

Minimal cones and a problem of Euler

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ABSTRACT – The minimal cones $C = \{(x, y) \in \mathbb{R}^m \times \mathbb{R}^m; |x| \leq |y|\}$ are shown to minimize the weighted perimeter $P_\alpha(E) = \int |x|^\alpha |D\varphi_E|$, $\alpha \in \mathbb{R}$, $E \subset \mathbb{R}^m \times \mathbb{R}^m$, whenever $m + \alpha \geq 4$. This completes recent results of Dierkes and Huisken [Math. Ann. (2023)].

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1. Introduction

Here we consider the n -dimensional analogue of a problem already investigated by Leonhard Euler [5], namely the variational integral

$$\mathcal{E}_\alpha(M) := \int_M |x|^\alpha d\mathcal{H}_{n-1}, \quad \alpha \in \mathbb{R},$$

where $M \subset \mathbb{R}^n$ denotes some smooth hypersurface and \mathcal{H}_k stands for the k -dimensional Hausdorff measure. The hypersurface M is called *stationary with respect to \mathcal{E}_α* or simply α -stationary, if the first variation $\delta\mathcal{E}_\alpha(M, X)$ vanishes, and a stationary surface M is called α -stable if the second variation $\delta^2\mathcal{E}_\alpha(M, X)$ is nonnegative for suitable variations X of M .

Standard computations show that M is α -stationary iff the mean curvature $H(x)$ and the unit normal $\nu = \nu(x)$ of M at $x \in M$ respectively satisfy

$$H(x) = \alpha|x|^{-2}\langle x, \nu \rangle, \quad x \neq 0,$$

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while in addition M is also α -stable if for all $\xi \in C_c^1(M, \mathbb{R})$ we have

$$(1.1) \quad \int_M |x|^\alpha \left\{ \frac{2}{\alpha} H^2 + |A|^2 \right\} \xi^2 d\mathcal{H}_{n-1} \leq \int_M |x|^\alpha \{ \alpha |x|^{-2} \xi^2 + |\nabla \xi|^2 \} d\mathcal{H}_{n-1},$$

where $|A|$ denotes the length of the second fundamental form A of M ; cf. [4, Proposition 1.1 and 1.4].

In particular, if $C = \text{closure } M$ is a cone in \mathbb{R}^n with the only singularity at zero and if $M := C - \{0\}$ is α -stationary, then C is called α -stable if (1.1) holds for all $\xi \in C_c^1(M, \mathbb{R})$. Obviously, every area-minimal cone C in \mathbb{R}^n (i.e. $H = 0$ on $C - \{0\}$) such that $0 \in C$ and $C - \{0\}$ is a regular hypersurface is α -stationary and we have the following stability result:

THEOREM ([4]). *Let $\alpha > 3 - n$ and suppose $C \subset \mathbb{R}^n$ is an α -stationary cone with vertex at the origin and such that $(n - 3 + \alpha)^2 \geq 4|x|^2|A|^2 - 4\alpha$. Then C is also α -stable.*

(Note that the dimension of the cone C here is $(n - 1)$ rather than n in [4].)

In particular, the cone over the Clifford torus $S^1 \times S^1 \subset \mathbb{R}^2 \times \mathbb{R}^2$ is stable for all $\alpha \geq 1$. (Here $n = 4$ and $|A|^2 = 2|x|^{-2}$.) Furthermore, the 7-dimensional cones over products of spheres $S^1 \times S^5$, $S^2 \times S^4$ and $S^3 \times S^3$ are all α -stable, whenever $\alpha \geq \sqrt{48} - 7$; cf. the list in [4, Corollary 2.2].

Moreover, it could be shown in [4, Theorem 3.1] that all cones

$$C_m = \{ (x_1, \dots, x_{2m}) \in \mathbb{R}^m \times \mathbb{R}^m; x_1^2 + \dots + x_m^2 \leq x_{m+1}^2 + \dots + x_{2m}^2 \}$$

minimize the integral \mathcal{E}_α in a suitable sense, if $1 \leq \alpha \leq 2(m - 1)$. So in particular the cone over the Clifford torus $S^1 \times S^1$ minimizes \mathcal{E}_α if $1 \leq \alpha \leq 2$.

