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On category \mathcal{O} for cyclotomic rational Cherednik algebras

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Abstract. We study equivalences for category \mathcal{O}_p of the rational Cherednik algebras \mathbf{H}_p of type $G_\ell(n) = (\mu_\ell)^n \rtimes \mathfrak{S}_n$: a highest weight equivalence between \mathcal{O}_p and $\mathcal{O}_{\sigma(p)}$ for $\sigma \in \mathfrak{S}_\ell$ and an action of \mathfrak{S}_ℓ on an explicit non-empty Zariski open set of parameters p ; a derived equivalence between \mathcal{O}_p and $\mathcal{O}_{p'}$ whenever p and p' have integral difference; a highest weight equivalence between \mathcal{O}_p and a parabolic category \mathcal{O} for the general linear group, under a non-rationality assumption on the parameter p . As a consequence, we confirm special cases of conjectures of Etingof and of Rouquier.

Keywords. Cherednik algebra, category \mathcal{O} , derived category, deformation quantization, categorification

1. Introduction

Category \mathcal{O} for the rational Cherednik algebra of type $G_\ell(n) = (\mu_\ell)^n \rtimes \mathfrak{S}_n$ (where μ_ℓ is the group of ℓ^{th} roots of unity in \mathbb{C}^\times) has a particularly rich structure. It is a highest weight category, constructed similarly to the Bernstein–Gelfand–Gelfand category \mathcal{O} for a complex reductive Lie algebra, and as such it is a highest weight covering of the Hecke algebra of type $G_\ell(n)$ via the KZ functor. Furthermore it can be realised as a certain category of \mathcal{D} -modules on a variety of representations of an (extension of an) affine quiver of type A , and because of this it is connected with the combinatorics of Hilbert schemes and the $n!$ theorem. Finally, taking the sum of all category \mathcal{O} 's for $G_\ell(n)$ as n runs through $\mathbb{Z}_{\geq 0}$ categorifies higher level Fock spaces.

We are first going to exploit this microlocal angle, particularly the connection between the rational Cherednik algebras of type $G_\ell(n)$ and the deformation quantizations of a symplectic resolution of the orbit space $(\mathfrak{h} \times \mathfrak{h}^*)/G_\ell(n)$ where \mathfrak{h} is the reflection representation and $G_\ell(n)$ acts on $\mathfrak{h} \times \mathfrak{h}^*$ diagonally.

- (a) The symplectic resolution has a Weyl group action which lifts to its quantizations and in turn passes to non-trivial symmetries of the spherical subalgebras of the Cherednik

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algebras. Combined with an intrinsic construction of \mathcal{O} for the spherical subalgebra, which is motivated by a similar construction for finite W -algebras, these symmetries produce the highest weight equivalences between \mathcal{O}_p and $\mathcal{O}_{\sigma(p)}$.

- (b) There is a tilting bundle \mathcal{P} on the symplectic resolution which induces a derived equivalence between coherent sheaves on the resolution and finitely generated $G_\ell(n)$ -equivariant modules over $\mathbb{C}[\mathfrak{h} \times \mathfrak{h}^*]$. We show that this lifts to the non-commutative setting, producing a derived equivalence between a category of torus equivariant sheaves for the deformation quantizations and categories of torus equivariant representations for the Cherednik algebras. Tensoring by appropriate line bundles induces equivalences between the categories of sheaves for different deformation quantizations, and hence derived equivalences for the Cherednik algebra at different parameters. Via a sequence of reductions it is possible to recover the derived category of \mathcal{O} from the category of equivariant representations and so we deduce the derived equivalences between \mathcal{O}_p and $\mathcal{O}_{p'}$.

When we enforce some non-rationality on the parameter p , the Hecke algebra of $G_\ell(n)$ becomes isomorphic to its own degeneration. As higher level Schur–Weyl duality shows that parabolic category \mathcal{O} for the general linear group produces a highest weight cover of the degenerate Hecke algebra of type $G_\ell(n)$, we are then able to compare \mathcal{O}_p and the parabolic category \mathcal{O} , showing they are equivalent. The equivalence is explicit enough to give a combinatorial characterization of the support of any irreducible representation in \mathcal{O}_p , and in particular a precise classification of all the finite-dimensional representations for the Cherednik algebra (with the non-rationality parameter restriction).

We now give a more detailed summary of our results.

1.1. Rational Cherednik algebras

In [27] Etingof and Ginzburg introduced symplectic reflection algebras. These algebras arise in the framework of the deformation theory as follows. Let V be a symplectic vector space over \mathbb{C} with symplectic form ω and let G be a finite subgroup of $\mathrm{Sp}(V)$. Let S denote the set of symplectic reflections in G , that is, the set of all $\gamma \in G$ such that $\mathrm{rk}_V(\gamma - \mathrm{Id}) = 2$. Decompose S into the union $\bigsqcup_{i=0}^r S_i$ of G -conjugacy classes. Pick complex numbers (c_0, c_1, \dots, c_r) , one for each conjugacy class in S , and another complex number h . Set $p := (h, c_0, \dots, c_r)$ and define the algebra $\mathbf{H}_p = \mathbf{H}_p(G, V)$ as the quotient of the smash-product $T(V) \# G$ of the tensor algebra on V and the group G by the relations

$$[u, v] = h\omega(u, v) - 2 \sum_{i=1}^r c_i \sum_{\gamma \in S_i} \omega_\gamma(u, v)\gamma \quad (1.1.1)$$

for $u, v \in V$, where ω_γ denotes the skew-symmetric form that coincides with ω on the two-dimensional space $\mathrm{im}(\gamma - \mathrm{id})$ and whose kernel is $\ker(\gamma - \mathrm{id})$. Under a mild restriction on G , namely the absence of proper symplectic G -stable subspaces in V , the algebras \mathbf{H}_p exhaust *filtered* deformations of the graded algebra $S(V) \# G$.

Let \mathfrak{h} be a complex vector space and $W \subset \mathrm{GL}(\mathfrak{h})$ be a finite linear group generated by complex reflections—the elements $\gamma \in W$ with $\mathrm{rk}_{\mathfrak{h}}(\gamma - \mathrm{id}) = 1$. The space $V = \mathfrak{h} \times \mathfrak{h}^*$

carries a natural symplectic form, and for G we take the image of W in $\mathrm{Sp}(V)$ under the diagonal action. The corresponding symplectic reflection algebra is usually referred to as a *rational Cherednik algebra*. When $h \neq 0$ the structure and representation theory of the rational Cherednik algebra are reminiscent to those of the universal enveloping algebra of a reductive Lie algebra. We have a triangular decomposition $\mathbf{H}_p = S(\mathfrak{h}^*) \otimes \mathbb{C}W \otimes S(\mathfrak{h})$ and so can consider the category \mathcal{O}_p of all left \mathbf{H}_p -modules that are finitely generated over $S(\mathfrak{h}^*)$ and locally nilpotent for the action of $\mathfrak{h} \subset S(\mathfrak{h})$. This category has a highest weight structure where the standard modules $\Delta(\lambda)$ and simple modules $L(\lambda)$ are parameterized by irreducible W -modules λ [31].

Now let $\Gamma \subset \mathrm{SL}_2(\mathbb{C})$ be a finite subgroup, and form the wreath product $G = \Gamma^n \rtimes \mathfrak{S}_n$ for some positive integer n , where the symmetric group \mathfrak{S}_n acts on Γ^n by permutations. This group naturally embeds into $\mathrm{Sp}(V)$ where $V = (\mathbb{C}^2)^{\oplus n}$. In these cases the symplectic reflection algebras are related to differential operators on the quiver representation spaces and to symplectic resolutions of quotient singularities (see [44] for instance).

The intersection of these two examples are the groups $G(\ell, 1, n) = (\mu_\ell)^n \rtimes \mathfrak{S}_n$ acting on $(\mathbb{C}^n)^{\oplus 2} = (\mathbb{C}^2)^{\oplus n}$. This is the case that we are mostly interested in here and we are going to study the corresponding categories \mathcal{O}_p using structure from differential operators and symplectic resolutions.

1.2. Main results

The behaviour of category \mathcal{O}_p crucially depends on the parameter p : it is, for example, semisimple for generic p [31]. So \mathcal{O}_p becomes interesting only under some restrictions on p . In order to state our results most efficiently we use an alternative parametrization of the rational Cherednik algebras using parameters that we call $\kappa, \mathbf{s} = (s_1, \dots, s_\ell)$ —see (2.3.4) for the expressions of the c_i 's in terms of these new parameters. Inside this set of parameters there is a non-empty Zariski open subset of *spherical* parameters—see 3.1.1 for the definition—which was described explicitly in [23].

Let \mathfrak{S}_ℓ be the symmetric group on ℓ symbols viewed as the group of bijections of $\mathbb{Z}/\ell\mathbb{Z}$. Then \mathfrak{S}_ℓ acts on the set of parameters $(\kappa, s_1, \dots, s_\ell)$ by permuting the s 's. The set of spherical parameters is stable under the action of \mathfrak{S}_ℓ . If we identify $\mathrm{Irrrep}(G_\ell(n))$ with the set of ℓ -multipartitions $\lambda = (\lambda^{(1)}, \dots, \lambda^{(\ell)})$ of n —see 2.3.3 for this—then \mathfrak{S}_ℓ also acts on the irreducible representations by permuting the components of λ .

Our first theorem shows that the action of \mathfrak{S}_ℓ produces highest weight equivalences of \mathcal{O} .

Theorem A. *Let $p = (\kappa, \mathbf{s})$ be a spherical parameter and $\kappa \neq 0, 1$. Then for any $\sigma \in \mathfrak{S}_\ell$ there is an equivalence $\Xi_{\sigma,p} : \mathcal{O}_p \rightarrow \mathcal{O}_{\sigma p}$ which for each $\lambda \in \mathrm{Irrrep}(G_\ell(n))$ sends $\Delta_p(\lambda)$ to $\Delta_{\sigma p}(\sigma\lambda)$.*

For a somewhat more extensive statement, see Theorem 4.1.4. It is the sphericity condition which is both crucial and restrictive in this theorem; the restriction $\kappa \neq 0, 1$ is irritating.

Our second theorem concerns certain derived equivalences and confirms [50, Conjecture 5.6] for the group $G(\ell, 1, n)$. Parameters $p = (\kappa, \mathbf{s}), p' = (\kappa', \mathbf{s}')$ have *integral*

difference if $\kappa' - \kappa \in \mathbb{Z}$ and there exists $a \in \mathbb{C}$ such that $\kappa' s'_i - \kappa s_i \in a + \mathbb{Z}$ for each $i = 1, \dots, \ell$.

Theorem B. *Suppose that p, p' have integral difference. Then there is an equivalence of triangulated categories $D^b(\mathcal{O}_p) \rightarrow D^b(\mathcal{O}_{p'})$.*

Again, a slightly more detailed statement holds (see Theorem 5.1.3). We do not, however, say anything here about where this equivalence sends the important objects of \mathcal{O}_p , even at the level of Grothendieck groups. Our methods are complementary to the derived equivalences for \mathbf{H}_p -mod of [48]: the latter are constructed for a general class of hamiltonian reductions but would, in the instance of this paper, require the parameters to be spherical.

For our third theorem we put relatively strong restrictions on the parameter $p = (\kappa, \mathbf{s})$: we assume $\kappa \notin \mathbb{Q}$ and that $s_\ell > s_1 > s_2 > \dots > s_{\ell-1}$. (But see Proposition 6.5.2 where we establish a reduction for $\kappa \notin \mathbb{Q}$ using the \mathfrak{S}_ℓ -action of Theorem A.) To \mathbf{s} we associate first a higher level Fock space $F = V(\Lambda_{-s_{\ell-1}}) \otimes \dots \otimes V(\Lambda_{-s_1}) \otimes V(\Lambda_{-s_\ell})$ for \mathfrak{gl}_∞ and its combinatorial crystal (see 6.7). Now let $N = \ell(n + s_\ell) - (s_1 + \dots + s_\ell)$ and let \mathfrak{p} be the standard parabolic subalgebra of $\mathfrak{gl}_N(\mathbb{C})$ with Levi subalgebra the block diagonal matrices $\mathfrak{gl}_{s_\ell - s_{\ell-1} + n} \times \mathfrak{gl}_{s_\ell - s_{\ell-2} + n} \times \dots \times \mathfrak{gl}_{s_\ell - s_1 + n} \times \mathfrak{gl}_n$. Following [15] we consider a category $\mathcal{O}_\mathbf{s}^{\text{par}}(n)$ which is a sum of blocks of the parabolic category \mathcal{O} attached to \mathfrak{p} .

Theorem C. *Suppose $p = (\kappa, \mathbf{s})$ where $\kappa \notin \mathbb{Q}$ and $\mathbf{s} = (s_1, \dots, s_\ell) \in \mathbb{Z}^\ell$ satisfies $s_\ell > s_1 > \dots > s_{\ell-1}$.*

- (1) *There is an equivalence of highest weight categories $\mathcal{O}_p \xrightarrow{\sim} \mathcal{O}_\mathbf{s}^{\text{par}}(n)$.*
- (2) *The set $\{L_p(\lambda) : \lambda^* := ((\lambda^{(\ell-1)})^t, (\lambda^{(\ell-2)})^t, \dots, (\lambda^{(1)})^t, (\lambda^{(\ell)})^t)$ highest weight in the crystal of $F\}$ is a complete set of finite-dimensional irreducible representations for \mathbf{H}_p .*

For more details, see Theorem 6.9.1 and its corollaries. Part (1) of this result was conjectured in [57, Remark 8.10(b)] as a degenerate analogue of the Main Conjecture 8.8 there. The results of [51] provide a count of the finite-dimensional irreducible \mathbf{H}_p representations (see also [52]), and some families of finite-dimensional irreducible representations have been classified in [28], [25] and [33]. As a corollary of this theorem we are also able to confirm the non-degeneracy of a homological inner product defined on the finite-dimensional representations for the parameters above [26, Conjecture 4.7].

1.3. Structure of the paper

The paper is split into five further sections. We commence in Section 2 with preliminaries: the definition of RCA in the general setting including two different choices of parameters; the definition of the category \mathcal{O} and of the c -function which is used to define the highest weight structure on \mathcal{O} ; various specific combinatorial points about the cyclotomic ($W = G_\ell(n)$) setting; and finally the induction and restriction functors of [7].

In Section 3 we introduce and study the so called spherical categories \mathcal{O} . These analogues of \mathcal{O} for the spherical subalgebras of the rational Cherednik algebras are used in

our proof of Theorem A, but may be of interest in their own right as they exist for all complex reflection groups. We work at this full level of generality in Section 3. We begin with the definition of the spherical \mathcal{O} and then establish some of its simple properties. We then discuss a relationship between the usual and the spherical categories \mathcal{O} and state our main result on this relationship, Theorem 3.2.4, whose proof takes a little while to complete. Finally, we introduce another tool that will be used in the proof of Theorem A, the spherical restriction functor.

Theorem A is proved in Section 4. We start by constructing equivalences and then stating the more precise version of Theorem A that we actually prove, Theorem 4.1.4. The proof of the theorem occupies the rest of this section.

In Section 5 we prove Theorem B and in Section 6 we prove Theorem C. Our routes to these results are very indirect, rather like flying between Minsk and Edinburgh via Moscow then Oregon, so we leave the detailed description of their contents to 5.1.5 and 6.1.

Notation

Here is a list of standard notation to be frequently used in the paper.

$[\mathcal{C}]$	the complexified Grothendieck group of an abelian category \mathcal{C} ,
(V)	the two-sided ideal in an associative algebra, generated by a subset V ,
$A \# G$	the smash-product of an algebra A and a group G , where G acts on A ,
$D^b(\mathcal{C})$	the bounded derived category of an abelian category \mathcal{C} ,
μ_ℓ	$:= \{\zeta \in \mathbb{C} : \zeta^\ell = 1\}$,
$G_\ell(n)$	$:= (\mu_\ell)^n \rtimes S_n$,
G_x	the stabilizer of x in a group G ,
$\text{gr } A$	the associated graded vector space of a filtered vector space A ,
$\text{Irrep}(A)$	the set of (isomorphism classes of) an algebra (or a group) A ,
$\mathcal{P}, \mathcal{P}_\ell$	the sets of partitions and of ℓ -multipartitions, respectively,
RG	the group algebra of a group G with coefficients in a ring R ,
X^G	the G -invariants in X ,
X/G	the orbit set for an action of a group G on X ,
$Z(A)$	the centre of an algebra A .

2. Reminder on cyclotomic rational Cherednik algebras

2.1. Rational Cherednik algebras: general setting

2.1.1. Notation. Let \mathfrak{h} be a finite-dimensional vector space over \mathbb{C} , and $W \subset \text{GL}(\mathfrak{h})$ be a finite group generated by its subset S of complex reflections. Let S_0, \dots, S_r be the W -conjugacy classes in S . Let \mathcal{A} be the set of reflecting hyperplanes in \mathfrak{h} . For $H \in \mathcal{A}$ let W_H be the pointwise stabilizer of H in W , set $e_H = |W_H|$ and let $U = \bigcup_{H \in \mathcal{A}/W} \text{Irrep}(W_H)$. Since W_H is a finite cyclic group, we may identify elements of U with pairs (H, j) where $0 \leq j < e_H$ and the irreducible representation of W_H is given by $\det^j|_{W_H}$. Here \det stands

for the representation of W in $\bigwedge^{\text{top}} \mathfrak{h}$. Given $H \in \mathcal{A}$, choose $\alpha_H \in \mathfrak{h}^*$ with $\ker \alpha_H = H$ and let $\alpha_H^\vee \in \mathfrak{h}$ be such that $\mathbb{C}\alpha_H^\vee$ is a W_H -stable complement to H and $\langle \alpha_H, \alpha_H^\vee \rangle = 2$. If $w \in W_H \setminus \{1\}$ we set $\alpha_w := \alpha_H$ and $\alpha_w^\vee := \alpha_H^\vee$.

2.1.2. Definition. Pick independent variables c_0, c_1, \dots, c_r , one for each conjugacy class in S , and also an independent variable h . Let \mathfrak{c} denote the vector space dual to the span of h, c_0, \dots, c_r . By the (universal) rational Cherednik algebra we mean the quotient \mathbf{H} of $\mathbb{C}[\mathfrak{c}] \otimes T(\mathfrak{h} \oplus \mathfrak{h}^*) \# W$ by the relations

$$[x, x'] = [y, y'] = 0, \quad [y, x] = h\langle y, x \rangle - \sum_{i=0}^r c_i \sum_{w \in S_i} \langle y, \alpha_w \rangle \langle \alpha_w^\vee, x \rangle w, \quad (2.1.1)$$

where $x, x' \in \mathfrak{h}^*, y, y' \in \mathfrak{h}$. We remark that (2.1.1) is a special case of (1.1.1), with the difference that now c_i 's are independent variables and not complex numbers.

For $p \in \mathfrak{c}$ let \mathbf{H}_p denote the specialization of \mathbf{H} at p . More generally given a \mathbb{C} -algebra homomorphism ψ from $\mathbb{C}[\mathfrak{c}]$ to some \mathbb{C} -algebra R we set $\mathbf{H}_\psi := \mathbf{H} \otimes_{\mathbb{C}[\mathfrak{c}]} R$.

We have a natural homomorphism $\mathbb{C}[\mathfrak{c}][\mathfrak{h}] \otimes \mathbb{C}W \otimes S(\mathfrak{h}) \rightarrow \mathbf{H}$. According to Etingof and Ginzburg [27, Theorem 1.3], this homomorphism is a vector space isomorphism. Observe that the algebra \mathbf{H} is graded: W has degree 0, $\mathfrak{h} \oplus \mathfrak{h}^*$ degree 1, while $\mathfrak{c}^* \subset \mathbf{H}$ is of degree 2.

For future use, we let \mathfrak{c}_1 denote the affine subset of \mathfrak{c} where $h = 1$.

2.1.3. Alternative parametrization. Often it is more convenient to work with another parametrization of \mathbf{H} . We follow [50, §5]. Let $\{\mathbf{h}_u\}$ be a set of indeterminates with $u \in U$, and set $\mathbf{R} := \mathbb{C}[\{\mathbf{h}_u\}]$. Define a homomorphism $\iota : \mathbb{C}[\mathfrak{c}] \rightarrow \mathbf{R}$ by

$$h \mapsto 1, \quad c_i \mapsto \sum_{j=1}^{e_H-1} \frac{1 - \det(w)^{-j}}{2} (\mathbf{h}_{H,j} - \mathbf{h}_{H,j-1}), \quad (2.1.2)$$

where $H \in \mathcal{A}$ and $w \in S_i \cap W_H$. Let us remark that we have $S_i \cap W_H \neq \emptyset$ for exactly one equivalence class H in \mathcal{A} . We write $\mathbf{H}_\mathbf{R}$ for \mathbf{H}_ι . The commutation relation between x 's and y 's in $\mathbf{H}_\mathbf{R}$ is

$$[y, x] = \langle y, x \rangle + \sum_{H \in \mathcal{A}} \frac{\langle y, \alpha_H \rangle \langle \alpha_H^\vee, x \rangle}{\langle \alpha_H^\vee, \alpha_H \rangle} \gamma_H,$$

where

$$\gamma_H := \sum_{w \in W_H \setminus \{1\}} \left(\sum_{j=0}^{e_H-1} \det(w)^{-j} (\mathbf{h}_{H,j} - \mathbf{h}_{H,j-1}) \right) w.$$

In the above equality we assume $\mathbf{h}_{H,-1} := \mathbf{h}_{H, e_H-1}$.

Given a homomorphism $\Psi : \mathbf{R} \rightarrow R$ we will write \mathbf{H}_Ψ for $\mathbf{H}_{\Psi \circ \iota}$. Almost all the specializations of \mathbf{H} we consider in this paper factor through $\mathbf{H}_\mathbf{R}$.

2.2. Category \mathcal{O}

2.2.1. *c-function.* Let $\Psi : \mathbf{R} \rightarrow R$ be an algebra homomorphism, where R is a local commutative noetherian algebra with residue field K , and let $\psi : \mathbf{R} \rightarrow K$ be the composition of Ψ and the projection $R \rightarrow K$. We denote the induced homomorphism $\mathbf{H} \rightarrow \mathbf{H}_\psi$ also by ψ .

Given an irreducible W -module E over \mathbb{C} set $c_E(\Psi) \in K$ to be the scalar by which the element

$$\frac{\dim \mathfrak{h}}{2} - \sum_{i=0}^r \psi(c_i) \sum_{w \in S} \frac{2}{1 - \lambda_w} w \in Z(KW)$$

acts on $E \otimes_{\mathbb{C}} K$, where λ_w is the non-trivial eigenvalue of w in its action on \mathfrak{h}^* .

2.2.2. *Definition of \mathcal{O} .* Set $\mathcal{O}_\Psi (= \mathcal{O}_\Psi(\mathfrak{h}, W))$ to be the category of \mathbf{H}_ψ -modules that are locally nilpotent for the action of $\mathfrak{h} \subset R[\mathfrak{h}^*]$ and finitely generated over $R[\mathfrak{h}]$ (equivalently, under the local nilpotency condition, over \mathbf{H}_ψ). This is a highest weight category [31], [50, §5.1]. Its standard objects are $\Delta_\Psi(E) = \mathbf{H}_\psi \otimes_{R[\mathfrak{h}^*] \# W} (R \otimes E)$ where $E \in \text{Irrep}(W)$. Here we consider $R \otimes E$ as an $R[\mathfrak{h}^*] \# W$ -module by making \mathfrak{h} act by 0. An ordering on \mathcal{O}_Ψ is defined by

$$\Delta_\Psi(E) < \Delta_\Psi(F) \quad \text{if and only if} \quad c_F(\Psi) - c_E(\Psi) \in \mathbb{Z}_{<0}$$

for $E, F \in \text{Irrep}(W)$. Henceforth we will write $E <_\Psi F$ if $c_F(\Psi) - c_E(\Psi) \in \mathbb{Z}_{<0}$.

We remark that as an $R[\mathfrak{h}] \# W$ -module, $\Delta_\Psi(E)$ is isomorphic to $R[\mathfrak{h}] \otimes_{\mathbb{C}} E$.

2.2.3. *Euler element.* Let $\text{eu} \in \mathbf{H}$ denote the deformed Euler element, defined by

$$\text{eu} = \sum_{i=1}^{\dim \mathfrak{h}} x_i y_i + \frac{\dim \mathfrak{h}}{2} - \sum_{i=0}^r c_i \sum_{w \in S_i} \frac{2}{1 - \lambda_w} w.$$

By definition, for $E \in \text{Irrep}(W)$ the element $\psi(\text{eu}) \in \mathbf{H}_\psi$ acts on $1 \otimes (E \otimes_{\mathbb{C}} K) \subseteq \Delta_\Psi(E)$ by multiplication by the scalar $c_E(\Psi)$ defined in 2.2.1.

2.3. Rational Cherednik algebras: cyclotomic setting

2.3.1. *Notation.* For any positive integer e we will write ζ_e for $\exp(2\pi\sqrt{-1}/e) \in \mathbb{C}$.

Let ℓ be a positive integer which we will assume to be fixed from now on. For a positive integer n we set

$$W = G_\ell(n) := \mu_\ell^n \rtimes \mathfrak{S}_n,$$

where μ_ℓ denotes the group of ℓ^{th} roots of unity in \mathbb{C} . The group $G_\ell(n)$ is a reflection group with reflection representation $\mathfrak{h} = \mathbb{C}^n$. (The case $\ell = 1$ is degenerate and all constructions that follow have to be modified.) There are two types of reflecting hyperplanes in \mathcal{A} :

$$\begin{aligned} H_{i,j}^k &= \ker(x_i - \zeta_\ell^k x_j) && \text{for } 1 \leq i < j \leq n \text{ and } 1 \leq k \leq \ell; \\ H_i &= \ker x_i && \text{for } 1 \leq i \leq n. \end{aligned}$$

These form two distinct W -orbits and we have $W_{H_{i,j}^k} \cong \mathbb{Z}_2$ and $W_{H_i} \cong \mu_\ell$.

It is also convenient to introduce some new elements $\epsilon_i, i = 0, \dots, \ell - 1$, of \mathfrak{c}^* . We set

$$\epsilon_i := \frac{1}{\ell} \left(h - 2 \sum_{j=1}^{\ell-1} c_j \zeta_\ell^{ji} \right), \quad i \neq 0, \tag{2.3.1}$$

$$\epsilon_0 := \frac{1}{\ell} \left(h - 2 \sum_{j=1}^{\ell-1} c_j \right) + c_0 - h/2. \tag{2.3.2}$$

In particular, we have $\iota(\epsilon_i) = 1/\ell + \mathbf{h}_{H_{\bullet,i}} - \mathbf{h}_{H_{\bullet,i-1}}$ for $1 \leq i \leq \ell - 1$ and $\iota(\epsilon_0) = 1/\ell + \mathbf{h}_{H_{\bullet,0}} - \mathbf{h}_{H_{\bullet,\ell-1}} - 1/2 + \mathbf{h}_{H_{\bullet,1}} - \mathbf{h}_{H_{\bullet,0}}$.

2.3.2. Parametrization. We will be most interested in the following specialization $\Psi : \mathbf{R} \rightarrow \mathbb{C}$: let $\mathbf{s} = (s_1, \dots, s_\ell) \in \mathbb{C}^\ell$ and $\kappa \in \mathbb{C}$, and set

$$\Psi(\mathbf{h}_{H_{i,j}^k,0}) = \kappa, \quad \Psi(\mathbf{h}_{H_{i,j}^k,1}) = 0, \quad \text{and} \quad \Psi(\mathbf{h}_{H_i,j}) = \kappa s_j - j/\ell \quad \text{for } 0 \leq j \leq \ell - 1, \tag{2.3.3}$$

where $s_0 = s_\ell$. We refer to the corresponding algebra as $H_{\kappa,\mathbf{s}}(G_\ell(n))$, dropping various parts of the $G_\ell(n)$ if it will lead to no ambiguity.

Let us provide the corresponding formulae for the c -parameters. Let S_0 be the class of reflections about the planes $H_{i,j}^k$, and S_e , for $e = 1, \dots, \ell - 1$, the class of reflections corresponding to $\zeta_\ell^e \in \mu_\ell \cong W_{H_i}$. We get

$$\Psi(h) = 1, \Psi(c_0) = -\kappa, \Psi(c_i) = -\left(1 + \kappa \sum_{j=1}^{\ell-1} (\zeta_\ell^{-ij} - 1)(s_j - s_{j-1}) \right) / 2, i = 1, \dots, \ell - 1. \tag{2.3.4}$$

Moreover,

$$\Psi(\epsilon_i) = \kappa(s_i - s_{i-1}), \quad i = 1, \dots, \ell - 1. \tag{2.3.5}$$

We remark that a parameter $p = (1, c_0, \dots, c_{\ell-1})$ can be expressed via κ and \mathbf{s} as above provided $c_0 \neq 0$. In this case the parameters \mathbf{s} are defined uniquely up to a common summand.

2.3.3. Multipartitions. A *partition* is a non-increasing sequence $\lambda = (\lambda_1, \lambda_2, \dots)$ of non-negative integers; the length of λ is $|\lambda| = \lambda_1 + \lambda_2 + \dots$. An ℓ -*multipartition* is an ordered ℓ -tuple of partitions $\lambda = (\lambda^{(1)}, \dots, \lambda^{(\ell)})$; its length is $|\lambda| = |\lambda^{(1)}| + \dots + |\lambda^{(\ell)}|$. We let \mathcal{P} , respectively \mathcal{P}_ℓ , denote the sets of all partitions, respectively ℓ -multipartitions; the set of those of length n are denoted $\mathcal{P}(n)$ and $\mathcal{P}_\ell(n)$ respectively. Given $\lambda \in \mathcal{P}_\ell$, define its transpose λ^* to be $((\lambda^{(\ell-1)})^t, (\lambda^{(\ell-2)})^t, \dots, (\lambda^{(1)})^t, (\lambda^{(\ell)})^t)$ where $(\lambda^{(r)})^t$ is the usual transpose of the partition $\lambda^{(r)}$.

The *Young diagram* of the multipartition $\lambda = (\lambda^{(1)}, \dots, \lambda^{(\ell)}) \in \mathcal{P}_\ell$ is

$$\{(a, b, m) \in \mathbb{Z}_{>0} \times \mathbb{Z}_{>0} \times \{1, \dots, \ell\} : 1 \leq b \leq \lambda_a^{(m)}\}.$$

The elements of this set are called the *boxes* of λ . We identify the multipartition λ with its Young diagram, considered as a row vector of Young diagrams. For example,

$((3, 1), (4, 2))$ is the Young diagram

$$\begin{array}{|c|c|c|} \hline \square & & \\ \hline \square & \square & \square \\ \hline \end{array}, \quad \begin{array}{|c|c|c|c|} \hline \square & \square & & \\ \hline \square & \square & \square & \square \\ \hline \end{array} \tag{2.3.6}$$

Given an ℓ -tuple $\mathbf{s} = (s_1, \dots, s_\ell) \in \mathbb{C}^\ell$ the \mathbf{s} -shifted content $\text{res}^{\mathbf{s}} A$ of the box $A = (a, b, m)$ is the complex number

$$\text{res}^{\mathbf{s}} A = s_m + b - a \in \mathbb{C}. \tag{2.3.7}$$

If $\mathbf{s} = \mathbf{0}$ we denote this by $\text{res} A$. If $\text{res}^{\mathbf{s}} A = i$ then we say that A is an i -box.

The dominance ordering on \mathcal{P}_ℓ is given by $\lambda \succeq \mu$ if $\sum_{i=1}^{r-1} |\lambda^{(i)}| + \sum_{j=1}^t \lambda_j^{(r)} \geq \sum_{i=1}^{r-1} |\mu^{(i)}| + \sum_{j=1}^t \mu_j^{(r)}$ for all $1 \leq r \leq \ell$ and $t \geq 1$, and with equality for $r = \ell$ and $t \gg 1$.

2.3.4. Irreducible representations of $G_\ell(n)$. We label the irreducible representations of $G_\ell(n)$ by $\mathcal{P}_\ell(n)$ as follows. Given $\lambda = (\lambda^{(1)}, \dots, \lambda^{(\ell)}) \in \mathcal{P}_\ell(n)$ denote by l_r the largest integer such that $\lambda_r^{(r)} \neq 0$ (i.e., l_r is the number of rows in $\lambda^{(r)}$). We put

$$I_\lambda(r) = \left\{ \sum_{i=1}^{r-1} |\lambda^{(i)}| + 1, \sum_{i=1}^{r-1} |\lambda^{(i)}| + 2, \dots, \sum_{i=1}^r |\lambda^{(i)}| \right\}.$$

We set $\mathfrak{S}_\lambda = \mathfrak{S}_{I_\lambda(1)} \times \dots \times \mathfrak{S}_{I_\lambda(\ell)}$ and $G_\ell(\lambda) = \mu_\ell^{\{1, \dots, n\}} \rtimes \mathfrak{S}_\lambda$. Here, for $I \subset \{1, \dots, n\}$, \mathfrak{S}_I stands for the subgroup of \mathfrak{S}_n consisting of all permutations of I , leaving the other elements invariant.

Now a partition $\alpha \in \mathcal{P}(n)$ corresponds to an irreducible representation of \mathfrak{S}_n , where (n) labels the trivial representation of \mathfrak{S}_n . Then given $\lambda \in \mathcal{P}_\ell(n)$ we have a corresponding irreducible representation of $G_\ell(n)$ which is constructed as

$$\text{Ind}_{G_\ell(\lambda)}^{G_\ell(n)} (\phi^{(1)} \cdot \lambda^{(1)} \otimes \dots \otimes \phi^{(\ell)} \cdot \lambda^{(\ell)}),$$

where $\phi^{(r)}$ is the one-dimensional character of $\mu_\ell^{I_\lambda(r)} \rtimes \mathfrak{S}_{I_\lambda(r)}$ whose restriction to $\mu_\ell^{I_\lambda(r)}$ is \det^r and whose restriction to $\mathfrak{S}_{I_\lambda(r)}$ is trivial.

This labeling agrees with [24]. For later use we remark that it does, however, differ from that of [50, §6] by a shift as that paper takes $\text{Ind}_{G_\ell(\lambda)}^{G_\ell(n)} (\phi^{(0)} \cdot \lambda^{(1)} \otimes \dots \otimes \phi^{(\ell-1)} \cdot \lambda^{(\ell)})$; for us the trivial representation is labeled by $(\emptyset, \dots, \emptyset, (n))$ and $\wedge^n \mathfrak{h}$ by $((1^n), \emptyset, \dots, \emptyset)$; and it also differs from [53, §5] as Stembridge uses $(\lambda^{(0)}, \dots, \lambda^{(\ell-1)})$ where $\lambda^{(0)} = \lambda^{(\ell)}$.

2.3.5. c -function. Let $eu \in H_{\kappa, \mathbf{s}}$ denote the deformed Euler element, the image of $eu \in \mathbf{H}$ under the natural epimorphism $\mathbf{H} \rightarrow H_{\kappa, \mathbf{s}}$; by (2.1.2) it equals

$$\begin{aligned}
 eu &= \sum_{i=1}^n x_i y_i + n/2 + \sum_{H \in \mathcal{A}} \sum_{w \in W_H \setminus \{1\}} \left(\sum_{j=0}^{e_H-1} \det(w)^{-j} \Psi(\mathbf{h}_{H,j}) \right) w \\
 &= \sum_{i=1}^n x_i y_i + n/2 + \sum_{H \in \mathcal{A}} \sum_{w \in W_H} \left(\sum_{j=0}^{e_H-1} \det(w)^{-j} \Psi(\mathbf{h}_{H,j}) \right) w - \sum_{H \in \mathcal{A}} \sum_{j=0}^{e_H-1} \Psi(\mathbf{h}_{H,j}).
 \end{aligned}$$

The action of $\sum_{H \in \mathcal{A}} \sum_{w \in W_H} (\sum_{j=0}^{e_H-1} \det(w)^{-j} \Psi(\mathbf{h}_{H,j}))w$ on $\lambda = (\lambda^{(1)}, \dots, \lambda^{(\ell)}) \in \text{Irrep}(G_\ell(n))$ has been calculated in [50, Section 3.2.1 and Proposition 6.2] and equals

$$\left(\sum_{r=1}^{\ell-1} |\lambda^{(r)}| (\kappa \ell s_r - \kappa \ell s_\ell - r) - \kappa \ell n(n-1)/2 + \sum_{A \in \lambda} \kappa \ell \text{res}(A) \right) + (\kappa \ell n(n-1) + \ell n \kappa s_\ell).$$

Thus we find

$$c_\lambda(\kappa, \mathbf{s}) := c_\lambda(\Psi) = - \sum_{r=1}^{\ell-1} |\lambda^{(r)}| r + \kappa \ell \sum_{A \in \lambda} \text{res}^s(A) - \kappa n s + n - n \ell / 2, \tag{2.3.8}$$

where $s = \sum_{j=1}^\ell s_j$.

