a result, we see that the tame fundamental group schemes heavily depend on the curves. We also see that numerical invariants of curves can be reconstructed from the tame fundamental group schemes.

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§1. Introduction

Nori's fundamental group scheme $\pi^{N}(X)$ [13, Chap. II] for a variety X defined over a field k is by definition a profinite k-group scheme classifying finite flat torsors of X. In the present paper, we will study its maximal linearly reductive quotient $\pi^{\text{tame}}(X)$ (cf. Section 4.2) in the case where $k = \bar{k}$ is an algebraically closed field of positive characteristic p > 0. We call $\pi^{\text{tame}}(X)$ the tame fundamental group scheme following [5, §10], where the terminology "tame" comes from the notion of tame stacks in the sense of [2]. As the group of k-valued points, we recover the maximal prime-to-p quotient of Grothendieck's étale fundamental group, i.e. $\pi^{\text{tame}}(X)(k) \simeq \pi_1^{\text{ét}}(X)^{(p')}$. Therefore, the tame fundamental group scheme $\pi^{\text{tame}}(X)$ is a group-scheme-theoretic analogue of the prime-to-p étale fundamental group $\pi_1^{\text{ét}}(X)^{(p')}$.

e-mail: shusuke.otabe@nitech.ac.jp

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S. Otabe: Graduate School of Engineering, Nagoya Institute of Technology, Gokiso-cho, Showaku, Nagoya 466-8555, Japan;

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We will investigate the tame fundamental group schemes $\pi^{\text{tame}}(U)$ of smooth curves U defined over k. The structure of the étale part $\pi_1^{\text{\'et}}(U)^{(p')}$ is well understood by the experts (cf. [9]). If we denote by g the genus of the smooth compactification $X = U^{\text{cpt}}$ and by n the cardinality $n = \#(X \setminus U)(k)$, then the isomorphism class of $\pi_1^{\text{\'et}}(U)^{(p')}$ can be determined by the pair of integers (g,n) and the profinite group $\pi_1^{\text{\'et}}(U)^{(p')}$ is not dependent on the curve U. See Section 3.1 for further details. In contrast, as main results of the present paper, we will see that the tame fundamental group scheme $\pi^{\text{tame}}(U)$ heavily depends on the curve U. As one of the main results, we will prove the following.

Theorem 1.1 (Cf. Corollary 5.12). Let $k_0 = \overline{\mathbb{F}}_p$ be an algebraic closure of the prime field \mathbb{F}_p of characteristic p > 0 and set $S \stackrel{\text{def}}{=} \operatorname{Spec} k_0[\![t]\!] = \{s,\eta\}$, where s and η are the closed point and the generic point of S, respectively. Let X be a proper smooth relative S-curve of genus g together with a relatively étale Cartier divisor D on X/S of degree n. We set $U \stackrel{\text{def}}{=} X \setminus \operatorname{Supp}(D)$. Suppose that U is hyperbolic, i.e. 2 - 2g - n < 0. If $U_{\bar{\eta}}$ is not defined over k_0 , then the tame fundamental group scheme $\pi^{\operatorname{tame}}(U_{\bar{\eta}})$ of $U_{\bar{\eta}}$ is not isomorphic to $\pi^{\operatorname{tame}}(U_s) \times_{k_0} k_0(\bar{\eta})$.

We will also discuss reconstruction of numerical invariants (such as g, n) from the tame fundamental group schemes. For i = 1, 2, let X_i be a proper smooth connected curve of genus g_i and of p-rank γ_i over k and S_i a finite set of closed points of X_i with cardinality $n_i = \#S_i \geq 0$. We put $U_i \stackrel{\text{def}}{=} X_i \setminus S_i$. As the second main result, we will prove the following version of Tamagawa's theorem [22, Thm. 4.1].

Theorem 1.2 (Cf. Theorem 5.16). Suppose that there exists an isomorphism of k-group schemes $\pi^{\text{tame}}(U_1) \simeq \pi^{\text{tame}}(U_2)$. Then we have $(g_1, n_1, \gamma_1) = (g_2, n_2, \gamma_2)$ unless $g_i = 0$ and $n_i \leq 1$ for i = 1, 2.

We will explain the idea of the proof of Theorem 1.1. For the proof of the theorem, we will give an extension of the theory of specialization maps for the étale fundamental groups to the tame fundamental group schemes. Let S be a scheme. Let X be a proper smooth relative S-curve of genus g together with a relatively étale Cartier divisor D on X/S of degree n. Let $U \stackrel{\text{def}}{=} X \setminus \text{Supp}(D)$ be the associated S-curve. We denote by $\pi_1^t(U)$ the tame fundamental group of U in the sense of Grothendieck [9, Exp. XIII, 2.1.3]. This is a profinite group classifying finite étale coverings of U which are tamely ramified along D. By definition, the tame fundamental group $\pi_1^{\text{et}}(U)$ is a quotient of the étale fundamental group $\pi_1^{\text{et}}(U)$. In [9, Exp. XIII, 2.10], Grothendieck developed the theory of specialization maps for the tame fundamental groups. The theory says that for any two geometric

points \bar{s} , \bar{t} of S, where \bar{s} is a specialization of \bar{t} , there exists a surjective continuous homomorphism, called the *specialization map*,

$$\operatorname{sp}^{\operatorname{t}} \colon \pi_1^{\operatorname{t}}(U_{\bar{t}}) \twoheadrightarrow \pi_1^{\operatorname{t}}(U_{\bar{s}}),$$

which is canonical up to conjugation (see also Section 3.1). The theory of the specialization maps has many applications to analysis of the tame fundamental groups of curves defined over fields of positive characteristic p > 0. Indeed, Grothendieck himself used it to prove the finite-generatedness of the tame fundamental groups and to give an explicit description of the maximal pro-prime-to-p quotient $\pi_1^{\text{\'et}}(U)^{(p')}$ of the étale fundamental group (cf. Corollary 3.1). Other uses appear in anabelian geometry (cf. [19, 18, 23, 21]; see also Section 3.2), where the specialization map plays a crucial role to prove non-constancy results for the tame fundamental group on the moduli space $\mathcal{M}_{g,[n],\mathbb{F}_p}$ of n-pointed genus g curves.

To obtain an analogous theory for the tame fundamental group schemes, we will consider a smaller quotient $\pi^{\text{tame}}(U)^{\mathscr{D}}$, which is the maximal pro- \mathscr{D} quotient of the tame fundamental group scheme, where \mathscr{D} is the class of finite linearly reductive group schemes G whose connected part G^0 is elementary, i.e. $G^0 \simeq \mu_p^s$ for some integer $s \geq 0$ (see Definition 4.3). As a result, we will establish the following theorem.

Theorem 1.3 (Cf. Proposition 5.9, Theorem 5.11). Let k be an algebraically closed field of characteristic p > 0 and set $S \stackrel{\text{def}}{=} \operatorname{Spec} k[\![t]\!] = \{s, \eta\}$, where s and η are the closed point and the generic point of S respectively. Let X be a proper smooth relative S-curve of genus g together with a relatively étale Cartier divisor D on X/S of degree n. We set $U \stackrel{\text{def}}{=} X \setminus \operatorname{Supp}(D)$.

(1) There exists a canonical homomorphism of affine $k(\bar{\eta})$ -group schemes

$$\operatorname{cosp}^{\mathscr{D}} \colon \pi^{\operatorname{tame}}(U_s)^{\mathscr{D}} \times_k k(\bar{\eta}) \to \pi^{\operatorname{tame}}(U_{\bar{\eta}})^{\mathscr{D}}$$

up to conjugation, which we call the cospecialization map, such that the following conditions are satisfied:

- (i) The map $cosp^{\mathcal{D}}$ is injective.
- (ii) By taking the groups of $k(\bar{\eta})$ -valued points, the map $\cos^{\mathscr{D}}$ induces an isomorphism between the maximal pro-prime-to-p quotients of the étale fundamental groups (cf. (3.3)) $\pi_1^{\text{\'et}}(U_s)^{(p')} \simeq \pi_1^{\text{\'et}}(U_{\bar{\eta}})^{(p')}$.
- (2) The following are equivalent:
 - (a) The cospecialization map $cosp^{\mathcal{D}}$ is isomorphism.

(b) For any $G \in \mathcal{D}$, we have

$$\#\operatorname{Hom}(\pi^{\operatorname{tame}}(U_s, x_s)^{\mathscr{D}}, G) = \#\operatorname{Hom}(\pi^{\operatorname{tame}}(U_{\bar{\eta}}, x_{\bar{\eta}})^{\mathscr{D}}, G_{k(\bar{\eta})}).$$

(c) There exists an isomorphism of k-group schemes $\pi^{\text{tame}}(U_s, x_s)^{\mathscr{D}} \times_k k(\bar{\eta}) \simeq \pi^{\text{tame}}(U_{\bar{\eta}}, x_{\bar{\eta}})^{\mathscr{D}}$.

Remark 1.4. Below, we give some remarks concerning the main theorems.

- (1) Theorem 1.3(1) is a variant of Grothendieck's specialization theorem (cf. [9, Exp. XIII, 2.10]; see also Section 3.1). The surjectivity of the specialization map $\operatorname{sp}^t : \pi_1^t(U_{\bar{t}}) \to \pi_1^t(U_{\bar{s}})$ is replaced by the injectivity of the cospecialization map. Theorem 1.3(2) is a key ingredient for the proof of Theorem 1.1. Other ingredients of the proof of Theorem 1.1 are structural results discussed in Section 4.3 and Tamagawa's specialization theorem for proper smooth curves (cf. [23, Thm. 6.1]; see also Theorem 3.4). Theorem 1.1 can be considered as a version of Tamagawa's specialization theorem (cf. [23, Thm. 8.1]; see Theorem 3.2).
- (2) One can also see that if $U_{\bar{\eta}}$ is isomorphic to the trivial deformation $U_s \times_k k(\bar{\eta})$ of the special fiber U_s , then the cospecialization map becomes an isomorphism $\exp^{\mathcal{D}}: \pi^{\text{tame}}(U_s)^{\mathcal{D}} \times_k k(\bar{\eta}) \xrightarrow{\simeq} \pi^{\text{tame}}(U_{\bar{\eta}})^{\mathcal{D}}$, which can be deduced from the base change theorem for the tame fundamental group schemes of curves (cf. Proposition 4.17). On the other hand, Theorem 1.1 implies that the tame fundamental group scheme is not constant on the moduli space $\mathcal{M}_{g,[n],k_0}$ of n-pointed genus g curves over k_0 under the assumption that 2-2g-n<0. However, this result is much weaker than the non-constancy result for the tame fundamental group due to Tamagawa (cf. [23, Thm. 8.6]), which asserts that the tame fundamental group is not constant on the set $\mathcal{M}_{g,[n]}(k_0)$ of k_0 -valued points.
- (3) As an application of [22, Thm. 4.1], Tamagawa provided a group-theoretic characterization of inertia groups of the tame fundamental group $\pi_1^{\rm t}(U)$ for affine hyperbolic curves U/k (cf. [22, Thm. 5.2]). It seems natural to seek an analogous use of Theorem 1.2 for the tame fundamental group scheme $\pi^{\rm tame}(U)$. However, the author has no idea of how to reconstruct inertia subgroup schemes in a purely group-scheme theoretic manner at present.
- (4) The starting point of this work was the expectation that results known for prime-to-p Galois coverings could be extended to finite linearly reductive torsors in some form. However, lifting problems for μ_p -torsors already indicate that the situation is quite different. When U=X, the Serre duality implies that there exists a natural isomorphism $H^1_{\text{fppf}}(X_{\bar{t}},\mu_p) \simeq H^1_{\text{\'et}}(X_{\bar{t}},\mathbb{Z}/p\mathbb{Z})^{\vee}$ for $t \in \{s,\eta\}$, which suggests that the classification of μ_p -torsors is closely related to the classification of $\mathbb{Z}/p\mathbb{Z}$ -torsors. However, the geometries of them are different. Indeed, it is known

that any μ_p -torsor over $X_{\bar{\eta}}$ can be extended to a μ_p -torsor over $X \times_S S'$ for some finite extension $S' \to S$. On the other hand, the same does not hold for $\mathbb{Z}/p\mathbb{Z}$ -coverings. The non-existence of $\mathbb{Z}/p\mathbb{Z}$ -models over X gives an obstruction for the injectivity of the specialization map sp: $\pi_1^{\text{\'et}}(X_{\bar{\eta}}) \twoheadrightarrow \pi_1^{\text{\'et}}(X_s)$. Instead, any $\mathbb{Z}/p\mathbb{Z}$ -coverings over X_s can be uniquely lifted to a $\mathbb{Z}/p\mathbb{Z}$ -covering over X, but deformations of a μ_p -torsor of $X_{\bar{s}}$ are far from unique. The non-uniqueness of deformations of μ_p -torsors gives an obstruction for the surjectivity of the cospecialization map $\cos^{\mathscr{D}}$: $\pi^{\text{tame}}(X_s)^{\mathscr{D}} \times_k k(\bar{\eta}) \hookrightarrow \pi^{\text{tame}}(X_{\bar{\eta}})^{\mathscr{D}}$.

We end this introduction section with the organization of the present paper. In Section 2 we recall some basic notions which we freely use in the present paper. In Section 2.1 we recall the definition of the *Nori fundamental gerbe* following [5] (see also [13, Chap. II]). In Section 2.2 we recall the definition of root stacks in the sense of [1]. In Section 3 we recall Grothendieck's construction of the specialization map for the tame fundamental group (cf. Section 3.1). We also recall the theorem of Tamagawa (cf. Section 3.2). In the final subsection (cf. Section 3.3) we recall a description of certain finite quotients of the étale fundamental groups of proper smooth curves. In Section 4 we recall the definition of the tame fundamental group scheme (cf. Section 4.1, Section 4.2) and discuss several structural results (cf. Section 4.3, Section 4.4).

In Section 5 we prove the main results. First we recall a description of finite quotients of the tame fundamental group scheme in Section 5.1. Next we settle a certain lifting problem for finite linearly reductive torsors of curves in Section 5.2, which is crucial for condition (i) in Theorem 1.3(1). In Section 5.3, we construct the cospecialization map and prove Theorems 1.1 and 1.3. In the final Section 5.4, we prove Theorem 1.2.

§2. Preliminaries

For a field k, we denote by Vec_k the category of finite-dimensional vector spaces over k. For an affine k-group scheme G, we denote by $\operatorname{Rep}(G)$ the category of finite-dimensional left k-linear representations of G. For an algebraic stack \mathcal{X} over a scheme S, we denote by $\operatorname{QCoh}(\mathcal{X})$ (respectively $\operatorname{Vect}(\mathcal{X})$) the category of quasi-coherent sheaves on \mathcal{X} (respectively the category of vector bundles on \mathcal{X}). For a field k, we have $\operatorname{Vect}(\operatorname{Spec} k) = \operatorname{Vec}_k$. If \mathcal{X} is Noetherian, we also consider the category $\operatorname{Coh}(\mathcal{X})$ of coherent sheaves on \mathcal{X} . If $\mathcal{X} = \mathcal{B}_S G$ is the classifying stack of an affine flat and finitely presented S-group scheme G, then $\operatorname{QCoh}(\mathcal{B}_S G)$ is nothing but the category of G-equivariant quasi-coherent sheaves on S. Namely, it is the category of quasi-coherent sheaves \mathcal{F} on S endowed with an action of G

(cf. [2, §2.1]). In the case where $S = \operatorname{Spec} k$ is the spectrum of a field k, all three categories $\operatorname{Coh}(\mathcal{B}G)$, $\operatorname{Vect}(\mathcal{B}G)$ and $\operatorname{Rep}(G)$ are canonically equivalent to each other.