The proof of these results uses a Weierstraß–Schwarz-foliation type of argument, as introduced in the celebrated paper by Bombieri, De Giorgi and Giusti [1]. In fact, under the prescribed conditions on α and m the cones can be embedded in a *field* or *calibration* consisting of α -stationary surfaces. On the other hand, it has long been known that a much easier device, known as *subcalibration*, is applicable in the case of classical area-minimal cones and we refer to Lawson [6], Miranda [8], Massari–Miranda [7], Morgan [9], Davini [2] and in particular to De Philippis–Paolini [3] for more pertinent information.

We show here that many of the α -stable cones can be subcalibrated with respect to the functional \mathcal{E}_α and are hence also minimizers for \mathcal{E}_α in a very general sense. The simplified proof which will be presented here follows the approach by De Philippis–Paolini [3] and hence we may omit some of the details, referring instead to their paper.

2. α -subminimal sets and minimal cones

Let $E \subset \mathbb{R}^n$ be a measurable set and $\Omega \subset \mathbb{R}^n$ be an open set. We define the α -perimeter of E in Ω as

$$P_\alpha(E, \Omega) := \sup \left\{ \int_E \operatorname{div} \{ |x|^\alpha g \} dx; g \in C_c^1(\Omega, \mathbb{R}^n) \text{ with } |g(x)| \leq 1 \right\}.$$

Note that $P_\alpha(E, \Omega)$ is well defined (and possibly infinite) for all $\alpha \in \mathbb{R}$ and measurable sets E . However we have the following proposition:

PROPOSITION 1. *Let $\alpha + n > 1$ and suppose ∂E is of class C^2 . Then $P_\alpha(E, \Omega) = \int_{\partial E \cap \Omega} |x|^\alpha d\mathcal{H}_{n-1} < \infty$, for every bounded, open set $\Omega \subset \mathbb{R}^n$.*

REMARK. Clearly, if $0 \notin \partial E$ and Ω is bounded, then $\int_{\partial E \cap \Omega} |x|^\alpha d\mathcal{H}_{n-1}$ is always finite, independent of the value of $\alpha \in \mathbb{R}$.

PROOF OF PROPOSITION 1. Let $g \in C_c^1(\Omega, \mathbb{R}^n)$, $|g(x)| \leq 1$ be arbitrary; then

$$\operatorname{div}(|x|^\alpha g) = \alpha |x|^{\alpha-2} (x \cdot g) + |x|^\alpha \operatorname{div} g$$

which is a function of Lebesgue class $L_1(\Omega)$, if $\alpha + n > 1$. Denoting by φ_E the characteristic function of E , we obtain for arbitrary $\varepsilon > 0$ and ball $B_\varepsilon = B_\varepsilon(0) \subset \mathbb{R}^n$ with center zero,

$$\begin{aligned} \int_E \operatorname{div}(|x|^\alpha g) dx &= \int_\Omega \varphi_E \operatorname{div}(|x|^\alpha g) dx \\ &= \int_{\Omega - B_\varepsilon} \varphi_E \operatorname{div}(|x|^\alpha g) dx + \int_{B_\varepsilon} \varphi_E \operatorname{div}(|x|^\alpha g) dx \\ &= \int_{\partial E - B_\varepsilon} |x|^\alpha (g \cdot \nu) d\mathcal{H}_{n-1} + \int_{\partial B_\varepsilon \cap E} |x|^\alpha (g \cdot \nu_\varepsilon) d\mathcal{H}_{n-1} \\ &\quad + \int_{B_\varepsilon} \varphi_E \operatorname{div}(|x|^\alpha g) dx, \end{aligned}$$

where ν and ν_ε stand for the exterior and interior unit normals of ∂E and ∂B_ε respectively. By assumption, $\alpha + n > 1$, thus the last two integrals tend to zero, as $\varepsilon \searrow 0$, whence

$$P_\alpha(E, \Omega) \leq \int_{\partial E} |x|^\alpha d\mathcal{H}_{n-1}.$$

On the other hand, since by assumption ν is of class C^1 on the boundary ∂E , we may extend $\nu = \nu(x)$ to some function $N \in C^1(\mathbb{R}^n, \mathbb{R}^n)$ such that $|N(x)| \leq 1$ for