2.3.6. Fake degree polynomial and modified c-function. We will be interested in the graded $G_\ell(n)$ -module structure of the coinvariant ring $\mathbb{C}[\mathfrak{h}]^{\text{co } G_\ell(n)} := \mathbb{C}[\mathfrak{h}] / \langle \mathbb{C}[\mathfrak{h}]_+^{G_\ell(n)} \rangle$. Recall first that $\mathbb{C}[\mathfrak{h}]^{\text{co } G_\ell(n)}$ is a graded space which transforms as the regular representation under the action of $G_\ell(n)$. For $\tau = (\tau^{(1)}, \dots, \tau^{(\ell)}) \in \text{Irrep}(G_\ell(n))$ the polynomial $f_\tau(q) := \sum_{i \geq 0} [\mathbb{C}[\mathfrak{h}]_i^{\text{co } G_\ell(n)} : \tau] q^i$ is called the *fake degree polynomial* of τ . It has been calculated in [53, Theorem 5.3] (where $S(\mathfrak{h})$ is used rather than $\mathbb{C}[\mathfrak{h}]$):

$$f_{\tau^*}(q) = (q^\ell)_n q^{w(\tau)} \prod_{r=1}^\ell \frac{q^{n(\tau^{(r)})\ell}}{H_{\tau^{(r)}}(q^\ell)} \tag{2.3.9}$$

where τ^* is the dual of τ , $w(\tau) = \sum_{r=1}^{\ell-1} r |\tau^{(r)}|$, $(q^\ell)_n = (1 - q^\ell)(1 - q^{2\ell}) \cdots (1 - q^{n\ell})$, $n(\tau^{(r)}) = \sum_{j \geq 1} (j-1) \tau_j^{(r)}$, and $H_{\tau^{(r)}}(q^\ell) = \prod_{A \in \tau^{(r)}} (1 - q^{h(A)\ell})$ with $h(A)$ the hook length of the box A .

Let $\text{fd}(\tau^*)$ denote the least degree in which a copy of τ^* appears in $\mathbb{C}[\mathfrak{h}]^{\text{co } G_\ell(n)}$. Since $(0)_n = 1$ and $H_{\tau^{(r)}}(0) = 1$, we deduce that

$$\text{fd}(\tau^*) = w(\tau) + \text{deg} \left(\prod_{r=1}^\ell q^{n(\tau^{(r)})\ell} \right) = \sum_{r=1}^{\ell-1} r |\tau^{(r)}| + \sum_{r=1}^\ell n(\tau^{(r)})\ell.$$

Define a function $\hat{c}_\lambda(\kappa, \mathbf{s})$ by

$$\hat{c}_\lambda(\kappa, \mathbf{s}) := c_\lambda(\kappa, \mathbf{s}) + \text{fd}(\lambda^*).$$

We have

$$\hat{c}_\lambda(\kappa, \mathbf{s}) = \ell \kappa \sum_{A \in \lambda} \text{res}^s(A) + \ell \sum_{r=1}^\ell n(\lambda^{(r)}) - \kappa n s + n - n \ell / 2. \tag{2.3.10}$$

2.4. Induction and restriction functors

2.4.1. Bezrukavnikov–Etingof functors. Let W be an arbitrary complex reflection group, \mathfrak{h} its reflection representation, and let $\underline{W} \subset W$ be a parabolic subgroup that is the stabilizer of some point in \mathfrak{h} . Let \mathfrak{h}^+ denote a unique \underline{W} -stable complement to $\mathfrak{h}^{\underline{W}}$ in \mathfrak{h} . Restrict

$p \in \mathfrak{c}_1$ to the set of complex reflections in \underline{W} and form the corresponding RCA and its category $\mathcal{O}_p(\underline{W}, \mathfrak{h}^+)$.

In [7] Bezrukavnikov and Etingof established exact functors $\text{Res}_{\underline{W}}^W : \mathcal{O}_p(W, \mathfrak{h}) \rightarrow \mathcal{O}_p(\underline{W}, \mathfrak{h}^+)$ (restriction) and $\text{Ind}_{\underline{W}}^W : \mathcal{O}_p(\underline{W}, \mathfrak{h}^+) \rightarrow \mathcal{O}_p(W, \mathfrak{h})$ (induction). In what follows we will need a construction of the restriction functors, so we will recall them in detail.

2.4.2. Isomorphism of completions. The starting point for the construction in [7] is a certain isomorphism of algebras $\mathbf{H}^{\wedge b}$ and $Z(W, \underline{W}, \mathbf{H}^{\wedge b})$. Here we pick a point $b \in \mathfrak{h}$ with $W_b = \underline{W}$ and set $\mathbf{H}^{\wedge b} := \mathbb{C}[\mathfrak{h}/W]^{\wedge b} \otimes_{\mathbb{C}[\mathfrak{h}/W]} \mathbf{H}$. This space has a natural algebra structure because the adjoint action of $\mathbb{C}[\mathfrak{h}/W]$ on \mathbf{H} is by locally nilpotent endomorphisms.

Consider the algebra $\underline{\mathbf{H}}$ that is the quotient of $\mathbb{C}[c] \otimes T(\mathfrak{h} \oplus \mathfrak{h}^*) \# \underline{W}$ by the relations

$$[x, x'] = [y, y'] = 0, \quad [y, x] = h\langle y, x \rangle - \sum_{i=0}^r c_i \sum_{w \in S_i \cap W} \langle y, \alpha_w \rangle \langle \alpha_w^\vee, x \rangle w.$$

Set $\underline{\mathbf{H}}^{\wedge b} := \mathbb{C}[\mathfrak{h}/\underline{W}]^{\wedge b} \otimes_{\mathbb{C}[\mathfrak{h}/\underline{W}]} \underline{\mathbf{H}}$. We remark that Bezrukavnikov and Etingof considered the completion $\underline{\mathbf{H}}^{\wedge 0}$ but the latter is naturally isomorphic to $\underline{\mathbf{H}}^{\wedge b}$, the isomorphism is provided by the shift by b .

Next, let $Z(W, \underline{W}, \bullet)$ be the centralizer algebra introduced in [7, §3.2]. More precisely, let $H \subset G$ be finite groups and A be an associative algebra equipped with an embedding $\mathbb{C}H \hookrightarrow A$. Then one can form the space $\text{Fun}_H(G, A)$ of all H -equivariant maps $G \rightarrow A$, i.e., maps satisfying $f(hg) = hf(g)$. This space has a natural structure of a right A -module. By $Z(G, H, A)$ one denotes the endomorphism algebra of this right module. Since $\text{Fun}_H(G, A)$ a free right A -module of rank $|G/H|$, we see that $Z(G, H, A)$ may be identified with $\text{Mat}_{|G/H|}(A)$ but this identification is not canonical.

Now for $\alpha \in \mathfrak{h}^*, a \in \mathfrak{h}$ we write $x_\alpha, y_a, \underline{x}_\alpha, \underline{y}_a$ for the corresponding elements in $\mathbf{H}, \underline{\mathbf{H}}$, respectively. Then, by [7, Theorem 3.2] the assignment ϑ defined by

$$\begin{aligned} [\vartheta(w')f](w) &= f(ww'), \\ [\vartheta(x_\alpha)f](w) &= \underline{x}_{w\alpha}f(w), \\ (w) &= \underline{y}_{wa}f(w) + \sum_i \sum_{u \in S_i \setminus \underline{W}} \frac{2c_i}{1 - \lambda_u} \frac{\langle \alpha_u, wa \rangle}{\underline{x}_{\alpha_u}} (f(uw) - f(w)), \end{aligned} \tag{2.4.1}$$

$$w, w' \in W, \alpha \in \mathfrak{h}^*, a \in \mathfrak{h}, f \in \text{Fun}_{\underline{W}}(W, \underline{\mathbf{H}}),$$

extends to a homomorphism $\vartheta : \mathbf{H} \rightarrow Z(W, \underline{W}, \mathbf{H}^{\wedge b})$. This homomorphism further extends by continuity to $\mathbf{H}^{\wedge b}$ and the map $\mathbf{H}^{\wedge b} \rightarrow Z(W, \underline{W}, \mathbf{H}^{\wedge b})$ is an isomorphism.

2.4.3. Construction of the restriction functor. We write \mathcal{O} for $\mathcal{O}_p(W, \mathfrak{h})$, $\underline{\mathcal{O}}$ for $\mathcal{O}_p(\underline{W}, \mathfrak{h})$ and $\underline{\mathcal{O}}^+$ for $\mathcal{O}_p(\underline{W}, \mathfrak{h}^+)$. Consider the category $\mathcal{O}^{\wedge b}$ that consists of all $\mathbf{H}_p^{\wedge b}$ -modules that are finitely generated over $\mathbb{C}[\mathfrak{h}/W]^{\wedge b}$. There is an equivalence between $\mathcal{O}^{\wedge b}$ and $\underline{\mathcal{O}}^+$ constructed as follows.

The categories of $Z(W, \underline{W}, A)$ -modules and of A -modules are naturally equivalent for any algebra A equipped with an embedding of $\mathbb{C}W$. Indeed, to an A -module M we assign the $Z(W, \underline{W}, A)$ -module $\text{Fun}_{\underline{W}}(W, M)$, of all \underline{W} -equivariant functions $f : W \rightarrow M$. Conversely, let M' be a $Z(W, \underline{W}, A)$ -module. Define an element $e(\underline{W}) \in Z(W, \underline{W}, A)$ by

$$e(\underline{W})f(w) = \begin{cases} f(w) & \text{if } w \in \underline{W}, \\ 0 & \text{else,} \end{cases} \tag{2.4.2}$$

where $f \in \text{Fun}_{\underline{W}}(W, A)$. It is easy to see that A is naturally identified with $e(\underline{W})Z(W, \underline{W}, A)e(\underline{W})$. So to M' we can assign the A -module $e(\underline{W})M'$. Then the functors $M \mapsto \text{Fun}_{\underline{W}}(W, M)$ and $M' \mapsto e(\underline{W})M'$ are quasi-inverse equivalences.

The composition $e(\underline{W}) \circ \vartheta_*$ is an equivalence $\mathcal{O}^{\wedge b} \xrightarrow{\sim} \underline{\mathcal{O}}^{\wedge b}$, where the latter category is defined analogously to $\mathcal{O}^{\wedge b}$ but for the pair $(\underline{W}, \mathfrak{h})$. Then there is an equivalence $\underline{\mathcal{O}}^{\wedge b} \rightarrow \underline{\mathcal{O}}^+$ that maps an object $N' \in \underline{\mathcal{O}}^{\wedge b}$ to the space of all elements in N' that are eigenvectors for $\underline{y}_a, a \in \mathfrak{h}^{\underline{W}}$, and generalized eigenvectors for $\underline{y}_a, a \in \mathfrak{h}^+$, with (both) eigenvalues 0. The reader is referred to [7, Theorem 2.3 and §3.5] for details. We denote the resulting equivalence $\mathcal{O}^{\wedge b} \xrightarrow{\sim} \mathcal{O}(\underline{W})$ by \mathcal{F}_b .

For $M \in \mathcal{O}$ set $\text{Res}_b(M) := \mathcal{F}_b(M^{\wedge b})$, where $M^{\wedge b} := \mathbb{C}[\mathfrak{h}/W]^{\wedge b} \otimes_{\mathbb{C}[\mathfrak{h}/W]} M$ is the completion of M at b . As proved in [7], up to isomorphism the functor Res_b does not depend on the choice of b with $W_b = \underline{W}$. So we write $\text{Res}_{\underline{W}}^W$ for Res_b .

2.4.4. Res vs the support filtration. An important feature of the restriction functors is that they can be used to identify finite-dimensional modules: a module in $\mathcal{O}_p(\mathfrak{h}, W)$ is finite-dimensional if and only if it is annihilated by $\text{Res}_{\underline{W}}^W$ for any proper parabolic subgroup $\underline{W} \subset W$. More generally, for a parabolic subgroup $W_1 \subset W$ consider the subvariety $X_{W_1} \subset \mathfrak{h}/W$ that is the image of $\mathfrak{h}^{W_1} \subset \mathfrak{h}$ in \mathfrak{h}/W under the quotient morphism. It is known from [30, Theorem 6.8] that the support of any simple module in $\mathcal{O}_p(\mathfrak{h}, W)$ viewed as a $\mathbb{C}[\mathfrak{h}]^W$ -module coincides with some X_{W_1} . It is easy to prove that the simples whose support is X_{W_1} are precisely those annihilated by $\text{Res}_{\underline{W}}^W$ with \underline{W} not W -conjugate to a subgroup of W_1 .

By the *support filtration* on \mathcal{O} we mean the filtration of \mathcal{O} by the full subcategories $\mathcal{O}_{\underline{W}}$, one for each conjugacy class of parabolic subgroup $\underline{W} \subset W$, consisting of all modules supported on $X_{\underline{W}}$. The previous paragraph shows that the support filtration can be recovered from the restriction functors.

3. Spherical category \mathcal{O}

Throughout this section, W is an arbitrary complex reflection group with reflection representation \mathfrak{h} .

3.1. Category \mathcal{O}^{sph}

3.1.1. Spherical subalgebra. Let $e := |W|^{-1} \sum_{w \in W} w$ be the trivial idempotent in $\mathbb{C}W$. The spherical subalgebra in \mathbf{H} is $\mathbf{U} := e\mathbf{H}e$. A parameter $p \in \mathfrak{c}$ is called *spherical* if

$\mathbf{H}_p = \mathbf{H}_p e \mathbf{H}_p$. We write $\mathfrak{c}_1^{\text{sph}}$ for the set of spherical values in \mathfrak{c}_1 , the affine subspace in \mathfrak{c} defined by the equation $h = 1$.

If $p \in \mathfrak{c}$ is spherical then there is an equivalence of abelian categories $\mathbf{H}_p\text{-mod} \xrightarrow{\sim} \mathbf{U}_p\text{-mod}$ given by $M \mapsto eM$ with quasi-inverse $N \mapsto \mathbf{H}_p e \otimes_{\mathbf{U}_p} N$.

3.1.2. *Euler grading on \mathbf{U} .* We set $\text{eu}^{\text{sph}} := eeu$, where eu is the deformed Euler element in \mathbf{H} (see 2.2.3).

The derivation $h^{-1} \text{ad}(\text{eu}^{\text{sph}})$ acts on \mathbf{U} diagonalizably with integral eigenvalues: we write $\mathbf{U} := \bigoplus_{i \in \mathbb{Z}} \mathbf{U}(i)$ for the corresponding grading. We set $\mathbf{U}(<0) := \bigoplus_{i < 0} \mathbf{U}(i)$, $\mathbf{U}(\leq 0) := \bigoplus_{i \leq 0} \mathbf{U}(i)$, $\mathbf{U}(\leq 0)^- := \mathbf{U}(\leq 0) \cap \mathbf{U}\mathbf{U}(<0)$. Clearly, $\mathbf{U}(\leq 0)$ is a subalgebra in \mathbf{U} , while both $\mathbf{U}(<0)$, $\mathbf{U}(\leq 0)^-$ are ideals in $\mathbf{U}(\leq 0)$. Set $\mathbf{U}^0 := \mathbf{U}(\leq 0)/\mathbf{U}(\leq 0)^-$. Of course, $\mathbf{U}^0 = \mathbf{U}(0)/(\mathbf{U}(0) \cap \mathbf{U}\mathbf{U}(<0))$.

Let $\mathbf{U}_p(<0)$, $\mathbf{U}_p(\leq 0)$, etc., denote the specializations of the corresponding objects to the parameter p . Alternatively, these objects may be obtained from \mathbf{U}_p in the same way as in the previous paragraph.

Let us record the following lemma for later use.

Lemma. *The algebra $\mathbf{U}(\leq 0)$ is noetherian.*

Proof. Since the $\mathbb{C}[\mathfrak{c}]$ -algebra $\mathbf{U}(\leq 0)$ is graded, it is enough to prove this property for $\mathbf{U}_0(\leq 0) = \mathbf{U}(\leq 0)/(\mathfrak{c}^*)$. Now consider the algebra $\mathbf{U}_0 \otimes \mathbb{C}[x]$ with the diagonal \mathbb{C}^\times -action where x is given degree 1. Then $\mathbf{U}_0(\leq 0) \cong (\mathbf{U}_0 \otimes \mathbb{C}[x])^{\mathbb{C}^\times}$. Being the invariant subalgebra for a reductive group action on a finitely generated commutative algebra, the algebra $\mathbf{U}_0(\leq 0)$ itself is finitely generated. \square

3.1.3. *Definition of \mathcal{O}^{sph} .* We will give a definition of an ‘‘internal’’ category $\mathcal{O}_p^{\text{sph}}$ for the algebra \mathbf{U}_p . The definition is inspired by the definition of the category \mathcal{O}^{sph} for W -algebras [13], [42], and for hypertoric varieties [10].

We say that an \mathbf{U}_p -module M lies in the category $\mathcal{O}_p^{\text{sph}}$ if

- (O_1) M is finitely generated;
- (O_2) eu^{sph} acts on M locally finitely;
- (O_3) $\mathbf{U}_p(<0)$ acts on M by locally nilpotent endomorphisms.

We remark that given the first two conditions, (O_3) is equivalent to either of the following:

- (O'_3) for any $m \in M$ there is $\alpha \in \mathbb{Z}$ such that $\mathbf{U}_p(\beta)m = 0$ for any $\beta \leq \alpha$;
- (O''_3) the set of eu^{sph} -weights in M is bounded below, meaning that there are $\alpha_1, \dots, \alpha_k$ in \mathbb{C} such that the weight subspace M_α is zero whenever $\alpha - \alpha_i \notin \mathbb{Z}_{\geq 0}$ for all i .

3.1.4. *Weight spaces.*

Lemma. *The algebra \mathbf{U}_p^0 is finite-dimensional.*

Proof. Clearly, $\text{gr } \mathbf{U}_p(<0) = \mathbf{U}_0(<0)$. It follows that $\text{gr } \mathbf{U}_p^0$ is a quotient of \mathbf{U}_0^0 and so it is enough to prove that \mathbf{U}_0^0 is finite-dimensional. Consider homogeneous free generators

a_1, \dots, a_n of $\mathbb{C}[\mathfrak{h}]^W$ and b_1, \dots, b_n of $S(\mathfrak{h})^W$. The algebra \mathbf{U}_0 is a finitely generated module over $\mathbb{C}[a_1, \dots, a_n, b_1, \dots, b_n]$ so we can pick bihomogeneous generators c_1, \dots, c_m . Thus monomials in a_i, b_j, c_k with the c_k 's appearing at most once span \mathbf{U}_0 as a vector space. The algebra \mathbf{U}_0^0 is spanned by the images of the monomials lying in $\mathbf{U}_0(0)$ and not containing b_j 's. Since there are only finitely many of such, the algebra \mathbf{U}_0^0 is finite-dimensional. \square

The following is a standard corollary of Lemma 3.1.4.

Corollary. *All generalized eigenspaces of a module $M \in \mathcal{O}_p^{\text{sph}}$ are finite-dimensional.*

3.1.5. *Functors Δ_p^{sph} and $\bullet^{\mathbf{U}_p(<0)}$.* Let M^0 be a finitely generated \mathbf{U}_p^0 -module. We can view M^0 as an $\mathbf{U}_p(\leq 0)$ -module via the epimorphism $\mathbf{U}_p(\leq 0) \rightarrow \mathbf{U}_p^0$. We define $\Delta_p^{\text{sph}}(M^0) := \mathbf{U}_p \otimes_{\mathbf{U}_p(\leq 0)} M^0$, an object of \mathcal{O}^{sph} . Hence we have a right exact functor

$$\Delta_p^{\text{sph}} : \mathbf{U}_p^0\text{-mod} \rightarrow \mathcal{O}^{\text{sph}}.$$

Lemma. *There is a right adjoint functor to Δ_p^{sph} , mapping $M \in \mathcal{O}_p^{\text{sph}}$ to the annihilator $M^{\mathbf{U}_p(<0)}$ of $\mathbf{U}_p(<0)$ in M .*

Proof. We only need to prove that the \mathbf{U}_p^0 -module $M^{\mathbf{U}_p(<0)}$ is finitely generated. But since \mathbf{U}_p^0 is finite-dimensional, $M^{\mathbf{U}_p(<0)}$ is a sum of finitely many distinct generalized eu^{sph}-eigenspaces, and by the above corollary each of these is finite-dimensional. \square

3.1.6. *Simple objects.* Now let M^0 be an irreducible \mathbf{U}_p^0 -module. Then $\Delta_p^{\text{sph}}(M^0)$ is indecomposable and, moreover, has a unique simple quotient which we denote by $L_p^{\text{sph}}(M^0)$. The map $M^0 \mapsto L_p^{\text{sph}}(M^0)$ is a bijection between $\text{Irrrep}(\mathbf{U}_p^0)$ and the set of simples in $\mathcal{O}_p^{\text{sph}}$. Its inverse is given by taking the lowest weight subspace or, alternatively, by applying the functor $\bullet^{\mathbf{U}_p(<0)}$.

3.2. Main result

3.2.1. *Functors between $\mathcal{O}_p^{\text{sph}}$ and \mathcal{O} .* It is clear that the assignment $M \mapsto eM$ defines an exact functor $\mathcal{O}_p \rightarrow \mathcal{O}_p^{\text{sph}}$.

Proposition. *The functor $N \mapsto \mathbf{H}_p e \otimes_{\mathbf{U}_p} N$ maps $\mathcal{O}_p^{\text{sph}}$ to \mathcal{O}_p .*

Proof. Let $N \in \mathcal{O}_p^{\text{sph}}$. Observe that $\mathbf{H}_p e$ is finitely generated both as a left \mathbf{H}_p -module and as a right \mathbf{U}_p -module. In particular, since N is a finitely generated \mathbf{U}_p -module, $\mathbf{H}_p e \otimes_{\mathbf{U}_p} N$ is finitely generated over \mathbf{H}_p . Now let us choose eigenvectors f_1, \dots, f_k for the adjoint action of eu that generate $\mathbf{H}_p e$ over \mathbf{U}_p . Then $\mathbf{H}_p e \otimes_{\mathbf{U}_p} N$ is spanned by elements of the form $f_i \otimes v, v \in N$. It follows that the eu-weights of $\mathbf{H}_p e \otimes_{\mathbf{U}_p} N$ are bounded below, so $S(\mathfrak{h})$ acts locally nilpotently. Hence $\mathbf{H}_p e \otimes_{\mathbf{U}_p} N \in \mathcal{O}_p$. \square

3.2.2. *Correspondence between simples.* If $p \in \mathfrak{c}_1^{\text{sph}}$ then 3.1.1 and 3.2.1 show that the categories \mathcal{O}_p and $\mathcal{O}_p^{\text{sph}}$ are equivalent as abelian categories. In particular, the \mathbf{U}_p -module $eL_p(\lambda)$ is irreducible for any irreducible W -module λ and the collection $\{eL_p(\lambda) : \lambda \in \text{Irrep}(W)\}$ exhausts the set of irreducible objects in $\mathcal{O}_p^{\text{sph}}$. By 3.1.6 this produces a bijection

$$\iota_p^L : \text{Irrep}(W) \xrightarrow{\sim} \text{Irrep}(\mathbf{U}_p^0)$$

with $eL_p(\lambda) = L^{\text{sph}}(\iota_p^L(\lambda))$.

3.2.3. *Homomorphism φ .* There is another way to relate $\text{Irrep}(W)$ and representations of \mathbf{U}_p^0 . Consider the universal Verma \mathbf{H} -module $\Delta(\lambda) = \mathbb{C}[\mathfrak{c}] \otimes \mathbb{C}[\mathfrak{h}] \otimes \lambda$ and take its spherical part $e\Delta(\lambda)$. As in 2.3.6, let $\text{fd}(\lambda^*)$ denote the smallest degree d such that λ^* appears in $\mathbb{C}[\mathfrak{h}]_d$. So the $\text{fd}(\lambda^*)$ -degree component of $e\Delta(\lambda)$ is naturally identified with a free $\mathbb{C}[\mathfrak{c}]$ -module. This component is stable under $\mathbf{U}(\leq 0)$ and is annihilated by $\mathbf{U}(< 0)$, so it becomes a \mathbf{U}^0 -module. We will denote it by $\iota(\lambda)$. By definition we have a homomorphism $\varphi : \Delta^{\text{sph}}(\iota(\lambda)) \rightarrow e\Delta(\lambda)$ which is the identity on the lowest weight $\mathbb{C}[\mathfrak{c}]$ -submodule $\iota(\lambda)$.

Specializing at p , we get a finite-dimensional \mathbf{U}_p^0 -module denoted by $\iota_p(\lambda)$.

3.2.4. *Main result.* The following conjecture is key to understanding the structure of $\mathcal{O}_p^{\text{sph}}$.

Conjecture 1. *Keep the above notation. For all $p \in \mathfrak{c}_1^{\text{sph}}$ we have*

- (i) $\iota_p^L = \iota_p$;
- (ii) *the homomorphism $\varphi_p : \Delta_p^{\text{sph}}(\iota(\lambda)) \rightarrow e\Delta_p(\lambda)$ is an isomorphism.*

We can prove a weaker statement.

Theorem. *In the above conjecture, (i) and (ii) hold for p in some non-empty Zariski open subset $\mathfrak{c}_1^! \subset \mathfrak{c}_1^{\text{sph}}$.*

The proof proceeds in two halves. In Subsection 3.3 we will show that the homomorphism $\Delta_p^{\text{sph}}(\iota(\lambda)) \rightarrow e\Delta_p(\lambda)$ is surjective on a Zariski open subset of \mathfrak{c}_1 . The injectivity of $\Delta_p^{\text{sph}}(\iota(\lambda)) \rightarrow e\Delta_p(\lambda)$ is more subtle, and will be established in Subsection 3.4 after restricting to a smaller Zariski open subset.

3.2.5. $\mathfrak{c}_1^{\text{sph}}$ is Zariski open. Recall that the *aspherical locus* $\mathfrak{c}_1^{\text{asph}}$ is the complement to the set of spherical parameters $\mathfrak{c}_1^{\text{sph}}$ in \mathfrak{c}_1 .

Lemma. *The aspherical locus is Zariski closed in \mathfrak{c}_1 .*

Proof. Consider \mathbf{H}_1 , the $\mathbb{C}[\mathfrak{c}_1]$ -algebra obtained by specializing h to 1 in \mathbf{H} . Let \mathbf{I} be the two-sided ideal $\mathbf{H}_1 e \mathbf{H}_1$ and let $\mathbf{I}^1, \dots, \mathbf{I}^k$ be its minimal prime ideals. Define $\mathbf{J} := \mathbf{H}_1 e \mathbf{H}_1 \cap \mathbb{C}[\mathfrak{c}_1]$ and $\mathbf{J}^i := \mathbf{I}^i \cap \mathbb{C}[\mathfrak{c}_1]$. Then the \mathbf{J}^i for $i = 1, \dots, k$ are all minimal prime

ideals of \mathbf{J} . By generic freeness we see that $\text{gr } \mathbf{H}_1/\mathbf{H}_1 e \mathbf{H}_1$ and hence $\mathbf{H}_1/\mathbf{H}_1 e \mathbf{H}_1$ are both generically free over $\mathbb{C}[\mathfrak{c}_1]/\mathbf{J}$. It follows that $\mathfrak{c}_1^{\text{asph}} \subset \bigcup_i \text{Spec}(\mathbb{C}[\mathfrak{c}_1]/\mathbf{J}^i)$.

Let X^i be the associated variety of \mathbf{I}^i ; by definition this is the support of the $\mathbb{C}[\mathfrak{h} \oplus \mathfrak{h}^*]^W$ -module $\text{gr } \mathbf{H}_1/(\mathbf{I}^i + \mathfrak{c}_1^* \mathbf{H}_1)$. If $X^i = \{0\}$, then $\mathbf{H}_1/\mathbf{I}^i$ is finite over $\mathbb{C}[\mathfrak{c}_1]/\mathbf{J}^i$. It follows that for any $p \in \text{Spec}(\mathbb{C}[\mathfrak{c}_1]/\mathbf{J}^i)$ the algebra $\mathbf{H}_p/\mathbf{I}_p^i$ is non-zero. By the construction of \mathbf{I}^i , we know that the image of e in $\mathbf{H}_p/\mathbf{I}_p^i$ is zero. Thus any $p \in \text{Spec}(\mathbb{C}[\mathfrak{c}_1]/\mathbf{J}^i)$ is aspherical. If we let $\bar{\mathfrak{c}}_1^{\text{asph}}$ denote the union of $\text{Spec}(\mathbb{C}[\mathfrak{c}_1]/\mathbf{J}^i)$ with $X^i = \{0\}$ then $\bar{\mathfrak{c}}_1^{\text{asph}}$ is a Zariski closed subset in $\mathfrak{c}_1^{\text{asph}}$.

For a parabolic subgroup \underline{W} let $\mathfrak{c}_1^{\text{asph}}(\underline{W}) \subset \mathfrak{c}_1$ denote the aspherical locus for the algebras $\mathbf{H}_p(W)$. According to [7, Theorem 4.1], we have $\mathfrak{c}_1^{\text{asph}}(\underline{W}) \subset \mathfrak{c}_1^{\text{asph}}$. Let $\underline{\mathfrak{c}}_1^{\text{asph}}$ be the union of $\mathfrak{c}_1^{\text{asph}}(\underline{W})$ over all proper parabolic subgroups $\underline{W} \subset W$. By induction, we may assume that $\underline{\mathfrak{c}}_1^{\text{asph}}$ is Zariski closed.

We now claim that $\mathfrak{c}_1^{\text{asph}} = \bar{\mathfrak{c}}_1^{\text{asph}} \cup \underline{\mathfrak{c}}_1^{\text{asph}}$. If not then there is i such that $\text{Spec}(\mathbb{C}[\mathfrak{c}_1]/\mathbf{J}^i)$ is not contained in $\bar{\mathfrak{c}}_1^{\text{asph}} \cup \underline{\mathfrak{c}}_1^{\text{asph}}$ and we deduce in particular that $X^i \neq \{0\}$. Thus if we pick a general point $p \in \text{Spec}(\mathbb{C}[\mathfrak{c}_1]/\mathbf{J}^i)$ then $\mathbf{H}_p e \mathbf{H}_p$ is an ideal of infinite codimension in \mathbf{H}_p . Let J be a minimal prime ideal of $\mathbf{H}_p e \mathbf{H}_p$ with infinite codimension. Thanks to [30, Corollary 6.6], there is an irreducible module L in \mathcal{O}_p with $J = \text{Ann}_{\mathbf{H}_p} L$, and hence there is a proper parabolic subgroup $\underline{W} \subset W$ such that $\text{Res}_{\underline{W}}^W L \neq 0$. It follows from end of the proof of [7, Theorem 4.1] that this latter module is aspherical. This contradicts $p \notin \underline{\mathfrak{c}}_1^{\text{asph}}$, and so our claim, and hence the lemma, is proved. \square

3.3. Proof of Theorem 3.2.4: surjectivity

3.3.1. Subset $\mathfrak{c}_1^{\text{ss}} \subset \mathfrak{c}_1$. Consider the subset $\mathfrak{c}_1^{\text{ss}} \subset \mathfrak{c}_1$ that consists of all p such that $c_\lambda(p) - c_\mu(p) \notin \mathbb{Z} \setminus \{0\}$ for different $\lambda, \mu \in \text{Irrep}(W)$. It is the complement to the union of countably many hyperplanes. By [31, Corollary 2.20] all $\Delta_p(\lambda)$ are simple for $p \in \mathfrak{c}_1^{\text{ss}}$. The algebra \mathbf{H}_p is known to be simple for $p \in \mathfrak{c}_1^{\text{ss}}$ this follows, for instance, from results of [30]. So $\mathfrak{c}_1^{\text{ss}} \subset \mathfrak{c}_1^{\text{sph}}$. Therefore the simplicity of $\Delta_p(\lambda)$ implies that of $e \Delta_p(\lambda)$.

3.3.2. Subset $\mathfrak{c}_1(\lambda) \subset \mathfrak{c}_1$.

Lemma. *Let $\lambda \in \text{Irrep}(W)$. The subset*

$$\mathfrak{c}_1(\lambda) := \{p \in \mathfrak{c}_1 : e \Delta_p(\lambda) \text{ is generated by its lowest weight space}\}$$

is Zariski open and contains $\mathfrak{c}_1^{\text{ss}}$.

Proof. Let us fix an identification of \mathbf{U}_p with $\mathbb{C}[\mathfrak{h} \oplus \mathfrak{h}^*]^W$ as follows. Recall that $\mathbb{C}[\mathfrak{h}]$ is a free graded $\mathbb{C}[\mathfrak{h}]^W$ -module and that there is a W -stable graded subspace Harm of harmonic polynomials inside $\mathbb{C}[\mathfrak{h}]$ such that $\mathbb{C}[\mathfrak{h}] = \text{Harm} \otimes \mathbb{C}[\mathfrak{h}]^W$. We have an isomorphism $\text{Harm} \cong \mathbb{C}W$ of W -modules. Let Harm^* denote the space of harmonic elements in $S(\mathfrak{h})$. The triangular decomposition of \mathbf{H}_p implies that \mathbf{H}_p is a free $\mathbb{C}[\mathfrak{h}]^W \otimes \mathbb{C}[\mathfrak{h}^*]^W$ -module (with the first factor acting from the left and the second factor acting from the

right) with generating space $\text{Harm} \otimes \mathbb{C}W \otimes \text{Harm}^*$. So the space $e(\text{Harm} \otimes \mathbb{C}W \otimes \text{Harm}^*)e$ freely generates \mathbf{U}_p as a $\mathbb{C}[\mathfrak{h}]^W \otimes \mathbb{C}[\mathfrak{h}^*]^W$ -module, and this produces the identification of \mathbf{U}_p with $\mathbb{C}[\mathfrak{h} \oplus \mathfrak{h}^*]^W$ we need.

We identify $\Delta(\lambda)$ with $\mathbb{C}[\mathfrak{h}] \otimes \lambda$: W acts on $\mathbb{C}[\mathfrak{h}] \otimes \lambda$ diagonally, $\mathbb{C}[\mathfrak{h}]$ by multiplication on the first tensorand, and for $y \in \mathfrak{h}$ we have $y.(f \otimes v) = [y, f] \otimes v$, where $f \in \mathbb{C}[\mathfrak{h}]$, $v \in \lambda$ and we note that $[y, f] \in \mathbb{C}[\mathfrak{h}] \# W$. Now the operator $y : \mathbb{C}[\mathfrak{h}]_i \otimes \lambda \rightarrow \mathbb{C}[\mathfrak{h}]_{i-1} \otimes \lambda$ depends polynomially on p , so as a consequence of our identification of \mathbf{U}_p with $\mathbb{C}[\mathfrak{h} \oplus \mathfrak{h}^*]^W$, we see that for any $a \in \mathbb{C}[\mathfrak{h} \oplus \mathfrak{h}^*]^W(i)$ the operator $l_a : e(\mathbb{C}[\mathfrak{h}]_j \otimes \lambda) \rightarrow e(\mathbb{C}[\mathfrak{h}]_{j+i} \otimes \lambda)$ depends polynomially on p .