§2.1. The Nori fundamental gerbe

- **2.1.1.** Let k be a field. A *finite stack* over k is an algebraic stack Γ over k which has finite flat diagonal and admits a flat surjective morphism $U \to \Gamma$ for some finite k-scheme U (cf. [5, Def. 4.1]). A *finite gerbe* over k is a finite stack over k which is a gerbe in the fppf topology. A finite stack Γ is a finite gerbe if and only if it is geometrically connected and geometrically reduced (cf. [5, Prop. 4.3]). A *profinite gerbe* over k is a projective limit of finite gerbes over k (cf. [5, Def. 4.6]).
- **2.1.2.** Let \mathcal{X} be an algebraic stack of finite type over k. Suppose that \mathcal{X} is *inflexible* in the sense of [5, Def. 5.3]. For example, if \mathcal{X} is geometrically connected and geometrically reduced, then it is inflexible (cf. [5, Prop. 5.5(b)]). Then there exists a profinite gerbe Π over k together with a morphism $\mathcal{X} \to \Pi$ such that, for any finite stack Γ over k, the induced functor

$$\operatorname{Hom}_k(\Pi,\Gamma) \to \operatorname{Hom}_k(\mathcal{X},\Gamma)$$

is an equivalence of categories (cf. [5, Thm. 5.7]). Such a gerbe Π is unique up to unique isomorphism, so we denote it by $\Pi^{N}_{\mathcal{X}/k}$, and call it the *Nori fundamental gerbe* for \mathcal{X} (cf. [5]). If G is a finite k-group scheme, then the associated classifying stack $\mathcal{B}G$ is a finite gerbe and there exists a natural bijection

$$\operatorname{Hom}_k(\Pi^{\operatorname{N}}_{\mathcal{X}/k}, \mathcal{B}_k G) \xrightarrow{\simeq} \operatorname{Hom}_k(\mathcal{X}, \mathcal{B}_k G) = H^1_{\operatorname{fppf}}(\mathcal{X}, G).$$

2.1.3. If \mathcal{X} admits a k-rational point $x \in \mathcal{X}(k)$, then the composition Spec $k \xrightarrow{\mathcal{X}} \mathcal{X} \to \Pi^{\mathrm{N}}_{\mathcal{X}/k}$ defines a section $\xi \in \Pi^{\mathrm{N}}_{\mathcal{X}/k}(k)$. We denote by $\pi^{\mathrm{N}}(\mathcal{X}, x)$ the automorphism group scheme $\underline{\mathrm{Aut}}_k(\xi)$, i.e. $\pi^{\mathrm{N}}(\mathcal{X}, x) \stackrel{\mathrm{def}}{=} \underline{\mathrm{Aut}}_k(\xi)$. Let $X_x^{\mathrm{N}} \to X$ be the fpqc $\pi^{\mathrm{N}}(\mathcal{X}, x)$ -torsor associated with the morphism $\mathcal{X} \to \Pi^{\mathrm{N}}_{\mathcal{X}/k} \stackrel{\sim}{\leftarrow} \mathcal{B}_k \pi^{\mathrm{N}}(\mathcal{X}, x)$. By definition, it admits a unique k-rational point $x^{\mathrm{N}} \in X_x^{\mathrm{N}}(k)$ above x. The resulting triple $(X_x^{\mathrm{N}}, \pi^{\mathrm{N}}(X, x), x^{\mathrm{N}})$ then recovers Nori's construction of the fundamental group scheme of (X, x) in [13, Chap. II]. Namely, for any finite k-group scheme G, the set of homomorphisms $\mathrm{Hom}(\pi^{\mathrm{N}}(\mathcal{X}, x), G)$ is naturally bijective onto the set of isomorphism classes of pointed G-torsors $(P, p) \to (\mathcal{X}, x)$. More precisely, for each homomorphism $\phi \colon \pi^{\mathrm{N}}(\mathcal{X}, x) \to G$ into a finite k-group scheme, the corresponding pointed G-torsor is given by $(P, p) \stackrel{\mathrm{def}}{=} (X_x^{\mathrm{N}}, x^{\mathrm{N}}) \times^{\pi^{\mathrm{N}}(\mathcal{X}, x)_{\phi}} G$.

- **2.1.4.** Let \mathcal{X} be an inflexible algebraic stack of finite type over k. A morphism $\mathcal{X} \to \Gamma$ into a finite gerbe Γ is said to be *Nori-reduced* (cf. [5, Def. 5.10]) if for any factorization $\mathcal{X} \to \Gamma' \to \Gamma$ where Γ' is a finite gerbe and $\Gamma' \to \Gamma$ is faithful, then $\Gamma' \to \Gamma$ is an isomorphism. According to [5, Lem. 5.12], for any morphism $\mathcal{X} \to \Gamma$ into a finite gerbe, there exists a unique factorization $\mathcal{X} \to \Delta \to \Gamma$, where Δ is a finite gerbe, $\mathcal{X} \to \Delta$ is Nori-reduced and $\Delta \to \Gamma$ is representable. A G-torsor $\mathcal{P} \to \mathcal{X}$ is said to be *Nori-reduced* if the morphism $\mathcal{X} \to \mathcal{B}G$ is Nori-reduced.
- **2.1.5.** Under the assumption that \mathcal{X} is proper over k, the Nori fundamental gerbe $\Pi^{\mathcal{N}}_{\mathcal{X}/k}$ has a tannakian interpretation in terms of vector bundles on \mathcal{X} . Indeed, the pullback functor of the morphism $\mathcal{X} \to \Pi^{\mathcal{N}}_{\mathcal{X}/k}$ induces a fully faithful tensor functor

$$\mathsf{Vect}(\Pi^N_{\mathcal{X}/k}) o \mathsf{Vect}(\mathcal{X})$$

whose essential image is the tannakian category $\mathsf{EFin}(\mathcal{X})$ of essentially finite bundles on \mathcal{X} (cf. [5, §7]), i.e.

$$\mathsf{Vect}(\Pi^{\mathrm{N}}_{\mathcal{X}/k}) \xrightarrow{\simeq} \mathsf{EFin}(\mathcal{X}) \subset \mathsf{Vect}(\mathcal{X}).$$

§2.2. Root stacks

In this subsection, we will recall the definition of root stacks (cf. [1, Appx. B.2]). Let S be a scheme and X a Noetherian S-scheme. Let $\mathbf{D} = (D_i)_{i=1}^n$ be an n-tuple of reduced irreducible relative effective Cartier divisors D_i on X/S. For each i, let $\mathscr{O}_X(D_i)$ be the line bundle associated with D_i and $s_{D_i} \in \Gamma(X, \mathscr{O}_X(D_i))$ a canonical section. Then the pair $(\mathscr{O}_X(D_i), s_{D_i})$ gives rise to a morphism $\phi_i \colon X \to [\mathbb{A}^1_S/\mathbb{G}_{m,S}]$ into the quotient stack $[\mathbb{A}^1_S/\mathbb{G}_{m,S}]$ of the affine line \mathbb{A}^1_S with respect to the natural action by the multiplicative group scheme $\mathbb{G}_{m,S}$. By taking the fiber product over S, we get the S-morphism

$$\phi_{(X,\mathbf{D})} \stackrel{\text{def}}{=} (\phi_i)_{i=1}^n \colon X \to \prod_{i=1}^n [\mathbb{A}_S^1/\mathbb{G}_{m,S}] = [\mathbb{A}_S^n/\mathbb{G}_{m,S}^n].$$

Here, each morphism ϕ_i does not depend on the choice of the canonical section s_{D_i} and the morphism $\phi_{(X,\mathbf{D})}$ is natural with respect to the pair (X,\mathbf{D}) .

2.2.1. Now for any *n*-tuple of positive integers $\mathbf{r} = (r_i)_{i=1}^n$, by taking the 2-fiber product of the **r**-th power map $\theta_{\mathbf{r}} \colon [\mathbb{A}_S^n/\mathbb{G}_{m,S}^n] \to [\mathbb{A}_S^n/\mathbb{G}_{m,S}^n]$, we obtain the root stack $\sqrt[r]{\mathbf{D}/X}$ associated with the data $(X, \mathbf{D}, \mathbf{r})$,

$$\begin{array}{ccc} \sqrt[r]{\mathbf{D}/X} & \longrightarrow [\mathbb{A}^n/\mathbb{G}_m^n] \\ \downarrow^{\pi} & & \square & \downarrow^{\theta_{\mathbf{r}}} \\ X & \longrightarrow [\mathbb{A}^n/\mathbb{G}_m^n]. \end{array}$$

The root stack $\sqrt[r]{\mathbf{D}/X}$ is a *tame stack* over S in the sense of [2], where the natural projection map $\pi \colon \sqrt[r]{\mathbf{D}/X} \to X$ gives the coarse moduli space map. In particular, the push-forward functor of the categories of quasi-coherent sheaves

$$\pi_* \colon \mathsf{QCoh}(\sqrt[\mathbf{r}]{\mathbf{D}/X}) \to \mathsf{QCoh}(X)$$

is exact.

As the coarse moduli space map π is proper by definition, if X is proper over S, then so is the root stack $\sqrt[r]{\mathbf{D}/X}$. Note that the map $\pi \colon \sqrt[r]{\mathbf{D}/X} \to X$ is an isomorphism over the open subscheme $U \stackrel{\text{def}}{=} X \setminus D$, where $D \stackrel{\text{def}}{=} \bigcup_{i=1}^n \operatorname{Supp}(D_i)$ and hence we have a natural open embedding $U \hookrightarrow \sqrt[r]{\mathbf{D}/X}$.

2.2.2. On the other hand, the local picture around a stacky point can be described as follows. Namely, for any closed point $x \in |\sqrt[r]{\mathbf{D}/X}|_0 = |X|_0$ with $x \notin |U|_0$, there exists a closed immersion $\mathcal{B}\mu_{\mathbf{r}_x,k(x)} \hookrightarrow \sqrt[r]{\mathbf{D}/X}$, where $\mathbf{r}_x = (r_i)_{x\in D_i}$ which fits into the following commutative diagram:

$$\mathcal{B}\mu_{\mathbf{r}_x,k(x)} \stackrel{\mathsf{r}}{\longleftarrow} \sqrt[\mathbf{r}]{\mathbf{D}/X}$$

$$\downarrow \qquad \qquad \downarrow^{\pi}$$

$$\operatorname{Spec} k(x) \stackrel{x}{\longrightarrow} X.$$

The closed immersion $\mathcal{B}\mu_{\mathbf{r}_x,k(x)} \hookrightarrow \sqrt[r]{\mathbf{D}/X}$ is nothing but the residual gerbe at the point x in the sense of [24, Def. 06MU]. In particular, the residual gerbes of the root stacks are always neutral gerbes.

§3. The specialization map for the tame fundamental group

§3.1. Grothendieck's specialization theorem

In this subsection, we will recall Grothendieck's specialization theorem for the tame fundamental group (cf. [9, Exp. XIII, 2.10]). Let S be a scheme. Let X be a proper smooth relative S-curve of genus g with geometrically connected fibers and D a relatively étale Cartier divisor on X/S of degree n. We set $U \stackrel{\text{def}}{=} X \setminus \text{Supp}(D)$. For each geometric point $\bar{x} \to U$, we denote by $\pi_1^t(U, \bar{x})$ the tame fundamental group of U with respect to \bar{x} (cf. [9, Exp. XIII, 2.1.3]). Note that if $D = \emptyset$ or S is the spectrum of a field of characteristic 0, then the tame fundamental group $\pi_1^t(U, \bar{x})$ coincides with the étale fundamental group $\pi_1^{\acute{e}t}(U, \bar{x})$. Let \bar{s} , \bar{t} be geometric points of S such that \bar{s} is a specialization of \bar{t} . Let \widetilde{S} be the strict henselization of S at \bar{s} .

Then we get the commutative diagram

$$\begin{array}{cccc}
U_{\bar{t}} & \longrightarrow & \widetilde{U} & \longleftarrow & U_{\bar{s}} \\
\downarrow & & \downarrow & & \downarrow \\
\bar{t} & \longrightarrow & \widetilde{S} & \longleftarrow & \bar{s},
\end{array}$$

with the Cartesian squares, where $\widetilde{U} \stackrel{\text{def}}{=} U \times_S \widetilde{S}$.

If we choose the geometric points \bar{x}_1 and \bar{x}_2 of $U_{\bar{t}}$ and $U_{\bar{s}}$ respectively, then we have canonical homomorphisms between the tame fundamental groups,

$$\phi_1 \colon \pi_1^{\mathrm{t}}(U_{\bar{t}}, \bar{x}_1) \twoheadrightarrow \pi_1^{\mathrm{t}}(\widetilde{U}, \bar{x}_1) \quad \text{and} \quad \phi_2 \colon \pi_1^{\mathrm{t}}(U_{\bar{s}}, \bar{x}_2) \xrightarrow{\simeq} \pi_1^{\mathrm{t}}(\widetilde{U}, \bar{x}_2),$$

where the first homomorphism ϕ_1 is surjective and the second one ϕ_2 is an isomorphism (cf. [9, Exp. XIII, 2.10]). Therefore, if we take any path $\phi_{12} : \pi_1^t(\widetilde{U}, \bar{x}_1) \xrightarrow{\simeq} \pi_1^t(\widetilde{U}, \bar{x}_2)$ from \bar{x}_1 to \bar{x}_2 , then we get a surjective continuous homomorphism of profinite groups

(3.1)
$$\operatorname{sp}^{\mathbf{t}} \stackrel{\text{def}}{=} \phi_2^{-1} \phi_{12} \phi_1 \colon \pi_1^{\mathbf{t}}(U_{\bar{t}}, \bar{x}_1) \twoheadrightarrow \pi_1^{\mathbf{t}}(U_{\bar{s}}, \bar{x}_2),$$

which we call the *specialization map* for the tame fundamental group. By definition, the map sp^t is canonically determined by the curve U together with the geometric points \bar{s} , \bar{t} of S up to inner automorphism of $\pi_1^t(U_{\bar{s}}, \bar{x}_2)$. Thus, we will often write

$$\operatorname{sp}^{\operatorname{t}} \colon \pi_1^{\operatorname{t}}(U_{\bar{t}}) \to \pi_1^{\operatorname{t}}(U_{\bar{s}})$$

without mentioning the base points.

In the case where $D = \emptyset$, i.e. X = U, we get the specialization map for the étale fundamental group

(3.2)
$$\mathrm{sp} = \mathrm{sp}^{\mathrm{t}} \colon \pi_{1}^{\mathrm{\acute{e}t}}(X_{\bar{t}}, \bar{x}_{1}) \twoheadrightarrow \pi_{1}^{\mathrm{\acute{e}t}}(X_{\bar{s}}, \bar{x}_{2}).$$

Moreover, if the point \bar{s} has residue characteristic p > 0, then the specialization map (3.1) induces an isomorphism between the maximal pro-prime-to-p quotients of the étale fundamental groups

(3.3)
$$\operatorname{sp}^{(p')} : \pi_1^{\operatorname{\acute{e}t}}(U_{\bar{t}}, \bar{x}_1)^{(p')} \xrightarrow{\simeq} \pi_1^{\operatorname{\acute{e}t}}(U_{\bar{s}}, \bar{x}_2)^{(p')}.$$

These are the contents of Grothendieck's specialization theorem. As an application, we have the following consequence.