all $x \in \mathbb{R}^n$. Take some function $\eta \in C_c^1(\Omega, \mathbb{R})$ with $|\eta(x)| \leq 1$ for all $x \in \Omega$ and put $g := \eta N \in C_c^1(\Omega, \mathbb{R}^n)$; then we have for every $\varepsilon > 0$ the relation

$$\begin{aligned} \int_E \operatorname{div}(|x|^\alpha g) \, dx &= \int_\Omega \varphi_E \operatorname{div}(|x|^\alpha g) \, dx \\ &= \int_{\Omega - B_\varepsilon} \varphi_E \operatorname{div}(|x|^\alpha g) \, dx + \int_{B_\varepsilon} \varphi_E \operatorname{div}(|x|^\alpha g) \, dx \\ &= \int_{\partial E - B_\varepsilon} |x|^\alpha \eta \, d\mathcal{H}_{n-1} + \int_{\partial B_\varepsilon \cap E} |x|^\alpha \eta (N \cdot \nu_\varepsilon) \, d\mathcal{H}_{n-1} \\ &\quad + \int_{B_\varepsilon} \varphi_E \operatorname{div}(|x|^\alpha g) \, dx. \end{aligned}$$

By virtue of $\alpha + n > 1$ and upon letting $\varepsilon \searrow 0$ we find

$$\int_E \operatorname{div}(|x|^\alpha g) \, dx = \int_{\partial E} |x|^\alpha \eta \, d\mathcal{H}_{n-1},$$

whence also

$$P_\alpha(E, \Omega) \geq \int_{\partial E} |x|^\alpha \, d\mathcal{H}_{n-1}.$$

To see the finiteness of both integrals we assume without loss of generality that – locally near zero – ∂E is described by some C^2 -function $x_n = \psi(x_1, \dots, x_{n-1})$ and that $\alpha < 0$. Then we have

$$\begin{aligned} \int_{\partial E \cap B_R(0)} |x|^\alpha \, d\mathcal{H}_{n-1} &\leq \int_{B_R(0)} (x_1^2 + \dots + x_{n-1}^2)^{\alpha/2} \sqrt{1 + |D\psi|^2} \, dx_1 \dots dx_{n-1} \\ &\leq \operatorname{const.} \int_0^R r^{\alpha+n-2} \, dr < \infty, \quad \text{since } \alpha + n > 1. \quad \blacksquare \end{aligned}$$

DEFINITION 1. A measurable set $E \subset \mathbb{R}^n$ is a *local minimum* for $P_\alpha(\cdot)$ in $\Omega \subset \mathbb{R}^n$, or simply *α -minimal* in Ω if for all open, bounded sets $A \subset \Omega$,

$$P_\alpha(E, A) \leq P_\alpha(F, A)$$

for every measurable set F , such that the symmetric difference $E \Delta F \subset\subset A$ (i.e. the closure $\overline{E \Delta F} \subset A$).

The set E is called *α -subminimal* in Ω if for all bounded, open $A \subset \Omega$ we have

$$P_\alpha(E, A) \leq P_\alpha(F, A)$$

for every measurable set $F \subset E$, such that $E \setminus F \subset\subset A$.

THEOREM 1. The cones $C_m = \{(x, y) \in \mathbb{R}^m \times \mathbb{R}^m; |x| \leq |y|\}$ are α -minimal in \mathbb{R}^n , $n = 2m$, provided $m + \alpha \geq 4$.

REMARK. Combining [4, Theorem 3.1] and Theorem 1 we conclude that the cone

$$C_2 = \{(x, y) \in \mathbb{R}^2 \times \mathbb{R}^2; |x| \leq |y|\}$$

is α -minimal in \mathbb{R}^4 for all $\alpha \geq 1$; in other words, the cone over the Clifford torus $S^1 \times S^1$ in \mathbb{R}^4 minimizes \mathcal{E}_α for any $\alpha \geq 1$. Conversely, there are no nontrivial stable cones in \mathbb{R}^4 with vertex at zero for every $\alpha < 1$, according to [4, Theorem 2.3].