Recall the non-negative integer $\text{fd}(\lambda^*)$. Let $F = e(\mathbb{C}[\mathfrak{h}]_{\text{fd}(\lambda^*)} \otimes \lambda)$. Then F consists of lowest weight vectors in $e\Delta(\lambda)$, and $c_1(\lambda)$ is the set of all parameters such that F generates $e\Delta(\lambda) = e(\mathbb{C}[\mathfrak{h}] \otimes \lambda)$. But as a $\mathbb{C}[\mathfrak{h}]^W$ -module, $e(\mathbb{C}[\mathfrak{h}] \otimes \lambda)$ is generated by $e(\text{Harm} \otimes \lambda)$. It follows that $c_1(\lambda)$ coincides with the set of parameters such that $\mathbf{U}_p(i)F = e(\mathbb{C}[\mathfrak{h}]_i \otimes \lambda)$ for all i between 1 and N , where N denotes the largest degree appearing in Harm . From the previous paragraph we deduce that $c_1(\lambda)$ is Zariski open.

It remains to show that $c_1^{\text{ss}} \subset c_1(\lambda)$. But for $p \in c_1^{\text{ss}}$ we know that $\Delta(\lambda)$ and hence $e\Delta(\lambda)$ are simple and the claim follows. \square

3.3.3. *Proof of surjectivity.* Set $c_1(W) = \bigcap_{\lambda \in \text{Irrep}(W)} c_1(\lambda)$.

Lemma. *Let $p \in c_1(W)$. Then for any $\lambda \in \text{Irrep}(W)$ the homomorphism $\varphi_p : \Delta^{\text{sph}}(\iota_p(\lambda)) \rightarrow e\Delta(\lambda)$ is surjective and so $\iota_p^L = \iota_p$.*

Proof. The surjectivity is a consequence of Lemma 3.3.2. This implies $\iota_p^L = \iota_p$. \square

3.4. *Proof of Theorem 3.2.4: injectivity*

In our proof we will need four lemmas.

3.4.1. *Subset $c_1^{\text{irr}} \subset c_1$*

Lemma. *There is a Zariski open subset $c_1^{\text{irr}} \subset c_1(W) \cap c_1^{\text{sph}}$ such that \mathbf{U}_p^0 is semisimple for all $p \in c_1^{\text{irr}}$, the set $\{\iota_p(\lambda) : \lambda \in \text{Irrep}(W)\}$ is a complete set of irreducible representations of \mathbf{U}_p^0 , and $\dim \iota_p(\lambda) = \text{rank}_{\mathbb{C}[\mathfrak{c}]} \iota(\lambda)$. Moreover, $c_1^{\text{ss}} \subset c_1^{\text{irr}}$ and φ_p is an isomorphism for all $p \in c_1^{\text{ss}}$.*

Proof. Suppose that $p \in c_1^{\text{ss}}$. Since $e\Delta_p(\lambda)$ is irreducible, any non-zero element $f \in \iota_p(\lambda) = (e\Delta_p(\lambda))_{\text{fd}(\lambda^*)}$ generates $e\Delta_p(\lambda)$ and so $\mathbf{U}_p(0)f = \iota_p(\lambda)$. This shows that $\iota_p(\lambda)$ is an irreducible \mathbf{U}_p^0 -module for all $p \in c_1^{\text{ss}}$. Moreover, since $\mathcal{O}_p^{\text{sph}}$ is semisimple, by 3.2.2 it follows that the indecomposable $\Delta_p^{\text{sph}}(\iota_p(\lambda))$ is necessarily irreducible and hence that $\varphi_p : \Delta_p^{\text{sph}}(\iota_p(\lambda)) \rightarrow e\Delta_p(\lambda)$ is an isomorphism. We also note that since $\mathcal{O}_p^{\text{sph}}$ is semisimple for $p \in c_1^{\text{ss}}$ the functors $\Delta_p^{\text{sph}}(\bullet)$ and $\bullet^{\mathbf{U}_p(\lt 0)}$ ensure that \mathbf{U}_p^0 is a semisimple algebra. Its dimension is necessarily

$$\sum_{\lambda \in \text{Irrep}(W)} \dim(\iota_p(\lambda))^2 = \sum_{\lambda \in \text{Irrep}(W)} (\text{rank}_{\mathbb{C}[\mathfrak{c}]} \iota(\lambda))^2.$$

We will prove in Step 2 of Lemma 3.4.2 that $\dim(\mathbf{U}_p^0)$ depends upper semicontinuously on p , so that there is a Zariski open set on which $\dim \mathbf{U}_p^0$ is minimal. (This result is independent of the material in between.) Applying [29, Corollary 2.6] we see that there is a Zariski open subset $\mathfrak{c}_1^{\text{irr}} \subset \mathfrak{c}_1(W)$ on which all \mathbf{U}_p^0 are isomorphic to the same semisimple algebra. By the previous paragraph this open set contains $\mathfrak{c}_1^{\text{ss}}$ and the semisimple algebra has simples labelled by $\lambda \in \text{Irrep}(W)$. By Lemma 3.3.3, $\iota_p(\lambda) = \iota_p^L(\lambda)$ for all $p \in \mathfrak{c}_1(W)$. Since the assignment $\lambda \mapsto \iota_p^L(\lambda)$ is a bijection between $\text{Irrep}(W)$ and $\text{Irrep}(\mathbf{U}_p^0)$, we are done. \square

We remark that for many W , including the family $G_\ell(n)$ for all ℓ and n , all the spaces $\iota(\lambda)$ are actually free $\mathbb{C}[\mathfrak{c}]$ -modules of rank 1 and so in such cases the $\iota_p(\lambda)$ are irreducible for all $p \in \mathfrak{c}_1$.

3.4.2. *Weak flatness.* One problem with the modules $\Delta_p^{\text{sph}}(\iota(\lambda))$ is that it is unclear whether they depend on p in a flat way. However, we still can produce a much weaker statement. Consider the grading on $\Delta_p^{\text{sph}}(\iota(\lambda))$ induced from the grading on \mathbf{U}_p , where we assume that $\iota(\lambda) \subset \Delta^{\text{sph}}(\iota(\lambda))$ has degree 0. Equip $e\Delta(\lambda) = e(\mathbb{C}[\mathfrak{h}] \otimes \lambda)$ with the grading induced from the standard grading $\mathbb{C}[\mathfrak{h}]$. Then $\varphi_p : \Delta_p^{\text{sph}}(\iota(\lambda)) \rightarrow e\Delta(\lambda)$ is graded.

Lemma. *For each i consider the set $\mathfrak{c}_1^i \subset \mathfrak{c}_1^{\text{irr}}$ of all parameters p such that*

- (1) \mathbf{U}_p^0 is a semisimple algebra of dimension $\sum_{\lambda \in \text{Irrep}(W)} (\text{rank}_{\mathbb{C}[\mathfrak{c}]} \iota(\lambda))^2$;
- (2) the homomorphism $\Delta_p^{\text{sph}}(\iota(\lambda)) \rightarrow e\Delta_p(\lambda)$ is an isomorphism on the i^{th} graded component for all $\lambda \in \text{Irrep}(W)$.

Then \mathfrak{c}_1^i is Zariski open and non-empty.

Proof. Step 1. For $p \in \mathfrak{c}_1$ consider the (universal Verma) \mathbf{U}_p -module $\Delta_p^{\text{sph}} := \mathbf{U}_p / \mathbf{U}_p \mathbf{U}_p(\leq 0) = \mathbf{U}_p \otimes_{\mathbf{U}_p(\leq 0)} \mathbf{U}_p^0$. We will first prove an upper semicontinuity statement for its graded component $(\Delta_p^{\text{sph}})_i$. According to Lemma 3.1.2 we can pick a finite collection f_1, \dots, f_m of homogeneous generators of the left ideal $\mathbf{U}(\leq 0) \subset \mathbf{U}(\leq 0)$ so that $\Delta^{\text{sph}} = \mathbf{U} / \mathbf{U}\langle f_1, \dots, f_m \rangle$. Here and below, the angular brackets $\langle \cdot, \cdot \rangle$ mean the linear span. So $(\Delta^{\text{sph}})_i = \mathbf{U}(i) / \sum_{j=1}^m \mathbf{U}(i - \deg f_j) f_j$. We would like to say that the operator of right multiplication by $f_j : \mathbf{U}_p(i - \deg f_j) \rightarrow \mathbf{U}_p(i)$ depends polynomially on p and so $\dim(\Delta_p^{\text{sph}})_i$ is upper semicontinuous. Unfortunately this does not work because the spaces $\mathbf{U}_p(i)$ are infinite-dimensional.

To fix this recall from the proof of Lemma 3.3.2 that we have a basis $a^\alpha v_k b^\beta$ of \mathbf{U} , where $a^\alpha := a_1^{\alpha_1} \dots a_n^{\alpha_n}$, $b^\beta := b_1^{\beta_1} \dots b_n^{\beta_n}$ with a_1, \dots, a_n and b_1, \dots, b_n being free homogeneous generators of $\mathbb{C}[\mathfrak{h}]^W$ and $S(\mathfrak{h})^W$ respectively, and the v_k form a basis in $e(\text{Harm} \otimes \mathbb{C}W \otimes \text{Harm}^*)e$. Furthermore, the operators $\text{ad}(b_k)$ on \mathbf{U} are locally nilpotent. So there is $N \in \mathbb{Z}_{>0}$ such that $b^\beta f_j \in \mathbf{U}\langle b_1, \dots, b_n \rangle$ for all j and β with $|\beta| = N$. Thus $(\mathbf{U}_p \langle b^\beta \rangle_{|\beta|=N})(i - \deg f_j) f_j \subset (\mathbf{U}_p \langle b_1, \dots, b_n \rangle)(i)$ for all i . So right multiplication by f_j induces a linear map of finite-dimensional spaces

$$(\mathbf{U}_p / \mathbf{U}_p \langle b^\beta \rangle_{|\beta|=N})(i - \deg f_j) \rightarrow (\mathbf{U}_p / \mathbf{U}_p \langle b_1, \dots, b_n \rangle)(i).$$

These two spaces do not depend on p and the linear map under consideration depends polynomially on p , which can be checked similarly to the statements in the proof of Lemma 3.3.2. Since $\mathbf{U}_p \langle b_1, \dots, b_n \rangle \subset \mathbf{U}_p \mathbf{U}_p(\langle 0 \rangle)$, this proves the claim in this step.

Step 2. The space $(\Delta_p^{\text{sph}})_0$ equals \mathbf{U}_p^0 . Thus $\dim(\mathbf{U}_p^0)$ is upper semicontinuous for $p \in \mathfrak{c}_1$. Thanks to this we can now invoke Lemma 3.4.1 and deduce that $\mathfrak{c}_1^{\text{irr}}$ is a Zariski open set where property (1) of the Lemma 3.4.2 holds.

Step 3. We may assume now that \mathbf{U}_p^0 is semisimple. We have $\dim \Delta_p^{\text{sph}}(\iota(\lambda))_i \geq \dim e\Delta_p(\lambda)_i$ for all i , with equality achieved, at least, for all $p \in \mathfrak{c}_1^{\text{ss}}$. We have an \mathbf{U}_p - \mathbf{U}_p^0 -bimodule structure on Δ_p^{sph} and the isotypic components for \mathbf{U}_p^0 are precisely $\Delta_p^{\text{sph}}(\iota(\lambda))^{\dim \iota_p(\lambda)}$ for $\lambda \in \text{Irrep}(W)$. So

$$\dim (\Delta_p^{\text{sph}})_i = \sum_{\lambda} \dim \iota_p(\lambda) \dim \Delta_p^{\text{sph}}(\iota(\lambda))_i \geq \sum_{\lambda} \dim \iota_p(\lambda) \dim e\Delta_p(\lambda)_i.$$

The right hand side is constant on $\mathfrak{c}_1^{\text{irr}}$. Since the left hand side is upper semicontinuous, we see that \mathfrak{c}_1^i is Zariski open, and since equality holds for $p \in \mathfrak{c}_1^{\text{ss}}$, we see that \mathfrak{c}_1^i is non-empty. This completes the proof. \square

3.4.3. Homomorphism φ'

Lemma. Fix $p \in \mathfrak{c}_1^{\text{sph}}$. For each $\lambda \in \text{Irrep}(W)$ there is $\lambda'_p \in \text{Irrep}(W)$ such that there is a non-zero homomorphism $\varphi' : e\Delta_p(\lambda'_p) \rightarrow \Delta_p^{\text{sph}}(\iota(\lambda))$ with the property that the lowest degree part of $\varphi'(e\Delta(\lambda'_p))$ lies in $\Delta_p^{\text{sph}}(\iota(\lambda))_i$ with $i \leq |W|$.

Proof. Consider the \mathbf{H}_p -module $M := \mathbf{H}_p e \otimes_{\mathbf{U}_p} \Delta_p^{\text{sph}}(\iota(\lambda))$. We remark that $\Delta_p^{\text{sph}}(\iota(\lambda))$ is naturally identified with eM . The element eu acts diagonalizably on M and its minimal eigenvalue α is less than or equal to the minimal eigenvalue β (in the sense that $\alpha - \beta \in \mathbb{Z}_{\leq 0}$) of eu^{sph} on $\Delta_p^{\text{sph}}(\iota(\lambda))$. The α -eigenspace is W -stable and is annihilated by \mathfrak{h} . Pick an irreducible W -submodule $\lambda' (= \lambda'_p)$ of this space. So we get a nonzero homomorphism $\varphi : \Delta_p(\lambda') \rightarrow M$. Since the parameter p is spherical, the induced homomorphism $e\Delta_p(\lambda') \rightarrow eM = \Delta_p^{\text{sph}}(\iota(\lambda))$ is non-zero as well. Therefore if we have a set v_1, \dots, v_k of generators of $e\Delta_p(\lambda')$, then one of $\varphi(v_j)$ must be non-zero. As $e\Delta_p(\lambda')$ is generated as a $\mathbb{C}[\mathfrak{h}]^W$ -module by $(\text{Harm} \otimes \lambda')^W$, we may take v_1, \dots, v_k to be a homogeneous basis of this space. The maximal degree of an element in Harm is no greater than $|W|$, so the eigenvalue of eu^{sph} on v_k , and hence on $\varphi(v_k)$, is less than or equal to $\alpha + |W|$. This proves the lemma. \square

3.4.4. Subsets $\tilde{\mathfrak{c}}_1^i \subset \mathfrak{c}_1^{\text{irr}}$

Lemma. For $i > 0$ consider the subset $\tilde{\mathfrak{c}}_1^i \subset \mathfrak{c}_1$ of parameters p such that $e\Delta_p(\lambda)_i$ contains no non-zero vectors annihilated by $\mathbf{U}_p(\langle 0 \rangle)$. Then the set $\tilde{\mathfrak{c}}_1^i$ is Zariski open and non-empty.

Proof. The condition means that the intersection of the kernels of the actions on $e\Delta(\lambda)_i$ of the elements $f_1, \dots, f_m \in \mathbf{U}$ introduced in the proof of Lemma 3.4.2 is zero. The restrictions of f_j 's to $e\Delta(\lambda)_i$ depend algebraically on p . So the set \tilde{c}_1^i is Zariski open. To see that it is non-empty assume that $p \in \mathfrak{c}_1^{\text{ss}}$. Then $e\Delta(\lambda)$ is simple and so the only vector annihilated by $\mathbf{U}_p(<0)$ lies in degree 0. So $\mathfrak{c}_1^{\text{ss}} \subset \tilde{c}_1^i$ for all $i > 0$. \square

3.4.5. *Completion of the proof.* We claim that the homomorphism $\varphi : \Delta_p^{\text{sph}}(\iota(\lambda)) \rightarrow e\Delta_p(\lambda)$ is bijective as long as p lies in the Zariski open non-empty set

$$\bigcap_{i=1}^{|W|} \mathfrak{c}_1^i \cap \tilde{c}_1^i.$$

Indeed, we know that the homomorphism is injective in degrees $\leq |W|$. So Lemma 3.4.4 shows that the common kernel of $\mathbf{U}_p(<0)$ in $\Delta_p^{\text{sph}}(\iota(\lambda))_i$ for $0 \leq i \leq |W|$ is $\iota_p(\lambda) = \Delta_p^{\text{sph}}(\iota(\lambda))_0$. Now we apply Lemma 3.4.3 to see that the image of the homomorphism $\varphi' : e\Delta_p(\lambda') \rightarrow \Delta_p^{\text{sph}}(\iota(\lambda))$ from Lemma 3.4.3 must contain $\Delta_p^{\text{sph}}(\iota(\lambda))_0$. So φ' and hence $\varphi \circ \varphi'$ are surjective. Since the parameter p is spherical, $\varphi \circ \varphi'$ induces an epimorphism $\Delta(\lambda') \rightarrow \Delta(\lambda)$. Therefore $\lambda' = \lambda$, and $\varphi \circ \varphi'$ is an isomorphism. Thus φ and φ' are isomorphisms.

3.5. Spherical restriction functors

3.5.1. *Isomorphism of completions.* Pick a point $b \in \mathfrak{h}$ and let \underline{W} be the stabilizer of b in W . Consider the completions $\mathbf{U}^{\wedge b} := \mathbb{C}[\mathfrak{h}/W]^{\wedge b} \otimes_{\mathbb{C}[\mathfrak{h}/W]} \mathbf{U}$ and $\underline{\mathbf{U}}^{\wedge b} := \mathbb{C}[\mathfrak{h}/\underline{W}]^{\wedge b} \otimes_{\mathbb{C}[\mathfrak{h}/\underline{W}]} \underline{\mathbf{U}}$, where $\underline{\mathbf{U}} := e\mathbf{H}e$ is the spherical subalgebra in \mathbf{H} . Let θ be a $\mathbb{C}[[\mathfrak{c}]]$ -linear isomorphism $\mathbf{U}^{\wedge b} \xrightarrow{\sim} \underline{\mathbf{U}}^{\wedge b}$ with the following two properties:

- (i) θ is \mathbb{C}^\times -equivariant with respect to the \mathbb{C}^\times -action on \mathbf{U} induced from the action on \mathbf{H} given by $t.x = x, t.y = ty, t.w = w, t.a = ta$ for $x \in \mathfrak{h}^*, y \in \mathfrak{h}, w \in W, a \in \mathfrak{c}^*$;
- (ii) modulo \mathfrak{c}^* the isomorphism θ is a standard isomorphism

$$\mathbb{C}[\mathfrak{h}/W]^{\wedge b} \otimes_{\mathbb{C}[\mathfrak{h}/W]} \mathbb{C}[\mathfrak{h} \oplus \mathfrak{h}^*]^W \xrightarrow{\sim} \mathbb{C}[\mathfrak{h}/\underline{W}]^{\wedge b} \otimes_{\mathbb{C}[\mathfrak{h}/\underline{W}]} \mathbb{C}[\mathfrak{h} \oplus \mathfrak{h}^*]^W.$$

As an example of a θ satisfying these conditions, we can take the restriction of ϑ^b from (2.4.1) to the spherical subalgebras (we remark that for any algebra A having $\mathbb{C}W$ as a subalgebra we have $eAe = eZ(W, \underline{W}, A)e$). Furthermore, for any two isomorphisms θ, θ' with the properties above, there is $f \in \mathfrak{c}^* \otimes \mathbb{C}[\mathfrak{h}/\underline{W}]^{\wedge b}$ such that

$$\theta' = \exp(h^{-1} \text{ad}(f)) \circ \theta. \tag{3.5.1}$$

This is proved analogously to [43, Lemma 5.2.1].

3.5.2. *Definition of functor.* The restriction functor ${}^{\text{sph}}\text{Res}_b : \mathcal{O}_p^{\text{sph}}(W, \mathfrak{h}) \rightarrow \mathcal{O}_p^{\text{sph}}(\underline{W}, \mathfrak{h})$ is defined similarly to 2.4.3, but instead of taking \mathfrak{h} -locally nilpotent vectors we take vectors that are locally nilpotent for the augmentation ideal in $S(\mathfrak{h})^{\underline{W}}$. Thanks to (3.5.1), the restriction functor does not depend on the choice of θ .

A vector in an $\underline{\mathbf{H}}$ -module is \mathfrak{h} -locally nilpotent if and only if it is locally nilpotent with respect to the augmentation ideal in $S(\mathfrak{h})^W$. So if we choose θ induced from ϑ^b we see that the functors $M \mapsto \underline{e} \operatorname{Res}_b(M)$ and $M \mapsto {}^{\operatorname{sph}}\operatorname{Res}_W^W(eM)$ are naturally isomorphic.

4. Equivalences from an \mathfrak{S}_ℓ -action

In this section we take $W = G_\ell(n)$.

4.1. Main result

4.1.1. \mathfrak{S}_ℓ -action. A nice feature of the cyclotomic case is that there is an action of \mathfrak{S}_ℓ on \mathbf{U} by graded algebra automorphisms preserving \mathfrak{c}^* [44, §6.4]. To describe the \mathfrak{S}_ℓ -action on \mathfrak{c}^* recall from 2.3.1 the basis $h, \epsilon_0, \dots, \epsilon_{\ell-1}$. The elements $h, \sum_{i=0}^{\ell-1} \epsilon_i$ are \mathfrak{S}_ℓ -invariant, while $\epsilon_1 - \frac{1}{\ell} \sum_{i=0}^{\ell-1} \epsilon_i, \dots, \epsilon_{\ell-1} - \frac{1}{\ell} \sum_{i=0}^{\ell-1} \epsilon_i$ transform as the simple roots of the $A_{\ell-1}$ -root system.

Recall that in 2.3.4 we defined a parametrization in terms of κ and \mathbf{s} . We have

$$\Psi\left(\sum_{i=0}^{\ell-1} \epsilon_i\right) = \frac{1}{2} - \kappa, \quad \Psi(\epsilon_i) = \kappa(s_i - s_{i-1}),$$

so the \mathfrak{S}_ℓ -action on \mathfrak{c} agrees with the \mathfrak{S}_ℓ -action fixing κ and permuting the s_i 's. In other words, the automorphism of \mathbf{U} induced by the \mathfrak{S}_ℓ -action defines an isomorphism $\mathbf{U}_{1,\kappa,\mathbf{s}} \xrightarrow{\sim} \mathbf{U}_{1,\kappa,\sigma(\mathbf{s})}$, where $\sigma(\mathbf{s})$ is obtained by applying the permutation σ to \mathbf{s} .

Let us record two more important properties of the \mathfrak{S}_ℓ -action. According to [44, Proposition 6.7.1], the spherical Euler element eu^{sph} is \mathfrak{S}_ℓ -invariant. So the \mathfrak{S}_ℓ -action preserves the Euler grading on \mathbf{U} . In other words, \mathfrak{S}_ℓ commutes with the $(\mathbb{C}^\times)^2$ -action on \mathbf{U} that is restricted from the following action on \mathbf{H} :

$$(t_1, t_2).x = t_1x, (t_1, t_2).y = t_2y, (t_1, t_2).w = w, (t_1, t_2).a = t_1t_2a, \\ x \in \mathfrak{h}^*, y \in \mathfrak{h}, w \in W, a \in \mathfrak{c}^*. \quad (4.1.1)$$

Also the construction of the action in [44] implies that it is trivial on $\mathbb{C}[\mathfrak{h} \oplus \mathfrak{h}^*]^W = \mathbf{U}/(\mathfrak{c}^*)$.

4.1.2. Structure of $\mathfrak{c}_1^{\operatorname{sph}}$. The set $\mathfrak{c}_1^{\operatorname{sph}}$ was determined in [23, Theorem 1.1]. The set of aspherical parameters, $\mathfrak{c}_1^{\operatorname{asph}}$, is the union of the following hyperplanes:

- $h_{H_{\bullet,\bullet},0} - h_{H_{\bullet,\bullet},1} = a/b$ with $1 \leq a < b \leq n$;
- there exists $0 \leq u \leq \ell - 1$, an integer $1 - n \leq m \leq n - 1$, and an integer k such that $k \not\equiv 0 \pmod{\ell}$,

$$k = \ell(h_{H_{\bullet,\bullet},u-k} - h_{H_{\bullet,\bullet},u} + m(h_{H_{\bullet,\bullet},1} - h_{H_{\bullet,\bullet},0})) \quad \text{and} \quad 1 \leq k \leq u + \left(\sqrt{n + \frac{1}{4}m^2} - \frac{1}{2}m - 1\right)\ell.$$

Rewriting this in terms of the parameters κ and \mathbf{s} we find $\mathfrak{c}_1 \setminus \mathfrak{c}_1^{\text{sph}}$ is the union of the hyperplanes:

- $\kappa = a/b$ with $1 \leq a < b \leq n$;
- there exists $0 \leq u \leq \ell - 1$, an integer $1 - n \leq m \leq n - 1$, and an integer k such that $k \not\equiv 0 \pmod{\ell}$,

$$k - \hat{k} = \kappa \ell (s_{u-k} - s_u - m) \quad \text{and} \quad 1 \leq k \leq u + \left(\sqrt{n + \frac{1}{4}m^2} - \frac{1}{2}m - 1 \right) \ell,$$

where $u + 1 - \ell \leq \hat{k} \leq u$ and $\hat{k} \equiv k \pmod{\ell}$.

4.1.3. Equivalences $\Xi_{\sigma,p}$. Take $\sigma \in \mathfrak{S}_\ell$. It induces a grading preserving isomorphism $\mathbf{U}_p \rightarrow \mathbf{U}_{\sigma p}$ and hence an equivalence $\Xi_\sigma^{\text{sph}} : \mathcal{O}_p^{\text{sph}} \rightarrow \mathcal{O}_{\sigma p}^{\text{sph}}$. The description of the aspherical parameters in 4.1.2 implies that $\mathfrak{c}_1^{\text{sph}}$ is \mathfrak{S}_ℓ -stable (alternatively, to see that $\mathfrak{c}_1^{\text{sph}}$ is \mathfrak{S}_ℓ -stable one can use the fact that $p \in \mathfrak{c}_1^{\text{sph}}$ if and only if \mathbf{U}_p has finite global dimension, see [26, Theorem 5.5]). So for $p \in \mathfrak{c}_1^{\text{sph}}$ we can compose Ξ_σ^{sph} with the equivalences $\mathcal{O}_p \xrightarrow{\sim} \mathcal{O}_p^{\text{sph}}$ and $\mathcal{O}_{\sigma(p)}^{\text{sph}} \xrightarrow{\sim} \mathcal{O}_{\sigma(p)}$ to get an equivalence $\Xi_{\sigma,p} : \mathcal{O}_p \xrightarrow{\sim} \mathcal{O}_{\sigma(p)}$.

4.1.4. Main result. We define an action of \mathfrak{S}_ℓ on $\text{Irrep}(G_\ell(n))$ by permuting the components of the corresponding multipartition $\lambda = (\lambda^{(1)}, \dots, \lambda^{(\ell)})$.

Recall that by the *support* of a module $M \in \mathcal{O}_p$ we mean the support of M viewed as a $\mathbb{C}[\hbar]$ -module. The main result of this section is then a slightly stronger version of Theorem A stated in the Introduction.

Theorem. *Let $p \in \mathfrak{c}_1^{\text{sph}}$. Then the equivalence $\Xi_{\sigma,p}$ from 4.1.3 maps $\Delta_p(\lambda)$ to $\Delta_{\sigma p}(\sigma\lambda)$ and preserves the supports of the modules.*

The claim about supports is easy, while the claim about the images of standards is more difficult. Both will be proved in 4.3, but the latter will require quite a lot of preparation in 4.2. There we prove that $\Xi_{\sigma,p}$ induces the required map on the level of Grothendieck groups. Finally, at the end of 4.3 we will provide a counter-example to the previous theorem when p is an aspherical parameter.

4.2. Maps on Grothendieck groups

Our goal in this subsection is to prove that the map $[\Xi_{\sigma,p}] : [\mathcal{O}_p] \rightarrow [\mathcal{O}_{\sigma p}]$ of the complexified Grothendieck groups sends $[\Delta_p(\lambda)]$ to $[\Delta_{\sigma p}(\sigma\lambda)]$.

4.2.1. The case of a general parameter. Let us suppose that p is *general*, i.e., lies in the complement of the union of countably many hyperplanes. Then the modules $\Delta_p(\lambda)$, $\Delta_{\sigma p}(\lambda)$ are precisely the simples in the corresponding categories and hence $\Xi_{\sigma,p}$ maps $\Delta_p(\lambda)$ to $\Delta_{\sigma p}(\lambda_\sigma)$ for some λ_σ . It remains to check that $\lambda_\sigma = \sigma\lambda$. This will be proved by induction on n .

The base is $n = 1$. In this case it is easy to see that the values $\hat{c}_\lambda(p)$ are pairwise distinct and so are the values $\hat{c}_{\sigma\lambda}(\sigma p)$. The formula for $\hat{c}_\bullet(\bullet)$ given in 2.3.6 shows that $\hat{c}_\lambda(p) = \hat{c}_{\sigma\lambda}(\sigma p)$. Since $\hat{c}_\lambda(p)$ (resp., $\hat{c}_{\sigma\lambda}(\sigma p)$) is the smallest eigenvalue of $e\text{u}^{\text{sph}}$ in $e\Delta_p(\lambda)$ (resp., $e\Delta_{\sigma p}(\sigma\lambda)$), we see that $\Xi_{\sigma,p}$ is forced to send $\Delta_p(\lambda)$ to $\Delta_{\sigma p}(\sigma\lambda)$.

Suppose now that the isomorphism $\Xi_{\sigma,p}\Delta_p(\lambda) \cong \Delta_{\sigma p}(\sigma\lambda)$ is proved for $n - 1$. To prove this isomorphism for n we will need a relationship between the symmetric group equivalences $\Xi_{\sigma,p}$ and the restriction functor $\text{Res}_{n-1}^n := \text{Res}_{G_\ell(n-1)}^{G_\ell(n)}$.

Lemma. *We have a natural equivalence $\Xi_{\sigma,p} \circ \text{Res}_{n-1}^n \cong \text{Res}_{n-1}^n \circ \Xi_{\sigma,p}$.*

Proof. Pick $b \in \mathfrak{h}$ with $W_b = G_\ell(n - 1)$. Thanks to remarks in 3.5.2, it is enough to prove that the functors $\Xi_\sigma^{\text{sph}} \circ {}^{\text{sph}}\text{Res}_b, {}^{\text{sph}}\text{Res}_b \circ \Xi_\sigma^{\text{sph}} : \mathcal{O}_p^{\text{sph}}(W) \rightarrow \mathcal{O}_p^{\text{sph}}(W_b)$ are isomorphic.

Recall that the functor ${}^{\text{sph}}\text{Res}_b$ does not depend (up to a natural equivalence) on the choice of an isomorphism θ in 3.5.1. From the properties of the \mathfrak{S}_ℓ -action recalled at the end of 4.1.1 it follows that $\sigma\theta\sigma^{-1}$ satisfies (i) and (ii) of 3.5.1 provided θ does. However it is clear that the functor ${}^{\text{sph}}\text{Res}_b$ constructed from $\sigma\theta\sigma^{-1}$ coincides with the functor $\Xi_\sigma^{\text{sph}} \circ {}^{\text{sph}}\text{Res}_b \circ (\Xi_\sigma^{\text{sph}})^{-1}$. □

Recall that $[\text{Res}_{n-1}^n] : \mathbb{C}^{\mathcal{P}_\ell(n)} \rightarrow \mathbb{C}^{\mathcal{P}_\ell(n-1)}$ sends a multipartition λ to the sum (with unit coefficients) of all multipartitions obtained from λ by removing one box (see [2, Corollary 3.12]). Let λ' be such that $\Delta_p(\lambda') = \Xi_{\sigma,p}^{-1}(\Delta_{\sigma p}(\sigma\lambda))$. Then, by our induction assumption, $[\text{Res}_{n-1}^n \Delta_p(\lambda')] = [\text{Res}_{n-1}^n \Delta_p(\lambda)]$. By the above lemma this means that the set of multipartitions \mathcal{R}_λ obtained from λ by removing a box coincides with the analogous set $\mathcal{R}_{\lambda'}$ for λ' . We remark that λ is the union of multipartitions in \mathcal{R}_λ provided λ has more than one removable box. If λ has only one removable box but more than one box in total, then λ is uniquely recovered from the only multipartition in \mathcal{R}_λ . These two observations show that for $n > 1$, the equality $\mathcal{R}_\lambda = \mathcal{R}_{\lambda'}$ implies $\lambda = \lambda'$.

This deals with the case of a general parameter.

4.2.2. A deformation argument. Now let $p \in \mathfrak{c}_1^{\text{sph}}$ and pick a generic line L passing through p . Let $\hat{\mathbf{H}}_p$ be the pull-back of \mathbf{H} to the formal neighbourhood of p in L . Let \hbar denote the parameter on L near p . We can consider the category $\hat{\mathcal{O}}_p$ over $\hat{\mathbf{H}}_p$ (see for instance [50, 4.1]). Then $\hat{\mathcal{O}}_p$ has a pro-generator that is flat over $\mathbb{C}[[\hbar]]$; we let A_\hbar denote the opposite of its endomorphism algebra. Let $\hat{\Delta}_p(\lambda)$ denote the standard module in $\hat{\mathcal{O}}_p$ corresponding to $\lambda \in \text{Irrep}(W)$. We consider $\hat{\Delta}_p(\lambda)$ as an A_\hbar -module, flat of finite rank over $\mathbb{C}[[\hbar]]$.

Similarly, we can consider the category $\hat{\mathcal{O}}_{\sigma p}$ defined for σp and σL . We have an equivalence $\hat{\Xi}_{\sigma,p} : \hat{\mathcal{O}}_p \rightarrow \hat{\mathcal{O}}_{\sigma p}$ that specializes to $\Xi_{\sigma,p}$. Subsection 4.2.1 implies that $\hat{\Delta}_p(\lambda)$ and $\hat{\Xi}_{\sigma,p}^{-1}(\hat{\Delta}_{\sigma p}(\sigma\lambda))$ become isomorphic $A_\hbar[[\hbar^{-1}]]$ -modules after inverting \hbar . The claim that $[\Delta_p(\lambda)] = [\Xi_{\sigma,p}^{-1}(\Delta_{\sigma p}(\sigma\lambda))]$ then follows from the next lemma.

Lemma. *Let A_\hbar be a flat algebra of finite rank over $\mathbb{C}[[\hbar]]$. Let N_\hbar^1, N_\hbar^2 be two $\mathbb{C}[[\hbar]]$ -flat A_\hbar -modules of finite rank that become isomorphic after inverting \hbar . Then the classes of $N_\hbar^1/\hbar N_\hbar^1$ and $N_\hbar^2/\hbar N_\hbar^2$ in the Grothendieck group of $A_\hbar/\hbar A_\hbar$ coincide.*

Proof. For $i = 1, 2$, let $\text{tr}^i : A_{\hbar} \rightarrow \mathbb{C}[[\hbar]]$ denote the map that assigns to an element of A_{\hbar} its trace on N_{\hbar}^i . Since $N_{\hbar}^1[[\hbar^{-1}]] \cong N_{\hbar}^2[[\hbar^{-1}]]$, we see that $\text{tr}^1 = \text{tr}^2$ as elements of $\mathbb{C}[[\hbar]][[\hbar^{-1}]]$ and therefore also as elements of $\mathbb{C}[[\hbar]]$. It follows that the traces on $A_{\hbar}/\hbar A_{\hbar}$ associated to the modules $N_{\hbar}^1/\hbar N_{\hbar}^1$ and $N_{\hbar}^2/\hbar N_{\hbar}^2$ coincide, and so the modules have the same composition factors with the same multiplicities. Therefore their classes in the Grothendieck group coincide. \square

4.3. Proof of Theorem 4.1.4

4.3.1. *Supports.* First, note that for $M \in \mathcal{O}_p$ we have $\text{Supp}_{\mathbb{C}[\hbar]} M = \pi^{-1}(\text{Supp}_{\mathbb{C}[\hbar]^W} M)$, where $\pi : \mathfrak{h} \rightarrow \mathfrak{h}/W$ is the quotient morphism. So we just need to check that $\text{Supp}_{\mathbb{C}[\hbar]^W} M = \text{Supp}_{\mathbb{C}[\hbar]^W} \Xi_{\sigma,p}(M)$.