Corollary 3.1 (cf. [9, Exp. XIII, Cor. 2.12]). Let k be an algebraically closed field of characteristic p > 0. Let X_0 be a proper smooth connected curve of genus g over k together with a finite (possibly empty) set D_0 of closed points of X_0 . We set

 $n \stackrel{\text{def}}{=} \#S$. Let X be a proper smooth relative curve of genus g over $S \stackrel{\text{def}}{=} \operatorname{Spec} W(k)$ and D a set of S-valued points of X such that $(X,D) \times_S \operatorname{Spec} k \simeq (X_0,D_0)$. We set $U \stackrel{\text{def}}{=} X \setminus D$ and $U_0 \stackrel{\text{def}}{=} X_0 \setminus D_0$. Let $\eta \in S$ be the generic point of S. Then there exists a surjective continuous homomorphism

$$\pi_1^{\text{\'et}}(U_{\bar{n}}) \twoheadrightarrow \pi_1^{\text{\'et}}(U_0)$$

which induces an isomorphism between the maximal pro-prime-to-p quotients

$$\pi_1^{\text{\'et}}(U_{\bar{\eta}})^{(p')} \xrightarrow{\simeq} \pi_1^{\text{\'et}}(U_0)^{(p')}.$$

In particular, the tame fundamental group $\pi_1^t(U_0)$ of the curve U_0 is topologically finitely generated and we have an isomorphism of pro-prime-to-p groups

$$\pi_1^{\text{\'et}}(U_0)^{(p')} \simeq \Pi_{q,n}^{(p')},$$

where we define

(3.4)
$$\Pi_{g,n} \stackrel{\text{def}}{=} \left\langle \begin{matrix} a_1, b_1, \dots, a_g, b_g, \\ \delta_1, \dots, \delta_n \end{matrix} \middle| \prod_{i=1}^g [a_i, b_i] \delta_1 \cdots \delta_n = 1 \right\rangle.$$

§3.2. Tamagawa's specialization theorem

In this subsection we will recall use of the specialization maps in anabelian geometry for hyperbolic curves over algebraically closed fields of positive characteristic (cf. [19, 18, 23, 21]). In particular, we will recall Tamagawa's specialization theorems (see Theorems 3.2 and 3.4 below).

Let $k_0 \stackrel{\text{def}}{=} \overline{\mathbb{F}}_p$. Let S be an \mathbb{F}_p -scheme and U a smooth relative S-curve as in Section 3.1. Recall that the curve U is said to be *hyperbolic* if 2 - 2g - n < 0. Moreover, a curve over a field containing k_0 is said to be *constant* if it is defined over k_0 .

Theorem 3.2 (Cf. [23, Thm. 8.1]). Let U be a hyperbolic S-curve and $\bar{s}, \bar{t} \in S$ two geometric points of S such that \bar{s} is a specialization of \bar{t} . Suppose that $U_{\bar{s}}$ is constant and that $U_{\bar{t}}$ is not constant. Then the specialization map $\operatorname{sp}^t : \pi_1^t(U_{\bar{t}}) \to \pi_1^t(U_{\bar{s}})$ for the tame fundamental group (cf. (3.1)) is not an isomorphism.

As a corollary of the theorem, Tamagawa also obtained a non-constancy result of the tame fundamental group on the moduli space $\mathcal{M}_{g,[n],\mathbb{F}_p}$ of n-pointed genus g curves (cf. [23, Thms. 8.3 and 8.6]).

For later use, let us reformulate the theorem for proper curves as follows.

Definition 3.3. Let \mathscr{C} be the category of finite groups which has an elementary abelian normal p-Sylow subgroup. For any profinite group Π , we define the quotient

 $\Pi^{\mathscr{C}}$ to be

(3.5)
$$\Pi^{\mathscr{C}} \stackrel{\text{def}}{=} \varprojlim_{N \in \mathscr{C}(\Pi)} \Pi/N,$$

where $\mathscr{C}(\Pi)$ is the set of open normal subgroups N of Π such that $\Pi/N \in \mathscr{C}$.

Note that for any $G \in \mathscr{C}$ with $P \triangleleft G$ the unique p-Sylow subgroup, the short exact sequence

$$1 \to P \to G \to G/P \to 1$$

splits, i.e. $G \simeq (G/P) \ltimes P$.

Theorem 3.4 (Cf. [23, Thm. 6.1 and Rem. 6.3], [19, Prop. 2.2.4(2)]). Let $S = \operatorname{Spec} k_0[\![t]\!] = \{s,\eta\}$, where s (respectively η) is the closed point (respectively the generic point) of S. Let X be a proper smooth relative S-curve of genus $g \geq 2$ with geometrically connected fibers. Suppose that $X_{\bar{\eta}}$ is not constant. Then the map $\operatorname{sp}^{\mathscr{C}} : \pi_1^{\operatorname{\acute{e}t}}(X_{\bar{t}})^{\mathscr{C}} \to \pi_1^{\operatorname{\acute{e}t}}(X_{\bar{s}})^{\mathscr{C}}$ induced by the specialization map for the étale fundamental group (cf. (3.2)) is not an isomorphism.

Remark 3.5. In fact, the quotient considered in [19, Prop. 2.2.4(2)] or [23, Rem. 6.3] is not our $\pi_1^{\text{\'et}}(X)^{\mathscr{C}}$ but a larger one $\pi_1^{(p,p')}(X)$ (cf. [19, §2, p. 343]). Precisely, if we set $P \stackrel{\text{def}}{=} \operatorname{Ker}(\pi_1^{(p,p')}(X) \twoheadrightarrow \pi_1^{\text{\'et}}(X)^{(p')})$, we have

$$\pi_1^{\text{\'et}}(X)^{\mathscr{C}} = \pi_1^{(p,p')}(X)/[P,P]P^p.$$

The latter group is the maximal pro- \mathscr{C}' quotient of $\pi_1^{\text{\'et}}(X)$, i.e. $\pi_1^{(p,p')}(X) \stackrel{\text{def}}{=} \pi_1^{\text{\'et}}(X)^{\mathscr{C}'}$, where \mathscr{C}' is the class of finite groups which has a normal p-Sylow subgroup. Note that $\mathscr{C} \subset \mathscr{C}'$ and that each group $G \in \mathscr{C}'$ is isomorphic to a semi-direct product $G \simeq H \ltimes P$, where H has prime-to-p order and P is a p-group (cf. [16, Sect. 1.1]).

However, the isomorphism class of the profinite group $\pi_1^{(p,p')}(X) = \pi_1^{\text{\'et}}(X)^{\mathscr{C}'}$ can be completely determined by the smaller quotient $\pi_1^{\text{\'et}}(X)^{\mathscr{C}}$. Indeed, as $\pi_1^{\text{\'et}}(X)^{\mathscr{C}'}$ is topologically finitely generated (cf. Corollary 3.1), the isomorphism class of the profinite group $\pi_1^{\text{\'et}}(X)^{\mathscr{C}'}$ can be determined by the set $\pi_A^{\text{\'et}}(X)^{\mathscr{C}'}$ of finite quotients of $\pi_1^{\text{\'et}}(X)^{\mathscr{C}'}$ (cf. [23, Lem. 8.4]; see also Section 3.3). However, by the result of Pacheco–Stevenson [16, Thm. 1.3] (see also [6, Prop. 2.5]), the set of finite quotients $\pi_A^{\text{\'et}}(X)^{\mathscr{C}'}$ can be completely determined by the one $\pi_A^{\text{\'et}}(X)^{\mathscr{C}}$ of the maximal pro- \mathscr{C} quotient $\pi_1^{\text{\'et}}(X)^{\mathscr{C}}$. Therefore, Theorem 3.4 is a valid reinterpretation of Tamagawa's theorem [23, Thm. 6.1].

§3.3. Finite quotients of the étale fundamental groups of proper curves

In the whole of this subsection, we fix an algebraically closed field k of characteristic p > 0.

Let X be a proper smooth connected curve of genus g over k. As the étale fundamental group $\pi_1^{\text{\'et}}(X)$ is topologically finitely generated (cf. Corollary 3.1), the isomorphism class of the profinite group $\pi_1^{\text{\'et}}(X)$ can be completely determined by the set $\pi_A^{\text{\'et}}(X)$ of isomorphism classes of finite quotients of $\pi_1^{\text{\'et}}(X)$ (cf. [23, Lem. 8.4]).

We denote by $\pi_A^{\text{\'et}}(X)^{\mathscr{C}}$ the set of isomorphism classes of finite quotients of the profinite group $\pi_1^{\text{\'et}}(X)^{\mathscr{C}}$ (cf. (3.5)). As Theorem 3.4 suggests, the set $\pi_A^{\text{\'et}}(X)^{\mathscr{C}}$ of finite quotients is already complicated. This is in fact caused by the complexity of the p-rank of prime-to-p Galois coverings over X (cf. [12, 20, 15, 6]).

Definition 3.6 (Cf. [15, §1], [6]). The *p-rank* γ_A of an abelian variety A over k is defined to be $\gamma_A \stackrel{\text{def}}{=} \dim_{\mathbb{F}_p} A[p](k)$. The *p-rank* γ_X of a proper smooth connected curve X over k is defined as the *p*-rank γ_J of the Jacobian variety $J = J_X$ of X. The *p*-rank γ_X is also called the *Hasse–Witt invariant* for X.

Let X be a proper smooth connected curve of genus g over k. The Kummer theory in the fppf topology gives an isomorphism

(3.6)
$$H^1_{\text{fpof}}(X, \mu_p) \xrightarrow{\simeq} J[p](k).$$

Moreover, according to [11, III, Prop. 4.14], we also have a natural isomorphism $H^1_{\mathrm{fppf}}(X,\mu_p) \simeq H^0(X,\Omega_X^1)^{\mathcal{C}}$, where \mathcal{C} is the Cartier operator and the right-hand side is the \mathbb{F}_p -subspace of $H^0(X,\Omega_X^1)$ consisting of regular differential forms $\omega \in H^0(X,\Omega_X^1)$ satisfying $\mathcal{C}(\omega) = \omega$. On the other hand, by the Artin–Schreier theory, we have an isomorphism of \mathbb{F}_p -vector spaces $H^1_{\mathrm{\acute{e}t}}(X,\mathbb{Z}/p\mathbb{Z}) \simeq H^1(X,\mathscr{O}_X)^F \stackrel{\mathrm{def}}{=} \mathrm{Ker}(H^1(X,\mathscr{O}_X) \xrightarrow{F-\mathrm{id}} H^1(X,\mathscr{O}_X))$. Then the Serre duality $H^0(X,\Omega_X^1) \simeq H^1(X,\mathscr{O}_X)^\vee$ induces an isomorphism of \mathbb{F}_p -vector spaces

$$(3.7) H^1_{\text{fppf}}(X,\mu_p) \simeq H^0(X,\Omega_X^1)^{\mathcal{C}} \simeq (H^1(X,\mathscr{O}_X)^F)^{\vee} \simeq H^1_{\text{\'et}}(X,\mathbb{Z}/p\mathbb{Z})^{\vee}.$$

Lemma 3.7 (Cf. [15, Prop. 2.5], [6, Lem. 2.3]). Let $Y \to X$ be a connected finite étale Galois covering over X with Galois group $H \stackrel{\text{def}}{=} \operatorname{Gal}(Y/X)$ having primeto-p order. Then there exists a bijection between the set of isomorphism classes of connected finite étale Galois covering $Z \to X$ which dominates the covering $Y \to X$ whose Galois group $\operatorname{Gal}(Z/X)$ is isomorphic to an extension of H by an elementary abelian p-group and the set of H-submodules of $\operatorname{Hom}(\pi_1^{\text{\'et}}(Y), \mathbb{Z}/p\mathbb{Z})$.

Note that the isomorphism (3.7) for the curve Y is compatible with the Galois module structure, hence we have an isomorphism of H-modules (cf. [6, §2]),

(3.8)
$$J_Y[p](k) \simeq \operatorname{Hom}(\pi_1^{\text{\'et}}(Y), \mathbb{Z}/p\mathbb{Z})^{\vee}.$$

We now consider the problem describing the set of finite quotients $\pi_A^{\text{\'et}}(X)^{\mathscr{C}}$ (cf. (3.5)). Recall that the maximal pro-prime-to-p quotient $\pi_1^{\text{\'et}}(X)^{(p')}$ is isomorphic to the profinite group $\Pi_{g,0}^{(p')}$ (cf. Corollary 3.1). Therefore, Lemma 3.7 suggests that it remains to determine the $\operatorname{Gal}(Y/X)$ -module structure of $\operatorname{Hom}(\pi_1^{\text{\'et}}(Y), \mathbb{Z}/p\mathbb{Z})$ for every prime-to-p Galois covering $Y \to X$. In fact, the Galois module structure on $\operatorname{Hom}(\pi_1^{\text{\'et}}(Y), \mathbb{Z}/p\mathbb{Z})$ can be described in terms of the generalized $\operatorname{Hasse-Witt\ invariants}$. For the detail, see [15].

§4. The tame fundamental group scheme

§4.1. Finite linearly reductive group schemes

Let S be a scheme. For an affine flat S-group scheme G, we will denote by $\mathbb{X}(G)$ the group of characters of G, i.e. $\mathbb{X}(G) \stackrel{\text{def}}{=} \operatorname{Hom}_{S\text{-gr}}(G, \mathbb{G}_{m,S})$.

For any abelian group A, we denote by $\Delta_S(A)$ the diagonalizable S-group scheme associated with A [25, Sect. 2.2]. For example, we have $\Delta_S(\mathbb{Z}) = \mathbb{G}_{m,S}$ and $\Delta_S(\mathbb{Z}/m\mathbb{Z}) = \mu_{m,S}$ ($m \in \mathbb{Z}$). An affine flat S-group scheme G is said to be diagonalizable if it is isomorphic to the diagonalizable S-group scheme $\Delta_S(A)$ for some abelian group A. Then the correspondence $A \mapsto \Delta_S(A)$ gives an anti-equivalence of categories between the category of abelian groups and the category of diagonalizable S-group schemes. A quasi-inverse functor is given by taking the groups of characters, $G \mapsto \mathbb{X}(G)$. Furthermore, this equivalence of categories is compatible with any base change. Namely, for any morphism $T \to S$ and any abelian group A, we have $\Delta_S(A) \times_S T \simeq \Delta_T(A)$. Note that if A is finite, then the Cartier dual $G^D = \underline{\mathrm{Hom}}_S(G, \mathbb{G}_{m,S})$ of the diagonalizable S-group scheme $G = \Delta_S(A)$ is canonically isomorphic to the constant S-group scheme \underline{A}_S associated with the finite abelian group A.