For the proof of the theorem we present three propositions, which follow immediately from [3].

PROPOSITION 2. *Let $\alpha + n > 1$ and suppose $E \subset \mathbb{R}^n$ and its complement $E^c := \Omega \setminus E$ are both α -subminimal in Ω ; then E is α -minimal in Ω .*

PROOF. We first claim that, since $\alpha + n > 1$, it follows that $P_\alpha(E, \Omega) = P_\alpha(E^c, \Omega)$. Indeed, arguing as in Proposition 1 and because of $\operatorname{div}(|x|^\alpha g) \in L_1(\Omega)$ for any $g \in C_c^1(\Omega, \mathbb{R}^n)$, $|g(x)| \leq 1$, $\alpha + n > 1$, we obtain

$$\int_\Omega \operatorname{div}(|x|^\alpha g) \, dx = 0.$$

Therefore,

$$\int_\Omega \varphi_E \operatorname{div}(|x|^\alpha g) \, dx = \int_\Omega (\varphi_E - 1) \operatorname{div}(|x|^\alpha g) \, dx = - \int_\Omega \varphi_{E^c} \operatorname{div}(|x|^\alpha g) \, dx,$$

where φ_{E^c} stands for the characteristic function of the complement $E^c = \Omega \setminus E$. Hence we have the equality $P_\alpha(E, \Omega) = P_\alpha(E^c, \Omega)$ and the proof can be completed as in [3, Proposition 1.2]. ■

PROPOSITION 3. *Let $\alpha + n > 1$ and suppose $E_k \subset E$, $k \in \mathbb{N}$, are measurable, α -subminimal sets in $\Omega \subset \mathbb{R}^n$ for all $k \in \mathbb{N}$. In addition, assume that $E_k \rightarrow E$ in $L_{1,\text{loc}}(\Omega)$ as $k \rightarrow \infty$; then also E is α -subminimal in Ω .*

PROOF. We only show the lower semicontinuity of the α -perimeter, since the rest of the proof follows as in [3, Proposition 1.3] To this end suppose $\varphi_{E_k} \rightarrow \varphi_E$ in $L_{1,\text{loc}}(\Omega)$.

For $g \in C_c^1(\Omega, \mathbb{R}^n)$, $|g(x)| \leq 1$ and $\alpha + n > 1$ we first have $\operatorname{div}(|x|^\alpha g) \in L_1(\Omega)$ and

$$\int_\Omega (\varphi_{E_k} - \varphi_E) \operatorname{div}(|x|^\alpha g) \, dx = \int_{E_k - E} \operatorname{div}(|x|^\alpha g) \, dx - \int_{E - E_k} \operatorname{div}(|x|^\alpha g) \, dx \rightarrow 0,$$

as $k \rightarrow \infty$, whence

$$\begin{aligned} \int_\Omega \varphi_E \operatorname{div}(|x|^\alpha g) \, dx &= \lim_{k \rightarrow \infty} \int_\Omega \varphi_{E_k} \operatorname{div}(|x|^\alpha g) \, dx \\ &\leq \liminf_{k \rightarrow \infty} P_\alpha(E_k, \Omega), \end{aligned}$$

and the semicontinuity of P_α follows. Now we can argue as in [3, Proposition 1.3] We skip the details. ■

DEFINITION 2. Let $\Omega \subset \mathbb{R}^n - \{0\}$ be open and $E \subset \Omega$ be measurable with boundary ∂E of class C^2 . A vector field $\xi \in C^1(\Omega, \mathbb{R}^n)$ is an α -subcalibration of E (or ∂E) in Ω , if we have

- (i) for all $x \in \partial E$, $\xi(x) = \nu(x) =$ exterior unit normal of ∂E at x ;
- (ii) $\operatorname{div}(|x|^\alpha \xi(x)) \leq 0$ for all $x \in E \cap \Omega$;
- (iii) $|\xi(x)| \leq 1$ for all $x \in \Omega$.