Next, notice that $\text{Supp}_{\mathbb{C}[\hbar]^W}(eM) \subseteq \text{Supp}_{\mathbb{C}[\hbar]^W}(M)$ for all $M \in \mathcal{O}_p$ and also that $\text{Supp}_{\mathbb{C}[\hbar]^W} \mathbf{H}_p e \otimes_{\mathbf{U}_p} N \subseteq \text{Supp}_{\mathbb{C}[\hbar]^W} N$ for all $N \in \mathcal{O}_p^{\text{sph}}$. It follows that for $p \in \mathfrak{c}_1^{\text{sph}}$ these inclusions are equalities. So we have reduced the proof to checking that

$$\text{Supp}_{\mathbb{C}[\hbar]^W} N = \text{Supp}_{\mathbb{C}[\hbar]^W} \Xi_{\sigma}^{\text{sph}}(N), \quad N \in \mathcal{O}_p^{\text{sph}}.$$

To verify this it suffices to check that the automorphism σ of \mathbf{U} fixes $\mathbb{C}[\hbar]^W \subset \mathbf{U}$ pointwise.

Now in \mathbf{U} the subalgebras $S(\mathfrak{h})^W$ and $\mathbb{C}[\hbar]^W$ are precisely the fixed point subalgebras for the first and the second copy of \mathbb{C}^{\times} under the action defined in (4.1.1). The subalgebras $S(\mathfrak{h})^W, \mathbb{C}[\hbar]^W \subset \mathbf{U}$ map isomorphically into their images in $\mathbb{C}[\mathfrak{h} \oplus \mathfrak{h}^*]^W$. But the \mathfrak{S}_{ℓ} -action is trivial modulo \mathfrak{c}^* . It therefore follows that these subalgebras are pointwise fixed by the action of \mathfrak{S}_{ℓ} .

The proof that $\Xi_{\sigma,p}$ preserves the supports is now complete.

4.3.2. *Images of standards.* Recall that both \mathcal{O}_p and $\mathcal{O}_{\sigma p}$ are highest weight categories. Set $\Delta'_p(\lambda) := \Xi_{\sigma,p}^{-1}(\Delta_{\sigma p}(\sigma\lambda))$. Then, according to the previous subsection, $[\Delta'_p(\lambda)] = [\Delta_p(\lambda)]$. Moreover, both collections $\Delta_p(\bullet), \Delta'_p(\bullet)$ are the sets of standard objects for two, a priori different, highest weight structures on \mathcal{O}_p . The following lemma shows these two highest weight structures must coincide and therefore completes the proof of Theorem 4.1.4.

Lemma. *Let \mathcal{C} be an abelian category over a field k and let $\{\Delta_{\lambda}\}, \{\Delta'_{\lambda}\}$ be two collections of objects indexed by a finite set Λ that are the standard objects for two highest weight category structures on \mathcal{C} . If $[\Delta_{\lambda}] = [\Delta'_{\lambda}]$ for all $\lambda \in \Lambda$, then $\Delta_{\lambda} \cong \Delta'_{\lambda}$.*

Proof. Let L_{λ} be the simple head of Δ_{λ} . Then the set $\{L_{\lambda} : \lambda \in \Lambda\}$ is a complete set of irreducible objects in \mathcal{C} . We will let $<$ denote the ordering on Λ that defines the highest weight structure associated to the Δ_{λ} 's. We will prove the lemma by induction.

To begin, suppose that λ is minimal in the partial ordering $<$. Then Δ_{λ} is irreducible, so we deduce that $\Delta_{\lambda} \cong \Delta'_{\lambda}$.

Now assume that we have shown that $\Delta_{\mu} \cong \Delta'_{\mu}$ for all $\mu < \lambda$. Let $\mathcal{C}^{\leq \lambda}$ be the full subcategory of \mathcal{C} whose objects have filtrations by L_{μ} with $\mu \leq \lambda$. By construction Δ_{λ} belongs to $\mathcal{C}^{\leq \lambda}$ and is a projective object in this category (see for example [50, Proposition

4.13]). Since $[\Delta_\lambda] = [\Delta'_\lambda]$ we see that Δ'_λ also belongs to $\mathcal{C}^{\leq \lambda}$. Moreover, we know that the simple head of Δ'_λ must be L_λ , for otherwise it would be L_μ for some $\mu < \lambda$ and this is already the simple head of Δ'_μ by induction. Hence we have a commutative diagram in $\mathcal{C}^{\leq \lambda}$

$$\begin{array}{ccc} & \Delta_\lambda & \\ & \swarrow \text{dotted} & \downarrow \\ \Delta'_\lambda & \longrightarrow & L_\lambda \end{array}$$

where the induced morphism from Δ_λ to Δ'_λ is surjective. Thus, since they represent the same element of the Grothendieck group, Δ_λ and Δ'_λ are isomorphic. This completes the induction step. \square

4.4. Counterexample for aspherical parameters

Let us now provide a counterexample to Theorem 4.1.4 for $p \in \mathfrak{c}_1 \setminus \mathfrak{c}_1^{\text{sph}}$ —we will see that there is no equivalence $\mathcal{O}_p \rightarrow \mathcal{O}_{\sigma p}$ sending $\Delta_p(\lambda)$ to $\Delta_{\sigma p}(\sigma\lambda)$.

Let $\ell = 2$ and $n = 2$ so that $W = G(2, 1, 2)$ is the Weyl group of type B_2 . Take $\mathbf{s} = (-1, 0)$ and κ to be irrational. These are aspherical parameters (take $u = k = m = 1$ in the final bullet point of 4.1.2). Then [19, Theorems 3.2.3 and 3.2.4] shows that $\Delta_{\kappa, \mathbf{s}}((1^2), \emptyset)$, $\Delta_{\kappa, \mathbf{s}}(\emptyset, (1^2))$ and $\Delta_{\kappa, \mathbf{s}}(\emptyset, (2))$ are irreducible and the other two standard modules are reducible. On the other hand if we take $\sigma = (12)$ so that $\mathbf{s}' = \sigma(\mathbf{s}) = (0, -1)$, then we find that $\Delta_{\kappa, \mathbf{s}'}((2), \emptyset)$, $\Delta_{\kappa, \mathbf{s}'}(\emptyset, (1^2))$ and $\Delta_{\kappa, \mathbf{s}'}(\emptyset, (2))$ are irreducible.

5. Derived equivalences

5.1. Main result

5.1.1. *Setting.* We fix $\ell > 1, n \geq 1$ and take $W = G_\ell(n) = (\mu_\ell)^n \rtimes \mathfrak{S}_n$ acting on its reflection representation $\mathfrak{h} = \mathbb{C}^n$. Pick $p, p' \in \mathfrak{c}_1$ and let $(h_{H,j}), (h'_{H,j})$ for $(H, j) \in U$ be the parameters representing p, p' as in 2.1.3. We say that p, p' have *integral difference* if for each conjugacy class H of reflection hyperplanes there is an $a_H \in \mathbb{C}$ such that $h'_{H,j} - h_{H,j} - a_H \in \mathbb{Z}$ for all j . This notion agrees with what we wrote in the introduction.

5.1.2. *Support filtrations.* We are interested in the bounded derived categories $D^b(\mathcal{O}_p)$. These are triangulated categories that come equipped with filtrations by triangulated subcategories—the support filtrations. Namely, for a parabolic subgroup $\underline{W} \subset W$ consider the full subcategory $D^b_{\underline{W}}(\mathcal{O}_p)$ consisting of all complexes whose cohomology is supported on $W\mathfrak{h}^{\underline{W}}$ where $\mathfrak{h}^{\underline{W}} = \{y \in \mathfrak{h} : wy = y \text{ for all } w \in \underline{W}\}$.

5.1.3. Main theorem

Theorem. *Suppose that the difference between $p, p' \in \mathfrak{c}_1$ is integral. Then there is an equivalence $D^b(\mathcal{O}_p) \rightarrow D^b(\mathcal{O}_{p'})$ of triangulated categories that preserves the support filtration.*

This theorem confirms [50, Conjecture 5.6] for the groups $W = G_\ell(n)$.

5.1.4. Symmetric group equivalence. Recall the action of \mathfrak{S}_ℓ on \mathfrak{c}_1 introduced in 4.1.1. Together with Theorem 4.1.4, Theorem 5.1.3 implies the following claim.

Corollary. *For any $p \in \mathfrak{c}_1$ and $\sigma \in \mathfrak{S}_\ell$ there is an equivalence $D^b(\mathcal{O}_p) \rightarrow D^b(\mathcal{O}_{\sigma p})$ of triangulated categories that preserves the support filtration.*

To prove this corollary one notes that the symmetric group action preserves the integral difference and that for each p there is p' that differs integrally from p and satisfies the assumptions of Theorem 4.1.4.

5.1.5. The scheme of the proof. Derived equivalences as in Theorem 5.1.3 are supposed to exist for all complex reflection groups. The reason why we can deal with the $W = G_\ell(n)$ case is the existence of a symplectic resolution of singularities for $(\mathfrak{h} \oplus \mathfrak{h}^*)/W$ and the general notion of a quantization for a non-affine symplectic variety. The resolution and its quantizations are constructed using hamiltonian reduction, which we recall in 5.3.

Quantizations of the resolution are sheafified versions of the spherical subalgebras of \mathbf{H}_p . To recover the whole algebra \mathbf{H}_p we need a quantization of a special vector bundle on the resolution—a (weakly) Procesi bundle. With this we can introduce the notion of the subcategories \mathcal{O} in the categories of (sheaves of) modules over the quantizations. These relationships are recalled in 5.4.

A somewhat unpleasant issue about the sheaf categories \mathcal{O} is that we can only define them in the equivariant setting: we need a torus action corresponding to the Euler grading. So the global version of the sheaf category \mathcal{O} is not the usual category \mathcal{O} but its graded counterpart that has already appeared in [31]. Furthermore, to work with quantizations we need to introduce a formal variable \hbar and to work with algebras and sheaves over $\mathbb{C}[[\hbar]]$. To get rid of \hbar we need another “contracting” torus action. In Subsection 5.2 we treat the tori actions and the corresponding algebras and categories of equivariant modules.

A nice feature of the categories on the sheaf level, however, is that they are equivalent as abelian categories for integrally different parameters. This comes from the possibility of twisting by a line bundle and is described in detail in Subsection 5.7.

Now the main point of our proof is that the categories on the sheaf level and on the algebra level can be related. Classically, at the level of algebraic geometry, this is the derived McKay correspondence. Recall that, according to [9], the derived categories of $\mathbb{C}[\mathfrak{h} \times \mathfrak{h}^*] \# W$ -modules and of coherent sheaves on the resolutions are equivalent and the equivalences are expressed via a weakly Procesi bundle. This statement can be quantized to produce equivalences between what we call the categories of modules over the “homogenized” version of \mathbf{H}_p and modules over the corresponding quantization. The explicit form of the equivalences implies that the subcategories of all objects whose cohomology is in \mathcal{O} are also equivalent. This is all proved in Subsection 5.6. We remark that this subsection is quite technical partly because we need to establish certain basic homological properties of the modules over quantizations that we were not able to find in the literature.

After the quantized derived McKay equivalence is dealt with, to establish the equivalence in the theorem we will proceed through several auxiliary equivalences listed in 5.5.

First, we get rid of \hbar and one torus action. Second, we pass from complexes whose cohomology is in \mathcal{O} to complexes in \mathcal{O} . And third, we pass from the equivariant categories \mathcal{O} to the usual ones. These three steps are taken in Subsection 5.8.

5.1.6. *Ramifications.* Theorem 5.1.3 can be carried over to the $\ell = 1$ case in a straightforward way. Also, with the same techniques one can prove an equivalence of derived categories $D^b(\mathbf{H}_p\text{-mod}) \xrightarrow{\sim} D^b(\mathbf{H}_{p'}\text{-mod})$, where the \mathbf{H}_\bullet 's are the symplectic reflection algebras corresponding to arbitrary wreath products of symmetric groups and kleinian groups. By definition, parameters p, p' have integral difference if $p - p'$ is a character of an appropriate reductive algebraic group (cf. 5.7.4 below). This equivalence preserves the subcategories with finite-dimensional cohomology.

5.2. *Auxiliary algebras and categories of modules*

To prove the existence of an equivalence in Theorem 5.1.3 we will establish equivalences between several related categories. It is more convenient for us to work with right modules, but since \mathcal{O} is isomorphic to \mathcal{O}^{op} via a duality (see [31, §4.2.1]), and the duality preserves the integral difference, we can indeed do this.

5.2.1. *Torus actions and equivariant modules.* Set $T = T_1 \times T_2 := \mathbb{C}^\times \times \mathbb{C}^\times$. Let \hbar be a formal variable and pick $p \in \mathfrak{c}_1$. We let $\mathbf{H}_{p\hbar}$ denote the $\mathbb{C}[\hbar]$ -algebra obtained by specializing \mathbf{H} at $p\hbar$ (so that $h \mapsto \hbar$) and let $\mathbf{H}_{p\hbar}^{\wedge \hbar}$ be its \hbar -adic completion. These algebras come equipped with an action of T given by

$$(t_1, t_2).x = t_1 t_2 x, \quad (t_1, t_2).y = t_1^{-1} t_2 y, \quad (t_1, t_2).w = w, \quad (t_1, t_2).\hbar = t_2^2 \hbar \quad (5.2.1)$$

where $(t_1, t_2) \in T, x \in \mathfrak{h}^*, y \in \mathfrak{h}$ and $w \in W$.

We let $\text{mod}^T\text{-}\mathbf{H}_{p\hbar}$ denote the category of (algebraic) T -equivariant finitely generated right modules over $\mathbf{H}_{p\hbar}$ and $\text{mod}^T\text{-}\mathbf{H}_{p\hbar}^{\wedge \hbar}$ denote the category of (proalgebraic) T -equivariant finitely generated right modules over $\mathbf{H}_{p\hbar}^{\wedge \hbar}$. More precisely, an object M of $\text{mod}^T\text{-}\mathbf{H}_{p\hbar}$ is a finitely generated right $\mathbf{H}_{p\hbar}$ -module together with a coaction $\Delta_M : M \rightarrow M \otimes \mathbb{C}[T]$ which satisfies $\Delta_M(mz) = \Delta_M(m)\Delta_{\mathbf{H}_{p\hbar}}(z)$ for $m \in M, z \in \mathbf{H}_{p\hbar}$ and where $\Delta_{\mathbf{H}_{p\hbar}}$ denotes the coaction of T on $\mathbf{H}_{p\hbar}$. Morphisms are homomorphisms of $\mathbf{H}_{p\hbar}$ -modules compatible with the coactions. Clearly T acts on any T -equivariant $\mathbf{H}_{p\hbar}$ -module M by $m \cdot (t_1, t_2) = \sum m_{(0)} m_{(1)}(t_1, t_2)$ where we use the Sweedler notation $\Delta_M(m) = \sum m_{(0)} \otimes m_{(1)}$. The space M is algebraic for the action of T , meaning that it decomposes as a direct sum of T -eigenspaces. An object N of $\text{mod}^T\text{-}\mathbf{H}_{p\hbar}^{\wedge \hbar}$ is defined similarly using a coaction $\Delta_N : N \rightarrow N \hat{\otimes} \mathbb{C}[T]$ where $N \hat{\otimes} \mathbb{C}[T]$ denotes the completed tensor product $\varprojlim (N/N\hbar^n \otimes \mathbb{C}[T])$. In this case each $M/\hbar^n M$ is algebraic for the action of T and M is the inverse limit of these spaces. The latter is proved analogously to [41, Lemma 2.4.4].

Since the grading on $\mathbf{H}_{p\hbar}$ induced by the T_2 -action is positive, the functor of \hbar -adic completion is an equivalence

$$\text{mod}^T\text{-}\mathbf{H}_{p\hbar} \xrightarrow{\sim} \text{mod}^T\text{-}\mathbf{H}_{p\hbar}^{\wedge \hbar}.$$

Its inverse is given by sending an object of $\mathbf{H}_{p\hbar}^{\wedge\hbar}$ to its largest rational T_2 -submodule, that is, to its subspace spanned by the eigenvectors for the action of T_2 . The proof that this is indeed inverse is standard and repeats the proof of (1) and (2) in [41, Proposition 3.3.1].

5.2.2. *Categories \mathcal{O} .* We let $\mathcal{O}_{p\hbar}^T \subset \text{mod}^T\text{-}\mathbf{H}_{p\hbar}$ denote the full subcategory consisting of all objects that are finitely generated over $\mathbb{C}[\hbar][[\hbar]]$, and $\mathcal{O}_{p\hbar}^{\wedge\hbar, T} \subset \text{mod}^T\text{-}\mathbf{H}_{p\hbar}^{\wedge\hbar}$ the full subcategory consisting of all objects that are finitely generated over $\mathbb{C}[\hbar][[[\hbar]]]$.

The T_1 -action descends to $\mathbf{H}_p = \mathbf{H}_{p\hbar}/(\hbar - 1)$, so we have a category $\text{mod}^{T_1}\text{-}\mathbf{H}_p$ of T_1 -equivariant finitely generated \mathbf{H}_p -modules. The full subcategory $\mathcal{O}_p^{T_1}$ consisting of all modules that are finitely generated over $\mathbb{C}[\hbar]$ is just the T_1 -equivariant lift of \mathcal{O}_p : T_1 -equivariant (or equivalently graded) modules that belong to \mathcal{O}_p .

5.3. *Quantization via hamiltonian reduction*

We will require a T -equivariant resolution of singularities $\pi : X \rightarrow (\mathfrak{h} \oplus \mathfrak{h}^*)/W$ and its quantizations.

5.3.1. *Classical hamiltonian reduction.* Consider the affine Dynkin quiver Q of type $\tilde{A}_{\ell-1}$ with ℓ vertices labelled $\{0, \dots, \ell - 1\}$. Let Q^{CM} be its Calogero–Moser extension: this has one additional vertex s and one new arrow which starts at s and ends at the extending vertex 0 of Q . Consider the representation space $V := \text{Rep}(\overline{Q}^{\text{CM}}, n\delta + \epsilon_s)$, where \overline{Q}^{CM} is the doubled quiver of Q^{CM} and δ is the indecomposable imaginary root for Q , $\delta = \sum_{i=0}^{\ell-1} \epsilon_i$. The space V comes equipped with a symplectic form and a hamiltonian action of the group $\text{GL}(n\delta) := \prod_{i=0}^{\ell-1} \text{GL}(n)$, where the i^{th} copy of $\text{GL}(n)$ acts on V by base-change at the i^{th} vertex. A moment map $\mu : V \rightarrow \mathfrak{gl}(n\delta)$ results.

There is an action of T on V induced by

$$(t_1, t_2) \cdot M_a = \begin{cases} t_1 t_2 M_a & \text{if } a \text{ is an arrow of } Q^{\text{CM}}, \\ t_1^{-1} t_2 M_a & \text{if } a \text{ is an arrow of } \overline{Q}^{\text{CM}} \setminus Q^{\text{CM}}, \end{cases}$$

where M_a denotes the transformation associated to the arrow a at a point $M \in V$. The moment map is T_1 -invariant and satisfies $\mu(t_2 \cdot v) = t_2^2 \mu(v)$.

5.3.2. *Resolution of singularities.* One can fix a “general enough” character $\chi : \text{GL}(n\delta) \rightarrow \mathbb{C}^\times$ and use it to specify the semistable locus $V^{\text{ss}} \subset V$. Then for X we take the hamiltonian reduction $\mu^{-1}(0)^{\text{ss}}/\text{GL}(n\delta)$, where $\mu^{-1}(0)^{\text{ss}} = \mu^{-1}(0) \cap V^{\text{ss}}$. The resolution morphism $\pi : X \rightarrow (\mathfrak{h} \oplus \mathfrak{h}^*)/W$ is the natural projective morphism $X \twoheadrightarrow \mu^{-1}(0)//\text{GL}(n\delta) := \text{Spec}(\mathbb{C}[\mu^{-1}(0)]^{\text{GL}(n\delta)})$ followed by the identification of $\mu^{-1}(0)//\text{GL}(n\delta)$ with $(\mathfrak{h} \oplus \mathfrak{h}^*)/W$ (see [22, Theorem 1.1] combined with [21, Lemma 9.2]).

The T -action on V induces a T -action on X and on $\mu^{-1}(0)//\text{GL}(n\delta)$ and π is T -equivariant. Under the identification of $\mu^{-1}(0)//\text{GL}(n\delta)$ with $(\mathfrak{h} \oplus \mathfrak{h}^*)/W$ the T -action corresponds to the one on $\mathfrak{h} \times \mathfrak{h}^*$ introduced in (5.2.1).

5.3.3. *Quantizations.* Recall that $(t_1, t_2) \cdot \hbar = t_2^2 \hbar$ for $(t_1, t_2) \in T$. By a T -equivariant quantization of X we mean a pair $(\mathcal{D}_\hbar, \theta)$, where \mathcal{D}_\hbar is a T -equivariant sheaf of flat $\mathbb{C}[[\hbar]]$ -algebras that is complete in the \hbar -adic topology and such that $\theta : \mathcal{D}_\hbar / \hbar \mathcal{D}_\hbar \xrightarrow{\sim} \mathcal{O}_X$ is a T -equivariant isomorphism of Poisson sheaves. Up to appropriate equivalence, the quantizations are naturally parameterized by $H_{\text{dR}}^2(X)$, the 2nd de Rham cohomology of X (see [8, Theorem 1.8] and [44, Corollary 2.3.3]). For a class $\alpha \in H_{\text{dR}}^2(X)$ we let \mathcal{D}_\hbar^α denote the corresponding quantization.

5.3.4. *Quantum hamiltonian reduction.* We can give an explicit realization of the quantizations \mathcal{D}_\hbar^α thanks to the quiver-theoretic description of X via “quantum hamiltonian reduction”.

Set $\mathfrak{z} := (\mathfrak{gl}(n\delta)^*)^{\text{GL}(n\delta)}$. The Duistermaat–Heckman theorem gives a linear map from \mathfrak{z} to $H_{\text{dR}}^2(X)$ (see [44, §3.2]). This map is an isomorphism and we use it to identify $H_{\text{dR}}^2(X)$ with \mathfrak{z} .

Now consider the sheaf $\mathbb{A}_{\hbar, V}$ of $\mathbb{C}[[\hbar]]$ -algebras obtained by first \hbar -adically completing the homogenized Weyl algebra $\mathbb{A}_\hbar(V)$ and then localizing on V . We have a natural “symmetrized” lifting $\mu^* : \mathfrak{gl}(n\delta) \rightarrow \mathbb{A}_\hbar(V)$ of the comorphism of the moment map $\mu^* : \mathfrak{gl}(n\delta) \rightarrow \mathbb{C}[V]$. We then form the reduction

$$(\mathbb{A}_{\hbar, V^{\text{ss}}} / \mathbb{A}_{\hbar, V^{\text{ss}}} \mu^* \mathfrak{gl}(n\delta)_{\hbar\alpha})^{\text{GL}(n\delta)}$$

where $\alpha \in \mathfrak{z}$ and $\mu^* \mathfrak{gl}(n\delta)_{\hbar\alpha} := \{\xi - \hbar\langle \alpha, \xi \rangle : \xi \in \mathfrak{gl}(n\delta)\}$. According to [44, Proposition 3.2.1], this reduction is a quantization of X . Moreover, [44, Corollary 2.3.3 and Theorem 5.4.1] imply that the reduction is isomorphic to \mathcal{D}_\hbar^α .

5.3.5. *Categories of \mathcal{D}_\hbar^α -modules.* Let $\text{mod}^T\text{-}\mathcal{D}_\hbar^\alpha$ denote the category of (proalgebraic) T -equivariant coherent right \mathcal{D}_\hbar^α -modules. The subvariety $\pi^{-1}(\mathfrak{h}/W) \subset X$ is a T -stable lagrangian subvariety. We then have the full subcategory $\mathcal{O}_\hbar^{\alpha, T}$ of all modules supported on $\pi^{-1}(\mathfrak{h}/W)$.

5.4. $\mathbf{H}_{\rho\hbar}^{\wedge\hbar}$ vs \mathcal{D}_\hbar^α

Here we will follow [44] to relate the algebras $\mathbf{H}_{\rho\hbar}^{\wedge\hbar}$ and the sheaves \mathcal{D}_\hbar^α .

5.4.1. *Weakly Procesi bundle* According to [9, Theorem 2.3] on X there is a T -equivariant vector bundle \mathcal{P} —a *weakly Procesi bundle* in the terminology of [44, §4.4]—having the following two properties:

- (P1) one has a T -equivariant isomorphism $\text{End}_{\mathcal{O}_X}(\mathcal{P}, \mathcal{P}) \cong \mathbb{C}[\mathfrak{h} \oplus \mathfrak{h}^*] \# W$ of $\mathbb{C}[\mathfrak{h} \oplus \mathfrak{h}^*]^W = \mathbb{C}[X]$ -algebras;
- (P2) $\text{Ext}_{\mathcal{O}_X}^i(\mathcal{P}, \mathcal{P}) = 0$ for $i > 0$.

Thanks to (P2) we can deform \mathcal{P} to a locally free right \mathcal{D}_\hbar^α -module \mathcal{P}_\hbar^α . This module is still T -equivariant and satisfies

- (P $_{\hbar}$ 1) $\text{End}_{\mathcal{D}_\hbar^\alpha}(\mathcal{P}_\hbar^\alpha, \mathcal{P}_\hbar^\alpha) / (\hbar) = \text{End}_{\mathcal{O}_X}(\mathcal{P}, \mathcal{P}) \cong \mathbb{C}[\mathfrak{h} \oplus \mathfrak{h}^*] \# W$;
- (P $_{\hbar}$ 2) $\text{Ext}_{\mathcal{D}_\hbar^\alpha}^i(\mathcal{P}_\hbar^\alpha, \mathcal{P}_\hbar^\alpha) = 0$ for $i > 0$.

Thus we may consider the sheaf $\widetilde{\mathcal{D}}_h^\alpha$ of local endomorphisms of the right \mathcal{D}_h^α -module \mathcal{P}_h^α (see [44, §6.3]). This is a T -equivariant sheaf of algebras.

5.4.2. $\mathbb{Z}/2\mathbb{Z} \times \mathfrak{S}_\ell$ -action. We identify $\mathbb{C}^{\{0, \dots, \ell-1\}}$ with \mathfrak{z} via $(x_0, \dots, x_{\ell-1}) \mapsto \sum_{i=0}^{\ell-1} x_i \text{tr}_i$, where tr_i is the trace at the i^{th} vertex. We can then identify \mathfrak{c}^* and $\mathbb{C}\hbar \oplus \mathfrak{z}^*$ as follows. Let $\epsilon_0, \dots, \epsilon_{\ell-1}$ be the standard basis in \mathfrak{z}^* induced by the identification with $\mathbb{C}^{\{0, \dots, \ell-1\}}$. An isomorphism $\nu : \mathbb{C}\hbar \oplus \mathfrak{z}^* \rightarrow \mathfrak{c}^*$ is basically the same as in 2.3.2, it is given by

$$\begin{aligned} \hbar &\mapsto h, \\ \epsilon_i &\mapsto \frac{1}{\ell} \left(h - 2 \sum_{j=1}^{\ell-1} c_i \zeta_\ell^{ji} \right), \quad i = 1, \dots, \ell - 1, \\ \epsilon_0 &\mapsto \frac{1}{\ell} \left(h - 2 \sum_{j=1}^{\ell-1} c_j \right) + c_0 - h/2. \end{aligned}$$

There is an action of $\mathbb{Z}/2\mathbb{Z} \times \mathfrak{S}_\ell$ on $\mathbb{C}\hbar \oplus \mathfrak{z}^*$: the action of \mathfrak{S}_ℓ has already appeared in Section 4, it fixes $\delta = \sum_{i=0}^{\ell-1} \epsilon_i$ and \hbar , preserves the subspace $\delta^\perp = \{ \sum_{i=0}^{\ell-1} x_i \epsilon_i : \sum_{i=0}^{\ell-1} x_i = 0 \}$ and acts on it in such a way that the projections of $\epsilon_1, \dots, \epsilon_{\ell-1}$ transform as the simple roots in the root system $A_{\ell-1}$; the action of $\mathbb{Z}/2\mathbb{Z}$ is trivial on \hbar and δ^\perp and is sign change on $\mathbb{C}\delta$.

5.4.3. *Isomorphism theorem.* The action of W on \mathcal{P} extends to produce a distinguished embedding $\mathbb{C}W \hookrightarrow \Gamma(X, \widetilde{\mathcal{D}}_h^\alpha)$. If we then combine the homomorphism from the symplectic reflection algebra to global sections of $\widetilde{\mathcal{D}}_h^\alpha$ described in [44, §6.3] with the statement of [44, Theorem 6.2.1] and the group action of [44, §6.4], we obtain the following result.

Theorem. *Given $\alpha \in \mathfrak{z} = H_{\text{dR}}^2(X)$, define $\widehat{\alpha} : \mathbb{C}\hbar \oplus \mathfrak{z}^* \rightarrow \mathbb{C}$ by sending \hbar to 1 and $f \in \mathfrak{z}^*$ to $f(\alpha)$. Then there is $w \in \mathbb{Z}/2\mathbb{Z} \times \mathfrak{S}_\ell$ such that there is a $\mathbb{C}[[\hbar]]W$ -linear and T -equivariant isomorphism $\Gamma(X, \widetilde{\mathcal{D}}_h^\alpha) \cong \mathbf{H}_{\nu^{*-1}(\widehat{w}\alpha)_\hbar}^{\wedge \hbar}$ which is the identity modulo \hbar .*

The element w depends on the choice of \mathcal{P} . We will denote the map $\alpha \mapsto \nu^{*-1}(\widehat{w}\alpha)$ by ι .

5.5. *Equivalences*

We can now list the equivalences that will be combined to prove Theorem 5.1.3.

5.5.1. *Quantized McKay correspondence.* We will show first of all that there is a quantized McKay correspondence, meaning an equivalence of bounded derived categories

$$D^b(\text{mod}^T\text{-}\mathcal{D}_h^\alpha) \xrightarrow{\sim} D^b(\text{mod}^T\text{-}\mathbf{H}_{\iota(\alpha)_\hbar}^{\wedge \hbar}). \tag{5.5.1}$$

Its construction will imply that it induces an equivalence

$$D_{\mathcal{O}}^b(\text{mod}^T\text{-}\mathcal{D}_h^\alpha) \xrightarrow{\sim} D_{\mathcal{O}}^b(\text{mod}^T\text{-}\mathbf{H}_{\iota(\alpha)_\hbar}^{\wedge \hbar}) \tag{5.5.2}$$

between the subcategories of complexes whose cohomology lies in the categories \mathcal{O} . Moreover, we will see that this equivalence preserves the support filtrations.

5.5.2. *Translations.* If $p = \iota(\alpha)$ and $p' = \iota(\alpha')$ have integral difference, we will prove that there is a support and \mathcal{O} -preserving equivalence of abelian categories

$$\text{mod}^T\text{-}\mathcal{D}_\hbar^\alpha \xrightarrow{\sim} \text{mod}^T\text{-}\mathcal{D}_\hbar^{\alpha'}. \tag{5.5.3}$$

Combined with (5.5.1) this gives a support preserving equivalence

$$D_{\mathcal{O}}^b(\text{mod}^T\text{-}\mathbf{H}_{p\hbar}^{\wedge\hbar}) \xrightarrow{\sim} D_{\mathcal{O}}^b(\text{mod}^T\text{-}\mathbf{H}_{p'\hbar}^{\wedge\hbar}). \tag{5.5.4}$$

We will then see that in (5.5.4) one can remove \hbar and part of the T -action to produce an equivalence

$$D_{\mathcal{O}}^b(\text{mod}^{T_1}\text{-}\mathbf{H}_p) \xrightarrow{\sim} D_{\mathcal{O}}^b(\text{mod}^{T_1}\text{-}\mathbf{H}_{p'}). \tag{5.5.5}$$

5.5.3. *Equivalences between categories \mathcal{O} .* Our next step will be to prove that the natural functor

$$D^b(\mathcal{O}_p^{T_1}) \rightarrow D^b(\text{mod}^{T_1}\text{-}\mathbf{H}_p) \tag{5.5.6}$$

is an equivalence. With this in hand, the final step will be to get rid of T_1 -equivariance and thus to obtain an equivalence

$$D^b(\mathcal{O}_p) \xrightarrow{\sim} D^b(\mathcal{O}_{p'}). \tag{5.5.7}$$

Tracking this construction we will see that this equivalence has the claimed properties.

5.6. Quantized McKay correspondence

In this section we are going to produce the equivalence (5.5.1).

5.6.1. *Functors $\mathcal{F}_\hbar, \mathcal{G}_\hbar$.* Set $p = \iota(\alpha)$. We are going to show that the functor

$$\mathcal{F}_\hbar := \text{RHom}(\mathcal{P}_\hbar^\alpha, \bullet) : D^b(\text{mod}^T\text{-}\mathcal{D}_\hbar^\alpha) \rightarrow D^b(\text{mod}^T\text{-}\mathbf{H}_{p\hbar}^{\wedge\hbar})$$

is an equivalence in (5.5.1) with the required properties. Here we take RHom in $\text{mod}^T\text{-}\mathcal{D}_\hbar^\alpha$. A quasi-inverse functor will be $\mathcal{G}_\hbar := \bullet \otimes_{\mathbf{H}_{p\hbar}^{\wedge\hbar}}^L \mathcal{P}_\hbar^\alpha$. First we are going to check that the functors $\mathcal{F}_\hbar, \mathcal{G}_\hbar$ are well-defined.

5.6.2. *Quasi-coherent \mathcal{D}_\hbar^α -modules.* Let $\text{Mod}^T\text{-}\mathcal{D}_\hbar^\alpha$ be the category of T -equivariant quasi-coherent \mathcal{D}_\hbar^α -modules that are, by definition, the direct limit of their T -equivariant coherent \mathcal{D}_\hbar^α -submodules. This category has enough injectives. Indeed, if M is an object in $\text{Mod}^T\text{-}\mathcal{D}_\hbar^\alpha$ we may consider it as a quasi-coherent $\mathcal{D}_\hbar^\alpha \# U(\mathfrak{t})$ -module (cf. [56]), and M has an injective hull $\hat{I}(M)$ inside this larger category. Then $I(M)$, the maximal T -equivariant quasi-coherent \mathcal{D}_\hbar^α -submodule of $\hat{I}(M)$, is an injective hull in $\text{Mod}^T\text{-}\mathcal{D}_\hbar^\alpha$.

It is this larger category we would like to use to derive $\text{Hom}(\mathcal{P}_\hbar^\alpha, \bullet)$. The following lemma, which is proved in the same manner as for instance [37, Proposition 3.5], allows us to do this.

Lemma. *Let $D_{\text{coh}}^b(\text{Mod}^T\text{-}\mathcal{D}_\hbar^\alpha)$ denote the full subcategory of $D^b(\text{Mod}^T\text{-}\mathcal{D}_\hbar^\alpha)$ consisting of complexes whose cohomology belongs to $\text{mod}^T\text{-}\mathcal{D}_\hbar^\alpha$. Then the natural functor $D^b(\text{mod}^T\text{-}\mathcal{D}_\hbar^\alpha) \rightarrow D_{\text{coh}}^b(\text{Mod}^T\text{-}\mathcal{D}_\hbar^\alpha)$ is an equivalence of triangulated categories.*

5.6.3. *Image of \mathcal{F}_\hbar .* It follows from the above lemma that the derived functor

$$\mathcal{F}_\hbar = \mathrm{RHom}(\mathcal{P}_\hbar^\alpha, \bullet) : D^b(\mathrm{mod}^T\text{-}\mathcal{D}_\hbar^\alpha) \rightarrow D^+(\mathrm{Mod}^T\text{-}\mathbf{H}_{p\hbar}^{\wedge\hbar})$$

exists, where again Hom is taken in the non-equivariant category.

Lemma. *The image of $\mathrm{RHom}(\mathcal{P}_\hbar^\alpha, \bullet) : D^b(\mathrm{mod}^T\text{-}\mathcal{D}_\hbar^\alpha) \rightarrow D^+(\mathrm{Mod}^T\text{-}\mathbf{H}_{p\hbar}^{\wedge\hbar})$ lies in $D^b(\mathrm{mod}^T\text{-}\mathbf{H}_{p\hbar}^{\wedge\hbar}) = D_{\mathrm{f.g.}}^b(\mathrm{Mod}^T\text{-}\mathbf{H}_{p\hbar}^{\wedge\hbar})$, where the subscript “f.g.” means finitely generated cohomology.*

Proof. It is enough to see that if $M \in \mathrm{mod}^T\text{-}\mathcal{D}_\hbar^\alpha$ then $R^i \mathrm{Hom}(\mathcal{P}_\hbar^\alpha, M)$ is a finitely generated $\mathbf{H}_{p\hbar}^{\wedge\hbar}$ -module for each $i \geq 0$ and that it vanishes for $i \gg 0$ [37, Corollary 2.68]. Since any M fits into a short exact sequence $0 \rightarrow M_{\mathrm{tor}} \rightarrow M \rightarrow M/M_{\mathrm{tor}} \rightarrow 0$, the long exact sequence in cohomology shows it is enough to deal with the \hbar -torsion and \hbar -torsionfree cases separately.