A finite flat S-group scheme G is said to be linearly reductive if the functor $\mathsf{QCoh}(\mathcal{B}_SG) \to \mathsf{QCoh}(S); \ \mathcal{F} \mapsto \mathcal{F}^G$ is exact (cf. [2, Def. 2.2]), where \mathcal{F}^G denotes the G-invariant subsheaf of \mathcal{F} . If $S = \mathrm{Spec}\,k$ is the spectrum of a field k, then the condition can be replaced by the condition that the category $\mathsf{Rep}(G)$ is semi-simple. The class of linearly reductive group schemes is stable under any base change $S' \to S$ and admits faithfully flat descent (cf. [2, Prop. 2.4]). Moreover, the class of linearly reductive group schemes is closed under taking subgroup schemes, quotients and extensions (cf. [2, Prop. 2.5]).

Now let us recall the following classification result.

Proposition 4.1 (Cf. [2, Lem. 2.17]). Let S be the spectrum of a strictly henselian local ring and G a finite linearly reductive S-group scheme. Then there exists a diagonalizable normal subgroup scheme Δ of G such that G/Δ is a constant S-group scheme of order invertible in S.

Let $S = \operatorname{Spec} k$ be the spectrum of a field k. If k is of characteristic 0, then any finite k-group scheme G is linearly reductive. So let us assume that k is of positive characteristic p > 0. If k is algebraically closed, then the classification is quite simple. Let G be a finite linearly reductive group scheme over an algebraically closed field k of characteristic p > 0. Then the connected-to-étale exact sequence (cf. [25, §6.7])

$$1 \to G^0 \to G \to \pi_0(G) \to 1$$

admits a unique section $s\colon \pi_0(G)\to G$, which is in fact induced by the reduced closed subgroup scheme G_{red} of G, i.e. the composition $G_{\mathrm{red}}\hookrightarrow G\to \pi_0(G)$ is an isomorphism of finite étale group schemes. As $\pi_0(G)$ is étale and linearly reductive, it must be isomorphic to a constant k-group scheme \underline{H} associated with a finite group H of prime-to-p order. On the other hand, G^0 is connected and linearly reductive; it is isomorphic to the diagonalizable k group scheme $\Delta(A)$ for some abelian p-group A. Therefore, G is isomorphic to a semi-direct product $\underline{H}\ltimes\Delta(A)$. Thus, the isomorphism class of G is uniquely determined by the groups H, A and the conjugacy action $\underline{H}\to \underline{\mathrm{Aut}}(\Delta(A))=\underline{\mathrm{Aut}}(\underline{A})$, where the last identification is due to the fact that $\Delta(A)^D=\underline{A}$. However, as k is algebraically closed, the étale k-group scheme $\underline{\mathrm{Aut}}(\underline{A})$ is constant and the homomorphism $\underline{H}\to \underline{\mathrm{Aut}}(\underline{A})$ is uniquely determined by the induced homomorphism between the groups of k-valued points $H\to \mathrm{Aut}(A)$. In particular, any finite linearly reductive k-group scheme G is defined over an algebraic closure $\overline{\mathbb{F}}_p$ of the prime field \mathbb{F}_p .

As a consequence, we have the following.

Proposition 4.2. Let K/k be an extension of algebraically closed fields of characteristic p > 0. Then there exists an equivalence of categories between the category of finite linearly reductive k-group schemes and the category of finite linearly reductive K-group schemes.

Now we introduce a subclass of finite linearly reductive group schemes.

Definition 4.3. Let k be an algebraically closed field of characteristic p > 0. A finite linearly reductive k-group scheme G is said to be elementary if the character group $\mathbb{X}(G^0)$ of the connected part G^0 is an elementary abelian p-group, or equivalently if G^0 is isomorphic to a finite direct product of μ_p , i.e. $G^0 \simeq \mu_{p,k}^s$ for some

integer $s \ge 0$. We denote by \mathscr{D} the category of finite elementary linearly reductive k-group schemes.

Then the above discussion implies the following result.

Proposition 4.4. There exists a canonical equivalence of categories between the category $\mathscr C$ in Definition 3.3 and the category $\mathscr D$ of elementary linearly reductive k-group schemes.

Proof. For any $\Gamma \in \mathscr{C}$, we define the group scheme $\Psi(\Gamma) \in \mathscr{D}$ as follows. By definition, there exists a unique elementary abelian normal p-Sylow subgroup $P \triangleleft G$ so that $G \xrightarrow{\simeq} (G/P) \ltimes P$. Then we define $\Psi(\Gamma) \stackrel{\text{def}}{=} (G/P) \ltimes \Delta(P^{\vee})$, which clearly belongs to \mathscr{D} . Then the correspondence $\mathscr{C} \to \mathscr{D}$; $G \mapsto \Psi(G)$ gives a desired equivalence of categories $\Psi \colon \mathscr{C} \xrightarrow{\simeq} \mathscr{D}$.

Finally, let us recall the following two results due to Olsson.

Proposition 4.5 (Cf. [14, Cor. 4.3]). Let S be the spectrum of a discrete valuation ring with generic point $\eta \in S$, X a smooth S-scheme and G a finite linearly reductive S-group scheme. Then for any G_{η} -torsor $P_{\eta} \to X_{\eta}$, after taking a finite extension $S' \to S$ there exists an extension of P_{η} to a G-torsor $P \to X$ and such an extension $P \to X$ is unique up to isomorphism.

Proposition 4.6 (Cf. [14, Prop. 4.5]). Let k be a separably closed field. Let X be a smooth scheme over k and $\mathbf{D} = (D_i)_{i=1}^n$ a family of distinct reduced irreducible effective Cartier divisors D_i on X, and $U \stackrel{\text{def}}{=} X \setminus D$, where $D = \bigcup_{i=1}^n \operatorname{Supp}(D_i)$. Let G be a finite linearly reductive k-group scheme. Then for any G-torsor $P \to U$, there exists an n-tuple $\mathbf{r} = (r_i)_{i=1}^n$ of positive integers r_i such that $P \to U$ extends to a G-torsor $\overline{P} \to \sqrt[r]{D/X}$ over the root stack associated with the data $(X, \mathbf{D}, \mathbf{r})$ (cf. Section 2.2.1). Moreover, the extension over $\sqrt[r]{D/X}$ is unique up to unique isomorphism.

Note that if G is constant of order prime to the characteristic of k, then the composite map $\overline{P} \to \sqrt[r]{\mathbf{D}/X} \to X$ is nothing other than the normalization of the étale covering $P \to U$.

§4.2. The tame fundamental group scheme

In this subsection we recall the definition of the *tame fundamental group scheme*, which is the main object in the present paper.

Definition 4.7 (Cf. [5, Def. 10.1]). A finite stack Γ over a field k (cf. Section 2.1.1) is said to be *tame* if the global section functor $\mathsf{Coh}(\Gamma) \to \mathsf{Vec}_k$; $F \mapsto H^0(\Gamma, F)$ is exact.

For example, if G is a finite k-group scheme, then the classifying stack $\mathcal{B}G$ is tame if and only if G is linearly reductive (cf. Section 4.1).

Proposition 4.8 (Cf. [5, §10]). Let \mathcal{X} be an inflexible algebraic stack of finite type over a field k (cf. Section 2.1.2). Then there exists a profinite tame gerbe Π together with a morphism $\mathcal{X} \to \Pi$ such that for any finite tame stack Γ over k, the induced functor

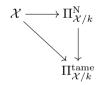
$$\operatorname{Hom}_k(\Pi,\Gamma) \to \operatorname{Hom}_k(\mathcal{X},\Gamma)$$

is an equivalence of categories.

Definition 4.9 (Cf. [5, Def. 10.4]). With the above notation, the profinite tame gerbe Π in Proposition 4.8 is unique up to unique isomorphism for \mathcal{X}/k . We denote it by $\Pi_{\mathcal{X}/k}^{\text{tame}}$ and call it the *tame fundamental gerbe* for \mathcal{X} over k. Moreover, if \mathcal{X} admits a k-rational point x: Spec $k \to \mathcal{X}$, then we denote by $\pi^{\text{tame}}(\mathcal{X}, x)$ the automorphism group scheme $\underline{\text{Aut}}_k(\xi)$ of the object ξ : Spec $k \xrightarrow{x} \mathcal{X} \to \Pi_{\mathcal{X}/k}^{\text{tame}}$ and call it the *tame fundamental group scheme* for (\mathcal{X}, x) .

Remark 4.10. Let $\pi^{N}(\mathcal{X}, x)$ be the fundamental group scheme for (\mathcal{X}, x) (cf. Section 2.1.3, see also [13, Chap. II]). Then the tame fundamental group scheme $\pi^{\text{tame}}(\mathcal{X}, x)$ is canonically isomorphic to the maximal pro-linearly reductive quotient of $\pi^{N}(\mathcal{X}, x)$.

As $\Pi_{\mathcal{X}/k}^{\text{tame}}$ is a profinite gerbe, the morphism $\mathcal{X} \to \Pi_{\mathcal{X}/k}^{\text{tame}}$ factors through the Nori fundamental gerbe $\Pi_{\mathcal{X}/k}^{N}$ (cf. Section 2.1.2),



and the resulting morphism $\Pi_{\mathcal{X}/k}^{N} \to \Pi_{\mathcal{X}/k}^{tame}$ gives a gerbe. Recall that if \mathcal{X} is proper over k, then we have an equivalence of tannakian categories over k,

$$\mathsf{Vect}(\Pi^{\mathrm{N}}_{\mathcal{X}/k}) \xrightarrow{\simeq} \mathsf{EFin}(\mathcal{X}),$$

where $\mathsf{EFin}(\mathcal{X})$ is the full subcategory of $\mathsf{Vect}(\mathcal{X})$ consisting of essentially finite bundles (cf. Section 2.1.5). An essentially finite bundle E on \mathcal{X} is said to be tamely finite if all the indecomposable components of all the tensor powers $E^{\otimes n}$ are irreducible (cf. [5, Def. 12.1]). We now define the category $\mathsf{TFin}(\mathcal{X})$ to be the full tannakian subcategory of $\mathsf{EFin}(\mathcal{X})$ which consists of tamely finite bundles

on \mathcal{X} . Then the fully faithful tensor functor

$$\mathsf{Vect}(\Pi^{\mathrm{tame}}_{\mathcal{X}/k}) \hookrightarrow \mathsf{Vect}(\Pi^{\mathrm{N}}_{\mathcal{X}/k}) \stackrel{\simeq}{\longrightarrow} \mathsf{EFin}(\mathcal{X}) \subset \mathsf{Vect}(\mathcal{X})$$

induces an equivalence of categories (cf. [5, Thm. 12.2])

$$(4.1) \qquad \qquad \mathsf{Vect}(\Pi_{\mathcal{X}/k}^{\mathsf{tame}}) \stackrel{\simeq}{\longrightarrow} \mathsf{TFin}(\mathcal{X}).$$

In particular, $\mathsf{TFin}(\mathcal{X})$ is a tannakian category over k and it is the largest tannakian semi-simple subcategory of $\mathsf{EFin}(\mathcal{X})$.

§4.3. Structural results

In this subsection we will see several structural results for the tame fundamental group schemes of curves. All the results should be well understood by the experts, but we put them here for lack of references.

Let us begin with the following version of [3, Thm. I].

Proposition 4.11. Let \mathcal{X} be a proper inflexible algebraic stack over a perfect field k of characteristic p > 0. Let $f: \mathcal{Y} \to \mathcal{X}$ be a Nori-reduced G-torsor (cf. Section 2.1.4), where G is a finite étale linearly reductive k-group scheme. Then all the squares in the following diagram are 2-Cartesian:

$$\begin{array}{ccc} \mathcal{Y} & \longrightarrow \Pi^{\mathrm{tame}}_{\mathcal{Y}/k} & \longrightarrow \mathrm{Spec} \ k \\ f & & f_* & & \downarrow \\ \mathcal{X} & \longrightarrow \Pi^{\mathrm{tame}}_{\mathcal{X}/k} & \longrightarrow \mathcal{B}G. \end{array}$$

Proof. We will adapt the argument in the proof of [3, Thm. I]. We define a finite stack Π over $\Pi_{\mathcal{X}/k}^{\text{tame}}$ to be the 2-fiber product

$$\Pi \longrightarrow \operatorname{Spec} k$$

$$f_{\star} \downarrow \qquad \qquad \downarrow$$

$$\Pi_{\mathcal{X}/k}^{\operatorname{tame}} \longrightarrow \mathcal{B}G.$$

Hence, all the squares in the diagram

$$\begin{array}{ccc}
\mathcal{Y} & \xrightarrow{v} & \Pi & \longrightarrow \operatorname{Spec} k \\
\downarrow f & & \downarrow \downarrow & & \downarrow \\
\mathcal{X} & \xrightarrow{u} & \Pi_{\mathcal{X}/k}^{\operatorname{tame}} & \longrightarrow \mathcal{B}G.
\end{array}$$

are 2-Cartesian. It suffices to show that the morphism $v: \mathcal{Y} \to \Pi$ gives the tame fundamental gerbe of \mathcal{Y} . First note that since $f: \mathcal{Y} \to \mathcal{X}$ is Nori-reduced, the morphism $\Pi^{\text{tame}}_{\mathcal{X}/k} \to \mathcal{B}G$ is a gerbe and so is $\Pi \to \text{Spec } k$. Moreover, since $\Pi \to \Pi^{\text{tame}}_{\mathcal{X}/k}$ is representable and $\Pi^{\text{tame}}_{\mathcal{X}/k}$ is tame, the gerbe Π is tame as well. In particular, by [5, Prop. 10.3], the category $\text{Vect}(\Pi)$ is semi-simple.

Since \mathcal{X} is proper over k, the pullback functor u^* induces an equivalence of tannakian categories (cf. (4.1))

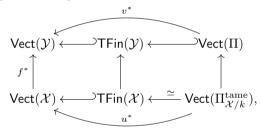
$$u^*\mathsf{Vect}(\Pi_{\mathcal{X}/k}^{\mathrm{tame}}) \xrightarrow{\simeq} \mathsf{TFin}(\mathcal{X}),$$

hence $u_*\mathscr{O}_{\mathcal{X}}\simeq\mathscr{O}_{\Pi^{\mathrm{tame}}_{\mathcal{X}/k}}$ (cf. [3, Lem. 1.22]). As f_* is faithfully flat, by the flat base change theorem, we also have $v_*\mathscr{O}_{\mathcal{Y}}\simeq\mathscr{O}_{\Pi}$, which implies that the pullback functor

$$(4.2) v^* : \mathsf{Vect}(\Pi) \to \mathsf{Vect}(\mathcal{Y})$$

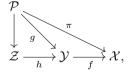
is fully faithful. However, as $\mathsf{Vect}(\Pi)$ is a semi-simple tannakian category, the essential image of v^* must be contained in $\mathsf{TFin}(\mathcal{Y})$. To prove that $\mathcal{Y} \to \Pi$ gives the tame fundamental gerbe of \mathcal{Y} , it suffices to show that the functor (4.2) has essential image $\mathsf{TFin}(\mathcal{Y})$.

By applying [3, Lem. 2.7] to the diagram



we can conclude that for any $V \in \mathsf{Vect}(\mathcal{Y})$, it is contained in the essential image of v^* if and only if f_*V is tamely finite. Therefore, to complete the proof, we have only to show that for any tamely finite bundle $V \in \mathsf{TFin}(\mathcal{Y})$, the pushforward sheaf f_*V is tamely finite on \mathcal{X} .