PROPOSITION 4. Suppose $E \subset \Omega$ has boundary of class C^2 and admits an α -subcalibration in $\Omega \subset \mathbb{R}^n - \{0\}$. Then E is α -subminimal in Ω , i.e. $P_\alpha(E, A) \leq P_\alpha(F, A)$ for every bounded, open set $A \subset \Omega$ and all measurable $F \subset E$ with $E \setminus F \subset\subset A$.

PROOF. Analogous to [3, Theorem 1.5] with perimeter replaced by α -perimeter. ■

We now turn to the proof of the main result.

PROOF OF THEOREM 1. The idea is to approximate the cone C_m with a sequence of smooth sets E_k which admit α -subcalibrations. By Propositions 4 and 3 it follows that E itself is α -subminimal. Again, the same reasoning applies to the complement $C_m^c = \mathbb{R}^n - C_m$ and hence the cone C_m is α -minimal by Proposition 2.

To this end, consider the function $f: \mathbb{R}^m \times \mathbb{R}^m \rightarrow \mathbb{R}$, $(x, y) \mapsto f(x, y) := \frac{|x|^4 - |y|^4}{4}$, $x, y \in \mathbb{R}^m$. Obviously,

$$C_m = \{(x, y) \in \mathbb{R}^m \times \mathbb{R}^m; f(x, y) \leq 0\},$$

and for every $k \in \mathbb{N}$ we put

$$E_k := \{(x, y) \in \mathbb{R}^m \times \mathbb{R}^m; f(x, y) \leq -1/k\}$$

and

$$F_k := \{(x, y) \in \mathbb{R}^m \times \mathbb{R}^m; f(x, y) \leq 1/k\}.$$

Clearly, we have for all $k \in \mathbb{N}$,

$$E_k \subset C_m \subset F_k,$$

and $E_k \rightarrow C_m$, $F_k \rightarrow C_m$, both in $L_{1,\text{loc}}(\mathbb{R}^n)$ as $k \rightarrow \infty$, and the complement $F_k^c = \mathbb{R}^n \setminus F_k \subset C_m^c$ and $F_k^c \rightarrow \mathbb{R}^n - C_m = C_m^c$ in $L_{1,\text{loc}}(\mathbb{R}^n)$ as $k \rightarrow \infty$.

Additionally, all boundaries in $\partial E_k, \partial F_k^c$ are smooth hypersurfaces in \mathbb{R}^n and are all α -subminimal in \mathbb{R}^n . Indeed, we claim that the vector field

$$\xi(x, y) := \pm \frac{Df(x, y)}{|Df(x, y)|}$$

defines an α -subcalibration for both E_k and F_k^c in $\mathbb{R}^n - \{0\}$ respectively, i.e. we have (i), (ii) and (iii) of Definition 2 for all E_k and $F_k^c, k \in \mathbb{N}$.

While (i) and (iii) are obviously fulfilled in both cases, (ii) needs a simple calculation: if $f(x, y) = \frac{|x|^4 - |y|^4}{4}$ we find successively

$$\begin{aligned} f_x &= |x|^2 x, & f_y &= -|y|^2 y, & |Df|^2 &= |x|^6 + |y|^6, \\ f_{x_i x_j} &= 2x_i x_j + \delta_{ij} |x|^2, & f_{y_i y_j} &= -2y_i y_j - \delta_{ij} |y|^2, \\ f_{x_i y_j} &= 0, \end{aligned}$$

for all indices $i, j = 1, \dots, m$. Also, for $(x, y) \neq (0, 0)$ we have

$$\begin{aligned} |(x, y)|^\alpha &= (|x|^2 + |y|^2)^{\alpha/2}, & \frac{Df}{|Df|} &= \frac{(|x|^2 x, -|y|^2 y)}{(|x|^6 + |y|^6)^{1/2}}, \\ D|(x, y)|^\alpha &= \alpha |(x, y)|^{\alpha-2} (x, y), \end{aligned}$$

$$|Df|^3 \operatorname{div} \left(\frac{Df}{|Df|} \right) = (|x|^4 - |y|^4) \{ (m-1)|x|^4 - (m+2)|x|^2|y|^2 + (m-1)|y|^4 \},$$