Suppose first that M is \hbar -torsion. Since M is finitely generated this means that $\hbar^k M = 0$ for k large enough. By induction we can assume that $\hbar M = 0$. It then follows that multiplication by \hbar on $R^i \mathrm{Hom}(\mathcal{P}_\hbar^\alpha, M)$ is trivial. The short exact sequence $0 \rightarrow \mathcal{P}_\hbar^\alpha \rightarrow \mathcal{P}_\hbar^\alpha \rightarrow \mathcal{P}_\hbar^\alpha/\hbar\mathcal{P}_\hbar^\alpha \rightarrow 0$ then produces short exact sequences

$$0 \rightarrow R^{i-1} \mathrm{Hom}(\mathcal{P}_\hbar^\alpha, M) \rightarrow R^i \mathrm{Hom}(\mathcal{P}_\hbar^\alpha/\hbar\mathcal{P}_\hbar^\alpha, M) \rightarrow R^i \mathrm{Hom}(\mathcal{P}_\hbar^\alpha, M) \rightarrow 0.$$

Thus it is enough to show that $R^i \mathrm{Hom}(\mathcal{P}_\hbar^\alpha/\hbar\mathcal{P}_\hbar^\alpha, M)$ is finitely generated and vanishes for large enough i . Let $Z : \mathrm{Mod}^T\text{-}\mathcal{D}_\hbar^\alpha \rightarrow \mathrm{Mod}^T\text{-}\mathcal{O}_X$ be the left exact functor which sends M to $M^\hbar = \{m \in M : \hbar m = 0\}$. We have $R^1 Z(M) = M/\hbar M$ and $R^i Z(M) = 0$ for $i \neq 0, 1$. Since Z preserves injectives and $\mathrm{Hom}(\mathcal{P}_\hbar^\alpha/\hbar\mathcal{P}_\hbar^\alpha, M) = \mathrm{Hom}_{\mathcal{O}_X}(\mathcal{P}_\hbar^\alpha/\hbar\mathcal{P}_\hbar^\alpha, Z(M))$ we have a convergent spectral sequence

$$E_2^{i,j} = R^i \mathrm{Hom}_{\mathcal{O}_X}(\mathcal{P}_\hbar^\alpha/\hbar\mathcal{P}_\hbar^\alpha, R^j Z(M)) \Rightarrow R^{i+j} \mathrm{Hom}(\mathcal{P}_\hbar^\alpha/\hbar\mathcal{P}_\hbar^\alpha, M).$$

But since both $R^i Z(M)$ and $\mathcal{P}_\hbar^\alpha/\hbar\mathcal{P}_\hbar^\alpha$ are coherent sheaves on X , all the cohomology groups on the left hand side are finitely generated over $\mathrm{End}(\mathcal{P}_\hbar^\alpha/\hbar\mathcal{P}_\hbar^\alpha) = \mathbb{C}[\hbar \oplus \hbar^*] \# W$ and so indeed over $\mathrm{End}(\mathcal{P}_\hbar^\alpha)$ and vanish for large enough i . Finite generation then follows for $R^{i+j} \mathrm{Hom}(\mathcal{P}_\hbar^\alpha/\hbar\mathcal{P}_\hbar^\alpha, M)$, as required.

For later use, we remark that if we replace X above with some T -stable affine open set U we see from the spectral sequence that $R^i \mathrm{Hom}(\mathcal{P}_\hbar^\alpha/\hbar\mathcal{P}_\hbar^\alpha|_U, M|_U)$ vanishes for $i \geq 2$ if $\hbar M = 0$. From this it follows that $R^i \mathrm{Hom}(\mathcal{P}_\hbar^\alpha|_U, M|_U) = 0$ for $i \geq 1$ and then induction gives the same for any \hbar -torsion module M .

Suppose now that M is \hbar -torsionfree. Then let $\Gamma(X, \bullet) : \mathrm{Mod}^T\text{-}\mathcal{E}nd(\mathcal{P}_\hbar^\alpha) \rightarrow \mathrm{Mod}\text{-}\mathbb{C}$ be the global sections functor. Since \mathcal{P}_\hbar^α is locally free we have

$$R^i \mathrm{Hom}(\mathcal{P}_\hbar^\alpha, M) \cong R^i \Gamma(X, \mathcal{H}om(\mathcal{P}_\hbar^\alpha, M))$$

where the right derived functor $R^i \Gamma$ is calculated using proalgebraic T -injective quasi-coherent $\mathcal{E}nd(\mathcal{P}_\hbar^\alpha)$ -modules. Now we claim that these derived functors can be identified with the Čech cohomology groups of $\mathcal{H}om(\mathcal{P}_\hbar^\alpha, M)$ provided that we calculate on some

T -stable affine cover $\{U_i\}$ of X . By Leray’s theorem and the fact that X is separated it is enough to check that $R^i\Gamma(U, \mathcal{H}om(\mathcal{P}_\hbar^\alpha, M)) = 0$ for any T -stable affine open subset U (see [34, Chapter 3, Exercise 4.11]). Since $\mathcal{H}om(\mathcal{P}_\hbar^\alpha, M)$ is \hbar -complete and separated, the argument of [39, Lemma 2.12] shows the vanishing holds provided that it holds for $\mathcal{H}om(\mathcal{P}_\hbar^\alpha, M)/\hbar\mathcal{H}om(\mathcal{P}_\hbar^\alpha, M)$. But since this an \hbar -torsion module, vanishing follows from the previous paragraph.

Now we calculate $R^i\text{Hom}(\mathcal{P}_\hbar^\alpha, M)$ using Čech cohomology. Let $C_\hbar^i, Z_\hbar^i, B_\hbar^i$ (C^i, Z^i, B^i) denote the cochains, cocycles and coboundaries for Čech cohomology with respect to $\{U_i\}$ of an \hbar -complete and separated coherent $\text{End}(\mathcal{P}_\hbar^\alpha)$ -module \mathcal{M} (respectively of $\mathcal{M}/\hbar\mathcal{M}$). We assume that each Z^i/B^i is finitely generated over $\text{End}(\mathcal{P}_\hbar^\alpha)/\langle\hbar\rangle = \mathbb{C}[\mathfrak{h} \oplus \mathfrak{h}^*] \# W$. This assumption holds if $\mathcal{M}/\hbar\mathcal{M}$ is finitely generated over $\text{End}(\mathcal{P})$ (and hence a coherent sheaf on X). Now Z_\hbar^i is an \hbar -saturated submodule of C_\hbar^i , in other words $\hbar Z_\hbar^i = Z_\hbar^i \cap \hbar C_\hbar^i$. It follows that

$$\frac{Z_\hbar^i}{B_\hbar^i + \hbar Z_\hbar^i} \cong \frac{Z_\hbar^i + \hbar C_\hbar^i}{B_\hbar^i + \hbar C_\hbar^i} \hookrightarrow \frac{Z^i}{B^i}$$

is finitely generated. Lift a set of generators for this space to Z_\hbar^i , say z_1, \dots, z_n . These generate Z_\hbar^i/B_\hbar^i . Indeed, pick $z^0 \in Z_\hbar^i$. Then z^0 has the form $\sum_{i=1}^n a_i^0 z_i + d(x^0) + \hbar z^1$. Repeating this argument with z^1, z^2 , and so on, and using \hbar -completeness and separation then gives the finite generation result. Vanishing is immediate for i greater than the number of T -stable affine opens used in the definition of the Čech cohomology. \square

In particular, this lemma implies that $\mathcal{F}_\hbar : D^b(\text{mod}^T\text{-}\mathcal{D}_\hbar^\alpha) \rightarrow D^b(\text{mod}^T\text{-}\mathbf{H}_{p\hbar}^{\wedge\hbar})$ is well-defined.

5.6.4. *Homological properties of $\text{mod}^T\text{-}\mathbf{H}_{p\hbar}^{\wedge\hbar}$.* The category $\text{mod}^T\text{-}\mathbf{H}_{p\hbar}^{\wedge\hbar}$ has enough projective objects. Indeed, since it is equivalent to the category $\text{mod}^T\text{-}\mathbf{H}_{p\hbar}$, each object admits an epimorphism from a graded free module, that is, from the sum of modules obtained from $\mathbf{H}_{p\hbar}$ by an action shift. Hence the category has enough projectives. Moreover, since $\mathbf{H}_{p\hbar}^{\wedge\hbar}$ is the formal deformation of an algebra with finite homological dimension, we see that each object in $\text{mod}^T\text{-}\mathbf{H}_{p\hbar}^{\wedge\hbar}$ has a finite projective resolution. It follows that the functor $\mathcal{G}_\hbar : D^b(\text{mod}^T\text{-}\mathbf{H}_{p\hbar}^{\wedge\hbar}) \rightarrow D^b(\text{mod}^T\text{-}\mathcal{D}_\hbar^\alpha)$ is well-defined.

5.6.5. *Results of Bezrukavnikov and Kaledin.* To prove that $\mathcal{F}_\hbar, \mathcal{G}_\hbar$ are mutually quasi-inverse equivalences we will use results of Bezrukavnikov and Kaledin [9]. They proved that the functors $\text{RHom}(\mathcal{P}, \bullet) : D^b(\text{Coh}(X)) \rightarrow D^b(\text{mod-}\mathbb{C}[\mathfrak{h} \oplus \mathfrak{h}^*] \# W)$ and $\bullet \otimes_{\mathbb{C}[\mathfrak{h} \oplus \mathfrak{h}^*] \# W}^L \mathcal{P} : D^b(\text{mod-}\mathbb{C}[\mathfrak{h} \oplus \mathfrak{h}^*] \# W) \rightarrow D^b(\text{Coh}(X))$ are mutually quasi-inverse equivalences. Recall that \mathcal{P} is T -equivariant. Then the two functors \mathcal{F}, \mathcal{G} induce functors between the equivariant categories $D^b(\text{Coh}^T(X))$ and $D^b(\text{mod}^T\text{-}\mathbb{C}[\mathfrak{h} \oplus \mathfrak{h}^*] \# W)$. These functors are equivalences since first the non-equivariant versions are and second they also commute with the endofunctors of the bigrading shift induced from the T -action.

5.6.6. *Adjunction morphisms.* We have the adjunction morphisms $\text{id} \rightarrow \mathcal{F}_{\hbar} \circ \mathcal{G}_{\hbar}$ and $\mathcal{G}_{\hbar} \circ \mathcal{F}_{\hbar} \rightarrow \text{id}$. We claim that

$$\text{the adjunction morphism } \text{id} \rightarrow \mathcal{F}_{\hbar} \circ \mathcal{G}_{\hbar} \text{ is an isomorphism.} \tag{5.6.1}$$

Indeed, taking a free object $X \in \text{mod}^{T-\mathbf{H}_{p\hbar}^{\wedge\hbar}}$ we see that

$$\mathcal{F}_{\hbar} \circ \mathcal{G}_{\hbar}(X) = \text{RHom}(\mathcal{P}_{\hbar}^{\alpha}, X \otimes_{\mathbf{H}_{p\hbar}^{\wedge\hbar}} \mathcal{P}_{\hbar}^{\alpha}) \cong X \otimes_{\mathbf{H}_{p\hbar}^{\wedge\hbar}} \text{RHom}(\mathcal{P}_{\hbar}^{\alpha}, \mathcal{P}_{\hbar}^{\alpha}) \cong X$$

where the last isomorphism follows from (P \hbar 2) and the proof of Lemma 5.6.3 which shows that $\text{R}^i \text{Hom}(\mathcal{P}_{\hbar}^{\alpha}, \mathcal{P}_{\hbar}^{\alpha}) \cong \text{Ext}^i(\mathcal{P}_{\hbar}^{\alpha}, \mathcal{P}_{\hbar}^{\alpha})$. The general case follows by taking a projective resolution.

5.6.7. *Cones of adjunction morphisms.* To see that $\mathcal{G}_{\hbar} \circ \mathcal{F}_{\hbar} \rightarrow \text{id}$ is a functor isomorphism, we need to check that for $M \in D^b(\text{mod}^{T-\mathcal{D}_{\hbar}^{\alpha}})$ the cone of $\mathcal{G}_{\hbar} \circ \mathcal{F}_{\hbar}(M) \rightarrow M$ is isomorphic to zero, that is, exact. Call this cone N .

Now consider the triangulated functors

$$\bullet|_{\hbar=0} := \mathbb{C} \otimes_{\mathbb{C}[[\hbar]]}^L \bullet : D^b(\text{mod}^{T-\mathcal{D}_{\hbar}^{\alpha}}) \rightarrow D^b(\text{Coh}^T(X)), \tag{5.6.2}$$

$$\bullet|_{\hbar=0} := \mathbb{C} \otimes_{\mathbb{C}[[\hbar]]}^L \bullet : D^b(\text{mod}^{T-\mathbf{H}_{p\hbar}^{\wedge\hbar}}) \rightarrow D^b(\text{mod}^T - \mathbb{C}[\hbar \oplus \hbar^*] \# W), \tag{5.6.3}$$

$$\bullet|_{\hbar=0} := \text{RHom}_{\mathbb{C}[[\hbar]]}(\mathbb{C}, \bullet) : D^b(\text{mod}^{T-\mathcal{D}_{\hbar}^{\alpha}}) \rightarrow D^b(\text{Coh}^T(X)), \tag{5.6.4}$$

$$\bullet|_{\hbar=0} := \text{RHom}_{\mathbb{C}[[\hbar]]}(\mathbb{C}, \bullet) : D^b(\text{mod}^{T-\mathbf{H}_{p\hbar}^{\wedge\hbar}}) \rightarrow D^b(\text{mod}^T - \mathbb{C}[\hbar \oplus \hbar^*] \# W). \tag{5.6.5}$$

We have $\bullet|_{\hbar=0} = \bullet|_{\hbar=0}^{\hbar=0}[1]$.

In the proof of Lemma 5.6.3 we have seen that $\mathcal{F}(\bullet|_{\hbar=0}^{\hbar=0})$ is the left derived functor of $\text{Hom}_{\mathcal{O}_X}(\mathcal{P}, \ker_{\hbar}(\bullet))$, where \ker_{\hbar} means the annihilator of \hbar . Similarly $\mathcal{F}_{\hbar}(\bullet)|_{\hbar=0}^{\hbar=0}$ is the left derived functor of $\ker_{\hbar} \text{Hom}_{D_{\hbar}^{\alpha}}(\mathcal{P}_{\hbar}^{\alpha}, \bullet)$. But $\text{Hom}_{\mathcal{O}_X}(\mathcal{P}, \ker_{\hbar}(\bullet))$ and $\ker_{\hbar} \text{Hom}_{D_{\hbar}^{\alpha}}(\mathcal{P}_{\hbar}^{\alpha}, \bullet)$ are naturally isomorphic. Thus $\mathcal{F}(\bullet|_{\hbar=0}^{\hbar=0}) \cong \mathcal{F}_{\hbar}(\bullet)|_{\hbar=0}^{\hbar=0}$. It follows from this and (5.6.1) that $\mathcal{G}(\bullet|_{\hbar=0}) \cong \mathcal{G}_{\hbar}(\bullet)|_{\hbar=0}$. As a result we have a commutative diagram

$$\begin{array}{ccc} \mathcal{G}_{\hbar} \circ \mathcal{F}_{\hbar}(M) & \longrightarrow & M \\ \bullet|_{\hbar=0} \downarrow & & \downarrow \bullet|_{\hbar=0} \\ \mathcal{G} \circ \mathcal{F}(M|_{\hbar=0}) & \longrightarrow & M|_{\hbar=0} \end{array}$$

From this it follows that $N|_{\hbar=0}$ is the cone of $\mathcal{G} \circ \mathcal{F}(M|_{\hbar=0}) \rightarrow M|_{\hbar=0}$. The latter is zero since \mathcal{F} and \mathcal{G} are mutually quasi-inverse. Thus we only need to show that if $N|_{\hbar=0} = 0$ for an object $N \in D^b(\text{mod}^{T-\mathcal{D}_{\hbar}^{\alpha}})$, then $N = 0$.

5.6.8. *Derived Nakayama lemma.* Any object in $\text{mod}^{T-\mathcal{D}_{\hbar}^{\alpha}}$ has a resolution by $\mathbb{C}[[\hbar]]$ -flat objects (see Lemma 5.7.3 which is independent of the present material). So to prove our claim in the previous paragraph we represent N_{\hbar} as a (bounded from the right) complex of $\mathbb{C}[[\hbar]]$ -flat sheaves. For such a complex N_{\hbar} applying the functor $\bullet|_{\hbar=0}$ is just the usual quotient by \hbar . Since for sheaves exactness is a local property, our claim from the previous paragraph reduces to the following ‘‘derived Nakayama lemma’’.

Lemma. *Let $\cdots \rightarrow N_k \rightarrow \cdots \rightarrow N_1 \rightarrow N_0 \rightarrow 0$ be a complex of $\mathbb{C}[[\hbar]]$ -modules that are $\mathbb{C}[[\hbar]]$ -flat, and also complete and separated with respect to the \hbar -adic topology. Suppose that the induced complex $\cdots \rightarrow N_k/\hbar N_k \rightarrow \cdots \rightarrow N_1/\hbar N_1 \rightarrow N_0/\hbar N_0 \rightarrow 0$ is exact. Then the original complex is exact.*

Proof. It is easy to see that, thanks to the completeness and separation properties, the surjectivity of $N_1/\hbar N_1 \rightarrow N_0/\hbar N_0$ implies that $N_1 \rightarrow N_0$ is surjective too. Now we are going to argue by induction. For this we will need to show that for the kernels Z_{\hbar}, Z of $N_1 \rightarrow N_0, N_1/\hbar N_1 \rightarrow N_0/\hbar N_0$ the natural homomorphism $Z_{\hbar}/\hbar Z_{\hbar} \rightarrow Z$ is an isomorphism. To prove this we repeat the argument in the last paragraph of the proof of Lemma 5.6.3. \square

We have thus shown that (5.5.1) is an equivalence.

5.6.9. *Properties of $\mathcal{F}_{\hbar}, \mathcal{G}_{\hbar}$.* We will need some other properties of the equivalences \mathcal{F}_{\hbar} and \mathcal{G}_{\hbar} . They are summarized in the following lemma.

Lemma. *Keep the notation from above.*

- (1) *The functors \mathcal{F}_{\hbar} and \mathcal{G}_{\hbar} are $\mathbb{C}[[\hbar]]$ -linear.*
- (2) *The functors \mathcal{F}_{\hbar} and \mathcal{G}_{\hbar} preserve the subcategories of all complexes whose cohomologies are \hbar -torsion.*
- (3) *Let $Y \subset (\mathfrak{h} \oplus \mathfrak{h}^*)/W$ be a T -stable closed subvariety. Consider the full subcategories*

$$D_Y^b(\text{mod}^T\text{-}\mathbf{H}_{p\hbar}^{\wedge\hbar}) \subset D^b(\text{mod}^T\text{-}\mathbf{H}_{p\hbar}^{\wedge\hbar}) \quad \text{and} \quad D_{\pi^{-1}(Y)}^b(\text{mod}^T\text{-}\mathcal{D}_{\hbar}^{\alpha}) \subset D^b(\text{mod}^T\text{-}\mathcal{D}_{\hbar}^{\alpha})$$

whose cohomology is supported on Y and on $\pi^{-1}(Y)$ respectively. Then \mathcal{F}_{\hbar} and \mathcal{G}_{\hbar} restrict to equivalences between these subcategories.

Proof. (1) follows directly from the constructions of \mathcal{F}_h and \mathcal{G}_h , while (2) is a formal consequence of (1).

Let us prove (3). First of all, we claim that $M \in D^b(\text{mod}^T\text{-}\mathcal{D}_{\hbar}^{\alpha})$ lies in $D_{\pi^{-1}(Y)}^b(\text{mod}^T\text{-}\mathcal{D}_{\hbar}^{\alpha})$ if and only if $M|_{\hbar=0}$ lies in $D_{\pi^{-1}(Y)}^b(\text{Coh}^T(X))$. Recall that M can be represented as a bounded from the right complex $\cdots \rightarrow M_k \rightarrow \cdots \rightarrow M_0 \rightarrow 0$ of $\mathbb{C}[[\hbar]]$ -flat sheaves. Then $M|_{\hbar=0}$ is just the complex $\cdots \rightarrow M_k/\hbar M_k \rightarrow \cdots \rightarrow M_0/\hbar M_0 \rightarrow 0$. Clearly, $M \in D_{\pi^{-1}(Y)}^b(\text{mod}^T\text{-}\mathcal{D}_{\hbar}^{\alpha})$ if and only if for any principal open affine subset $U \subset (\mathfrak{h} \oplus \mathfrak{h}^*)/W$ in the complement of Y the complex $\cdots \rightarrow M_k|_{\pi^{-1}(U)} \rightarrow \cdots \rightarrow M_0|_{\pi^{-1}(U)} \rightarrow 0$ is exact. So our claim becomes the following: the complex $\cdots \rightarrow M_k|_{\pi^{-1}(U)} \rightarrow \cdots \rightarrow M_0|_{\pi^{-1}(U)} \rightarrow 0$ is exact if and only if $\cdots \rightarrow M_k/\hbar M_k|_{\pi^{-1}(U)} \rightarrow \cdots \rightarrow M_0/\hbar M_0|_{\pi^{-1}(U)} \rightarrow 0$ is exact. The “if” part follows from Lemma 5.6.7, while the “only if” part is clear. Our claim is proved. Let us remark that an analogous claim (with the same proof) holds in the category $D^b(\text{mod}^T\text{-}\mathbf{H}_{p\hbar}^{\wedge\hbar})$.

Now recall from 5.6.7 that the functor $\bullet|_{\hbar=0}$ intertwines \mathcal{F}_{\hbar} with \mathcal{F} and \mathcal{G}_{\hbar} with \mathcal{G} . So it is enough to prove that \mathcal{F}, \mathcal{G} preserve the objects with appropriate supports. But these

functors are local with respect to $(\mathfrak{h} \oplus \mathfrak{h}^*)/W$, meaning that for every T -stable principal open affine subset $U \subset (\mathfrak{h} \oplus \mathfrak{h}^*)/W$ we have

$$\begin{aligned} \mathcal{F}^U \circ \text{Res}_{\pi^{-1}(U)}^X &= \text{Res}_U^{(\mathfrak{h} \oplus \mathfrak{h}^*)/W} \circ \mathcal{F}, \\ \mathcal{G}^U \circ \text{Res}_U^{(\mathfrak{h} \oplus \mathfrak{h}^*)/W} &= \text{Res}_{\pi^{-1}(U)}^X \circ \mathcal{G}. \end{aligned}$$

Here $\mathcal{F}^U, \mathcal{G}^U$ are the analogs of \mathcal{F}, \mathcal{G} defined for U and $\pi^{-1}(U)$ in place of $(\mathfrak{h} \oplus \mathfrak{h}^*)/W$ and X . For example, the functors in the first line are derived functors of $\text{Hom}(\mathcal{P}|_{\pi^{-1}(U)}, \bullet|_{\pi^{-1}(U)})$ and $\text{Hom}(\mathcal{P}, \bullet)|_U$. These functors are isomorphic because U is a principal open affine subset.

The locality of \mathcal{F}, \mathcal{G} implies the preservation of supports. □

We remark that the subcategories in (5.5.2) have the form indicated in (3) above with $Y = \mathfrak{h}/W \subset (\mathfrak{h} \oplus \mathfrak{h}^*)/W$. So \mathcal{F}_{\hbar} is the equivalence required in (5.5.2). Furthermore the fact that \mathcal{F}_{\hbar} and \mathcal{G}_{\hbar} preserve the filtrations by supports also follows since the subcategories in the filtration again have the form appearing in (3).

5.7. Translations

In this section we are going to establish equivalences in (5.5.3). Our equivalences are standard: they come from tensor products with quantizations of line bundles on X . This should be a general fact, but we are only going to establish it for hamiltonian reductions, where the construction is more explicit.

5.7.1. Translation bimodule. Let $\alpha \in \mathfrak{z}$ and χ be a character of $\text{GL}(n\delta)$. In the notation of Subsection 5.3 set

$$\mathcal{D}_{\hbar}^{\alpha, \chi} := (\mathbb{A}_{\hbar, V^{ss}} / \mathbb{A}_{\hbar, V^{ss}} \mu^* \mathfrak{gl}(n\delta)_{\hbar\alpha})^{\text{GL}(n\delta), \chi},$$

where on the right hand side the superscript χ means taking $\text{GL}(n\delta)$ -semiinvariants of weight χ . It is clear from the construction that $\mathcal{D}_{\hbar}^{\alpha, \chi}$ is a right $\mathcal{D}_{\hbar}^{\alpha}$ -module. Also there is an action of $\mathcal{D}_{\hbar}^{\alpha+\chi}$ from the left commuting with $\mathcal{D}_{\hbar}^{\alpha}$. This action comes from the observation that the differential of the $\text{GL}(n\delta)$ -action coincides with the modified adjoint action of $\mathfrak{gl}(n\delta): \xi \mapsto \frac{1}{\hbar} \text{ad}(\xi)$. Thus tensoring provides a functor

$$\bullet \otimes_{\mathcal{D}_{\hbar}^{\alpha+\chi}} \mathcal{D}_{\hbar}^{\alpha, \chi} : \text{mod}^T\text{-}\mathcal{D}_{\hbar}^{\alpha+\chi} \xrightarrow{\sim} \text{mod}^T\text{-}\mathcal{D}_{\hbar}^{\alpha}. \tag{5.7.1}$$

5.7.2. Invertibility. Since the $\text{GL}(n\delta)$ -action is free, we see that $\mathcal{D}_{\hbar}^{\alpha, \chi} / \hbar \mathcal{D}_{\hbar}^{\alpha, \chi}$ coincides with $\mathcal{O}_X^{\chi} := \mathcal{O}_{\mu^{-1}(0)}^{\text{GL}(n\delta), \chi}$ (see for instance [35, Proposition 2.4]). The latter is a line bundle on X . There is a homomorphism

$$\mathcal{D}_{\hbar}^{\alpha+\chi, -\chi} \otimes_{\mathcal{D}_{\hbar}^{\alpha}} \mathcal{D}_{\hbar}^{\alpha, \chi} \rightarrow \mathcal{D}_{\hbar}^{\alpha+\chi} \tag{5.7.2}$$

of $\mathcal{D}_{\hbar}^{\alpha+\chi}$ -bimodules induced by multiplication. Modulo \hbar , this homomorphism coincides with the isomorphism $\mathcal{O}_X^{-\chi} \otimes_{\mathcal{O}_X} \mathcal{O}_X^{\chi} \rightarrow \mathcal{O}_X$, so (5.7.2) is itself an isomorphism and hence (5.7.1) is an equivalence. Thus we have shown (5.5.3). Moreover, this equivalence preserves the subcategories \mathcal{O} and the support filtration on those subcategories.

5.7.3. $\mathbb{C}[[\hbar]]$ -flat resolutions. Using the above translations we have the following result which has been used in 5.6.7.

Lemma. Any object $M \in \text{mod}^T\text{-}\mathcal{D}_{\hbar}^{\alpha}$ admits a surjection from a $\mathbb{C}[[\hbar]]$ -flat object.

Proof. It is enough to show that there is χ such that $M^{\chi} := M \otimes_{\mathcal{D}_{\hbar}^{\alpha}} \mathcal{D}_{\hbar}^{\alpha+\chi, -\chi}$ is generated by its global sections, for then there is a surjection $(\mathcal{D}_{\hbar}^{\alpha+\chi})^{\oplus n} \twoheadrightarrow M^{\chi}$ and hence also a surjection $(\mathcal{D}_{\hbar}^{\alpha, \chi})^{\oplus n} \twoheadrightarrow M$. Let $M_0 := M/M\hbar$, a coherent sheaf on X . Then there is some χ such that $M_0^{\chi} := M_0 \otimes_{\mathcal{O}_X} \mathcal{O}_X^{\chi}$ is generated by its global sections and has no higher cohomology. From the cohomology vanishing it follows that all the global sections of M_0^{χ} lift to sections of M^{χ} . Indeed, if m_1, \dots, m_r are local sections lifting a global section of M_0^{χ} , then $(m_i - m_j)_{ij}$ give a Čech 1-cocycle which vanishes modulo (\hbar) . Cohomology vanishing ensures that there exists $(\alpha_i)_i$ such that $\hbar^{-1}(m_i - m_j) = \alpha_i - \alpha_j$ modulo (\hbar) , and so replacing m_i by $m_i + \hbar\alpha_i$ produces a 1-cocycle which vanishes modulo (\hbar^2) . Iterating this proves that the global sections of M_0^{χ} lift to M^{χ} . Moreover, since they generate M^{χ} modulo \hbar , they generate M^{χ} itself. \square

5.7.4. Integral difference. By 2.3.1 and 5.4.2 we conclude that $\alpha, \alpha' \in \mathfrak{z}$ differ by a character χ of $\text{GL}(n\delta)$ if and only if the corresponding parameters p, p' have integral difference.

5.8. Equivalences between categories \mathcal{O}

5.8.1. Quotients by $\hbar - 1$. The results of the previous two subsections establish the existence of an equivalence (5.5.4). In this section we will prove that (5.5.4) implies (5.5.7). First let us prove (5.5.5). Consider the thick subcategories

$$\begin{aligned} D_{\hbar\text{-tor}}^b(\text{mod}^T\text{-}\mathbf{H}_{p\hbar}^{\wedge\hbar}) &\subset D^b(\text{mod}^T\text{-}\mathbf{H}_{p\hbar}^{\wedge\hbar}), \\ D_{\mathcal{O}, \hbar\text{-tor}}^b(\text{mod}^T\text{-}\mathbf{H}_{p\hbar}^{\wedge\hbar}) &\subset D_{\mathcal{O}}^b(\text{mod}^T\text{-}\mathbf{H}_{p\hbar}^{\wedge\hbar}) \end{aligned}$$

consisting of all complexes whose cohomology is \hbar -torsion. Thanks to assertion (2) of Lemma 5.6.9, it is enough to establish an equivalence

$$D^b(\text{mod}^T\text{-}\mathbf{H}_{p\hbar}^{\wedge\hbar})/D_{\hbar\text{-tor}}^b(\text{mod}^T\text{-}\mathbf{H}_{p\hbar}^{\wedge\hbar}) \rightarrow D^b(\text{mod}^{T_1}\text{-}\mathbf{H}_p) \tag{5.8.1}$$

that preserves all required subcategories. In (5.8.1) we may replace $\mathbf{H}_{p\hbar}^{\wedge\hbar}$ with \mathbf{H}_{hp} because of the category equivalence mentioned in the last paragraph of 5.2.1. We have

$$D^b(\text{mod}^T\text{-}\mathbf{H}_{p\hbar})/D_{\hbar\text{-tor}}^b(\text{mod}^T\text{-}\mathbf{H}_{p\hbar}) \cong D^b(\text{mod}^T\text{-}\mathbf{H}_{p\hbar}/\text{mod}_{\hbar\text{-tor}}^T\text{-}\mathbf{H}_{p\hbar}),$$

while the isomorphism $\mathbf{H}_{p\hbar}/(\hbar - 1) \cong \mathbf{H}_p$ gives rise to an equivalence $\text{mod}^T\text{-}\mathbf{H}_{p\hbar}/\text{mod}_{\hbar\text{-tor}}^T\text{-}\mathbf{H}_{p\hbar} \cong \text{mod}^{T_1}\text{-}\mathbf{H}_p$. It follows that (5.8.1) is an equivalence of triangulated categories which induces an equivalence between the subcategories of all objects whose cohomologies have required supports. This establishes (5.5.5).

5.8.2. *From complexes supported in \mathcal{O} to complexes in \mathcal{O} .* The claim (5.5.6) was essentially obtained by Etingof in [26, proof of Proposition 4.4]; he considered the ungraded setting. Let us provide details.

We need to produce a functor $D_{\mathcal{O}}^b(\text{mod}^{T_1}\text{-}\mathbf{H}_p) \rightarrow D^b(\mathcal{O}_p^{T_1})$ that will be quasi-inverse to $D^b(\mathcal{O}_p^{T_1}) \rightarrow D_{\mathcal{O}}^b(\text{mod}^{T_1}\text{-}\mathbf{H}_p)$. Following the proof of [26, Proposition 4.4], consider the algebra $\hat{\mathbf{H}}_p := \mathbf{H}_p \widehat{\otimes}_{S(\mathfrak{h})} \mathbb{C}[[\hbar^*]]$. We remark that this algebra is not noetherian. The group T_1 naturally acts on $\hat{\mathbf{H}}_p$. Let $\tilde{\mathbf{H}}_p$ denote the subalgebra of T_1 -finite elements. There is a natural embedding $\mathbf{H}_p \hookrightarrow \hat{\mathbf{H}}_p$ and its image lies in $\tilde{\mathbf{H}}_p$.

Introduce the category $\text{Mod}^{T_1}\text{-}\tilde{\mathbf{H}}_p$ of T_1 -equivariant $\tilde{\mathbf{H}}_p$ -modules that are quotients of a sum of copies of $\tilde{\mathbf{H}}_p$ (with grading shifts). Obviously, $\mathcal{O}_p^{T_1}$ is a Serre subcategory of $\text{Mod}^{T_1}\text{-}\tilde{\mathbf{H}}_p$. By construction any T_1 -stable submodule of a direct sum of $\tilde{\mathbf{H}}_p$'s (with grading shifts) lies in this category and so it is abelian. Consider the derived category $D_{\mathcal{O}}^b(\text{Mod}^{T_1}\text{-}\tilde{\mathbf{H}}_p)$.

Pick a complex $M_{\bullet} \in D_{\mathcal{O}}^b(\text{mod}^{T_1}\text{-}\mathbf{H}_p)$ and consider the complexes $\tilde{M}_{\bullet} := \tilde{\mathbf{H}}_p \otimes_{\mathbf{H}} M_{\bullet}$, $\hat{M}_{\bullet} := \hat{\mathbf{H}}_p \otimes_{\mathbf{H}_p} M_{\bullet}$. We are going to prove that the natural morphism $M_{\bullet} \rightarrow \tilde{M}_{\bullet}$ is a quasi-isomorphism.

According to the proof of [26, Lemma 4.5], $\text{Tor}_{\mathbf{H}_p}^i(\hat{\mathbf{H}}_p, M) = 0$ for any $M \in \mathcal{O}_p^{T_1}$ and any $i > 0$. Since any complex in $D^b(\text{mod}^{T_1}\text{-}\mathbf{H}_p)$ is quasi-isomorphic to a complex of graded free modules, it follows by the hypertor spectral sequence that the natural morphism of complexes $M_{\bullet} \rightarrow \hat{M}_{\bullet}$ is a quasi-isomorphism. Apply this to a complex

$$M_{\bullet} = 0 \xrightarrow{d^k} M_k \xrightarrow{d^{k-1}} M_{k-1} \xrightarrow{d^{k-2}} \dots$$

of graded free modules. The claim that $M_{\bullet} \rightarrow \hat{M}_{\bullet}$ is a quasi-isomorphism is equivalent to $\ker \hat{d}^i = \text{im } \hat{d}^i + \ker d^i$ and $\text{im } \hat{d}^i = \text{im } \hat{d}^i \cap \ker d^i$. Still all the operators d^i are \mathbb{C}^{\times} -equivariant, the last two equalities imply, respectively, $\ker \tilde{d}^i = \text{im } \tilde{d}^i + \ker d^i$ and $\text{im } \tilde{d}^i = \text{im } \tilde{d}^i \cap \ker d^i$. But this again means that $M_{\bullet} \rightarrow \tilde{M}_{\bullet}$ is a quasi-isomorphism. The claim from the previous paragraph is proved.