This is a consequence of the existence of Galois envelopes in the sense of [3, Def. 3.8]. In our situation, this ensures that for any H-torsor $\mathcal{Z} \to \mathcal{Y}$ with H linearly reductive, there exists a Γ -torsor $\mathcal{P} \to \mathcal{X}$ where Γ is linearly reductive together with homomorphisms $\alpha \colon \Gamma \to G$ and $\beta \colon \operatorname{Ker}(\alpha) \to H$ and a commutative diagram



where $g: \mathcal{P} \to \mathcal{Y}$ is $Ker(\alpha)$ -equivariant and $\mathcal{P} \to \mathcal{Z}$ is $Ker(\beta)$ -equivariant.

For any tamely finite bundle $V \in \mathsf{TFin}(\mathcal{Y})$, by definition, there exists an H-torsor $h \colon \mathcal{Z} \to \mathcal{Y}$, where H is a finite linearly reductive k-group scheme, such that $h^*V \simeq \mathscr{O}_{\mathcal{Z}}^{\oplus m}$. Therefore, if $\pi \colon \mathcal{P} \to \mathcal{X}$ denotes the Galois envelope associated with the tower $\mathcal{Z} \to \mathcal{Y} \to \mathcal{X}$ as above and $g \colon \mathcal{P} \to \mathcal{Y}$ the $\mathrm{Ker}(\alpha)$ -equivariant morphism, then $V \subseteq g_*g^*V \simeq g_*\mathscr{O}_{\mathcal{P}}^{\oplus m}$, hence $f_*V \subseteq f_*g_*\mathscr{O}_{\mathcal{P}}^{\oplus m} \simeq \pi_*\mathscr{O}_{\mathcal{P}}^{\oplus m}$, where the latter vector bundle is tamely finite because $\pi \colon \mathcal{P} \to \mathcal{X}$ is a finite linearly reductive torsor. This completes the proof.

Now we study the tame fundamental group schemes of curves. Let k be an algebraically closed field k of characteristic p > 0. Let X be a projective smooth curve over k. Let S be a finite (possibly empty) set of closed points of X with $n \stackrel{\text{def}}{=} \#S$. Let $U \stackrel{\text{def}}{=} X \setminus S$. We set $\mathbf{D} \stackrel{\text{def}}{=} (x)_{x \in S}$. For any n-tuple $\mathbf{r} = (r_x)_{x \in S}$ of positive integers, we define

$$\mathfrak{X}^{\mathbf{r}} \stackrel{\text{def}}{=} \sqrt[r]{\mathbf{D}/X}$$

to be the root stack associated with the data $(X, \mathbf{D}, \mathbf{r})$ (cf. Section 2.2.1).

Proposition 4.12. With the above notation, there exists a natural isomorphism of affine gerbes over k,

$$\Pi_{U/k}^{\mathrm{tame}} \xrightarrow{\simeq} \varprojlim_{\mathbf{r}} \Pi_{\mathfrak{X}^{\mathbf{r}}/k}^{\mathrm{tame}}.$$

Proof. The morphisms into root stacks $U \to \mathfrak{X}^{\mathbf{r}}$ induce morphisms between the tame fundamental gerbes $\Pi^{\mathrm{tame}}_{U/k} \to \Pi^{\mathrm{tame}}_{\mathfrak{X}^{\mathbf{r}}/k}$, hence we obtain a morphism $\Pi^{\mathrm{tame}}_{U/k} \to \varprojlim_{\mathbf{r}} \Pi^{\mathrm{tame}}_{\mathfrak{X}^{\mathbf{r}}/k}$. This is surjective. The injectivity follows from Proposition 4.6.

Corollary 4.13. With the above notation, we further fix a k-rational point $x_0 \in U$. Then for each $x \in S$, there exists a homomorphism $\delta_x \colon \Delta(\mathbb{Q}/\mathbb{Z}) \to \pi^{\text{tame}}(U, x_0)$ which is canonical up to conjugation so that the kernel of the surjective homomorphism $\pi^{\text{tame}}(U, x_0) \to \pi^{\text{tame}}(X, x_0)$ is the normal subgroup generated by the images of δ_x .

Proof. We will use the same notation as in Proposition 4.12. For each $\mathbf{r} = (r_x)_{x \in S}$, let $\coprod_{x \in S} \mathcal{B}\mu_{r_x} \to \mathfrak{X}^{\mathbf{r}}$ be the coproduct of the maps from the residual gerbes into the root stack $\mathfrak{X}^{\mathbf{r}}$ (cf. Section 2.2.2). By taking the limit, we get a sequence of morphisms of pro-algebraic stacks,

$$\coprod_{x \in S} \mathcal{B}\Delta(\mathbb{Q}/\mathbb{Z}) \to \varprojlim_{\mathbf{r}} \mathfrak{X}^{\mathbf{r}} \to \varprojlim_{\mathbf{r}} \Pi^{\mathrm{tame}}_{\mathfrak{X}^{\mathbf{r}}/k} \to \Pi^{\mathrm{tame}}_{X/k}.$$

If ξ : Spec $k \to \Pi_{X/k}^{\text{tame}}$ is any section, then by Biswas–Borne's theorem (cf. [4, Cor. 3.6]), the map $\varprojlim_{\mathbf{r}} \Pi_{\mathfrak{X}^{\mathbf{r}}/k}^{\text{tame}} \to \Pi_{X/k}^{\text{tame}}$ is a relative gerbe whose fiber

$$(\varprojlim \Pi^{\mathrm{tame}}_{\mathfrak{X}^{\mathbf{r}}/k}) \times_{\Pi^{\mathrm{tame}}_{X/k}} \xi \operatorname{Spec} k$$

over ξ is generated by the image of the map $\coprod_{x \in S} \mathcal{B}\Delta(\mathbb{Q}/\mathbb{Z}) \to \varprojlim_{\mathbf{r}} \Pi^{\text{tame}}_{\mathfrak{X}^{\mathbf{r}}/k}$. The assertion is then a consequence of Proposition 4.12.

Corollary 4.14. With the above notation, suppose that n > 0 and fix a point $x_0 \in S$. Then for any integer m > 0, there exists an exact sequence of $\mathbb{Z}/p^m\mathbb{Z}$ -modules,

$$0 \to H^1_{\mathrm{fppf}}(X, \mu_{p^m}) \to H^1_{\mathrm{fppf}}(U, \mu_{p^m}) \to \bigoplus_{x \in S \setminus \{x_0\}} \mathbb{Z}/p^m \mathbb{Z} \to 0.$$

Proof. First consider the case n=1. In this case, the natural restriction map $\operatorname{Pic}(X) \twoheadrightarrow \operatorname{Pic}(U)$ induces an isomorphism $\operatorname{Pic}(X)[p^m] \xrightarrow{\simeq} \operatorname{Pic}(U)[p^m]$. Thus, by the Kummer theory, we obtain a natural isomorphism $H^1_{\operatorname{fppf}}(X, \mu_{p^m}) \xrightarrow{\simeq} H^1_{\operatorname{fppf}}(U, \mu_{p^m})$. Therefore, the assertion is true for n=1.

Next we will deal with the arbitrary case. Let us consider the one-punctured curve $X_0 \stackrel{\text{def}}{=} X \setminus \{x_0\}$. By the previous case n = 1, we are reduced to showing that there exists an exact sequence of $\mathbb{Z}/p^m\mathbb{Z}$ -modules,

$$0 \to H^1_{\mathrm{fppf}}(X_0, \mu_{p^m}) \to H^1_{\mathrm{fppf}}(U, \mu_{p^m}) \to \bigoplus_{x \in S \setminus \{x_0\}} \mathbb{Z}/p^m \mathbb{Z} \to 0.$$

Let $\mathbf{p}^m \stackrel{\text{def}}{=} (p^m)_{x \in S \setminus \{x_0\}}$. By Proposition 4.6, we have an isomorphism of $\mathbb{Z}/p^m\mathbb{Z}$ -modules.

$$H^1_{\text{fppf}}(\mathfrak{X}_0^{\mathbf{p}^m}, \mu_{p^m}) \xrightarrow{\simeq} H^1_{\text{fppf}}(U, \mu_{p^m}),$$

where $\mathfrak{X}_0^{\mathbf{p}^m}$ is the root stack associated with the data $(X_0, S \setminus \{x_0\}, \mathbf{p}^m)$. Therefore, it suffices to show that there exists an exact sequence of $\mathbb{Z}/p^m\mathbb{Z}$ -modules,

$$(4.4) 0 \to H^1_{\mathrm{fppf}}(X_0, \mu_{p^m}) \to H^1_{\mathrm{fppf}}(\mathfrak{X}_0^{\mathbf{p}^m}, \mu_{p^m}) \to \bigoplus_{x \in S \setminus \{x_0\}} \mathbb{Z}/p^m \mathbb{Z} \to 0.$$

Recall that there exists an exact sequence of abelian groups

$$0 \to \operatorname{Pic}(X_0) \to \operatorname{Pic}(\mathfrak{X}_0^{\mathbf{p}^m}) \to \bigoplus_{x \in S \setminus \{x_0\}} \mathbb{Z}/p^m \mathbb{Z} \to 0$$

(cf. [7, $\S 5.4$]). Therefore, by the Kummer theory together with the snake lemma, we obtain the desired exact sequence (4.4). This completes the proof.

Finally, we notice the following fact.

Proposition 4.15. With the same notation as above, let $f: V \to U$ be a Nori-reduced G-torsor (cf. Section 2.1.4), where G is a constant k-group scheme of

prime-to-p order. Then all the squares in the following diagram are 2-Cartesian:

$$V \longrightarrow \Pi_{V/k}^{\text{tame}} \longrightarrow \operatorname{Spec} k$$

$$f \downarrow \qquad \qquad f_* \downarrow \qquad \qquad \downarrow$$

$$U \longrightarrow \Pi_{U/k}^{\text{tame}} \longrightarrow \mathcal{B}G.$$

Proof. The assertion is immediate from Propositions 4.11 and 4.12. \Box

As a consequence, we obtain the following version of [8, Thm. 2.9].

Corollary 4.16. Let k be an algebraically closed field of characteristic p > 0. Let U be a smooth connected curve over k with $x \in U(k)$ a k-rational point. Let H be a finite group of prime-to-p order. Suppose given a surjective homomorphism $\phi \colon \pi^{\text{tame}}(U,x) \twoheadrightarrow \underline{H}$ onto the constant group scheme \underline{H} . Let $(V,y) \to (U,x)$ be the corresponding pointed \underline{H} -torsor. Then we have an exact sequence of affine k-group schemes

$$1 \to \pi^{\mathrm{tame}}(V, y) \to \pi^{\mathrm{tame}}(U, x) \xrightarrow{\phi} \underline{H} \to 1.$$

Proof. This directly follows from Proposition 4.15.

§4.4. The base change theorem

Let X be a proper connected and reduced scheme over an algebraically closed field k and K an algebraically closed extension of k. Let $x \in X(k)$ be a k-rational point. By [9, Exp. X, Cor. 1.8], the natural homomorphism $\pi_1^{\text{\'et}}(X_K, x_K) \to \pi_1^{\text{\'et}}(X, x)$ of the étale fundamental groups is an isomorphism. However, it is known that the natural map between Nori's fundamental group schemes

(4.5)
$$\pi^{\mathcal{N}}(X_K, x_K) \to \pi^{\mathcal{N}}(X, x) \times_k K$$

is not an isomorphism in general (cf. [10, 17]). In this subsection we will prove that the homomorphism (4.5) induces an isomorphism of the tame fundamental group schemes for smooth curves.

Let k be an algebraically closed field of characteristic p > 0. Let X be a proper smooth curve over k and $S \subset X$ a finite (possibly empty) set of closed points. Let $U \stackrel{\text{def}}{=} X \setminus S$ and fix a k-rational point $x \in U(k)$.

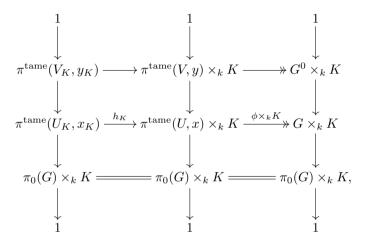
Proposition 4.17. With the above notation, let K/k be an extension of algebraically closed fields. Then we have a natural isomorphism of affine K-group schemes

$$h_K \colon \pi^{\mathrm{tame}}(U_K, x_K) \xrightarrow{\simeq} \pi^{\mathrm{tame}}(U, x) \times_k K.$$

Proof. First let us show the surjectivity of the natural homomorphism h_K : $\pi^{\text{tame}}(U_K, x_K) \to \pi^{\text{tame}}(U, x) \times_k K$. Let $\phi \colon \pi^{\text{tame}}(U, x) \twoheadrightarrow G$ be any finite quotient map. We have to show that the composition of the homomorphism

$$\pi^{\text{tame}}(U_K, x_K) \xrightarrow{h_K} \pi^{\text{tame}}(U, x) \times_k K \xrightarrow{\phi \times_k K} G \times_k K$$

is surjective. Let $(P,p) \to (U,x)$ be the pointed G-torsor associated with ϕ . We set $(V,y) \stackrel{\text{def}}{=} (P,p)/G^0$, which is a pointed $\pi_0(G)$ -torsor. Thanks to Corollary 4.16, we have the commutative diagram



where all the vertical sequences are exact. Therefore, the map $(\phi \times_k K) \circ h_K$ is surjective if and only if so is the map

$$\pi^{\text{tame}}(V_K, y_K) \to \pi^{\text{tame}}(V, y) \times_k K \twoheadrightarrow G^0 \times_k K.$$

The latter condition is valid because we have an isomorphism

$$(4.6) H^1_{\text{fppf}}(V, G^0) \xrightarrow{\simeq} H^1_{\text{fppf}}(V_K, G^0 \times_k K),$$

which follows from Corollary 4.14 together with the isomorphism $\operatorname{Pic}_{X_K}^0 \simeq \operatorname{Pic}_X^0 \times_k K$. This completes the proof of the surjectivity of the map h_K .

For the injectivity of the map h_K , it suffices to notice that any finite quotient map

$$\psi \colon \pi^{\mathrm{tame}}(U_K, x_K) \twoheadrightarrow G'$$

factors through the map h_K . Indeed, by Proposition 4.1, we may assume that $G' = G \times_k K$ for some finite linearly reductive k-group scheme G. It suffices to show that the pointed $G \times_k K$ -torsor $(P,p) \to (U_K, x_K)$ corresponding to ψ is defined over (U,x). This is well known when G is étale, in which the torsor

 $P \to U_K$ is a prime-to-p Galois covering, hence we are reduced to the connected case $G = G^0$, for which the claim immediately follows from the isomorphism (4.6). This completes the proof of the proposition.

§5. The cospecialization map for the tame fundamental group scheme

§5.1. Finite quotients of the tame fundamental group scheme

Let k be an algebraically closed field of characteristic p > 0 and X a proper smooth connected curve over k. Let $S \subset X(k)$ be a finite (possibly empty) set of closed points and set $U \stackrel{\text{def}}{=} X \setminus S$. Fix a k-rational point $x \in U(k)$. In this subsection, we follow the argument in Section 3.3 to investigate finite quotients of the tame fundamental group scheme $\pi^{\text{tame}}(U, x)$.

Let H be a fixed finite group of prime-to-p order. Suppose given a surjective homomorphism

$$\phi \colon \pi^{\mathrm{tame}}(U, x) \twoheadrightarrow \underline{H}$$

and let $(V, y) \to (U, x)$ be the corresponding pointed \underline{H} -torsor.