whence

$$\begin{aligned} & |Df|^3 \operatorname{div} \left[|(x, y)|^\alpha \frac{Df}{|Df|} \right] \\ &= \alpha |Df|^2 |(x, y)|^{\alpha-2} [|x|^4 - |y|^4] \\ &\quad + (|x|^2 + |y|^2)^{\alpha/2} \{ (|x|^4 - |y|^4) [(m-1)|x|^4 - (m+2)|x|^2|y|^2 \\ &\quad \quad \quad + (m-1)|y|^4] \} \\ &= \alpha |(x, y)|^{\alpha-2} [|x|^4 - |y|^4] (|x|^6 + |y|^6) \\ &\quad + |(x, y)|^\alpha (|x|^4 - |y|^4) [(m-1)|x|^4 - (m+2)|x|^2|y|^2 + (m-1)|y|^4] \\ &= |(x, y)|^{\alpha-2} (|x|^4 - |y|^4) \{ (m-1+\alpha)|x|^6 - 3|x|^4|y|^2 - 3|x|^2|y|^4 \\ &\quad \quad \quad + (m-1+\alpha)|y|^6 \}. \end{aligned}$$

Upon putting $t := \frac{|x|^2}{|y|^2}$ we see that the sign of $\operatorname{div} [|(x, y)|^\alpha \frac{Df}{|Df|}]$ is the same as that of

$$f(x, y) = \frac{|x|^4 - |y|^4}{4}$$

provided the polynomial

$$p_{m,\alpha}(t) := (m-1+\alpha)t^3 - 3t^2 - 3t + (m-1+\alpha)$$

is nonnegative for all $t \geq 0$. Since for every $t \geq 0$ we have $3t^3 - 3t^2 - 3t + 3 \geq 0$ (with zero minimum for $t \geq 0$ at $t = 1$), it also follows that $p_{m,\alpha}(t) \geq 0$ for all $t \geq 0$, if we assume that $(m - 1 + \alpha) \geq 3$.

Concluding, we have shown that the vector field $\xi(x, y) = \frac{Df(x,y)}{|Df(x,y)|}$ defines an α -subcalibration for the sets E_k in $\mathbb{R}^n - \{0\}$, $k \in \mathbb{N}$, while $-\xi(x, y)$ furnishes an α -subcalibration for the sets $F_k^c = \mathbb{R}^n - E_k$ in $\mathbb{R}^n - \{0\}$. Proposition 4 yields the α -subminimality of the sets E_k and F_k^c in $\mathbb{R}^n - \{0\}$. However, $0 \notin E_k$ or F_k^c and we have

$$P_\alpha(E_k, A) = P_\alpha(E_k, A - \{0\}) \leq P_\alpha(F, A - \{0\}) \leq P_\alpha(F, A)$$

for every bounded, open set $A \subset \mathbb{R}^n$ and arbitrary $F \subset E_k$ with $E_k \setminus F \subset\subset A$, which shows that all sets E_k are also α -subminimal in all of \mathbb{R}^n (rather than just in $\mathbb{R}^n - \{0\}$). A similar argument implies the α -subminimality of F_k^c in \mathbb{R}^n and since $E_k \rightarrow C_m$ or $F_k^c \rightarrow \mathbb{R}^n - C_m$ both in $L_{1,\text{loc}}(\mathbb{R}^n)$ as $k \rightarrow \infty$, we infer from Proposition 3 that C_m , as well as its complement $C_m^c = \mathbb{R}^n - C_m$, is α -subminimal in \mathbb{R}^n . An application of Proposition 2 concludes the proof of Theorem 1. ■

REMARK. Using the same type of argument as in the proof of Theorem 1, one can also deal with the minimal cones

$$C_{m,k} = \{(x, y) \in \mathbb{R}^m \times \mathbb{R}^k; (k - 1)|x|^2 \leq (m - 1)|y|^2\}.$$

A subcalibration might then be determined by the normalized gradient of the function

$$f: \mathbb{R}^m \times \mathbb{R}^k \rightarrow \mathbb{R}, \quad f(x, y) := \frac{1}{4}((k - 1)^2|x|^4 - (m - 1)^2|y|^4)$$

under suitable conditions on m and k ; however, we shall not dwell on this.

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