Any object in $D_{\mathcal{O}}^b(\text{Mod}^{T_1}\text{-}\tilde{\mathbf{H}}_p)$ can be represented as a (perhaps bounded only from the right) complex of free $\tilde{\mathbf{H}}_p$ -modules (with grading shifts). But, as an object of $\text{Mod}^{T_1}\text{-}\tilde{\mathbf{H}}_p$, $\tilde{\mathbf{H}}_p$ is a projective limit of objects in $\mathcal{O}_p^{T_1}$. It follows that any projective object in $\mathcal{O}_p^{T_1}$ is projective in $\text{Mod}^{T_1}\text{-}\tilde{\mathbf{H}}_p$, so the natural functor $D^b(\mathcal{O}_p^{T_1}) \rightarrow D_{\mathcal{O}}^b(\text{Mod}^{T_1}\text{-}\tilde{\mathbf{H}}_p)$ is an equivalence. Composing $\tilde{\mathbf{H}}_p \otimes_{\mathbf{H}_p} \bullet : D_{\mathcal{O}}^b(\text{mod}^{T_1}\text{-}\mathbf{H}_p) \rightarrow D_{\mathcal{O}}^b(\text{Mod}^{T_1}\text{-}\tilde{\mathbf{H}}_p)$ with the equivalence $D_{\mathcal{O}}^b(\text{Mod}^{T_1}\text{-}\tilde{\mathbf{H}}_p) \rightarrow D^b(\mathcal{O}_p^{T_1})$ we get a quasi-inverse functor to $D^b(\mathcal{O}_p^{T_1}) \rightarrow D_{\mathcal{O}}^b(\text{mod}^{T_1}\text{-}\mathbf{H}_p)$.

5.8.3. *Getting rid of T_1 .* To prove (5.5.7) observe that we now have an equivalence

$$E : D^b(\mathcal{O}_p^{T_1}) \xrightarrow{\sim} D^b(\mathcal{O}_{p'}^{T_1})$$

which commutes with the grading shifts arising from the T_1 -action. Let $\eta_p : \mathcal{O}_p^{T_1} \rightarrow \mathcal{O}_p$ be the forgetful functor. By [31, Proposition 2.4] all objects in \mathcal{O}_p can be given

T_1 -equivariant structure and we have

$$\mathrm{Ext}_{\mathcal{O}_p}^i(\eta_p(X), \eta_p(Y)) = \bigoplus_{z \in \mathbb{Z}} \mathrm{Ext}_{\mathcal{O}_p^{T_1}}^i(X, Y\langle z \rangle)$$

for any $X, Y \in \mathcal{O}_p^{T_1}$ where $\langle z \rangle$ denotes the grading shift. Let P be an equivariant lift to $\mathcal{O}_p^{T_1}$ of a projective generator for \mathcal{O}_p and set $T = E(P)$. The above observations show that $\eta_{p'}(T)$ is a tilting object of $\mathcal{O}_{p'}$ whose endomorphism ring is Morita equivalent to \mathcal{O}_p . This produces the equivalence in (5.5.7) and thus Theorem 5.1.3 follows.

6. Cherednik category \mathcal{O} vs parabolic category \mathcal{O} in type A

6.1. Content of this section

The goal of this section is to relate the category $\mathcal{O}_{\kappa, \mathbf{s}}$ for the cyclotomic rational Cherednik algebra to the parabolic category \mathcal{O} in type A , proving Theorem C from the introduction. We impose certain restrictions on our parameters: κ is supposed to be not rational, while \mathbf{s} has to be ordered: $s_\ell > s_1 > \dots > s_{\ell-1}$ (below the inequalities are different, but this is because \mathbf{s} will have a different meaning).

In Subsection 6.2 we recall some information about the parabolic category \mathcal{O} and state a weak version of our main result, Theorem 6.2.3. In the last Subsection 6.9 we will prove a stronger version and deduce its various consequences including a combinatorial classification of modules with given support in the Cherednik category \mathcal{O} and the Koszul property for this category.

Subsection 6.3 recalls the definitions of Hecke algebras. We need them because the two categories we are interested in are highest weight covers for certain Hecke algebras: the usual cyclotomic Hecke algebras on the Cherednik side (see [31] and [50]), and the degenerate cyclotomic Hecke algebras on the parabolic side (see [15]).

Subsection 6.4 deals with highest weight covers. We first recall some general theory, mostly due to Rouquier [50], including his theorem on the equivalence of highest weight covers. Then we treat the KZ functor that realizes the Cherednik category \mathcal{O} as a highest weight cover for the Hecke algebra modules. We recall the definition of the functor as well as some easy properties that are mostly well-known and standard. Then we recall the usual and degenerate cyclotomic q -Schur algebras which provide highest weight covers of the usual and degenerate cyclotomic q -Schur algebra, and we recall the work of Brundan–Kleshchev showing that parabolic category \mathcal{O} is a highest weight cover of a degenerate cyclotomic Hecke algebra. This last cover is known to be equivalent to the degenerate cyclotomic Schur algebra.

In Subsection 6.5 we study the Cherednik categories \mathcal{O} a bit further. We provide a reduction result and also prove that under our ordering of the parameters the Cherednik category \mathcal{O} is equivalent to the category of modules over the cyclotomic q -Schur algebra (the first result of this sort was due to Rouquier [50]).

So Subsections 6.4 and 6.5 suggest that to prove Theorem 6.2.3 one needs to establish a highest weight equivalence between the categories of modules over the cyclotomic

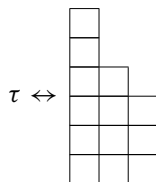
q - and degenerate Schur algebras. In Subsection 6.6 we will establish an isomorphism between the two algebras and show that it gives rise to a highest weight equivalence. Our proof is based on an isomorphism between the usual and degenerate cyclotomic Hecke algebra due to Brundan and Kleshchev [17].

This result is enough to complete the proof of Theorem 6.2.3. However, for our combinatorial computation of the supports we need some more preliminaries related to \mathfrak{gl}_∞ -actions. In Subsection 6.7 we recall higher level Fock spaces and the corresponding crystals. In Subsection 6.8 we recall categorical \mathfrak{gl}_∞ -actions on the module categories of Hecke algebras, the Cherednik and parabolic categories \mathcal{O} .

6.2. Parabolic category \mathcal{O}

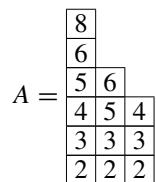
In this subsection we assume that $\mathbf{s} = (s_1, \dots, s_\ell) \in \mathbb{Z}^\ell$ is chosen so that $s_1 > \dots > s_\ell$. We set $\Lambda_{\mathbf{s}} = \sum_{i=1}^\ell \Lambda_{s_i}$, a weight for \mathfrak{gl}_∞ .

6.2.1. Category $\tilde{\mathcal{O}}_{\mathbf{s},m}^{\text{par}}$. Pick $m \geq s_1 - s_\ell + n$ and let $d_i = m + s_i - s_1$ for $1 \leq i \leq \ell$. Fix a partition τ whose transpose equals $(d_1 \geq \dots \geq d_\ell)$. In the following example $n = \ell = 3$, $\mathbf{s} = (7, 5, 4)$ and we choose $m = 6$:



Let \mathfrak{p} be the standard parabolic subalgebra of $\mathfrak{g} = \mathfrak{gl}_{|\tau|}(\mathbb{C})$ with Levi subalgebra the block diagonal matrices $\mathfrak{l} = \mathfrak{gl}_{d_1}(\mathbb{C}) \times \dots \times \mathfrak{gl}_{d_\ell}(\mathbb{C})$. Let $\tilde{\mathcal{O}}_{\mathbf{s},m}^{\text{par}}$ denote the category consisting of all finitely generated \mathfrak{g} -modules that are locally finite-dimensional over \mathfrak{p} , semisimple over \mathfrak{l} , and have integral generalized central character (see [15, §4]).

Let $\rho = (0, -1, \dots, 1 - |\tau|)$. Recall that a τ -tableau A is a filling of the Young diagram of τ with integers. For example



By reading the set of entries of a τ -tableau A from top to bottom and left to right we produce $\mathbf{a} = (a_1, \dots, a_{|\tau|})$ and hence a weight for \mathfrak{g} , with respect to the standard diagonal torus, given by $\tau(A) = \mathbf{a} - \rho = (a_1, a_2 + 1, \dots, a_{|\tau|} + |\tau| - 1)$. In the example we get $\tau(A) = (8, 7, 7, 7, 7, 7, 12, 12, 11, 11, 14, 14, 14)$. If the entries of A are strictly increasing from bottom to top then we call it *column strict* and we denote the set of such

column strict tableaux by $\text{Col}(\tau)$. Given $A \in \text{Col}(\tau)$ we construct the parabolic Verma module

$$N(A) = U(\mathfrak{g}) \otimes_{U(\mathfrak{p})} V(A),$$

where $V(A)$ is the finite-dimensional irreducible representation of \mathfrak{l} with highest weight $\tau(A)$. It has an irreducible head $L(A)$ with highest weight $\tau(A)$. The set $\{L(A) : A \in \text{Col}(\tau)\}$ is a complete set of irreducible representations in $\tilde{\mathcal{O}}_{\mathbf{s},m}^{\text{par}}$.

6.2.2. *Category $\mathcal{O}_{\mathbf{s}}^{\text{par}}(n)$.* To $\lambda = (\lambda^{(1)}, \dots, \lambda^{(\ell)}) \in \mathcal{P}_{\ell}(n)$ we associate $A_{\lambda} \in \text{Col}(\tau)$ whose j^{th} column and i^{th} (from top) row $A_i^{(j)}$ has value

$$\lambda_{d_j - d_1 + i}^{(j)} + s_1 - i + 1$$

(if $d_1 \geq d_j + i$, then the corresponding box is absent). In the example above $A = A_{\lambda}$ for $\lambda = ((1), (1^2), \emptyset)$. It is easy to describe the set $\{A_{\lambda} : \lambda \in \mathcal{P}_{\ell}(n)\}$ on the level of tableaux. Namely, let A_0 be the ‘‘ground-state’’ tableau corresponding to the empty multipartition: its entries in the i^{th} row are all equal to $s_1 + 1 - i$. The set in interest consists of all tableaux A such that $A - A_0$ has non-negative integral entries summing to n .

We let $\mathcal{O}_{\mathbf{s},m}^{\text{par}}(n)$ be the Serre subcategory of $\tilde{\mathcal{O}}_{\mathbf{s},m}^{\text{par}}(n)$ generated by the irreducible representations $\{L(A_{\lambda}) : \lambda \in \mathcal{P}_{\ell}(n)\}$. By [15, Lemma 4.1] this is a sum of blocks of $\tilde{\mathcal{O}}_{\mathbf{s},m}^{\text{par}}(n)$ and hence a highest weight category with standard modules $\{N(A_{\lambda}) : \lambda \in \mathcal{P}_{\ell}(n)\}$.

Lemma. *Up to equivalence sending $N(A_{\lambda})$ to $N(A_{\lambda})$ the category of $\mathcal{O}_{\mathbf{s},m}^{\text{par}}(n)$ is independent of the choice of m .*

A proof will be given in 6.4.11. We will therefore drop the m , henceforth denoting the subcategory by $\mathcal{O}_{\mathbf{s}}^{\text{par}}(n)$.

6.2.3. *Main theorem: weaker version.* Recall the \star -involution on $\mathcal{P}_{\ell}(n)$ from 2.3.3. Also for an ℓ -tuple \mathbf{s} set $\mathbf{s}^{\star} = (-s_{\ell-1}, -s_{\ell-2}, \dots, -s_1, -s_{\ell})$.

Theorem. *Let $\kappa \in \mathbb{C} \setminus \mathbb{Q}$ and $\mathbf{s} = (s_1, \dots, s_{\ell}) \in \mathbb{Z}^{\ell}$ with $s_1 > \dots > s_{\ell}$. Then there is an equivalence $\Upsilon_n : \mathcal{O}_{\kappa, \mathbf{s}^{\star}}(n) \rightarrow \mathcal{O}_{\mathbf{s}}^{\text{par}}(n)$ mapping $\Delta_{\kappa, \mathbf{s}^{\star}}(\lambda^{\star})$ to $N(A_{\lambda})$ for each $\lambda \in \mathcal{P}_{\ell}(n)$.*

Such a result has been suggested in [57, Remark 8.10(b)] where it features as a degenerate analogue of their main conjecture which applies to all κ .

6.3. Hecke algebras

6.3.1. *The general case.* Recall the notation from 2.1.1.

Let $\{\mathbf{q}_u\}$ be a set of indeterminates with $u \in U$ and set $\mathbf{k} = \mathbb{C}[\{\mathbf{q}_u^{\pm 1}\}]$. Let $\mathfrak{h}^{\text{reg}} = \mathfrak{h} \setminus \bigcup_{H \in \mathcal{A}} H$, choose $x_0 \in \mathfrak{h}^{\text{reg}}$, and let $B_W = \pi_1(\mathfrak{h}^{\text{reg}}/W, x_0)$, the braid group of W . Let $T_H \in B_W$ be a generator for the monodromy around H . The elements T_H with H running over a minimal set of reflection hyperplanes generate B_W . Here ‘‘minimal’’ means the minimal subset of the reflecting hyperplanes such that the corresponding reflections

generate W . Let $\mathcal{H}(W)$ be the Hecke algebra of W over \mathbf{k} , the quotient of $\mathbf{k}[B_W]$ by the relations

$$\prod_{0 \leq j < \ell_H} (T_H - \zeta_{\ell_H}^j \mathbf{q}_{H,j}) = 0$$

(see for instance [11, §4]).

Given any \mathbb{C} -algebra homomorphism $\Theta : \mathbf{k} \rightarrow R$, we will let $\mathcal{H}_\Theta(W)$ denote the specialized algebra $\mathcal{H}(W) \otimes_{\mathbf{k}} R$.

6.3.2. *The cyclotomic case.* Let R be a \mathbb{C} -algebra with distinguished elements $q \neq 0$ and Q_1, \dots, Q_ℓ . Following [36, §3.2] we define $\mathcal{H}_{q,\mathbf{Q}}^R(n)$ as the R -algebra with generators the Jucys–Murphy elements L_1, \dots, L_n and T_1, \dots, T_{n-1} and relations, for $1 \leq i \leq n-1$ and $1 \leq j \leq n$,

$$\begin{aligned} (L_1 - Q_1) \cdots (L_1 - Q_\ell) &= 0, & L_i L_j &= L_j L_i, \\ (T_i + 1)(T_i - q) &= 0, & T_i T_{i+1} T_i &= T_{i+1} T_i T_{i+1}, \\ T_i L_i + \delta_{q,1} &= L_{i+1}(T_i - q + 1), & T_i L_j &= L_j T_i \quad \text{if } i \neq j, j + 1, \\ T_i T_j &= T_j T_i & \text{if } |j - i| > 1 \text{ and } j < n. \end{aligned}$$

When $q = 1$ the algebra $\mathcal{H}_{1,\mathbf{Q}}^R(n)$ is known as the *degenerate cyclotomic Hecke algebra*. On the other hand let $\Theta : \mathbf{k} \rightarrow R$ be the homomorphism defined by

$$\Theta(\mathbf{q}_{H_{i,j}^k,0}) = q, \quad \Theta(\mathbf{q}_{H_{i,j}^k,1}) = 1, \quad \Theta(\mathbf{q}_{H_{i,j}}) = \zeta_\ell^{-j} Q_j$$

with $q \neq 1$; then $H_{q,\mathbf{Q}}^R(n) = \mathcal{H}_\Theta(G_\ell(n))$. This is because $\mathcal{H}_\Theta(G_\ell(n))$ can be presented as a quotient of the affine Hecke algebra $\mathcal{H}_q^{\text{aff}}(n)$. Recall that the last algebra is generated by $T_1, \dots, T_{n-1}, X_1, \dots, X_n$ subject to the relations

$$\begin{aligned} X_i X_j &= X_j X_i, \\ T_i X_j &= X_j T_i, \quad j \neq i, i + 1, \\ T_i X_i T_i &= q X_{i+1}, \\ (T_i - q)(T_i + 1) &= 0, \\ T_i T_j &= T_j T_i, \quad |i - j| > 1, \\ T_i T_{i+1} T_i &= T_{i+1} T_i T_{i+1}. \end{aligned} \tag{6.3.1}$$

There is a unique epimorphism $\mathcal{H}_q^{\text{aff}}(n) \twoheadrightarrow \mathcal{H}_\Theta(G_\ell(n))$ such that $T_i \mapsto T_i, i = 1, \dots, n-1, X_1 \mapsto L_1$ (see e.g. [47, §2.4]). This induces an isomorphism $\mathcal{H}_\Theta(G_\ell(n)) \rightarrow \mathcal{H}_{q,\mathbf{Q}}^R(n)$.

6.3.3. *Choice of q, Q_i .* Let $\mathbf{s} = (s_1, \dots, s_\ell) \in \mathbb{C}^\ell$ and $\kappa \in \mathbb{C}$. Let $R = \mathbb{C}, q = \exp(2\pi\sqrt{-1}\kappa)$ and define

$$Q_i = \begin{cases} \exp(2\pi\sqrt{-1}\kappa s_i) & \text{if } q \neq 1, \\ s_i & \text{if } q = 1. \end{cases}$$

Then we will write $\mathcal{H}_{q,\mathbf{s}}(n)$ for $\mathcal{H}_{q,\mathbf{Q}}^{\mathbb{C}}(n)$.

6.3.4. *Involution.* There are compatible isomorphisms of Hecke algebras $\sigma : \mathcal{H}_q^{\text{aff}}(n) \rightarrow \mathcal{H}_q^{\text{aff}}(n), \mathcal{H}_{q,s}(n) \rightarrow \mathcal{H}_{q,s^*}(n)$ induced by sending T_i to $-qT_i^{-1}$ for $1 \leq i \leq n - 1$ and X_i to X_i^{-1}, L_i to L_i^{-1} (if $q \neq 1$) or X_i to $-X_i, L_i$ to $-L_i$ (if $q = 1$) for $1 \leq i \leq n$. Recall that s^* was defined in 6.2.3.

6.4. *Highest weight covers*

6.4.1. *Definition.* Let $\Theta : \mathbf{k} \rightarrow k$ be an algebra homomorphism. Let \mathcal{C} be a highest weight category defined over k (see [50, 4.1] for the definition), and $F : \mathcal{C} \rightarrow \mathcal{H}_\Theta(W)\text{-mod}$ an exact functor. We define the pair (\mathcal{C}, F) to be a highest weight cover of $\mathcal{H}_\Theta(W)$ if F is essentially surjective and the restriction of F to the projective objects in \mathcal{C} is fully faithful. Given this, we then call the pair (\mathcal{C}, F) an i -faithful cover of $\mathcal{H}_\Theta(W)$ if F induces isomorphisms

$$\text{Ext}_{\mathcal{C}}^j(M, N) \xrightarrow{\sim} \text{Ext}_{\mathcal{H}_\Theta(W)}^j(FM, FN)$$

for $0 \leq j \leq i$ and for all $M, N \in \mathcal{C}^\Delta$. Here and below, \mathcal{C}^Δ denotes the full subcategory in \mathcal{C} consisting of objects with a filtration whose successive quotients are standard modules.

6.4.2. *Passing to fraction fields.* Now let k be an integral domain and set $K = \text{Quot}(k)$ so that we have a morphism $\theta : \mathbf{k} \xrightarrow{\Theta} k \hookrightarrow K$. Assume that $\mathcal{H}_\theta(W)$ is split semisimple. Then a highest weight cover F becomes an isomorphism after tensoring with K . In particular, it induces a bijection between the set of simples in $\mathcal{C} \otimes_k K$ and simple $\mathcal{H}_\theta(W)$ -modules. Since $\mathcal{C} \otimes_k K$ is still a highest weight category, we have an ordering on the set of simple modules. The notion of compatibility of F with a partial ordering on the simple $\mathcal{H}_\theta(W)$ -modules can be defined now in a natural way.

6.4.3. *Equivalences.* Let \mathcal{C}_1 and \mathcal{C}_2 be highest weight categories defined over k , with sets of standard objects Δ_1 and Δ_2 respectively. A functor $F : \mathcal{C}_1 \rightarrow \mathcal{C}_2$ is an *equivalence of highest weight categories* if it is an equivalence of categories and there is a bijection $\phi : \Delta_1 \xrightarrow{\sim} \Delta_2$ and invertible k -modules U_D for each $D \in \Delta_1$ such that $F(D) \cong \phi(D) \otimes U_D$ for $D \in \Delta_1$.

If (\mathcal{C}_i, F_i) are highest weight covers of $\mathcal{H}_\Theta(W)$ for $i = 1, 2$, then $F : \mathcal{C}_1 \rightarrow \mathcal{C}_2$ is an *equivalence of highest weight covers* if it is an equivalence of highest weight categories such that $F_1 = F_2 \circ F$.

6.4.4. *Rouquier’s equivalence theorem.* The importance of the above definitions comes from the following theorem of Rouquier [50, Theorem 4.49].

Theorem. *Let k, K, θ be as in 6.4.2 and assume that $\mathcal{H}_\theta(W)$ is split semisimple. Fix two orders, \leq_1 and \leq_2 , on $\text{Irrep}(\mathcal{H}_\theta(W))$. Suppose for $i = 1, 2$ we have 1-faithful highest*

weight covers $F_i : \mathcal{C}_i \rightarrow \mathcal{H}_\Theta(W)\text{-mod}$ compatible with \leq_i . If the ordering \leq_1 is a refinement of the ordering \leq_2 , then there is an equivalence of highest weight covers $\mathcal{C}_1 \xrightarrow{\sim} \mathcal{C}_2$ which induces the bijections

$$\text{Irrep}(\mathcal{C}_1 \otimes_k K) \xrightarrow{\sim} \text{Irrep}(\mathcal{H}_\Theta(W)) \xleftarrow{\sim} \text{Irrep}(\mathcal{C}_2 \otimes_k K)$$

specified in 6.4.2.

In the remaining part of the subsection we will provide examples of highest weight covers.

6.4.5. *KZ functor.* Let \hat{R} be the completion of $\mathbf{R} = \mathbb{C}[\{\mathbf{h}_u\}]$ at a maximal ideal corresponding to the point $\{h_u\} \in \mathbb{C}^U$. Consider \hat{R} as a \mathbf{k} -algebra via the homomorphism that sends $\mathbf{q}_{H,j}$ to $\exp(2\pi\sqrt{-1}\mathbf{h}_{H,j})$. Thus for any homomorphism $\Psi : \mathbf{R} \rightarrow R$ which factors through \hat{R} there is a corresponding homomorphism $\Theta : \mathbf{k} \rightarrow R$. Then (see [31, §5]), there is an exact functor

$$\text{KZ}_\Psi : \mathcal{O}_\Psi(\mathfrak{h}, W) \rightarrow \mathcal{H}_\Theta(W)\text{-mod}.$$

Namely, given a module in $\mathcal{O}_\Psi(\mathfrak{h}, W)$ one can localize it to $\mathfrak{h}^{\text{reg}}$ to get a W -equivariant $R \otimes D(\mathfrak{h}^{\text{reg}})$ -module with regular singularities.

In the rest of the paper we impose the following assumption on W .

Hypothesis 1. *The Hecke algebra $\mathcal{H}(W)$ is flat over \mathbf{k} of rank $|W|$.*

This is conjectured to be true in general [11, p. 178], and holds for $W = G_\ell(n)$, the case we will consider [11, Theorem 4.24].

Under this hypothesis the corresponding local system happens to be not only an $R[B_W]$ -module but even an \mathcal{H}_Θ -module.

6.4.6. *Faithfulness of KZ.* Let us recall that

(1) if $\Psi : \mathbf{k} \rightarrow k$ is such that

$$\Psi(\mathbf{h}_{H,m}) - \Psi(\mathbf{h}_{H,m'}) + \frac{m - m'}{e_H} \notin \mathbb{Z} \quad \text{for all } H \in \mathcal{A} \text{ and } m \neq m' \quad (6.4.1)$$

then $(\mathcal{O}_\Psi, \text{KZ}_\Psi)$ is a 0-faithful highest weight cover of $\mathcal{H}_\Theta(W)$ [31, Proposition 5.9];

(2) if k is a discrete valuation ring and $\Psi : \mathbf{k} \rightarrow k$ is such that (6.4.1) holds then $(\mathcal{O}_\Psi, \text{KZ}_\Psi)$ is a 1-faithful highest weight cover of $\mathcal{H}_\Theta(W)$ [50, Theorem 5.3].

We will say that a parameter $(h_{H,j}) \in \mathbb{C}^U$ that satisfies (6.4.1) is *faithful*. A parameter $p \in \mathfrak{c}_1$ is said to be faithful, if it can be represented by a faithful $(h_{H,j})$. In the cyclotomic case of (2.3.3), the parameters are faithful if and only if

$$\kappa \notin 1/2 + \mathbb{Z} \quad \text{and} \quad \kappa(s_i - s_j) \notin \mathbb{Z}. \quad (6.4.2)$$

In particular, if $\kappa \notin \mathbb{Q}$ and $\mathbf{s} \in \mathbb{Z}^\ell$ then this is equivalent to all s_i being distinct.

Let us now explain some properties of the KZ functor.

6.4.7. *KZ twist.* One of the corollaries of Theorem 6.4.4 is the existence of equivalences between distinct specialized categories $\mathcal{O}(W)$. Let $(h_{H,j}), (h'_{H,j}) \in \mathbb{C}^U$ be faithful parameters with corresponding specializations $\Psi_h : \mathbf{R} \rightarrow \mathbb{C}$ and $\Psi_{h'} : \mathbf{R} \rightarrow \mathbb{C}$. The corre-

sponding specialized Hecke algebras $\mathcal{H}_{\Theta_h}(W)$ and $\mathcal{H}_{\Theta_{h'}}(W)$ are equal if $h_{H,j} - h'_{H,j} \in \mathbb{Z}$ for each $(H, j) \in U$. This allows us to compare $\mathcal{O}_{\Psi_h}(W)$ and $\mathcal{O}_{\Psi_{h'}}(W)$ via the corresponding KZ functors.

Theorem ([49], [50], [6], [32]). *There is a group homomorphism $\gamma : \mathbb{Z}^U \rightarrow \text{Sym}(\text{Irrep}(W))$ with the following property. If $(h_{H,j}), (h'_{H,j}) \in \mathbb{C}^U$ are faithful parameters whose difference $(z_{H,j})$ belongs to \mathbb{Z}^U and such that the partial ordering $<_{\Psi_h}$ on $\text{Irrep}(W)$ is a refinement of $<_{\Psi_{h'}}$, then there is an equivalence of highest weight categories $\Sigma_{h,h'} : \mathcal{O}_{\Psi_h}(W) \xrightarrow{\sim} \mathcal{O}_{\Psi_{h'}}(W)$ such that $\Sigma_{h,h'}(\Delta_{\Psi_h}(\lambda)) \cong \Delta_{\Psi_{h'}}(\gamma(z_{H,j})(\lambda))$.*

Proof. This is an application of Rouquier’s Theorem 6.4.4. The only thing that does not follow from the Rouquier result is the construction of γ . It was first studied by Opdam [49, Corollary 3.8] and was shown in general to be a group homomorphism by Berest and Chalykh [6, Corollary 7.12]; its relation with Rouquier’s theorem is explained in [32, §2]. □

The homomorphism γ is called the *KZ-twist*. As explained in [49, §6] it can be induced from an action of the Galois group $\text{Gal}(L/K)$ on $\text{Irrep}(W)$ where $K = \mathbb{C}(\{\mathbf{q}_u\})$, the quotient field of the ring over which $\mathcal{H}(W)$ is defined, and L is a splitting field for $\mathcal{H}(W)$. In particular, since K is already a splitting field for $\mathcal{H}(G(\ell, 1, n))$ by [2, Theorems 3.7 and 3.10] we see that γ is trivial in the case $W = G(\ell, 1, n)$. In general we only know that for a large enough N the subgroup $N \cdot \mathbb{Z}^U$ is in the kernel of γ .

6.4.8. *KZ vs Res.* Let $\Psi : \mathbf{R} \rightarrow \mathbb{C}$ be a homomorphism, and let Θ be constructed from Ψ as in 6.4.5. Fix a parabolic subgroup $\underline{W} \subset W$.

Let $\underline{\mathcal{H}}_{\Theta}$ denote the Hecke algebra of \underline{W} corresponding to the parameter restricted from Θ . Then there is a natural embedding $\underline{\mathcal{H}}_{\Theta} \rightarrow \mathcal{H}_{\Theta}$ such that \mathcal{H}_{Θ} becomes a free right $\underline{\mathcal{H}}_{\Theta}$ -module. So we can define the usual restriction ${}^{\mathcal{H}}\text{Res}_{\underline{W}}^W : \mathcal{H}_{\Theta}\text{-mod} \rightarrow \underline{\mathcal{H}}_{\Theta}\text{-mod}$ and induction ${}^{\mathcal{H}}\text{Ind}_{\underline{W}}^W : \underline{\mathcal{H}}_{\Theta}\text{-mod} \rightarrow \mathcal{H}_{\Theta}\text{-mod}$ functors. It turns out that the KZ functors intertwine both inductions and restrictions. More precisely, let $\underline{\text{KZ}} : \mathcal{O}(\underline{W}, \mathfrak{h}^+) \rightarrow \underline{\mathcal{H}}_{\Theta}\text{-mod}$ be the KZ functor for $(\mathfrak{h}_+, \underline{W})$.

Proposition ([51, Theorem 2.1 and Corollary 2.3]). *We have isomorphisms of functors*

$${}^{\mathcal{H}}\text{Res}_{\underline{W}}^W \circ \text{KZ} = \underline{\text{KZ}} \circ \text{Res}_{\underline{W}}^W, \quad {}^{\mathcal{H}}\text{Ind}_{\underline{W}}^W \circ \underline{\text{KZ}} = \text{KZ} \circ \text{Ind}_{\underline{W}}^W.$$

A corollary of this proposition is, in particular, that the functors $\text{Res}_{\underline{W}}^W$ and $\text{Ind}_{\underline{W}}^W$ are biadjoint.

6.4.9. *KZ versus support filtrations*

Proposition. *Consider an arbitrary complex reflection group W satisfying Hypothesis 6.4.5. Let $p, p' \in \mathfrak{c}_1$ be such that the corresponding Hecke parameters coincide. Let $\iota : \mathcal{O}_p \rightarrow \mathcal{O}_{p'}$ be an equivalence intertwining the KZ functors. Then ι preserves the support filtrations.*

Proof. Recall the relationship between the supports of objects in \mathcal{O} and the restriction functors explained in 2.4.4. This relationship implies that we need to prove the following claim: given a parabolic subgroup \underline{W} , for an object $M \in \mathcal{O}_p$ we have $\text{Res}_{\underline{W}}^W(M) = 0$ if and only if $\text{Res}_{\underline{W}}^W(\iota(M)) = 0$. However, it is not convenient to work with the restriction functors themselves because they associate different categories. Instead we are going to replace $\text{Res}_{\underline{W}}^W$ with $\mathcal{F}_? := \text{Ind}_{\underline{W}}^W \circ \text{Res}_{\underline{W}}^W : \mathcal{O}_? \rightarrow \mathcal{O}_?$, where $? = p$ or p' . The claim that $\mathcal{F}_?(M) = 0$ implies $\text{Res}_{\underline{W}}^W(M) = 0$ is a consequence of the fact that $\text{Ind}_{\underline{W}}^W$ is right adjoint to $\text{Res}_{\underline{W}}^W$. We also remark that $\mathcal{F}_?$ maps projectives to projectives.

Now thanks to Proposition 6.4.8,

$$\text{KZ} \circ \mathcal{F}_? = \mathcal{H}\mathcal{F} \circ \text{KZ}, \tag{6.4.3}$$

where $\mathcal{H}\mathcal{F}$ is the analog of $\mathcal{F}_?$ for the Hecke algebra. Then (6.4.3) and [51, Lemma 2.4] imply $\iota \circ \mathcal{F}_p = \mathcal{F}_{p'} \circ \iota$. So $\mathcal{F}_p(M) = 0$ is equivalent to $\mathcal{F}_{p'} \circ \iota(M) = 0$, and we are done. \square

6.4.10. *Cyclotomic q -Schur algebras.* Now let us recall one more highest weight cover of the Hecke algebra modules.

Let R be a \mathbb{C} -algebra. Form $\mathcal{H}_{q,\mathbf{Q}}^R(n)$ as in 6.3.2 where $q \neq 0$ and Q_1, \dots, Q_ℓ are appropriate elements of R . Given $\lambda \in \mathcal{P}_\ell(n)$, define $m_\lambda \in \mathcal{H}_{q,\mathbf{Q}}^R(n)$ as

$$m_\lambda = \prod_{t=2}^{\ell} \prod_{k=1}^{|\lambda^{(1)}| + \dots + |\lambda^{(t-1)}|} (L_k - Q_t) \cdot \sum_{w \in \mathfrak{S}_\lambda} T_w.$$

We call the R -algebra

$$\mathcal{S}_{q,\mathbf{Q}}^R(n) = \text{End}_{\mathcal{H}_{q,\mathbf{Q}}^R(n)^{\text{op}}} \left(\bigoplus_{\lambda \in \mathcal{P}_\ell(n)} m_\lambda \mathcal{H}_{q,\mathbf{Q}}^R(n) \right)^{\text{op}}$$

the *cyclotomic q -Schur algebra* (*degenerate cyclotomic q -Schur algebra* if $q = 1$). It is Morita equivalent rather than isomorphic to the cyclotomic q -Schur algebra of [24] as they take a sum over multicompositions rather than multipartitions.

The category $\mathcal{S}_{q,\mathbf{Q}}^R(n)\text{-mod}$ is a highest weight category with standard objects the Weyl modules $W_{q,\mathbf{Q}}^R(\lambda)$ for $\lambda \in \mathcal{P}_\ell(n)$, partially ordered by the dominance ordering (see for instance [47, §4]). There is a double centralizer property for $\mathcal{S}_{q,\mathbf{Q}}^R(n)$ and $\mathcal{H}_{q,\mathbf{Q}}^R(n)$ which produces an exact functor

$$F_{q,\mathbf{Q}}^R : \mathcal{S}_{q,\mathbf{Q}}^R(n)\text{-mod} \rightarrow \mathcal{H}_{q,\mathbf{Q}}^R(n)\text{-mod}$$

called the *cyclotomic q -Schur functor* [47, §5]. This functor is a highest weight cover. (Relevant details in the degenerate case can be found in [3, §6].)

In the case $R = \mathbb{C}$ with $\mathbf{s} = (s_1, \dots, s_\ell) \in \mathbb{C}^\ell$, we take q, Q_1, \dots, Q_ℓ as in 6.3.3. We will write $\mathcal{S}_{q,\mathbf{s}}^{\mathbb{C}}(n)$ for $\mathcal{S}_{q,\mathbf{Q}}^{\mathbb{C}}(n)$.

Thanks to [50, Proposition 4.40] and [46, Theorem 6.18] and its degenerate analogue, $(\mathcal{S}_{q,s}(n)\text{-mod}, F_{q,s})$ is a 0-highest weight cover of $\mathcal{H}_{q,s}(n)$ and $(\mathcal{S}_{1,s}(n)\text{-mod}, F_{1,s})$ is a 0-highest weight cover of $\mathcal{H}_{1,s}(n)$ provided

$$(q + 1) \prod_{i \neq j} (Q_i - Q_j) \neq 0.$$

Under certain further conditions on the parameters the highest weight covers provided by the KZ functor and by the Schur functors are equivalent. The first result of this form is due to Rouquier [50, Theorem 6.8]. We will give a version of this result in Proposition 6.5.2(1).

6.4.11. *BK functor.* Let \mathbf{s} with $s_1 > \dots > s_\ell$ and n, m be such that $m \geq n + s_1 - s_\ell$.