Lemma 5.1. There exists a bijection between the set of isomorphism classes of surjective homomorphisms $\pi^{\text{tame}}(V, y) \to \mu_p^s$ for some integer $s \geq 0$ and the set of subspaces of the \mathbb{F}_p -vector space $\text{Hom}(\pi^{\text{tame}}(V, y), \mu_p)$.

Here, any two surjective homomorphisms $\psi_1 : \pi^{\text{tame}}(V, y) \to \mu_p^{s_1}$ and $\psi_2 : \pi^{\text{tame}}(V, y) \to \mu_p^{s_2}$ are said to be *isomorphic* if $s_1 = s_2$ and there exists an isomorphism of group schemes $\alpha \in \text{Aut}(\mu_p^{s_1})$ such that $\psi_1 = \alpha \circ \psi_2$.

Proof of Lemma 5.1. Let $S_1(\phi)$ be the set of isomorphism classes of surjective homomorphisms $\pi^{\text{tame}}(V, y) \twoheadrightarrow \mu_p^s$ for some $s \geq 0$. Let $S_2(\phi)$ be the set of subspaces of the \mathbb{F}_p -vector space $\text{Hom}(\pi^{\text{tame}}(V, y), \mu_p)$. Then the map $M: S_1(\phi) \to S_2(\phi)$; $\psi \mapsto M(\psi)$ defined by

(5.1)
$$M(\psi) \stackrel{\text{def}}{=} \operatorname{Im} \left(\operatorname{Hom}(\mu_p^s, \mu_p) \xrightarrow{\psi^*} \operatorname{Hom}(\pi^{\text{tame}}(V, y), \mu_p) \right)$$

gives the desired bijective map.

Note that the \mathbb{F}_p -vector space $\operatorname{Hom}(\pi^{\operatorname{tame}}(V,y),\mu_p)$ is canonically isomorphic to the cohomology group $H^1_{\operatorname{fppf}}(V,\mu_p)$ as an $\mathbb{F}_p[H]$ -module. Let M be a finitely generated $\mathbb{F}_p[H]$ -module. Then the corresponding action $H \to \operatorname{Aut}(M) \stackrel{\operatorname{def}}{=} \operatorname{GL}_M(\mathbb{F}_p)$ induces a homomorphism of k-group schemes $\underline{H} \to \operatorname{Aut}(\Delta(M))$. Hence, we obtain a finite linearly reductive k-group scheme $G \stackrel{\operatorname{def}}{=} \underline{H} \ltimes \Delta(M)$.

Lemma 5.2. Suppose given a surjective homomorphism $\psi \colon \pi^{\text{tame}}(V, y) \to \mu_p^s$ and let $M(\psi) \subset \text{Hom}(\pi^{\text{tame}}(V, y), \mu_p)$ be the corresponding subspace (cf. Lemma 5.1 and (5.1)). Let $\Gamma_{\psi} \lhd \pi^{\text{tame}}(V, y)^{\text{ab}}$ be the kernel of the homomorphism ψ^{ab} : $\pi^{\text{tame}}(V, y)^{\text{ab}} \to \mu_p^s$ induced by ψ . Then the following conditions are equivalent:

- (a) The subspace $M(\psi)$ is stable under the action by H on $\text{Hom}(\pi^{\text{tame}}(V,y),\mu_{\text{p}})$.
- (b) The subgroup scheme $\Gamma_{\psi} < \pi^{\text{tame}}(V, y)^{\text{ab}}$ is stable under the conjugacy action by \underline{H} .
- (c) The surjective homomorphism $\psi \colon \pi^{\mathrm{tame}}(V, y) \twoheadrightarrow \mu_p^s$ extends to a surjective homomorphism $\widetilde{\psi} \colon \pi^{\mathrm{tame}}(U, x) \twoheadrightarrow G$ onto a semi-direct product $G \stackrel{\mathrm{def}}{=} \underline{H} \ltimes \mu_p^s$ and the composition $\pi^{\mathrm{tame}}(U, x) \stackrel{\widetilde{\psi}}{\twoheadrightarrow} G \twoheadrightarrow G/\mu_p^s = \underline{H}$ coincides with $\phi \colon \pi^{\mathrm{tame}}(U, x) \twoheadrightarrow \underline{H}$.

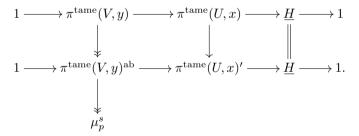
Proof. Thanks to Corollary 4.16, we have an exact sequence

$$1 \to \pi^{\text{tame}}(V, y) \to \pi^{\text{tame}}(U, x) \to \underline{H} \to 1.$$

By the universality of the maximal abelian quotient $\pi^{\text{tame}}(V, y) \twoheadrightarrow \pi^{\text{tame}}(V, y)^{\text{ab}}$, the kernel of the quotient map is normal in the group scheme $\pi^{\text{tame}}(U, x)$. Hence, we get the quotient

$$\pi^{\text{tame}}(U, x)' \stackrel{\text{def}}{=} \pi^{\text{tame}}(U, x) / \text{Ker}(\pi^{\text{tame}}(V, y) \twoheadrightarrow \pi^{\text{tame}}(V, y)^{\text{ab}}),$$

which fits into the commutative diagram with exact rows



Condition (c) is then equivalent to the existence of the pushout G as in the diagram

$$1 \longrightarrow \pi^{\text{tame}}(V, y)^{\text{ab}} \longrightarrow \pi^{\text{tame}}(U, x)' \longrightarrow \underline{H} \longrightarrow 1$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow \qquad \qquad$$

Now the equivalences among conditions (a), (b) and (c) clearly holds true. \Box

The following is a version of Lemma 3.7.

Lemma 5.3. Let H be a finite group of prime-to-p order. Let $V \to U$ be a connected \underline{H} -torsor. Then the set of isomorphism classes of Nori-reduced G-torsors $P \to U$ (cf. Section 2.1.4) which dominate $V \to U$, where G is an extension of \underline{H} by a diagonalizable group scheme Δ with $p\mathbb{X}(\Delta) = 0$ is in bijection with the set of $\mathbb{F}_p[H]$ -submodules of $\operatorname{Hom}(\pi^{\operatorname{tame}}(V), \mu_p)$.

Proof. This is a consequence of Lemmas 5.1 and 5.2.

Proposition 5.4. Let X be a proper smooth connected curve over k and fix a k-rational point $x \in X(k)$. Let H be a finite group of prime-to-p order. Let M be a finite-dimensional $\mathbb{F}_p[H]$ -module. Consider the finite group $\Gamma \stackrel{\text{def}}{=} H \ltimes (M^{\vee})$ and the finite linearly reductive k-group scheme $G \stackrel{\text{def}}{=} \underline{H} \ltimes \Delta(M)$. Then there exists a surjective homomorphism $\pi^{\text{tame}}(X,x) \twoheadrightarrow G$ if and only if there exists a surjective homomorphism $\pi^{\text{ft}}(X,x) \twoheadrightarrow \Gamma$.

Proof. When M=0, the assertion is straightforward from the fact that $\pi^{\text{tame}}(X,x)(k)=\pi_1^{\text{\'et}}(X,x)^{(p')}$. Let us consider the arbitrary case. Suppose given a surjective homomorphism $\psi\colon \pi^{\text{tame}}(X,x) \twoheadrightarrow G = \underline{H} \ltimes \Delta(M)$. Let $(Y,y) \to (X,x)$ be the Nori-reduced pointed \underline{H} -torsor associated with the surjective homomorphism $\pi^{\text{tame}}(X,x) \twoheadrightarrow G \twoheadrightarrow \underline{H}$. Then, by Lemma 5.3, the map ψ makes M an H-submodule of $\text{Hom}(\pi^{\text{tame}}(Y,y),\mu_p) = H^1_{\text{fppf}}(Y,\mu_p) = J_Y[p](k)$. As there exists a natural isomorphism $J_Y[p](k) \simeq \text{Hom}(\pi_1^{\text{\'et}}(Y),\mathbb{Z}/p\mathbb{Z})^{\vee}$ of $\mathbb{F}_p[H]$ -modules (cf. (3.8)), the dual M^{\vee} can be embedded into $\text{Hom}(\pi_1^{\text{\'et}}(Y),\mathbb{Z}/p\mathbb{Z})$ as an H-submodule. By Lemma 3.7, this implies that Γ appears as a quotient of $\pi_1^{\text{\'et}}(X,x)$. The dual argument proves that the converse is also true. This completes the proof.

Finally, we remark on the following finiteness result.

Proposition 5.5. Let U be a smooth connected curve over k together with a k-rational point $x \in U(k)$. Then for any $G \in \mathscr{D}$ (cf. Definition 4.3), the set $\operatorname{Hom}(\pi^{\operatorname{tame}}(U,x),G)$ of homomorphisms into G is a finite set.

Proof. Let $H \stackrel{\text{def}}{=} G(k) = \pi_0(G)(k)$, which is a finite group of prime-to-p order. As the set of subgroup schemes of G is finite, it suffices to show that the set of surjective homomorphisms is a finite set. However, by Lemma 5.3, this follows from the finiteness of the set of H-submodules of any finite-dimensional H-module M. This completes the proof.

§5.2. Lifting problems for linearly reductive torsors

Let k be an algebraically closed field of characteristic p > 0. Let $R \stackrel{\text{def}}{=} k[\![t]\!]$ be the ring of formal power series with coefficients in k and $K \stackrel{\text{def}}{=} \operatorname{Frac} R = k((t))$ its field

of fractions. Let us fix an algebraic closure \overline{K} of K and \overline{R} the integral closure of R in \overline{K} . Let $S = \operatorname{Spec} R$.

Let $f\colon X\to S$ be a proper smooth S-curve with geometrically connected fibers. Let $X_{\overline{K}}$ (respectively X_k) be the geometrically generic fiber (respectively the special fiber) of X/S. Let D be a relatively étale Cartier divisor on X/S of degree n. We set $U\stackrel{\text{def}}{=} X\setminus \operatorname{Supp}(D)$ and denote by $U_{\overline{K}}$ (respectively by U_k) the geometrically generic fiber (respectively the special fiber) of the S-curve U.

Lemma 5.6. There exists a canonical surjective homomorphism between the cohomology groups $H^1_{\text{fopf}}(U_{\overline{K}}, \mu_p) \to H^1_{\text{fopf}}(U_k, \mu_p)$.

Proof. Thanks to Proposition 4.5 applied to the diagonalizable group scheme $G = \mu_p$, we get a natural map

$$H^1_{\operatorname{fppf}}(U_{\overline{K}},\mu_p) \stackrel{\simeq}{\leftarrow} H^1_{\operatorname{fppf}}(U_{\overline{R}},\mu_p) \to H^1_{\operatorname{fppf}}(U_k,\mu_p).$$

It suffices to prove that it is surjective. By Corollary 4.14, one can reduce the problem to the proper case X=U.

Let $\operatorname{Pic}_{X/S}^0$ be the connected component of the identity on the Picard scheme $\operatorname{Pic}_{X/S}$ for X/S. As $\operatorname{Pic}_{X/S}^0$ is an abelian S-scheme, we have the surjective reduction map

$$\operatorname{Pic}^0(X_{\overline{K}}) = \operatorname{Pic}^0_{X/S}(\overline{K}) = \operatorname{Pic}^0_{X/S}(\overline{R}) \twoheadrightarrow \operatorname{Pic}^0_{X/S}(k) = \operatorname{Pic}^0(X_k).$$

As both the groups $\operatorname{Pic}^0(X_{\overline{K}})$ and $\operatorname{Pic}^0(X_k)$ are divisible, the reduction map induces a surjective homomorphism

$$\operatorname{Pic}^0(X_{\overline{K}})[p] \twoheadrightarrow \operatorname{Pic}^0(X_k)[p].$$

On the other hand, by the Kummer theory, we have the canonical isomorphisms

$$H^1_{\mathrm{fppf}}(X_{\overline{K}},\mu_p) \xrightarrow{\simeq} \mathrm{Pic}^0(X_{\overline{K}})[p] \quad \text{and} \quad H^1_{\mathrm{fppf}}(X_k,\mu_p) \xrightarrow{\simeq} \mathrm{Pic}^0(X_k)[p],$$

which implies that the map $H^1_{\mathrm{fppf}}(X_{\overline{K}}, \mu_p) \to H^1_{\mathrm{fppf}}(X_k, \mu_p)$ is surjective. This completes the proof.

Proposition 5.7. Let $G \in \mathscr{D}$ (cf. Definition 4.3). Then any pointed G-torsor $(P,p) \to (U_k,x_k)$ can be lifted to a pointed $G_{\overline{K}}$ -torsor $(P_{\overline{K}},p_{\overline{K}}) \to (U_{\overline{K}},x_{\overline{K}})$.

Proof. Let H be a finite group of prime-to-p order and M a finitely generated $\mathbb{F}_p[H]$ -module so that $G \simeq \underline{H} \ltimes \Delta(M)$. Without loss of generality, we may assume that $(P,p) \to (U_k,x_k)$ is a Nori-reduced G-torsor (cf. Section 2.1.4). Let $(V_k,y_k) \stackrel{\text{def}}{=} (P,p)/\Delta(M)$ be the induced pointed \underline{H} -torsor, which can be uniquely lifted to a pointed \underline{H} -torsor $(V,y) \to (U,x)$ with the geometrically generic fiber $(V_{\overline{K}},y_{\overline{K}}) \to (V_{\overline{K}},y_{\overline{K}})$

 $(U_{\overline{K}}, x_{\overline{K}})$. By Lemma 5.6, we get a surjective homomorphism of finitely generated $\mathbb{F}_p[H]$ -modules,

$$H^1_{\mathrm{fppf}}(V_{\overline{K}},\mu_p) \twoheadrightarrow H^1_{\mathrm{fppf}}(V_k,\mu_p),$$

and the $\mathbb{F}_p[H]$ -module M can be embedded into $H^1_{\mathrm{fppf}}(V_k,\mu_p)$ as an $\mathbb{F}_p[H]$ -submodule. However, as the category $\mathsf{Mod}(\mathbb{F}_p[H])$ is semi-simple, M can be (non-canonically) lifted to an $\mathbb{F}_p[H]$ -submodule of $H^1_{\mathrm{fppf}}(V_{\overline{K}},\mu_p)$. By Lemma 5.2, this amounts to saying that the pointed G-torsor $(P,p) \to (U_k,x_k)$ can be lifted to a pointed $G_{\overline{K}}$ -torsor $(P_{\overline{K}},p_{\overline{K}}) \to (U_{\overline{K}},x_{\overline{K}})$. This completes the proof.

§5.3. The cospecialization map for the tame fundamental group scheme

Let k be an algebraically closed field of characteristic p > 0. Let $R \stackrel{\text{def}}{=} k[\![t]\!]$ and $K \stackrel{\text{def}}{=} \operatorname{Frac} R = k(\!(t)\!)$. Let us fix an algebraic closure \overline{K} of K and \overline{R} the integral closure of R in \overline{K} . Let $f \colon X \to S \stackrel{\text{def}}{=} \operatorname{Spec} R$ be a smooth morphism with geometrically connected fibers with an S-valued point $x \in X(S)$. We denote by $(X_{\overline{K}}, x_{\overline{K}})$ (respectively (X_k, x_k)) the geometrically generic fiber (respectively the special fiber) of (X, x)/S.