Brundan and Kleshchev, [15], construct a functor $\text{BK} : \mathcal{O}_{s,m}^{\text{par}}(n) \rightarrow \mathcal{H}_{1,s}(n)\text{-mod}$ and study its properties in detail. In particular, $\mathcal{S}_{1,s}(n)\text{-mod}$ and $\mathcal{O}_{s,m}^{\text{par}}(n)$ are equivalent categories by [15, Theorem C]. Call this equivalence E . Let $Q(A_\lambda)$ be the projective cover of $N(A_\lambda)$ in $\mathcal{O}_{s,m}^{\text{par}}(n)$ and $P(\lambda)$ be the projective cover of $W_1(\lambda)$ in $\mathcal{S}_{1,s}(n)$. From [15, Lemma 6.1 and Theorem 4.14] and [46, Proposition 3.3], we have $E(P(\lambda)) \cong Q(A_\lambda)$. Moreover E intertwines the Schur functor $F_{1,s} : \mathcal{S}_{1,s}(n)\text{-mod} \rightarrow \mathcal{H}_{1,s}(n)\text{-mod}$ and the functor $\text{BK} : \mathcal{O}_{s,m}^{\text{par}}(n) \rightarrow \mathcal{H}_{1,s}(n)\text{-mod}$ since both are given as $\text{Hom}(M, -)$ where M is a direct sum of all the projective-injective modules in either $\mathcal{S}_{1,s}(n)\text{-mod}$ or $\mathcal{O}_{s,m}^{\text{par}}(n)$.

Both $(\mathcal{S}_{1,s}(n)\text{-mod}, F_{1,s})$ and $(\mathcal{O}_{s,m}^{\text{par}}(n), \text{BK})$ are 0-highest weight covers of $\mathcal{H}_{1,s}(n)$. We have already observed this for the degenerate cyclotomic q -Schur algebra in 6.4.10. To deduce it for $(\mathcal{O}_{s,m}^{\text{par}}(n), \text{BK})$ we will show that $E(W_1(\lambda)) \cong N(A_\lambda)$ so that the 0-highest weight property results from the property for $(\mathcal{S}_{1,s}(n)\text{-mod}, F_{1,s})$. As a consequence we will also see that E is an equivalence of highest weight categories, in particular proving Lemma 6.2.2.

We prove this by downward induction on $\mathcal{P}_\ell(n)$, the labelling set for the highest weight structure in $\mathcal{O}_{s,m}^{\text{par}}(n)$. By [38, Proposition 2.17] and [15, Theorem 6.12] $F_{1,s}(W_1(\lambda)) \cong \text{Sp}_1(\lambda) \cong \text{BK}(N(A_\lambda))$. Thanks to the definition of a highest weight category, there is an exact sequence

$$0 \rightarrow M \rightarrow Q(A_\lambda) \rightarrow N(A_\lambda) \rightarrow 0, \tag{6.4.4}$$

where M has a filtration by $N(A_\mu)$'s with $\mu > \lambda$. Similarly there is a short exact sequence

$$0 \rightarrow M' \rightarrow P(\lambda) \rightarrow W_1(\lambda) \rightarrow 0. \tag{6.4.5}$$

If we apply BK to (6.4.4) and $F_{1,s}$ to (6.4.5) we obtain two short exact exact sequences whose surjections are

$$F_{1,s}(P(\lambda)) \rightarrow F_{1,s}(W_1(\lambda)), \quad \text{BK}(Q(A_\lambda)) \rightarrow \text{BK}(N(A_\lambda)). \tag{6.4.6}$$

Let $F_{1,s}^!$ be the right adjoint to $F_{1,s}$. Since $(\mathcal{S}_{1,s}(n)\text{-mod}, F_{1,s})$ is a 0-highest weight cover, we find that $\text{Id} \rightarrow F_{1,s}^! \circ F_{1,s}$ is an isomorphism on representations with a Weyl filtration

[50, Proposition 4.40]. Hence

$$\begin{aligned} \text{Hom}_{\mathcal{S}_{1,s}(n)}(P(\lambda), W_1(\lambda)) &\cong \text{Hom}_{\mathcal{S}_{1,s}(n)}(P(\lambda), F_{1,s}^! \circ F_{1,s}(W_1(\lambda))) \\ &\cong \text{Hom}_{\mathcal{H}_{1,s}(n)}(F_{1,s}(P(\lambda)), F_{1,s}(W_1(\lambda))) \end{aligned}$$

are all one-dimensional spaces. Since we have already seen that $F_{1,s}(P(\lambda)) \cong \text{BK}(Q(A_\lambda))$ and $F_{1,s}(W_1(\lambda)) \cong \text{BK}(N(A_\lambda))$, we may thus identify the two homomorphisms in (6.4.6), possibly after rescaling. It follows that $\text{BK}(M) \cong F_{1,s}(M')$. By induction $E^{-1}(M)$ has a Weyl filtration, so that this in turn implies

$$M' \cong F_{1,s}^! \circ F_{1,s}(M') \cong F_{1,s}^! \circ \text{BK}(M) \cong F_{1,s}^! \circ F_{1,s} \circ E^{-1}(M) \cong E^{-1}(M).$$

After applying E^{-1} to (6.4.4) it follows that $E^{-1}(N(A_\lambda)) \cong W_1(\lambda)$, as required.

6.5. Reduction for the Cherednik category \mathcal{O}

The general case of parameters $\tilde{\mathbf{s}} \in \mathbb{C}^\ell$ satisfying the faithfulness condition (6.4.2) may be reduced to the ‘‘dominant integral’’ case.

6.5.1. *Equivalence on the parameters.* Put an equivalence relation on $\{1, \dots, \ell\}$: r and r' are equivalent if there exists $a \in \mathbb{Z}$ such that $\kappa(\tilde{s}_r - \tilde{s}_{r'} - a) \in \mathbb{Z}$. By [50, Remark 6.16], $\mathcal{O}_{\kappa, \tilde{\mathbf{s}}}(n)$ is equivalent to

$$\bigoplus_{\substack{f: \{1, \dots, \ell\} / \sim \rightarrow \mathbb{Z}_{\geq 0} \\ \sum_I f(I) = n}} \bigotimes_{I \in \{1, \dots, \ell\} / \sim} \mathcal{O}_{\kappa, (\tilde{s}_i)_{i \in I}}(f(I)).$$

To study $\mathcal{O}_{\kappa, \tilde{\mathbf{s}}}(n)$ we may therefore assume that for each $1 \leq r, r' \leq \ell$ there exist integers $s_{rr'}$ and $m_{rr'}$ such that $\kappa(\tilde{s}_r - \tilde{s}_{r'} - s_{rr'}) = m_{rr'}$. Since $\kappa \notin \mathbb{Q}$ the integers $s_{rr'}$ and $m_{rr'}$ are uniquely determined for all r, r' , so we have $m_{rr'} = m_{r1} - m_{r'1}$ and $s_{rr'} = s_{r1} - s_{r'1}$. It follows that we may reduce to studying the category $\mathcal{O}_{\kappa, \tilde{\mathbf{s}}}(n)$ with $\tilde{s}_r = s_r + \kappa^{-1}m_r$ where $s_r = s_{r1}$ and $m_r = m_{r1}$. We will refer to this as $\mathcal{O}_{\kappa, (\mathbf{s}, \mathbf{m})}(n)$.

6.5.2. *Reduction result.* We will call $\mathbf{m} \in \mathbb{Z}^\ell$ dominant if $m_0 \geq m_1 \geq \dots \geq m_{\ell-1}$ where $m_0 = m_\ell$. Combining the following proposition with above comments gives our reduction to the dominant integral case for $\kappa \notin \mathbb{Q}$. Recall the involutions \star defined on $\mathcal{P}_\ell(n)$ 2.3.3, and on parameters \mathbb{C}^ℓ 6.2.3.

Proposition. Assume $\kappa \in \mathbb{C} \setminus \mathbb{Q}$, $\mathbf{s} \in \mathbb{Z}^\ell$ has distinct entries and $\mathbf{m} \in \mathbb{Z}^\ell$ is dominant.

- (1) There is an equivalence of highest weight categories between $\mathcal{O}_{\kappa, (\mathbf{s}, \mathbf{m})}(n)$ and the cyclotomic q -Schur algebra $S_{q, \mathbf{s}^\star}(n)$ which sends $\Delta_{\kappa, (\mathbf{s}, \mathbf{m})}(\lambda)$ to $W_{q, \mathbf{s}^\star}(\lambda^\star)$ for all $\lambda \in \mathcal{P}_\ell(n)$.
- (2) Let w be a minimal length coset representative for the stabilizer of \mathbf{m} in \mathfrak{S}_ℓ . Then there is an equivalence of highest weight categories between $\mathcal{O}_{\kappa, (\mathbf{s}, \mathbf{m})}(n)$ and $\mathcal{O}_{\kappa, (w(\mathbf{s}), w(\mathbf{m}))}(n)$ which sends $\Delta_{\kappa, (\mathbf{s}, \mathbf{m})}(\lambda)$ to $\Delta_{\kappa, (w(\mathbf{s}), w(\mathbf{m}))}(w(\lambda))$ for all $\lambda \in \mathcal{P}_\ell(n)$. In addition, this equivalence preserves the support filtrations.

Part (1) of the proposition is similar to [50, Theorem 6.6]; the difference is that since we assume κ is not rational we are able to give a slightly more generous set of parameters producing an equivalence with the cyclotomic q -Schur algebra. The proof will occupy the remainder of the subsection.

6.5.3. *An ordering.* The assumption that the entries of \mathbf{s} are distinct ensures that the faithfulness conditions of (6.4.2) are satisfied, so we may apply Theorem 6.4.4 provided there is a compatibility on the orderings.

We begin by refining the ordering we wish to use on $\mathcal{O}_{\kappa,(\mathbf{s},\mathbf{m})}(n)$. For this, we do not need to assume that \mathbf{m} is dominant. We apply [23, (4.2) and Theorem 4.1] to see that if $L_{\kappa,(\mathbf{s},\mathbf{m})}(\lambda)$ and $L_{\kappa,(\mathbf{s},\mathbf{m})}(\mu)$ belong to the same block of $\mathcal{O}_{\kappa,(\mathbf{s},\mathbf{m})}(n)$ then there is an equality of multisets

$$\{\text{res}^{\mathbf{s}}(b) : b \in \lambda\} = \{\text{res}^{\mathbf{s}}(b) : b \in \mu\}.$$

Thanks to (2.3.8), we may therefore take an ordering on $\mathcal{O}_{\kappa,(\mathbf{s},\mathbf{m})}(n)$ defined as follows:

$$\lambda \succ_{\mathbf{s},\mathbf{m}} \mu \quad \text{if} \quad \{\text{res}^{\mathbf{s}}(b) : b \in \lambda\} = \{\text{res}^{\mathbf{s}}(b) : b \in \mu\} \text{ and} \\ \sum_{r=0}^{\ell-1} |\mu^{(r)}|(\ell m_r - r) > \sum_{r=0}^{\ell-1} |\lambda^{(r)}|(\ell m_r - r).$$

6.5.4. *Proof of (1).* Let $R = \mathbb{C}[[t]]$ and let $\hat{\Psi} : \mathbf{R} \rightarrow R$ be defined by $\hat{\Psi}(h_{H_{i,j}^k,0}) = \kappa$, $\hat{\Psi}(h_{H_{i,j}^k,1}) = 0$, and $\hat{\Psi}(h_{H_i,j}) = (t + \kappa)s_j - j/\ell + m_j$ for $0 \leq j \leq \ell - 1$, allowing us to define $\mathcal{O}_{\hat{\Psi}}$. By 6.4.6(2), this produces a 1-highest weight cover of $\mathcal{H}_{q,\mathbf{Q}}^R(n)$ with $q = \exp(2\pi\sqrt{-1}\kappa)$ and $Q_j = \exp(2\pi\sqrt{-1}(t + \kappa)s_j)$. An integral version of the isomorphism σ of 6.3.4 gives an isomorphism $\mathcal{H}_{q,\mathbf{Q}}^R(n) \cong \mathcal{H}_{q,\mathbf{Q}^*}^R(n)$ where $Q_j^* = \exp(2\pi\sqrt{-1}(-t - \kappa)s_{\ell-j})$ and so we may consider $(\mathcal{O}_{\hat{\Psi}}, \text{KZ})$ as a 1-highest weight cover of $\mathcal{H}_{q,\mathbf{Q}^*}^R(n)$. Similarly [50, Theorem 6.6] shows that $\mathcal{S}_{q,\mathbf{Q}^*}^R(n)\text{-mod}$ produces a 1-highest weight cover of $\mathcal{H}_{q,\mathbf{Q}^*}^R(n)$. If $K = \mathbb{C}((t))$ and $\hat{\psi} : \mathbf{R} \xrightarrow{\hat{\Psi}} R \hookrightarrow K$ then we have $\text{Irrep}(\mathcal{O}_{\hat{\Psi}}) \xrightarrow{\sim} \text{Irrep}(G_{\ell}(n)) \xleftarrow{\sim} \text{Irrep}(\mathcal{S}_{q,\mathbf{Q}^*}^K(n))$ as both $\mathcal{O}_{\hat{\Psi}}$ and $\mathcal{S}_{q,\mathbf{Q}^*}^K(n)\text{-mod}$ are Morita equivalent to $\mathcal{H}_{q,\mathbf{Q}^*}^K(n)\text{-mod}$ and the irreducibles of $H_{q,\mathbf{Q}^*}^K(n)$ can be identified canonically with $\text{Irrep}(G_{\ell}(n))$ via Tits' deformation theorem. Under these identifications $W_{q,\mathbf{Q}^*}^K(\lambda) = W_{q,\mathbf{Q}^*}^R(\lambda) \otimes_R K$ is identified with the Specht module $\text{Sp}_{q,\mathbf{Q}^*}^K(\lambda)$, which in turn is identified with $\lambda \in \text{Irrep}(G_{\ell}(n))$ since the Specht modules belong to a flat family over $\mathbf{k} = \mathbb{C}[\{\mathbf{q}_u^{\pm 1}\}]$ whose fibre at the special point $\mathbf{1}$ corresponding to $q = 1$ and $Q_i = \zeta_{\ell}^i$ gives the $\mathcal{H}_{\mathbf{1}}(G_{\ell}(n)) = \mathbb{C}[G_{\ell}(n)]$ -representation λ . Similarly $\Delta_{\hat{\Psi}}(\lambda) \otimes_R K$ is identified with $\text{KZ}_{\hat{\Psi}}(\Delta_{\hat{\Psi}}(\lambda))$ and this too belongs to a flat family whose fibre at $q = 1$ and $Q_i = \zeta_{\ell}^i$ gives the $\mathcal{H}_{\mathbf{1}}(G_{\ell}(n)) = \mathbb{C}[G_{\ell}(n)]$ representation λ . Under the isomorphism $\mathbb{C}[G_{\ell}(n)] \cong \mathbb{C}[G_{\ell}(n)]$ induced by $\mathcal{H}_{q,\mathbf{Q}}^R(n) \cong \mathcal{H}_{q,\mathbf{Q}^*}^R(n)$ we have the generators $s_{H_{i,j}^0}$ sent

to $-s_{H_{i,j}^0}$ and s_{H_1} to $s_{H_1}^{-1}$, so we see that λ is translated to λ^* . Hence the identifications above match $\Delta_{\hat{\psi}}(\lambda) \otimes_R K$ with $W_{q, \mathbf{Q}^*}^R(\lambda^*) \otimes_R K$.

The ordering on $\mathcal{S}_{q, \mathbf{Q}^*}^R(n)\text{-mod}$ can be chosen to be the dominance ordering of $\mathcal{P}_\ell(n)$ intersected with block decomposition of $\mathcal{S}_{q, \mathbf{s}^*}(n)$. By [45, Theorem 2.11] this ordering is explicitly

$\lambda \succ_{\mathbf{s}^*} \mu$ if and only if $\lambda \triangleright \mu$ and $\{\text{res}^{\mathbf{s}^*}(b) : b \in \lambda\} = \{\text{res}^{\mathbf{s}^*}(b) : b \in \mu\}$ as multisets.

Now we will show that $\lambda^* \succ_{\mathbf{s}^*} \mu^*$ implies $\lambda \succ_{\mathbf{s}, \mathbf{m}} \mu$ for any $\lambda, \mu \in \mathcal{P}_\ell(n)$. As multisets, $\{\text{res}^{\mathbf{s}}(b) : b \in \lambda\} = \{-\text{res}^{\mathbf{s}^*}(b) : b \in \lambda^*\}$, so the conditions involving these sets are clear. Now observe that

$$\sum_{r=0}^{\ell-1} (|\mu^{(r)}| - |\lambda^{(r)}|)(\ell m_r - r) = \sum_{i=1}^{\ell-1} (\ell(m_i - m_{i-1}) - 1) \left(\sum_{r=i}^{\ell-1} |\mu^{(r)}| - \sum_{r=i}^{\ell-1} |\lambda^{(r)}| \right).$$

Since $m_{i-1} \geq m_i$ for $1 \leq i \leq \ell - 1$ by hypothesis, we see that $\lambda^* \triangleright \mu^*$ results in $\sum_{r=0}^{\ell-1} |\mu^{(r)}|(\ell m_r - r) \geq \sum_{r=0}^{\ell-1} |\lambda^{(r)}|(\ell m_r - r)$. If we have equality, then $|\lambda^{(r)}| = |\mu^{(r)}|$ for all r , so that for each r we have $\lambda^{(r)} \triangleright \mu^{(r)}$ in the usual dominance ordering for partitions and $\lambda^{(r)} \triangleright \mu^{(r)}$ for at least one value of r . Now consider the multisets $\{\text{res}^{\mathbf{s}}(b) : b \in \lambda^{(r)} \text{ where } 1 \leq r \leq \ell \text{ with } \lambda^{(r)} \neq \mu^{(r)}\}$ and $\{\text{res}^{\mathbf{s}}(b) : b \in \mu^{(r)} \text{ where } 1 \leq r \leq \ell \text{ with } \lambda^{(r)} \neq \mu^{(r)}\}$. By hypothesis they are equal. However [23, Lemma 4.2] shows that for each r appearing in the definition of these multisets there exists a box $b \in \mu^{(r)}$ such that $\text{res}^{\mathbf{s}}(b)$ is less than $\text{res}^{\mathbf{s}}(b')$ for all $b' \in \lambda^{(r)}$. This contradicts equality. Hence we deduce that $\lambda^* \succ_{\mathbf{s}^*} \mu^*$ implies that $\lambda \succ_{(\mathbf{s}, \mathbf{m})} \mu$.

Now we may apply Theorem 6.4.4 to produce the equivalence of highest weight categories between $\mathcal{O}_{\kappa, (\mathbf{s}, \mathbf{m})}(n)$ and $\mathcal{S}_{q, \mathbf{Q}^*}(n)\text{-mod}$ sending $\Delta_{\kappa, \mathbf{s}}(\lambda)$ to $W_{q, \mathbf{Q}^*}(\lambda^*)$.

6.5.5. *Proof of (2).* We now know that we may take the ordering $(\)^* \succ_{\mathbf{s}} (\)^*$ on $\mathcal{O}_{\kappa, (\mathbf{s}, \mathbf{m})}(n)$. Adding arbitrary dominant \mathbf{m}' to \mathbf{m} does not change this ordering and so, by Theorem 6.4.7, we have an equivalence of highest weight categories between $\mathcal{O}_{\kappa, (\mathbf{s}, \mathbf{m})}(n)$ and $\mathcal{O}_{\kappa, (\mathbf{s}, \mathbf{m} + \mathbf{m}')} (n)$ which sends $\Delta_{\kappa, (\mathbf{s}, \mathbf{m})}(\lambda)$ to $\Delta_{\kappa, (\mathbf{s}, \mathbf{m} + \mathbf{m}')}(\lambda)$ for all $\lambda \in \mathcal{P}_\ell(n)$. Choosing an appropriate \mathbf{m}' , we may (and will) assume that the hypothesis of Theorem 4.1.4 is satisfied for the parameter corresponding to $(\kappa, \mathbf{s}, \mathbf{m} + \mathbf{m}')$ and so by that result we find these categories are in turn equivalent to $\mathcal{O}_{\kappa, (w(\mathbf{s}), w(\mathbf{m} + \mathbf{m}'))}(n)$. Moreover, we may take the ordering on this category to be

$\lambda \succ' \mu$ if and only if $w^{-1}(\lambda)^* \triangleright w^{-1}(\mu)^*$ and $\{\text{res}^{\mathbf{s}^*}(b) : b \in w^{-1}(\lambda)\} = \{\text{res}^{\mathbf{s}^*}(b) : b \in w^{-1}(\mu)\}$ as multisets.

We claim $\lambda \succ' \mu$ implies $\lambda \succ_{(w(\mathbf{s}), w(\mathbf{m}))} \mu$. It is immediate that $\{\text{res}^{\mathbf{s}^*}(b) : b \in w^{-1}(\lambda)\} = \{-\text{res}^{w(\mathbf{s})}(b) : b \in \lambda\}$ so the conditions involving these sets are clear. Now

$$\begin{aligned} \sum_{r=0}^{\ell-1} (|\mu^{(r)}| - |\lambda^{(r)}|)(\ell m_{w(r)} - r) &= \sum_{r=0}^{\ell-1} (|w^{-1}(\mu)^{(r)}| - |w^{-1}(\lambda)^{(r)}|)(\ell m_r - w^{-1}(r)) \\ &= \sum_{i=1}^{\ell-1} (\ell(m_i - m_{i-1}) + (w^{-1}(i - 1) - w^{-1}(i))) \sum_{r=i}^{\ell-1} (|w^{-1}(\mu)^{(r)}| - |w^{-1}(\lambda)^{(r)}|). \end{aligned}$$

By hypothesis $m_{i-1} \geq m_i$ for $1 \leq i \leq \ell - 1$, and since w was chosen to have minimal length, $m_{i-1} = m_i$ implies that $w^{-1}(i - 1) < w^{-1}(i)$. Thus $\ell(m_i - m_{i-1}) + (w^{-1}(i - 1) - w^{-1}(i)) < 0$ for $1 \leq i \leq \ell - 1$. It follows that if $w^{-1}(\lambda) \triangleleft w^{-1}(\mu)$ then $\sum_{r=0}^{\ell-1} |\mu^{(r)}|(\ell m_{w(r)} - r) \geq \sum_{r=0}^{\ell-1} |\lambda^{(r)}|(\ell m_{w(r)} - r)$. Moreover, arguing as in the proof of (i) this inequality must be strict. Thus the claim follows.

By Theorem 6.4.4, $\mathcal{O}_{\kappa, (w(s), w(\mathbf{m}+\mathbf{m}'))}(n)$ is equivalent to $\mathcal{O}_{\kappa, (w(s), w(\mathbf{m}))}(n)$. Moreover, Theorem 6.4.7 implies that we can assume, in addition, that this equivalence preserves the labelling of the standards. So we get an equivalence $\mathcal{O}_{\kappa, (s, \mathbf{m})}(n) \xrightarrow{\sim} \mathcal{O}_{\kappa, (w(s), w(\mathbf{m}))}(n)$ with the required behaviour on standards. It remains to check that this equivalence preserves the support filtrations. The equivalence $\mathcal{O}_{\kappa, (s, \mathbf{m}+\mathbf{m}')} (n) \xrightarrow{\sim} \mathcal{O}_{\kappa, (w(s), w(\mathbf{m}+\mathbf{m}'))}(n)$ preserves the supports by Theorem 4.1.4. The remaining two intermediate equivalences commute with KZ and so preserve the supports by Proposition 6.4.9.

This completes the proof of (2).

6.6. Isomorphisms of cyclotomic Schur algebras

6.6.1. *Result.* If $q \in \mathbb{C}$ is not a root of unity, there is an explicit \mathbb{C} -algebra isomorphism between $\mathcal{H}_{q,s}(n)$ and $\mathcal{H}_{1,s}(n)$ [17, Corollary 2]. Similarly there is an isomorphism between the degenerate and non-degenerate cyclotomic q -Schur algebras, although we could not find it stated explicitly in the literature.

Proposition. *Suppose that $q \in \mathbb{C}$ is not a root of unity and that $\mathbf{s} \in \mathbb{Z}^\ell$ has distinct entries. There is a \mathbb{C} -algebra isomorphism between $S_{q,s}(n)$ and $S_{1,s}(n)$. This isomorphism induces an equivalence between $S_{q,s}(n)$ -mod and $S_{1,s}(n)$ -mod which, for each $\lambda \in \mathcal{P}_\ell(n)$, sends the Weyl module $W_{q,s}(\lambda)$ to the degenerate Weyl module $W_{1,s}(\lambda)$.*

The proof of this proposition occupies the rest of the subsection.

6.6.2. *Isomorphism via KLR algebras.* Let $\Theta : \mathcal{H}_{1,s}(n) \rightarrow \mathcal{H}_{q,s}(n)$ denote the isomorphism of [17, Corollary 2]; it is the composition of the isomorphisms $\sigma : \mathcal{H}_{1,s}(n) \rightarrow R_n^{\Lambda_s}$ of [17, (3.49)] and $\rho : R_n^{\Lambda_s} \rightarrow \mathcal{H}_{q,s}(n)$ of [17, (4.47)] which pass through the Khovanov–Lauda–Rouquier algebra $R_n^{\Lambda_s}$. We wish to show first that under this isomorphism $m_\lambda \mathcal{H}_{1,s}(n)$ is transferred to a module that is isomorphic to $m_\lambda \mathcal{H}_{q,s}(n)$, in other words that $\Theta(m_\lambda \mathcal{H}_{1,s}(n)) \cong m_\lambda \mathcal{H}_{q,s}(n)$ as right $\mathcal{H}_{q,s}(n)$ -modules. The isomorphism between the cyclotomic q -Schur algebra and its degenerate version follows from this.

We will decompose m_λ as $u_\lambda p_\lambda$ where $u_\lambda = \prod_{t=2}^\ell \prod_{k=1}^{|\lambda^{(1)}| + \dots + |\lambda^{(t-1)}|} (L_k - Q_t)$ and $p_\lambda = \sum_{w \in \mathfrak{S}_\lambda} T_w$. There are sets of pairwise orthogonal idempotents $\{e(\mathbf{j}) : \mathbf{j} \in \mathbb{Z}^n\}$ in

$\mathcal{H}_{1,s}(n)$ and in $\mathcal{H}_{q,s}(n)$ [17, §3.1 and §4.1]: almost all $e(\mathbf{j})$ are zero, and they sum to 1. Thus

$$\Theta(m_\lambda \mathcal{H}_{1,s}(n)) = \Theta\left(\bigoplus_{\mathbf{j} \in \mathbb{Z}^n} e(\mathbf{j}) u_\lambda p_\lambda \mathcal{H}_{1,s}(n)\right) = \bigoplus_{\mathbf{j} \in \mathbb{Z}^n} \Theta(e(\mathbf{j}) u_\lambda) \cdot \Theta(p_\lambda \mathcal{H}_{1,s}(n)).$$

By definition we have

$$\begin{aligned} \sigma(e(\mathbf{j})(L_k - s_t)) &= e(\mathbf{j})(y_k + j_k - s_t), \\ \rho^{-1}(e(\mathbf{j})(L_k - q^{s_t})) &= e(\mathbf{j})q^{j_k}(1 - q^{s_t - j_k} - y_k), \end{aligned}$$

where y_k is a nilpotent element in the generating set of $R_n^{\Lambda_s}$ (see [17, Lemma 2.1]). Since the $e(\mathbf{j})$'s commute with the L_k 's by construction, this shows that for all $\mathbf{j} \in \mathbb{Z}^n$ and all $\lambda \in \mathcal{P}_\ell(n)$, $\Theta(e(\mathbf{j})u_\lambda) = \alpha_{\mathbf{j},\lambda} e(\mathbf{j})u_\lambda$ where $\alpha_{\mathbf{j},\lambda}$ is an invertible element of $\mathcal{H}_{q,s}(n)$ (the invertibility follows from the fact that all y_k are nilpotent). Thus

$$\Theta(e(\mathbf{j})u_\lambda) \cdot \Theta(p_\lambda \mathcal{H}_{1,s}(n)) = \alpha_{\mathbf{j},\lambda} e(\mathbf{j})u_\lambda \cdot \Theta(p_\lambda \mathcal{H}_{1,s}(n)) \cong e(\mathbf{j})u_\lambda \cdot \Theta(p_\lambda \mathcal{H}_{1,s}(n)),$$

and we will now show that $\Theta(p_\lambda \mathcal{H}_{1,s}(n)) = p_\lambda \mathcal{H}_{q,s}(n)$ for each $\lambda \in \mathcal{P}_\ell(n)$.

Let $I_\lambda \subseteq \{1, \dots, n - 1\}$ be the indexing set for the simple reflections in \mathfrak{S}_λ . Observe first that

$$p_\lambda \mathcal{H}_{q,s}(n) = \bigcap_{i \in I_\lambda} (T_i + 1) \mathcal{H}_{q,s}(n). \tag{6.6.1}$$

Indeed, the equality $(T_i + 1)(\sum_{w \in \mathfrak{S}_\lambda, s_i w > w} T_w) = p_\lambda$ shows the left hand side is contained in the right hand side. Conversely, if $x = (T_i + 1)y$ for some $y \in \mathcal{H}_{q,s}(n)$ then $T_i x = qx$, and so $x \in \bigcap_{i \in I_\lambda} (T_i + 1) \mathcal{H}_{q,s}(n)$ implies that $(\sum_{w \in \mathfrak{S}_\lambda} T_w)x = \sum_{w \in \mathfrak{S}_\lambda} q^{\ell(w)} x$. Since q is not a non-trivial root of unity, we see that $\sum_{w \in \mathfrak{S}_\lambda} q^{\ell(w)}$ is non-zero, and so the right hand side is contained in the left hand side.

It follows from (6.6.1) that it is enough to show that

$$\Theta((T_i + 1)e(\mathbf{j})\mathcal{H}_{1,s}(n)) = (T_i + 1)e(\mathbf{j})\mathcal{H}_{q,s}(n)$$

for each $i \in I_\lambda$ and $\mathbf{j} \in \mathbb{Z}^n$. By [17, (3.42) and (4.43)] we have

$$\begin{aligned} \sigma((T_i + 1)e(\mathbf{j})) &= (1 + \psi_i q_i(\mathbf{j}) - p_i(\mathbf{i}))e(\mathbf{j}), \\ \rho^{-1}((T_i + 1)e(\mathbf{j})) &= (1 + \psi_i Q_i(\mathbf{j}) - P_i(\mathbf{i}))e(\mathbf{j}), \end{aligned}$$

where ψ_i is a particular generator of R_n^Λ and the elements $p_i(\mathbf{j})$, $q_i(\mathbf{j})$, $P_i(\mathbf{j})$ and $Q_i(\mathbf{j})$ are defined in [17, (3.3) and (4.3)]. There is some freedom of choice in the $q_i(\mathbf{j})$ and $Q_i(\mathbf{j})$. We will choose $q_i(\mathbf{j})$ exactly as in [17, (3.30)], but we will take

$$Q_i(\mathbf{j}) = \begin{cases} 1 - q + qy_{i+1} - y_i & \text{if } j_i = j_{i+1} \\ \frac{q_i(\mathbf{j})(1 - P_i(\mathbf{j}))}{1 - p_i(\mathbf{j})} & \text{if } j_i \neq j_{i+1}. \end{cases}$$

In this definition, if $j_i = j_{i+1} + 1$ then $1 - p_i(\mathbf{j}) = (y_i - y_{i+1})/(1 + y_i - y_{i+1})$, which is not invertible. In this case, however, $1 - P_i(\mathbf{j}) = q^{j_i}(y_i - y_{i+1})/(y_{i+1}(\mathbf{j}) - y_i(\mathbf{j}))$ and the

expression for $Q_i(\mathbf{j})$ simplifies to $q_i(\mathbf{j})q^{j_i}(1 + y_i - y_{i+1})/(y_{i+1}(\mathbf{j}) - y_i(\mathbf{j}))$, where the denominator is now invertible since it equals $q^{j_{i+1}}(1 - q) + (q^{j_i}y_i - q^{j_{i+1}}y_{i+1})$ where y_i and y_{i+1} commute and are nilpotent. Using [17, (3.23), (3.28), (3.29) and (4.28)] one checks that these $Q_i(\mathbf{j})$ satisfy the conditions [17, (4.33)–(4.35)] which they are required to.

With this in hand we now see that

$$\Theta((T_i + 1)e(\mathbf{j})) = \rho\sigma((T_i + 1)e(\mathbf{j})) = (T_i + 1)\frac{Q_i(\mathbf{j})}{q_i(\mathbf{j})}e(\mathbf{j}).$$

Since both $Q_i(\mathbf{j})$ and $q_i(\mathbf{j})$ are invertible and commute with $e(\mathbf{j})$, it follows that $\Theta((T_i + 1)e(\mathbf{j})\mathcal{H}_{1,s}(n)) = (T_i + 1)e(\mathbf{j})\mathcal{H}_{q,s}(n)$, as required.

6.6.3. Isomorphism vs Weyl modules. To see that the isomorphism we have chosen sends Weyl modules to degenerate Weyl modules, let $F_{q,s} : \mathcal{S}_{q,s}(n)\text{-mod} \rightarrow \mathcal{H}_{q,s}(n)\text{-mod}$ and $F_{1,s} : \mathcal{S}_{1,s}(n)\text{-mod} \rightarrow \mathcal{H}_{1,s}(n)\text{-mod}$ be the cyclotomic q -Schur functor and its degenerate analogue. As we have mentioned in 6.4.10, $(\mathcal{S}_{q,s}(n)\text{-mod}, F_{q,s})$ is a 0-highest weight cover of $\mathcal{H}_{q,s}(n)$ and $(\mathcal{S}_{1,s}(n)\text{-mod}, F_{1,s})$ is a 0-highest weight cover of $\mathcal{H}_{1,s}(n)$. As a result we have that

$$W_{q,s}(\mu) \cong \text{Hom}_{H_{q,s}(n)}\left(\bigoplus_{\lambda \in \mathcal{P}_\ell(n)} m_\lambda \mathcal{H}_{q,s}(n), F_{q,s}(W_{q,s}(\mu))\right) \tag{6.6.2}$$

and

$$W_{1,s}(\mu) \cong \text{Hom}_{H_{1,s}(n)}\left(\bigoplus_{\lambda \in \mathcal{P}_\ell(n)} m_\lambda \mathcal{H}_{1,s}(n), F_{1,s}(W_{1,s}(\mu))\right). \tag{6.6.3}$$

However, by [38, Proposition 2.17], $F_{q,s}(W_{q,s}(\mu))$ and $F_{1,s}(W_{1,s}(\mu))$ are isomorphic to the corresponding Specht modules labelled by μ , $\text{Sp}_q(\mu)$ and $\text{Sp}_1(\mu)$. The result now follows from (6.6.2) and (6.6.3), the above paragraphs and the fact that Θ preserves Specht modules [40, Theorem 6.23].

6.7. Fock spaces and crystals

We fix $\mathbf{s} = (s_1, \dots, s_\ell) \in \mathbb{Z}^\ell$ and set $\Lambda_{\mathbf{s}} = \Lambda_{s_1} + \dots + \Lambda_{s_\ell}$, a level ℓ weight for \mathfrak{gl}_∞ . We assume $s_1 > \dots > s_\ell$.

6.7.1. Space and quantum group action. Given boxes A and B from a multipartition, we say that A is above B , respectively below, if A lies in a row that is strictly above or to the left of, respectively strictly below or to the right of, the row containing B in the Young diagram when visualized as in (2.3.6). A box $A \in \lambda$ is called *removable* (for λ) if $\lambda \setminus \{A\}$ has a shape of a multipartition. A box $B \notin \lambda$ is called *addable* (for λ) if $\lambda \cup \{B\}$ has a shape of a multipartition. We use the notation $\lambda_A = \lambda \setminus \{A\}$, $\lambda^B = \lambda \cup \{B\}$. Given $\lambda \in \mathcal{P}_\ell$, a removable i -box A and an addable i -box B , we set

$$\begin{aligned} d_i(\lambda) &= \#\{\text{addable } i\text{-boxes of } \lambda\} - \#\{\text{removable } i\text{-boxes of } \lambda\}; \\ d_A(\lambda) &= \#\{\text{addable } i\text{-boxes of } \lambda \text{ below } A\} - \#\{\text{removable } i\text{-boxes of } \lambda \text{ below } A\}; \\ d^B(\lambda) &= \#\{\text{addable } i\text{-boxes of } \lambda \text{ above } B\} - \#\{\text{removable } i\text{-boxes of } \lambda \text{ above } B\}. \end{aligned}$$

Define $F(\Lambda_{\mathfrak{s}})$, the level ℓ Fock space attached to \mathfrak{s} , to be the $\mathbb{Q}(v)$ -vector space with basis $\{M_\lambda : \lambda \in \mathcal{P}_\ell\}$ with quantum group $U_v(\mathfrak{gl}_\infty)$ -action defined by

$$E_i M_\lambda = \sum_A v^{d_A(\lambda)} M_{\lambda_A}, \quad F_i M_\lambda = \sum_B v^{-d_B(\lambda)} M_{\lambda_B}, \quad K_i M_\lambda = q^{d_i(\lambda)} M_\lambda, \quad (6.7.1)$$

where the first sum is over all removable i -boxes A for λ , and the second sum is over all addable i -boxes B for λ .

6.7.2. *Dual canonical basis.* The bar involution on $U_v(\mathfrak{gl}_\infty)$ is the automorphism $- : U_v(\mathfrak{gl}_\infty) \rightarrow U_v(\mathfrak{gl}_\infty)$ that is semilinear with respect to the field automorphism $\mathbb{Q}(v) \rightarrow \mathbb{Q}(v)$, $f(v) \mapsto f(v^{-1})$, and satisfies

$$\overline{E_i} = E_i, \quad \overline{F_i} = F_i, \quad \overline{K_i} = K_i^{-1}.$$

There is a compatible bar involution on $F(\Lambda_{\mathfrak{s}})$: this is an anti-linear involution $- : F(\Lambda_{\mathfrak{s}}) \rightarrow F(\Lambda_{\mathfrak{s}})$ such that $\overline{uf} = \overline{u}\overline{f}$ for each $u \in U_v(\mathfrak{gl}_\infty)$ and $f \in F(\Lambda_{\mathfrak{s}})$. It arises from the realization $F(\Lambda_{\mathfrak{s}}) \cong F(\Lambda_{s_1}) \otimes \cdots \otimes F(\Lambda_{s_\ell})$ and a tensor product construction of Lusztig (see [16, §3.9]). It has the property that

$$\overline{M_\lambda} = M_\lambda + (\text{a } \mathbb{Z}[v, v^{-1}]\text{-linear combination of } M_\mu\text{'s for } \mu \triangleleft \lambda).$$

The dual-canonical basis $\{L_\lambda : \lambda \in \mathcal{P}_\ell\}$ of $F(\Lambda_{\mathfrak{s}})$ is defined by letting L_λ be the unique bar-invariant vector such that

$$L_\lambda = M_\lambda + (\text{a } v\mathbb{Z}[v]\text{-linear combination of } M_\mu\text{'s for various } \mu \triangleleft \lambda). \quad (6.7.2)$$

The polynomials $d_{\mu,\lambda}(v) \in \mathbb{Z}[v]$ defined from

$$M_\lambda = \sum_{\mu \in \mathcal{P}_\ell} d_{\mu,\lambda}(v) L_\mu \quad (6.7.3)$$

satisfy $d_{\lambda,\lambda}(v) = 1$ and $d_{\mu,\lambda}(v) = 0$ unless $\mu \leq \lambda$. In [12, Remark 14] there are explicit formulae expressing these polynomials in terms of finite type A Kazhdan–Lusztig polynomials.

6.7.3. *Crystal.* The actions of the Chevalley generators E_i and F_i on the dual-canonical basis of $F(\Lambda_{\mathfrak{s}})$ is reflected by an underlying crystal graph, $(\mathcal{P}_\ell, \tilde{e}_i, \tilde{f}_i, \epsilon_i, \phi_i)$, which has the following explicit combinatorial description.

Given an integer i , let A_1, \dots, A_k denote the addable and removable i -boxes of $\lambda \in \mathcal{P}$ ordered so that A_m is above A_{m+1} for each $m = 1, \dots, k - 1$. Consider the sequence $(\sigma_1, \dots, \sigma_k)$ where $\sigma_r = +$ if A_r is addable or $-$ if A_r is removable. Step by step, we remove pairs $(-, +)$ of subsequent elements (in this order, i.e., a $-$ should precede a $+$). At the end we are left with a sequence in which no $-$ appears to the left of a $+$. This is called the *reduced i -signature* of λ . For the reduced signature $(\sigma_1, \dots, \sigma_n)$ of λ we have

$$\epsilon_i(\lambda) = \#\{r = 1, \dots, n : \sigma_r = -\}, \quad \phi_i(\lambda) = \#\{r = 1, \dots, n : \sigma_r = +\}.$$

Finally, if $\epsilon_i(\lambda) > 0$, we have $\tilde{e}_i\lambda = \lambda_{A_r}$ where r indexes the leftmost $-$ in the reduced i -signature; similarly, if $\phi_i(\lambda) > 0$ we have $\tilde{f}_i\lambda = \lambda^{A_r}$ where r indexes the rightmost $+$ in the reduced i -signature.

The singular vectors $\lambda \in \mathcal{P}_\ell$ in the crystal can therefore be characterized as follows: for each $i \in \mathbb{Z}$, the sequence $(\sigma_1, \dots, \sigma_k)$ of i -addable and i -removable boxes has the property that for each j the number of $+$'s among $\sigma_j, \dots, \sigma_k$ is not less than the number of $-$'s.

6.8. \mathfrak{gl}_∞ -categorifications

Here we consider the case when $W = G_\ell(n), \kappa \in \mathbb{C} \setminus \mathbb{Q}$, while the parameters s_1, \dots, s_ℓ are integers.

6.8.1. Categorification for Hecke algebras. The subalgebra $\mathcal{H}_{\kappa, \mathbf{s}}(n-1) \subset \mathcal{H}_{\kappa, \mathbf{s}}(n)$ is centralized by the image of $X_n \in \mathcal{H}_q^{\text{aff}}(n)$ in $\mathcal{H}_{\kappa, \mathbf{s}}(n)$. So the element X_n defines an endomorphism X of the restriction functor $\mathcal{H}\text{Res}_{W(n)}^{W(n-1)} : \mathcal{H}_{\kappa, \mathbf{s}}(n)\text{-mod} \rightarrow \mathcal{H}_{\kappa, \mathbf{s}}(n-1)\text{-mod}$ and of the induction functor $\mathcal{H}\text{Ind}_{W(n-1)}^{W(n)} : \mathcal{H}_{\kappa, \mathbf{s}}(n-1)\text{-mod} \rightarrow \mathcal{H}_{\kappa, \mathbf{s}}(n)\text{-mod}$. By the work of Ariki [1], one knows the following:

- All eigenvalues of X are of the form q^i . So it makes sense to consider the generalized endofunctors $\mathcal{H}E_i(n)$ and $\mathcal{H}F_i(n)$ corresponding to the eigenvalue q^i so that $\mathcal{H}\text{Res}_{W(n)}^{W(n-1)} = \bigoplus_{i \in \mathbb{Z}} \mathcal{H}E_i(n)$ and $\mathcal{H}\text{Ind}_{W(n-1)}^{W(n)} = \bigoplus_i \mathcal{H}F_i(n)$.
- The functors $\mathcal{H}E_i := \bigoplus_{n \geq 0} \mathcal{H}E_i(n)$ and $\mathcal{H}F_i := \bigoplus_{n \geq 0} \mathcal{H}F_i(n)$ define a \mathfrak{gl}_∞ -categorification in the sense of Rouquier of the category $\mathcal{H}_{\kappa, \mathbf{s}}\text{-mod} := \bigoplus_{n \geq 0} \mathcal{H}_{\kappa, \mathbf{s}}(n)\text{-mod}$.
- With respect to the operators $e_i := [\mathcal{H}E_i]$ and $f_i := [\mathcal{H}F_i]$ the K -group $[\mathcal{H}_{\kappa, \mathbf{s}}\text{-mod}]$ is isomorphic to the irreducible module $L(\Lambda_{\mathbf{s}})$, where the highest weight $\Lambda_{\mathbf{s}}$ is constructed from \mathbf{s} as explained at the beginning of Subsection 6.7.

6.8.2. Categorification for Cherednik algebras. According to [51], one has exact functors $E_i(n) : \mathcal{O}_{\kappa, \mathbf{s}}(n) \rightarrow \mathcal{O}_{\kappa, \mathbf{s}}(n-1)$ and $F_i(n) : \mathcal{O}_{\kappa, \mathbf{s}}(n-1) \rightarrow \mathcal{O}_{\kappa, \mathbf{s}}(n)$. The following holds:

- $\text{Res}_{G(n)}^{G(n-1)} = \bigoplus_i E_i(n)$ and $\text{Ind}_{G(n-1)}^{G(n)} = \bigoplus_i F_i(n)$.
- We have $\text{KZ} \circ E_i(n) = \mathcal{H}E_i(n) \circ \text{KZ}$ and $\text{KZ} \circ F_i(n) = \mathcal{H}F_i(n) \circ \text{KZ}$.
- The functors E_i, F_i define a \mathfrak{gl}_∞ -categorification of $\mathcal{O}_{\kappa, \mathbf{s}} := \bigoplus_{n \geq 0} \mathcal{O}_{\kappa, \mathbf{s}}(n)$.
- With respect to the induced operators e_i and f_i the K -group $[\mathcal{O}_{\kappa, \mathbf{s}}]$ is isomorphic to the Fock space $F(\Lambda_{\mathbf{s}})$ with multi-charge \mathbf{s} . Moreover, the KZ functor induces a natural projection from the Fock space to $L(\Lambda_{\mathbf{s}})$.

6.8.3. Categorification for parabolic category \mathcal{O} . There are i -induction and i -restriction endofunctors of $\mathcal{O}_{\mathbf{s}}^{\text{par}} := \bigoplus_{n \geq 0} \mathcal{O}_{\mathbf{s}}^{\text{par}}(n)$ essentially defined in [20, 7.4] and studied further in [14, §4.4]. Let us recall their construction. We use the notation of Subsection 6.2 and assume, in particular, that $s_1 > \dots > s_\ell$.

First we define certain functors on $\tilde{\mathcal{O}}_{s,m}^{\text{par}}$. Namely, we have the functors $F := \mathbb{C}^{|\tau|} \otimes \bullet$, $E := (\mathbb{C}^{|\tau|})^* \otimes \bullet$, where $\mathbb{C}^{|\tau|}$ stands for the tautological $\mathfrak{gl}_{|\tau|}$ -module. The functors are biadjoint. We have endomorphisms X of E, F given by applying the tensor Casimir $\sum_{i,j} e_{ij} \otimes e_{ji}$, where e_{ij} denotes the matrix unit in position (i, j) . For E_i, F_i we take the generalized eigenfunctors of E, F corresponding to eigenvalues $-|\tau| - i$ and i , respectively. This defines a \mathfrak{gl}_∞ -categorification on $\tilde{\mathcal{O}}_{s,m}^{\text{par}}$, in particular, E_i and F_i are biadjoint.

According to [15, Lemma 4.3], $F_i N(A)$ (resp., $E_i N(A)$) has a Verma flag whose successive quotients are precisely $N(B)$ with B being a column strict table obtained from A by replacing an i with an $i + 1$ (resp., an $i + 1$ with an i) for each occurrence of i (resp., $i + 1$) in A , every $N(B)$ occurs once.

The previous paragraph implies that f_i maps $\mathcal{O}_{s,m}^{\text{par}}(n)$ to $\mathcal{O}_{s,m}^{\text{par}}(n + 1)$. Also the construction of BK in [15, Section 5.3] implies that BK intertwines F with the induction functor for $\mathcal{H}_{1,s}$. Recall (see 6.4.11) that BK is fully faithful on projectives and so induces an isomorphism of $\text{End}(F)$ with the endomorphisms of the induction functor. This isomorphism preserves the endomorphisms X and so BK intertwines also the functors f_i . From here and an adaptation of [51, Lemma 2.4] it follows that the functors f_i do not depend on the choice of m and so give rise to functors $\mathcal{O}_s^{\text{par}}(n) \rightarrow \mathcal{O}_s^{\text{par}}(n + 1)$ to be also denoted by f_i .

On the contrary, e_i does not need to map $\mathcal{O}_{s,m}^{\text{par}}(n)$ to $\mathcal{O}_{s,m}^{\text{par}}(n - 1)$. However, this always holds for $m \gg 0$ (for each i we need its own m). The adjointness property of e_i and f_i gives rise to functors $e_i : \mathcal{O}_s^{\text{par}}(n) \rightarrow \mathcal{O}_s^{\text{par}}(n - 1)$. The functor BK intertwines the functors e_i as well. So we get a \mathfrak{gl}_∞ -categorification on $\mathcal{O}_s^{\text{par}} := \bigoplus_{n \geq 0} \mathcal{O}_s^{\text{par}}(n)$.

6.9. Main theorem and applications

6.9.1. *Main result: stronger version.* Recall the notation $\mathcal{O}_{\kappa,(s,m)}(n)$ from 6.5 where $s, m \in \mathbb{Z}^\ell$.

Theorem. Let $\kappa \in \mathbb{C} \setminus \mathbb{Q}$, $s = (s_1, \dots, s_\ell) \in \mathbb{Z}^\ell$ with $s_1 > \dots > s_\ell$ and $m = (m_1, \dots, m_\ell)$ with $m_\ell \geq m_1 \geq \dots \geq m_{\ell-1}$.

- (1) There is an equivalence of highest weight categories $\Upsilon_n : \mathcal{O}_{\kappa,(s^*,m)}(n) \rightarrow \mathcal{O}_s^{\text{par}}(n)$ and a commutative diagram

$$\begin{array}{ccc}
 \mathcal{O}_{\kappa,(s^*,m)}(n) & \xrightarrow[\sim]{\Upsilon_n} & \mathcal{O}_s^{\text{par}}(n) \\
 \text{KZ} \downarrow & & \downarrow \text{BK} \\
 \mathcal{H}_{q,s^*}(n)\text{-mod} & \xrightarrow[\tilde{\Theta}^*]{\sim} & \mathcal{H}_{1,s}(n)\text{-mod}
 \end{array}$$

where $\tilde{\Theta} = \sigma \circ \Theta : \mathcal{H}_{1,s}(n) \rightarrow \mathcal{H}_{q,s^*}(n)$ with σ the involution defined in 6.3.4.

- (2) $\Upsilon_n(\Delta_{\kappa,(s^*,m)}(\lambda^*)) \cong N(A_\lambda)$ for each $\lambda \in \mathcal{P}_\ell(n)$.
- (3) The equivalence $\Upsilon := \bigoplus_{n=0}^\infty \Upsilon_n$ intertwines the functors of i -restriction and i -induction on $\mathcal{O}_{\kappa,(s^*,m)}$ with those of $(-i)$ -restriction and $(-i)$ -induction on $\mathcal{O}_s^{\text{par}}$ for all $i \in \mathbb{Z}$.

We expect it is possible to obtain an analogous result on weakening the condition on \mathbf{s} to that the s_i 's are pairwise distinct (see Section 7). Taken with the results of 6.5 this would deal with all faithful parameter choices with $\kappa \in \mathbb{C} \setminus \mathbb{Q}$.

Proof. (1) and (2). Combine the equivalences from 6.4.11, 6.5.2(1) and 6.6.1.

(3) By Shan's results recalled in 6.8.2, KZ commutes with i -restriction and i -induction, while by Brundan and Kleshchev's results (see 6.8.3), so too does BK.

Let $I : \mathcal{O}_{\kappa, \mathbf{s}^*}(n)\text{-proj} \rightarrow \mathcal{O}_{\kappa, \mathbf{s}^*}(n)$ be the inclusion of the full subcategory of projective modules. Abusing notation, let E_i and F_i denote the i -restriction and i -induction functors on any relevant category. Since $\sigma(X_n) = X_n^{-1}$ we see that $\tilde{\Theta}^* E_i = E_{-i} \tilde{\Theta}^*$. We have $\text{BK} E_i \Upsilon_n \cong E_i \text{BK} \Upsilon_n \cong E_i \tilde{\Theta}^* \text{KZ} \cong \tilde{\Theta}^* \text{KZ} E_{-i} \cong \text{BK} \Upsilon_{n-1} E_{-i}$. Restricting to $\mathcal{O}_{\kappa, \mathbf{s}^*}(n)\text{-proj}$ and noting that Υ_{n-1} , Υ_n and E_i preserve projectives and that $\text{BK}^1 \text{BK} \cong \text{Id}$ on projectives, we see that $E_i \Upsilon_n I \cong \Upsilon_{n-1} E_{-i} I$. By [51, Lemma 1.2] this implies that $E_i \Upsilon_n \cong \Upsilon_{n-1} E_{-i}$. The argument for the F_i 's is similar. \square

6.9.2. *Decomposition numbers.* According to [4], the category $\tilde{\mathcal{O}}_{\mathbf{s}, m}^{\text{par}}$ admits a \mathbb{Z} -grading that makes it Koszul. Being the sum of blocks of $\tilde{\mathcal{O}}_{\mathbf{s}, m}^{\text{par}}$, so does $\mathcal{O}_{\mathbf{s}}^{\text{par}}(n)$. It now follows from Theorem 6.9.1 that the category $\mathcal{O}_{\kappa, (\mathbf{s}^*, \mathbf{m})}(n)$ admits such a grading. Let $\mathcal{O}_{\kappa, (\mathbf{s}^*, \mathbf{m})}^{\text{gr}}(n)$ denote this category, with grading shift $\langle j \rangle$ defined by $(M \langle j \rangle)_i = M_{i-j}$ for $M \in \mathcal{O}_{\kappa, (\mathbf{s}^*, \mathbf{m})}^{\text{gr}}(n)$ and $i, j \in \mathbb{Z}$.

Corollary. *Let $\kappa \in \mathbb{C} \setminus \mathbb{Q}$ and $\mathbf{s} = (s_1, \dots, s_\ell) \in \mathbb{Z}^\ell$ with $s_1 > \dots > s_\ell$. Set $\mathcal{O}_{\kappa, (\mathbf{s}^*, \mathbf{m})}^{\text{gr}} = \bigoplus_{n \geq 0} \mathcal{O}_{\kappa, (\mathbf{s}^*, \mathbf{m})}^{\text{gr}}(n)$ and let $F_{\kappa, (\mathbf{s}^*, \mathbf{m})}$ be the $\mathbb{Z}[v, v^{-1}]$ -module $[\mathcal{O}_{\kappa, (\mathbf{s}^*, \mathbf{m})}^{\text{gr}}] = \bigoplus_{n \geq 0} [\mathcal{O}_{\kappa, (\mathbf{s}^*, \mathbf{m})}^{\text{gr}}(n)]$, the direct sum of the corresponding Grothendieck groups.*

- (1) *Under the isomorphism $\Phi : F_{\kappa, (\mathbf{s}^*, \mathbf{m})} \otimes_{\mathbb{Z}[v, v^{-1}]} \mathbb{Q}(v) \rightarrow F(\Lambda_{\mathbf{s}})$ induced by sending $[\Delta_{\kappa, (\mathbf{s}^*, \mathbf{m})}^{\text{gr}}(\lambda^*)]$ to M_λ for all $\lambda \in \mathcal{P}_\ell$, we have $\Phi([\Delta_{\kappa, (\mathbf{s}^*, \mathbf{m})}^{\text{gr}}(\lambda^*)]) = L_\lambda$, the dual-canonical basis element defined in (6.7.2).*
- (2) *In particular,*

$$\sum_j [\text{rad}^j \Delta_{\kappa, (\mathbf{s}^*, \mathbf{m})}(\lambda^*) / \text{rad}^{j+1} \Delta_{\kappa, (\mathbf{s}^*, \mathbf{m})}(\lambda^*) : L_{\kappa, (\mathbf{s}^*, \mathbf{m})}(\mu^*)] v^j = d_{\mu, \lambda}(v),$$

where $d_{\mu, \lambda}(v)$ is defined in (6.7.3) and

$$\Delta_{\kappa, (\mathbf{s}^*, \mathbf{m})}(\lambda^*) = \text{rad}^0 \Delta_{\kappa, (\mathbf{s}^*, \mathbf{m})}(\lambda^*) \supseteq \text{rad}^1 \Delta_{\kappa, (\mathbf{s}^*, \mathbf{m})}(\lambda^*) \supseteq \text{rad}^2 \Delta_{\kappa, (\mathbf{s}^*, \mathbf{m})}(\lambda^*) \supseteq \dots$$

is the radical filtration of $\Delta_{\kappa, (\mathbf{s}^*, \mathbf{m})}(\lambda^*)$.

Proof. After using Theorem 6.9.1 to transfer to the setting of $\mathcal{O}_{\mathbf{s}}^{\text{par}}$, (1) is proved exactly as in [14, Theorem 4.5], where, of course, the variable v keeps track of the grading. Part (2) is a standard Koszul fact (see for instance [5, Theorem 3.11.4]). \square

Recently Stroppel and Webster [55] have determined the decomposition numbers of the cyclotomic q -Schur algebras at roots of unity in terms of Fock space combinatorics.

6.9.3. *Support of irreducible modules.* It is shown in [51, Theorem 6.3] that the set $\text{Irrep}(\kappa, (\mathbf{s}^*, \mathbf{m})) = \{[L_{\kappa, (\mathbf{s}^*, \mathbf{m})}(\lambda)] : \lambda \in \mathcal{P}_\ell\}$ and the operators

$$\begin{aligned} \tilde{e}_i, \tilde{f}_i &: \text{Irrep}(\kappa, (\mathbf{s}^*, \mathbf{m})) \rightarrow \text{Irrep}(\kappa, (\mathbf{s}^*, \mathbf{m})) \sqcup \{0\}, \\ \tilde{e}_i([L]) &= [\text{head}(E_i L)], \quad \tilde{f}_i([L]) = [\text{soc}(F_i L)], \end{aligned}$$

define a crystal that is isomorphic to the crystal of 6.7.3 for $F(\Lambda_{\mathbf{s}^*})$. It seems, however, to be complicated to write an explicit description of this isomorphism. But, thanks to Theorem 6.9.1, there is also an isomorphism from the representation-theoretic crystal of [51] to the crystal of $F(\Lambda_{\mathbf{s}})$ in which the roles of \tilde{e}_i and \tilde{f}_i are switched to those of \tilde{e}_{-i} and \tilde{f}_{-i} for all $i \in \mathbb{Z}$ and which just sends $[L_{\kappa, (\mathbf{s}^*, \mathbf{m})}(\lambda^*)]$ to λ for all $\lambda \in \mathcal{P}_\ell$.

For each $\lambda \in \mathcal{P}_\ell$ let

$$N(\lambda) = \max\{j \in \mathbb{Z}_{\geq 0} : \text{there exist } i_1, \dots, i_j \in \mathbb{Z} \text{ such that } \tilde{e}_{i_1} \cdots \tilde{e}_{i_j}(\lambda) \neq 0\}.$$

Given a non-negative integer $m \leq n$ set

$$X_m^n = G_n \cdot \{(0, \dots, 0, x_1, \dots, x_m)\} \subseteq \mathbb{C}^n.$$

It is known (and can be proved similarly to [52, Remark 3.7]) that the support of any irreducible module in $\mathcal{O}_{\kappa, \mathbf{s}}$ is one of X_m^n .

Corollary. *Let $\kappa \in \mathbb{C} \setminus \mathbb{Q}$ and $\mathbf{s} = (s_1, \dots, s_\ell) \in \mathbb{Z}^\ell$ with $s_1 > \dots > s_\ell$.*

- (1) *If $\lambda \in \mathcal{P}_\ell(n)$ then the support of $L_{\kappa, (\mathbf{s}^*, \mathbf{m})}(\lambda^*)$ is $X_{N(\lambda)}^n$.*
- (2) *The module $L_{\kappa, (\mathbf{s}^*, \mathbf{m})}(\lambda^*)$ is finite-dimensional if and only if $N(\lambda) = 0$.*

Proof. (2) is a consequence of (1), which itself is a consequence of [52, Corollary 3.17] and the explicit identification above of the crystal $(\text{Irrep}(\kappa, (\mathbf{s}^*, \mathbf{m})), \tilde{e}_i, \tilde{f}_i)$. □

This gives the labelling of all finite-dimensional representations of $\mathbf{H}_{\kappa, (\mathbf{s}^*, \mathbf{m})}(G_\ell(n))$ under our current restrictions on κ, \mathbf{s} and \mathbf{m} . They are $L_{\kappa, (\mathbf{s}^*, \mathbf{m})}(\lambda^*)$ where $\lambda \in \mathcal{P}_\ell$ is such that $\tilde{e}_i(\lambda) = 0$ for all $i \in \mathbb{Z}$. This set can then be calculated by the process of 6.7.3.

6.9.4. *Inner product on finite-dimensional representations.* There is a contravariant duality d on $\mathcal{O}_{\kappa, (\mathbf{s}^*, \mathbf{m})}^{\text{gr}}(n)$ induced from the usual duality on parabolic category \mathcal{O} . It fixes the simple modules, and it has the property that $d(M\langle j \rangle) = (dM)\langle -j \rangle$.

For $M, N \in \mathcal{O}_{\kappa, (\mathbf{s}^*, \mathbf{m})}^{\text{gr}}(n)$ we set

$$\langle M, N \rangle_v = \sum_{i, j \in \mathbb{Z}} (-1)^i \dim \text{Ext}_{\mathcal{O}_{\kappa, (\mathbf{s}^*, \mathbf{m})}^{\text{gr}}(n)}^i(M, (dN)\langle j \rangle) v^j.$$

This descends to a $\mathbb{Z}[v, v^{-1}]$ -bilinear form on $[\mathcal{O}_{\kappa, (\mathbf{s}^*, \mathbf{m})}^{\text{gr}}(n)]$. For any $\lambda, \mu \in \mathcal{P}_\ell(n)$ we have

$$\begin{aligned}
 & \sum_j (-v)^j \dim \operatorname{Ext}_{\mathbf{H}_{\kappa, (\mathbf{s}^*, \mathbf{m})}(G_n)}^j(L_{\kappa, (\mathbf{s}^*, \mathbf{m})}(\lambda), L_{\kappa, (\mathbf{s}^*, \mathbf{m})}(\mu)) \\
 &= \sum_j (-v)^j \dim \operatorname{Ext}_{\mathcal{O}_{\kappa, (\mathbf{s}^*, \mathbf{m})}(n)}^j(L_{\kappa, (\mathbf{s}^*, \mathbf{m})}(\lambda), L_{\kappa, (\mathbf{s}^*, \mathbf{m})}(\mu)) \\
 &= \sum_{i,j} (-v)^j \dim \operatorname{Ext}_{\mathcal{O}_{\kappa, (\mathbf{s}^*, \mathbf{m})}(n)}^{i,j}(L_{\kappa, (\mathbf{s}^*, \mathbf{m})}^{\operatorname{gr}}(\lambda), L_{\kappa, (\mathbf{s}^*, \mathbf{m})}^{\operatorname{gr}}(\mu)\langle i \rangle) \\
 &= \sum_j (-v)^j \dim \operatorname{Ext}_{\mathcal{O}_{\kappa, (\mathbf{s}^*, \mathbf{m})}(n)}^{j,0}(L_{\kappa, (\mathbf{s}^*, \mathbf{m})}^{\operatorname{gr}}(\lambda), L_{\kappa, (\mathbf{s}^*, \mathbf{m})}^{\operatorname{gr}}(\mu)\langle j \rangle) \\
 &= \langle L_{\kappa, (\mathbf{s}^*, \mathbf{m})}^{\operatorname{gr}}(\lambda), L_{\kappa, (\mathbf{s}^*, \mathbf{m})}^{\operatorname{gr}}(\mu) \rangle_v.
 \end{aligned}$$

Here the first equality is proved in [26, Proposition 4.4], the third equality is a consequence of $\mathcal{O}_{\kappa, (\mathbf{s}^*, \mathbf{m})}(n)$ being Koszul and the fourth a consequence of Koszulity and $d(L_{\kappa, (\mathbf{s}^*, \mathbf{m})}^{\operatorname{gr}}(\mu)) = L_{\kappa, (\mathbf{s}^*, \mathbf{m})}^{\operatorname{gr}}(\mu)$. The above sequence of equalities shows that the following result confirms [26, Conjecture 4.7].

Corollary. *If $q \in \mathbb{C}^*$ is not a non-trivial root of unity then the restriction of the inner product $\langle -, - \rangle_{v \mapsto q}$ to the finite-dimensional representations in $\mathcal{O}_{\kappa, (\mathbf{s}^*, \mathbf{m})}^{\operatorname{gr}}(n)$ is non-degenerate.*

Proof. We abuse notation by letting $\langle -, - \rangle_v$ also denote the sum of the forms on all $[\mathcal{O}_{\kappa, (\mathbf{s}^*, \mathbf{m})}^{\operatorname{gr}}(n)]$. We have

$$\begin{aligned}
 & \langle \Delta_{\kappa, (\mathbf{s}^*, \mathbf{m})}^{\operatorname{gr}}(\lambda), \Delta_{\kappa, (\mathbf{s}^*, \mathbf{m})}^{\operatorname{gr}}(\mu) \rangle_v \\
 &= \sum_{i,j \in \mathbb{Z}} (-1)^i \dim \operatorname{Ext}_{\mathcal{O}_{\kappa, (\mathbf{s}^*, \mathbf{m})}(n)}^{i,j}(\Delta_{\kappa, (\mathbf{s}^*, \mathbf{m})}^{\operatorname{gr}}(\lambda), \nabla_{\kappa, (\mathbf{s}^*, \mathbf{m})}^{\operatorname{gr}}(\mu)\langle j \rangle)v^j = \delta_{\lambda, \mu}.
 \end{aligned}$$

So thanks to Corollary 6.9.2 we can identify $\langle -, - \rangle_v$ with the bilinear form on an integral form of $F(\Lambda_{\mathbf{s}})$ which is defined by

$$\langle M_{\lambda}, M_{\mu} \rangle_v = \delta_{\lambda, \mu}.$$

Using (6.7.1) one checks that $\langle ux, y \rangle_v = \langle x, \tau(u)y \rangle_v$ for $x, y \in F(\Lambda_{\mathbf{s}}), u \in U_v(\mathfrak{gl}_{\infty})$ and where τ is the antiautomorphism of $U_v(\mathfrak{gl}_{\infty})$ defined by

$$\tau(E_i) = vF_iK_i, \quad \tau(F_i) = v^{-1}K_i^{-1}E_i, \quad \tau(K_i) = K_i.$$

Let $F(\Lambda_{\mathbf{s}})_n^{\operatorname{sing}}$ denote the subspace of $F(\Lambda_{\mathbf{s}})_{n, \operatorname{sing}}$ annihilated by the E_i 's. As

$$F(\Lambda_{\mathbf{s}})_n = F(\Lambda_{\mathbf{s}})_{n, \operatorname{sing}} \oplus \sum_{i \in \mathbb{Z}} F_i(F(\Lambda_{\mathbf{s}})_{n-1})$$

and moreover

$$\langle F(\Lambda_{\mathbf{s}})_{n, \operatorname{sing}}, F_i(F(\Lambda_{\mathbf{s}})_{n-1}) \rangle_v = \langle v^{-1}K_i^{-1}E_i(F(\Lambda_{\mathbf{s}})_{n, \operatorname{sing}}), F(\Lambda_{\mathbf{s}})_{n-1} \rangle_v = 0,$$

it follows that $\langle -, - \rangle_v$ restricted to $F(\Lambda_{\mathbf{s}})_{n, \operatorname{sing}}$ is non-degenerate.

By Corollary 6.9.3, $F(\Lambda_{\mathbf{s}})_{n, \text{sing}}$ corresponds to the subspace of $[\mathcal{O}_{\kappa, (\mathbf{s}^*, \mathbf{m})}^{\text{gr}}(n)]$ spanned by the finite-dimensional representations. Thus the Gram determinant of the restriction of $\langle -, - \rangle_v$ to the finite-dimensional representations is non-zero. Moreover, since the integrable representation theory of $U_v(\mathfrak{gl}_{\infty})$ is stable under specializing v to $q \in \mathbb{C}^*$ (because q is not a non-trivial root of unity), we see that $F(\Lambda_{\mathbf{s}})_{n, \text{sing}} \otimes_{\mathbb{Z}[v, v^{-1}]} \mathbb{C}_q = (F(\Lambda_{\mathbf{s}}) \otimes_{\mathbb{Z}[v, v^{-1}]} \mathbb{C}_q)_{n, \text{sing}}$. Since $\langle -, - \rangle_{v \rightarrow q}$ is non-degenerate on $F(\Lambda_{\mathbf{s}})_n$, the same analysis as above shows that the form is non-degenerate on the finite-dimensionals. \square

We end by noting that for $k \in \mathbb{Q}$, [52, Remark 5.14] confirms this conjecture in the special case $q = 1$ (see also [26, Conjecture 4.1]).

7. Problems

Here we give a few problems related to the topics discussed in this paper.

Problem 1: Conjecture 3.2.4. Confirm it!

Problem 2: Cherednik \mathcal{O} vs parabolic \mathcal{O} . Recall that for irrational $\kappa \notin \mathbb{Q}$ and distinct integral $\mathbf{s} = (s_1, \dots, s_{\ell})$ and $\mathbf{m} = (m_1, \dots, m_{\ell})$ we have a reduction to the case where \mathbf{m} is dominant, Proposition 6.5.2. If $s_1 > \dots > s_{\ell}$ then we showed that the cyclotomic $\mathcal{O}_{\kappa, (\mathbf{s}^*, \mathbf{m})}$ is equivalent to an appropriate parabolic category \mathcal{O} of type A . A non-trivial further generalization would be to remove this restriction on strict dominance for \mathbf{s} , showing that the cyclotomic category $\mathcal{O}_{\kappa, (\mathbf{s}^*, \mathbf{m})}$ is still isomorphic to an appropriate parabolic category \mathcal{O} of type A . To prove this one needs to generalize results from [15] to more general parabolic categories \mathcal{O} then considered there.

Problem 3: Spherical categories \mathcal{O} at aspherical parameters. Consider the spherical subalgebra $e\mathbf{H}_p e$ inside the Cherednik algebra \mathbf{H}_p . In Section 3 we have introduced the spherical category $\mathcal{O}_p^{\text{sph}}$ of $e\mathbf{H}_p e$ -modules. This category comes equipped with an exact functor $e : \mathcal{O}_p \rightarrow \mathcal{O}_p^{\text{sph}}, N \mapsto eN$, that is a quotient functor. By considering supports, it is easy to show that the KZ functor factors through e . Thus in the cyclotomic case the category $\mathcal{O}_p^{\text{sph}}$ inherits a categorification from \mathcal{O}_p . It would be very interesting to understand the kernel of e , even at the level of Grothendieck groups.

Problem 4: Subcategories associated to singular irreducibles. Let N be an irreducible module in $\mathcal{O}_p(n_0)$ that is annihilated by all i -restriction functors. Consider the Serre subcategory \mathcal{O}_p^N in \mathcal{O}_p that is spanned by the simples appearing in the modules obtained from N by all possible compositions of the i -induction functors. It would be very interesting to understand the structure of this subcategory: for instance one could hope that $\mathcal{O}^N(n)$ is a highest weight category. One expects that the category is related to the finer structure of the categorification of the higher level Fock space. Using techniques from [52] we can show that it admits a quotient functor (an analogue of the KZ functor) to the category of modules over an appropriate cyclotomic Hecke algebra.

Problem 5: Definition of \mathcal{O} at the microlocal level. Let us use the notation of Section 5. Consider the microlocal sheaf of algebras \mathcal{D}^{α} whose space of sections over a \mathbb{C}^{\times} -stable

open subset U is $\mathcal{D}^\alpha(U) := \mathcal{D}_\hbar^\alpha(U)_{T_2\text{-fin}}/(\hbar - 1)$, where the subscript “ T_2 -fin” means that one takes T_2 -finite elements. Then the full subcategory of $\mathcal{D}^\alpha\text{-mod}^{T_1}$ consisting of objects supported on $\pi^{-1}(\mathfrak{h}/W)$ is an analogue of category \mathcal{O} . We do not, however, know how to define an analogue of \mathcal{O} inside the non-equivariant category $\mathcal{D}^\alpha\text{-mod}$. The support condition is insufficient: we get too many objects in $\mathcal{D}^\alpha\text{-mod}$ supported on $\pi^{-1}(\mathfrak{h}/W)$. We conjecture that the correct category is defined by the support condition together with monodromy conditions on the local behaviour of an object near each irreducible component of $\pi^{-1}(\mathfrak{h}/W)$. One motivation for this problem comes from the study of the symplectic reflection algebras associated to the wreath products Γ_n of S_n with a *non-cyclic* Kleinian group. In those cases no category behaving like the category \mathcal{O} is known (at least in characteristic 0).

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