Let $(X_{\overline{K}}^{\text{tame}}, x_{\overline{K}}^{\text{tame}}) \to (X_{\overline{K}}, x_{\overline{K}})$ be the universal pointed $\pi^{\text{tame}}(X_{\overline{K}}, x_{\overline{K}})$ -torsor. As \overline{K} is algebraically closed, by Proposition 4.2, there exists a profinite linearly reductive k-group scheme $\pi^{\text{tame}}(X_{\overline{K}}, x_{\overline{K}})_k$ which is unique up to isomorphism such that

$$\pi^{\mathrm{tame}}(X_{\overline{K}}, x_{\overline{K}}) \simeq \pi^{\mathrm{tame}}(X_{\overline{K}}, x_{\overline{K}})_k \times_k \overline{K}.$$

Then the base change $\pi^{\text{tame}}(X_{\overline{K}}, x_{\overline{K}})_R \stackrel{\text{def}}{=} \pi^{\text{tame}}(X_{\overline{K}}, x_{\overline{K}})_k \times_k R$ is an R-model of $\pi^{\text{tame}}(X_{\overline{K}}, x_{\overline{K}})$. As X is smooth over S, by Proposition 4.5, the $\pi^{\text{tame}}(X_{\overline{K}}, x_{\overline{K}})$ -torsor $X_{\overline{K}}^{\text{tame}} \to X_{\overline{K}}$ uniquely extends to a $\pi^{\text{tame}}(X_{\overline{K}}, x_{\overline{K}})_{\overline{R}}$ -torsor $(X_{\overline{R}}^{\text{tame}}, x_{\overline{R}}^{\text{tame}}) \to (X_{\overline{R}}, x_{\overline{R}})$. By taking the special fiber, we get a pointed $\pi^{\text{tame}}(X_{\overline{K}}, x_{\overline{K}})_k$ -torsor over (X_k, x_k) . As $\pi^{\text{tame}}(X_{\overline{K}}, x_{\overline{K}})_k$ is a profinite linearly reductive k-group scheme, by the universality of the tame fundamental group scheme $\pi^{\text{tame}}(X_k, x_k)$ for the pointed scheme (X_k, x_k) , there exists a unique homomorphism of k-group schemes

(5.2)
$$\pi^{\text{tame}}(X_k, x_k) \to \pi^{\text{tame}}(X_{\overline{K}}, x_{\overline{K}})_k.$$

Definition 5.8. We call the map (5.2) the cospecialization map associated with the pointed smooth scheme (X, x) over S and denote it by $\cos_{(X,x)/S}$ or simply by cosp.

We also consider a truncated version of the cospecialization map. Let $\phi \colon G \to G'$ be a homomorphism of finite linearly reductive k-group schemes. As the connected component G^0 of the identity is diagonalizable, we have a canonical isomorphism $G^0 \xrightarrow{\simeq} \Delta(\mathbb{X}(G^0))$. The p-torsion subgroup $\mathbb{X}(G^0)[p]$ of the character group

 $\mathbb{X}(G^0)$ is stable under the conjugacy action $\pi_0(G) \to \underline{\mathrm{Aut}}(G^0) \xrightarrow{\simeq} \underline{\mathrm{Aut}}(\mathbb{X}(G^0))$ by the étale quotient $\pi_0(G)$, hence the kernel of the quotient map $G^0 \twoheadrightarrow \Delta(\mathbb{X}(G^0)[p])$ is normal in the group scheme G. Therefore, we obtain the quotient

$$G^{\mathscr{D}} \stackrel{\mathrm{def}}{=} G / \operatorname{Ker} (G^0 \to \Delta(\mathbb{X}(G^0)[p])),$$

which belongs to the class \mathscr{D} (cf. Definition 4.3). Moreover, any homomorphism $\phi \colon G \to G'$ of finite linearly reductive group schemes induces a homomorphism $\phi^{\mathscr{D}} \colon G^{\mathscr{D}} \to {G'}^{\mathscr{D}}$. The construction can be generalized to an arbitrary homomorphism between pro-finite linearly reductive group schemes over k. Thus, the cospecialization map in Definition 5.8 induces a homomorphism

(5.3)
$$\operatorname{cosp}_{(X,x)/S}^{\mathscr{D}} \colon \pi^{\operatorname{tame}}(X_k, x_k)^{\mathscr{D}} \to \pi^{\operatorname{tame}}(X_{\overline{K}}, x_{\overline{K}})_k^{\mathscr{D}},$$

which we call the *truncated cospecialization map* for the tame fundamental group schemes. Now we prove Theorem 1.3(1).

Proposition 5.9. Let $f: X \to S$ be a proper smooth S-curve with geometrically connected fibers. Let D be a relatively étale Cartier divisor on X/S of degree n. We set $U \stackrel{\text{def}}{=} X \setminus \text{Supp}(D)$ and denote by $U_{\overline{K}}$ (respectively by U_k) the geometrically generic fiber (respectively the special fiber) of U/S. Fix an S-valued point $x \in U(S)$. Then the truncated cospecialization map (cf. (5.3)),

$$\operatorname{cosp}_{(U_{\mathcal{X}})/S}^{\mathcal{D}} \colon \pi^{\operatorname{tame}}(U_k, x_k)^{\mathcal{D}} \to \pi^{\operatorname{tame}}(U_{\overline{K}}, x_{\overline{K}})_k^{\mathcal{D}},$$

is injective.

Proof. Let $\pi^{\text{tame}}(U_k, x_k)^{\mathscr{D}} \to G$ be an arbitrary finite quotient, which corresponds to some pointed G-torsor $(P, p) \to (U_k, x_k)$. By Proposition 5.7, the pointed G-torsor is lifted to a pointed $G_{\overline{K}}$ -torsor over $(U_{\overline{K}}, x_{\overline{K}})$. This amounts to saying that the surjective homomorphism ϕ factors through $\pi^{\text{tame}}(U_{\overline{K}}, x_{\overline{K}})^{\mathscr{D}}_k$. As G is arbitrary, this immediately implies that the homomorphism $\cos^{\mathscr{D}}$ is injective. \square

Remark 5.10. With the same notation as in Proposition 5.9, thanks to the base change property for the tame fundamental group schemes (cf. Proposition 4.17), the (truncated) cospecialization map induces the map of affine \overline{K} -group schemes

$$\mathrm{cosp}_{\overline{K}}^{\underline{\mathscr{D}}} \colon \pi^{\mathrm{tame}}(U_{k,\overline{K}}, x_{k,\overline{K}})^{\mathscr{D}} \to \pi^{\mathrm{tame}}(U_{\overline{K}}, x_{\overline{K}})^{\mathscr{D}},$$

where $(U_{k,\overline{K}}, x_{k,\overline{K}})$ is the trivial deformation, i.e. $U_{k,\overline{K}} = U_k \times \overline{K}$ and $x_{k,\overline{K}} = x_k \times \overline{K}$. We also call this map the (truncated) cospecialization map.

Theorem 5.11. With the same notation as in Proposition 5.9, for the pointed S-curve (U, x), the following conditions are equivalent to each other:

- (a) The truncated cospecialization map $cosp_{(U,x)/S}^{\mathscr{D}}$ is an isomorphism.
- (b) For any $G \in \mathcal{D}$ (cf. Definition 4.3), we have

$$\#\operatorname{Hom}(\pi^{\operatorname{tame}}(U_k, x_k)^{\mathscr{D}}, G) = \#\operatorname{Hom}(\pi^{\operatorname{tame}}(U_{\overline{K}}, x_{\overline{K}})^{\mathscr{D}}, G_{\overline{K}}).$$

(c) There exists an isomorphism of k-group schemes,

$$\pi^{\text{tame}}(U_k, x_k)^{\mathscr{D}} \simeq \pi^{\text{tame}}(U_{\overline{K}}, x_{\overline{K}})_k^{\mathscr{D}}.$$

Proof. The implications (a) \Rightarrow (c) \Rightarrow (b) are clear. It suffices to show the implication (b) \Rightarrow (a) holds true. Indeed, by Propositions 5.5 and 5.7, condition (b) implies that for any $G \in \mathcal{D}$, the induced map

$$\operatorname{Hom}(\pi^{\operatorname{tame}}(U_{\overline{K}}, x_{\overline{K}})^{\mathscr{D}}, G_{\overline{K}}) \stackrel{\simeq}{\longleftarrow} \operatorname{Hom}(\pi^{\operatorname{tame}}(U_{\overline{K}}, x_{\overline{K}})_k^{\mathscr{D}}, G)$$

$$\xrightarrow{\operatorname{cosp}^{\mathscr{D}*}} \operatorname{Hom}(\pi^{\operatorname{tame}}(U_k, x_k)^{\mathscr{D}}, G)$$

is a bijection, which implies that the homomorphism $\cos p^{\mathscr{D}}$ itself is an isomorphism. Hence, condition (a) holds true. This completes the proof.

Now we can prove Theorem 1.1.

Corollary 5.12. Let $k_0 = \overline{\mathbb{F}}_p$ and $S = \operatorname{Spec} k_0[\![t]\!] = \{s,\eta\}$, where s (respectively η) is the closed point (respectively generic point) of S. Let X be a proper smooth relative S-curve of genus g with geometrically connected fibers and D an étale relative Cartier divisor on X of degree n. We set $U \stackrel{\text{def}}{=} X \setminus \operatorname{Supp}(D)$ and fix an S-valued point $x \in U(S)$. Suppose that U is hyperbolic. If $U_{\bar{\eta}}$ is not constant (cf. Section 3.2), then $\pi^{\operatorname{tame}}(U_{\bar{\eta}}, x_{\bar{\eta}})$ is not isomorphic to $\pi^{\operatorname{tame}}(U_s, x_s) \times_{k_0} k_0(\bar{\eta})$.

Proof. Suppose that $U_{\bar{\eta}}$ is a non-constant hyperbolic curve. It suffices to show that $\pi^{\text{tame}}(U_{\bar{\eta}}, x_{\bar{\eta}})^{\mathscr{D}}$ is not isomorphic to $\pi^{\text{tame}}(U_s, x_s)^{\mathscr{D}} \times_{k_0} k_0(\bar{\eta})$. By Theorem 5.11, we have only to show that the truncated cospecialization map

$$\operatorname{cosp}_{U}^{\mathscr{D}} \colon \pi^{\operatorname{tame}}(U_{s}, x_{s})^{\mathscr{D}} \to \pi^{\operatorname{tame}}(U_{\bar{\eta}}, x_{\bar{\eta}})_{k_{0}}^{\mathscr{D}}$$

is not an isomorphism. We will adapt the argument in the proof of [23, Thm. 8.1]. Suppose that the map $\operatorname{cosp}_U^{\mathscr{D}}: \pi^{\operatorname{tame}}(U_s, x_s)^{\mathscr{D}} \to \pi^{\operatorname{tame}}(U_{\bar{\eta}}, x_{\bar{\eta}})_{k_0}^{\mathscr{D}}$ is an isomorphism. As 2-2g-n<0, there exists a connected pointed \underline{H} -torsor $(V_{\bar{\eta}}, y_{\bar{\eta}}) \to (U_{\bar{\eta}}, x_{\bar{\eta}})$, where H is a finite group of prime-to-p order such that the normalization $Y_{\bar{\eta}}$ of X_{η} in $V_{\bar{\eta}}$ has genus greater than or equal to 2. Moreover, we may assume that $Y_{\bar{\eta}} \to X_{\bar{\eta}}$ is ramified at every point in $\operatorname{Supp}(D_{\bar{\eta}})$. Then Grothendieck's specialization theorem for the prime-to-p étale fundamental groups

$$\operatorname{sp}_U^{(p')} \colon \pi_1^{\operatorname{\acute{e}t}}(U_{\bar{\eta}}, x_{\bar{\eta}})^{(p')} \xrightarrow{\simeq} \pi_1^{\operatorname{\acute{e}t}}(U_s, x_s)^{(p')}$$

(cf. (3.3)) says that the prime-to-p covering $(V_{\bar{\eta}}, x_{\bar{\eta}}) \to (U_{\bar{\eta}}, x_{\bar{\eta}})$ is isomorphic to the geometrically generic fiber of the connected $\underline{H}_{S'}$ -torsor $(V, y) \to (U_{S'}, x_{S'})$ for some finite extension $S' \to S$. Then by Corollary 4.16, we have the commutative diagram of exact sequences

$$1 \longrightarrow \pi^{\text{tame}}(V_s, y_s)^{\mathscr{D}} \longrightarrow \pi^{\text{tame}}(U_s, x_s)^{\mathscr{D}} \longrightarrow \underline{H} \longrightarrow 1$$

$$\downarrow^{\text{cosp}_V^{\mathscr{D}}} \qquad \qquad \simeq \downarrow^{\text{cosp}_{U_{S'}}^{\mathscr{D}}} \qquad \qquad \parallel$$

$$1 \longrightarrow \pi^{\text{tame}}(V_{\bar{\eta}}, y_{\bar{\eta}})_{k_0}^{\mathscr{D}} \longrightarrow \pi^{\text{tame}}(U_{\bar{\eta}}, x_{\bar{\eta}})_{k_0}^{\mathscr{D}} \longrightarrow \underline{H} \longrightarrow 1.$$

This gives an isomorphism $\operatorname{cosp}_V^{\mathscr{D}} \colon \pi^{\operatorname{tame}}(V_s, y_s)^{\mathscr{D}} \xrightarrow{\simeq} \pi^{\operatorname{tame}}(V_{\bar{\eta}}, y_{\bar{\eta}})_{k_0}^{\mathscr{D}}$ and hence, by Corollary 4.13 (dividing by tame inertia), we get the isomorphism

$$\operatorname{cosp}_Y^{\mathscr{D}} \colon \pi^{\operatorname{tame}}(Y_s, y_s)^{\mathscr{D}} \xrightarrow{\cong} \pi^{\operatorname{tame}}(Y_{\bar{\eta}}, y_{\bar{\eta}})_{k_0}^{\mathscr{D}}.$$

By Propositions 4.4 and 5.4, this implies that $\pi_A^{\text{\'et}}(Y_s)^{\mathscr{C}} = \pi_A^{\text{\'et}}(Y_{\bar{\eta}})^{\mathscr{C}}$. However, as both the profinite groups $\pi_1^{\text{\'et}}(Y_s)^{\mathscr{C}}$ and $\pi_1^{\text{\'et}}(Y_{\bar{\eta}})^{\mathscr{C}}$ are topologically finitely generated (cf. Corollary 3.1), it turns out that the specialization map sp: $\pi_1^{\text{\'et}}(Y_{\bar{\eta}})^{\mathscr{C}} \to \pi_1^{\text{\'et}}(Y_s)^{\mathscr{C}}$ is an isomorphism (cf. [23, Lem. 8.4]). By Theorem 3.4, we can conclude that $Y_{\bar{\eta}}$ is constant. Since $Y_{\bar{\eta}}$ is hyperbolic, $\underline{\mathrm{Aut}}_{k_0(\bar{\eta})}(Y_{\bar{\eta}})$ is a constant group scheme. Therefore, $U_{\bar{\eta}} = (Y_{\bar{\eta}}/H) \setminus \{\text{ramification locus}\}$ is also constant, which is a contradiction. This completes the proof.

§5.4. Reconstruction of numerical invariants

Let k be an algebraically closed field of characteristic p > 0. Let X be a proper smooth connected curve over k of genus g and of p-rank γ (cf. Definition 3.6). Let S be a finite (possibly empty) set of closed points of X and we set $n \stackrel{\text{def}}{=} \#S$. Let $U \stackrel{\text{def}}{=} X \setminus S$. We denote by $\pi^{\text{tame}}(U)$ the isomorphism class of the tame fundamental group scheme $\pi^{\text{tame}}(U,x)$ for some (any) k-rational point $x \in U(k)$. We will discuss reconstruction of numerical invariants (g,n,γ) from the tame fundamental group scheme $\pi^{\text{tame}}(U)$. This is motivated by the work of Tamagawa [22], in which the following result is established.

Theorem 5.13 (Cf. [22, Thm. 4.1]). For each i = 1, 2, let p_i be a prime number, k_i an algebraically closed field of characteristic p_i , X_i a proper smooth connected curve of genus g_i over k_i , S_i a finite (possibly empty) set of closed points of X_i with $n_i \stackrel{\text{def}}{=} \#S_i$ and $U_i \stackrel{\text{def}}{=} X_i \setminus S_i$. If $\pi_1^t(U_1) \simeq \pi_1^t(U_2)$, then we have $p_1 = p_2$, $g_1 = g_2$ and $n_1 = n_2$ unless $g_i = 0$ and $n_i \leq 1$ for i = 1, 2.

Note that, as we have $\gamma_i = \dim_{\mathbb{F}_p} \operatorname{Hom}(\pi_1^{\text{\'et}}(X_i), \mathbb{Z}/p\mathbb{Z}) = \dim_{\mathbb{F}_p} \operatorname{Hom}(\pi_1^{\text{\'et}}(U_i), \mathbb{Z}/p\mathbb{Z})$ (cf. Section 3.3), the *p*-rank γ_i can be easily reconstructed from the tame

fundamental group $\pi_1^t(U_i)$. The proof involves analysis of the behavior of the generalized Hasse-Witt invariants for prime-to-p cyclic coverings of curves.

It seems natural to ask whether or not the same result still holds true after replacing the tame fundamental group $\pi_1^t(U)$ by the tame fundamental group scheme $\pi^{\text{tame}}(U)$. We assume that $k \stackrel{\text{def}}{=} k_1 = k_2$ and set $p \stackrel{\text{def}}{=} p_1 = p_2$. We will freely use the base field k and hence its characteristic p. Let X_i , S_i , U_i , g_i , n_i (i=1,2) be as in Theorem 5.13. For each i=1,2, let γ_i be the p-rank of the curve X_i (cf. Definition 3.6).

Lemma 5.14. With the above notation, suppose that we have an isomorphism $\pi^{\text{tame}}(U_1) \simeq \pi^{\text{tame}}(U_2)$ as affine k-group schemes. Then we have the following:

- (1) $2g_1 + n_1 1 + \delta_1 = 2g_2 + n_2 1 + \delta_2$.
- (2) $\gamma_1 + n_1 1 + \delta_1 = \gamma_2 + n_2 1 + \delta_2$.

Here we set

$$\delta_i = \begin{cases} 1 & \text{if } n_i = 0, \\ 0 & \text{if } n_i > 0. \end{cases}$$

Proof. Both can be readily verified by considering abelian quotients of the tame fundamental group schemes as follows:

(1) Let ℓ be a prime number with $\ell \neq p$. Then the assertion immediately follows from

$$2g_i + n_i - 1 + \delta_i = \dim_{\mathbb{F}_{\ell}} \operatorname{Hom}(\pi_1^{\operatorname{\acute{e}t}}(U_i)^{(p')}, \mu_{\ell}(k)) = \dim_{\mathbb{F}_{\ell}} \operatorname{Hom}(\pi^{\operatorname{tame}}(U_i), \mu_{\ell}).$$

(2) By replacing μ_{ℓ} with μ_{p} and by using Corollary 4.14 or the equation (3.6), the same argument as in the proof of (1) implies the desired equality. This completes the proof.

Example 5.15. The following examples illustrate immediate consequences of the preceding lemma.

(1) Let us assume that $n_1 = 0$, i.e. $U_1 = X_1$. Then the condition that $\pi^{\text{tame}}(X_1) \simeq \pi^{\text{tame}}(U_2)$ implies $(g_1, n_1, \gamma_1) = (g_1, 0, \gamma_1) = (g_2, n_2, \gamma_2)$ unless $g_i = 0$ and $n_i \leq 1$ for i = 1, 2. By Lemma 5.14, the claim holds in the case $n_2 = 0$. Suppose that $n_2 > 0$. Let us prove that $g_1 = g_2 = 0$ and $n_2 = 1$. Indeed, by Corollary 3.1, $\pi_1^{\text{\'et}}(U_2)^{(p')}$ is a free pro-prime-to-p group of rank $2g_2 + n_2 - 1$. On the other hand, our condition implies that $\pi_1^{\text{\'et}}(U_1)^{(p')} = \pi^{\text{tame}}(U_1)(k) \simeq \pi^{\text{tame}}(U_2)(k) = \pi_1^{\text{\'et}}(U_2)^{(p')}$. Hence $\pi_1^{\text{\'et}}(U_1)^{(p')}$ must be a free pro-prime-to-p group. As $\pi_1^{\text{\'et}}(U_1)^{(p')} \simeq \Pi_{g_1,0}^{(p')}$ (cf. (3.4)), we must have $g_1 \leq 1$, in which the tame fundamental group scheme

 $\pi^{\text{tame}}(X_1)$ is abelian. Hence, so is $\pi^{\text{tame}}(U_2)$. As $n_2 > 0$, this implies that $g_2 = 0$. By Lemma 5.14, we have

$$2g_1 = n_2 - 1 = \gamma_1,$$

which is possible only when $g_1 = 0$ as $\gamma_1 \leq g_1$. In this case, we have $n_2 = 1$.

(2) Let us suppose that $g_1=0$, i.e. $X_1\simeq \mathbb{P}^1_k$. Then the condition that $\pi^{\mathrm{tame}}(U_1)\simeq \pi^{\mathrm{tame}}(U_2)$ implies that $(g_1,n_1,\gamma_1)=(0,n_1,0)=(g_2,n_2,\gamma_2)$. If $n_1=0$ or $n_2=0$, by the first case (1), the assertion is obviously true. Thus, let us assume that $n_1,n_2>0$. Then, by Lemma 5.14, we have $2g_2+n_2-1=n_1-1=\gamma_2+n_2-1$, hence $2g_2=\gamma_2$. As $\gamma_2\leq g_2$, this only happens when $g_2=\gamma_2=0$, in which case we have $n_1=n_2$.

Now we prove Theorem 1.2.

Theorem 5.16. Suppose that there exists an isomorphism of k-group schemes $\pi^{\text{tame}}(U_1) \simeq \pi^{\text{tame}}(U_2)$. Then we have $(g_1, n_1, \gamma_1) = (g_2, n_2, \gamma_2)$ unless $g_i = 0$ and $n_i \leq 1$ for i = 1, 2.

Proof. To ease the notation, we set $\Pi(U_i) \stackrel{\text{def}}{=} \pi^{\text{tame}}(U_i)$ for = 1, 2. By Example 5.15(1),(2), we may assume that $g_i, n_i > 0$ for i = 1, 2. In this case, by Lemma 5.14, we have

$$2g_1 + n_1 = 2g_2 + n_2$$
, $\gamma_1 + n_1 = \gamma_2 + n_2$.

Hence, it suffices to show that the equality $g_1 = g_2$ holds true. We will apply the results in [22, §4]. Fix $i \in \{1,2\}$. For any positive integer N > 0 with $p \nmid N$, let $U_i(N) \to U_i$ be the finite étale Galois covering corresponding to the surjective homomorphism $\Pi(U_i) \twoheadrightarrow (\Pi(U_i)^{\text{\'et}})^{\text{ab}}/N = (\pi_1^{\text{\'et}}(U_i)^{(p')})^{\text{ab}}/N$. We denote by $X_i(N)$ the normalization of X_i in $U_i(N)$. We set $n_i(N) \coloneqq \#(X_i(N) \setminus U_i(N))$ for i = 1, 2. Then we have

$$\dim_{\mathbb{F}_p} H^1_{\text{fppf}}(U_i(N), \mu_p) = \dim_{\mathbb{F}_p} H^1_{\text{fppf}}(X_i(N), \mu_p) + n_i(N) - 1$$

$$= \dim_{\mathbb{F}_p} H^1_{\text{\'et}}(X_i(N), \mathbb{Z}/p\mathbb{Z}) + n_i(N) - 1,$$
(5.4)

where the first equality is due to Corollary 4.14 and the second one is due to the Serre duality (3.7). Thanks to Corollary 4.16, we have

$$H^1_{\mathrm{fppf}}(U_i(N),\mu_p) = \mathrm{Hom}\big(\mathrm{Ker}\big(\Pi(U_i) \twoheadrightarrow \big(\Pi(U_i)^{\mathrm{\acute{e}t}}\big)^{\mathrm{ab}}/N\big),\mu_p\big).$$

Therefore, the assumption $\Pi(U_1) \simeq \Pi(U_2)$ implies that

(5.5)
$$\dim_{\mathbb{F}_p} H^1_{\text{fppf}}(U_1(N), \mu_p) = \dim_{\mathbb{F}_p} H^1_{\text{fppf}}(U_2(N), \mu_p)$$

for any integer N > 0 with $p \nmid N$. By Tamagawa's theorem [22, Cor. 4.11; see also Rem. 4.8], we have

$$\lim_{\substack{N=p^f-1\\f\to\infty}} \frac{\dim_{\mathbb{F}_p} H^1_{\text{\'et}}(X_i(N), \mathbb{Z}/p\mathbb{Z})}{N^{2g_i+n_i-1}} = \begin{cases} g_i-1 & \text{if } n_i=1,\\ g_i & \text{if } n_i>1. \end{cases}$$

On the other hand, as

$$n_i(N) = \begin{cases} N^{2g_i + n_i - 1} & \text{if } n_i = 1, \\ N^{2g_i + n_i - 2} n_i & \text{if } n_i > 1 \end{cases}$$

(cf. proof of [22, Lem. 4.13]), we obtain

$$\lim_{\substack{N=p^f-1\\f\to\infty}} \frac{n_i(N)-1}{N^{2g_i+n_i-1}} = \begin{cases} 1 & \text{if } n_i=1,\\ 0 & \text{if } n_i>1. \end{cases}$$

Therefore, by (5.4), we have

(5.6)
$$\lim_{\substack{N=p^f-1\\f\to\infty}} \frac{\dim_{\mathbb{F}_p} H^1_{\text{fppf}}(U_i(N), \mu_p)}{N^{2g_i+n_i-1}} \\ = \lim_{\substack{N=p^f-1\\f\to\infty}} \left(\frac{\dim_{\mathbb{F}_p} H^1_{\text{\'et}}(X_i(N), \mathbb{Z}/p\mathbb{Z})}{N^{2g_i+n_i-1}} + \frac{n_i(N)-1}{N^{2g_i+n_i-1}}\right) = g_i.$$

The equations (5.5) and (5.6) thus imply that $g_1 = g_2$. This completes the proof.

Remark 5.17. As a consequence of [22, Thm. 4.1] and [23, Thm. 8.1], Tamagawa established the finiteness theorem [23, Thm. 8.6]. It is natural to ask whether we can deduce our version of the finiteness theorem from Corollary 5.12 and Theorem 5.16. Thanks to Proposition 5.4, this is correct for proper hyperbolic curves. In the following, we will see that we need more arguments for the general case. Let $k_0 = \overline{\mathbb{F}}_p$ and U be a smooth connected hyperbolic curve over k_0 . Let Σ be the set of k_0 -isomorphism classes of smooth connected curves U' over k_0 such that $\pi^{\text{tame}}(U) \simeq \pi^{\text{tame}}(U')$. Let $X \stackrel{\text{def}}{=} U^{\text{cpt}}$ be the smooth compactification of U. Let g be the genus of X and $n \stackrel{\text{def}}{=} \#(X \setminus U)(k_0)$ the cardinality of the complement of U. By Theorem 5.16, we have $\Sigma \subset M_{g,n,k_0}$, where M_{g,n,k_0} is the coarse moduli space over k_0 of smooth connected curves of type (g,n). Suppose that Σ is an infinite set. Let $C \subset M_{g,n,k_0}$ be an integral k_0 -curve with $\#(C \cap \Sigma) = \infty$. Let $\bar{\eta}$ be a geometric point over the generic point η of C. Let $U_{\bar{\eta}}$ be the curve corresponding to the geometric point $\bar{\eta} \to M_{g,n,k_0}$. Therefore, the problem is reduced to proving that $\pi^{\text{tame}}(U)^{\mathscr{D}} \times_{k_0} k_0(\bar{\eta}) \simeq \pi^{\text{tame}}(U_{\bar{\eta}})^{\mathscr{D}}$, which contradicts Corollary 5.12.

In particular, it is necessary that they have the same set of isomorphism classes of finite quotients. We denote by $\pi_A^{\text{tame}}(U)^{\mathscr{D}}$ (respectively by $\pi_A^{\text{tame}}(U_{\bar{\eta}})^{\mathscr{D}}$) the set of isomorphism classes of finite quotients of $\pi^{\text{tame}}(U)^{\mathscr{D}} \times_{k_0} k_0(\bar{\eta})$ (respectively finite quotients of $\pi^{\text{tame}}(U_{\bar{\eta}})^{\mathscr{D}}$). Thanks to Proposition 5.7, we have $\pi_A^{\text{tame}}(U)^{\mathscr{D}} \subseteq \pi_A^{\text{tame}}(U_{\bar{\eta}})^{\mathscr{D}}$. It suffices to show that $\pi_A^{\text{tame}}(U_{\bar{\eta}})^{\mathscr{D}} \subseteq \pi_A^{\text{tame}}(U)^{\mathscr{D}}$. Let $G \in \pi_A^{\text{tame}}(U_{\bar{\eta}})^{\mathscr{D}}$ be an arbitrary finite quotient of $\pi^{\text{tame}}(U_{\bar{\eta}})^{\mathscr{D}}$. By definition, there exists a Norireduced G-torsor $P_{\bar{\eta}} \to U_{\bar{\eta}}$ (cf. Section 2.1.4). The problem is that the Norireducedness does not mean that $P_{\bar{\eta}}$ is reduced in general. If $P_{\bar{\eta}}$ is reduced, we can conclude that $G \in \pi_A^{\text{tame}}(U)^{\mathscr{D}}$ as follows. After shrinking C if necessary, there exist a finite flat morphism between integral k_0 -curves $C' \to C$ and a G-torsor $P \to U_{C'}$ over a smooth model $U_{C'}$ of $U_{\bar{\eta}}$ over C' such that $P|_{U_{\bar{\eta}}} \simeq P_{\bar{\eta}}$ as G-torsors over $U_{\bar{\eta}}$. If $P_{\bar{\eta}}$ is reduced, according to [24, Lem. 0578], by shrinking C if necessary, we may assume that $P \to C'$ has geometrically reduced fibers. If $s \in C'(k_0)$ is a closed point of C' whose image in C belongs to Σ , we obtain a G-torsor $P|_{U_s} \to U_s$ with $P|_{U_s}$ reduced and connected. This implies that $G \in \pi_A^{\text{tame}}(U)^{\mathscr{D}} = \pi_A^{\text{tame}}(U)^{\mathscr{D}}$.

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